

# Veriopt

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## **Abstract**

The Veriopt project aims to prove the optimization pass of the GraalVM compiler. The GraalVM compiler includes a sophisticated Intermediate Representation (IR) in the form of a sea-of-nodes based graph structure. We first define the IR graph structure in the Isabelle/HOL interactive theorem prover. We subsequently give the evaluation of the structure a semantics based on the current understanding of the purpose of each IR graph node. Optimization phases are then encoded including the static analysis passes required for an optimization. Each optimization phase is proved to be correct by proving that a bisimulation exists between the unoptimized and optimized graphs. The following document has been automatically generated from the Isabelle/HOL source to provide a very comprehensive definition of the semantics and optimizations introduced by the Veriopt project.

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# 1 Additional Theorems about Computer Words

**theory** *JavaWords*

**imports**

*HOL-Library.Word*

*HOL-Library.Signed-Division*

*HOL-Library.Float*

*HOL-Library.LaTeXsugar*

**begin**

Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints, and char is 16-bit unsigned. E.g. an 8-bit stamp has a default range of -128..+127. And a 1-bit stamp has a default range of -1..0, surprisingly.

During calculations the smaller sizes are sign-extended to 32 bits.

**type-synonym** *int64* = 64 word — long

**type-synonym** *int32* = 32 word — int

**type-synonym** *int16* = 16 word — short

**type-synonym** *int8* = 8 word — char

**type-synonym** *int1* = 1 word — boolean

**abbreviation** *valid-int-widths* :: nat set **where**

*valid-int-widths* ≡ {1, 8, 16, 32, 64}

**type-synonym** *iwidth* = nat

**fun** *bit-bounds* :: nat ⇒ (int × int) **where**

*bit-bounds* bits = (((2 ^ bits) div 2) \* -1, ((2 ^ bits) div 2) - 1)

**definition** *logic-negate* :: ('a::len) word ⇒ 'a word **where**

*logic-negate* x = (if x = 0 then 1 else 0)

**fun** *int-signed-value* :: iwidth ⇒ int64 ⇒ int **where**

*int-signed-value* b v = sint (signed-take-bit (b - 1) v)

**fun** *int-unsigned-value* :: iwidth ⇒ int64 ⇒ int **where**

*int-unsigned-value* b v = uint v

A convenience function for directly constructing -1 values of a given bit size.

**fun** *neg-one* :: iwidth ⇒ int64 **where**

*neg-one* b = mask b

## 1.1 Bit-Shifting Operators

**definition** *shiffl* (infix << 75) **where**

*shiffl* w n = (push-bit n) w

**lemma** *shiffl-power[simp]*: (x::('a::len) word) \* (2 ^ j) = x << j

**unfolding** *shiffl-def* **apply** (induction j)

**apply simp unfolding funpow-Suc-right**  
**by** (*metis (no-types, opaque-lifting) push-bit-eq-mult*)

**lemma** ( $x :: ('a :: len) \text{ word} * ((2 \wedge j) + 1) = x \ll j + x$ )  
**by** (*simp add: distrib-left*)

**lemma** ( $x :: ('a :: len) \text{ word} * ((2 \wedge j) - 1) = x \ll j - x$ )  
**by** (*simp add: right-diff-distrib*)

**lemma** ( $x :: ('a :: len) \text{ word} * ((2 \wedge j) + (2 \wedge k)) = x \ll j + x \ll k$ )  
**by** (*simp add: distrib-left*)

**lemma** ( $x :: ('a :: len) \text{ word} * ((2 \wedge j) - (2 \wedge k)) = x \ll j - x \ll k$ )  
**by** (*simp add: right-diff-distrib*)

Unsigned shift right.

**definition** *shiftr* (**infix**  $\ggg$  75) **where**  
*shiftr w n = drop-bit n w*

**corollary** ( $255 :: 8 \text{ word} \ggg (2 :: nat) = 63$ ) **by** *code-simp*

Signed shift right.

**definition** *sshiftr* ::  $'a :: len \text{ word} \Rightarrow nat \Rightarrow 'a :: len \text{ word}$  (**infix**  $\gg$  75) **where**  
*sshiftr w n = word-of-int ((sint w) div (2  $\wedge$  n))*

**corollary** ( $128 :: 8 \text{ word} \gg 2 = 0xE0$ ) **by** *code-simp*

## 1.2 Fixed-width Word Theories

### 1.2.1 Support Lemmas for Upper/Lower Bounds

**lemma** *size32*:  $\text{size } v = 32$  **for**  $v :: 32 \text{ word}$   
**by** (*smt (verit, del-Insts) mult.commute One-nat-def add.right-neutral add-Suc-right numeral-2-eq-2*  
*len-of-numeral-defs(2,3) mult.right-neutral mult-Suc-right numeral-Bit0 size-word.rep-eq*)

**lemma** *size64*:  $\text{size } v = 64$  **for**  $v :: 64 \text{ word}$   
**by** (*metis numeral-times-numeral semiring-norm(12) semiring-norm(13) size32*  
*len-of-numeral-defs(3)*  
*size-word.rep-eq*)

**lemma** *lower-bounds-equiv*:  
**assumes**  $0 < N$   
**shows**  $-(((2 :: int) \wedge (N-1))) = (2 :: int) \wedge N \text{ div } 2 * - 1$   
**by** (*simp add: assms int-power-div-base*)

**lemma** *upper-bounds-equiv*:

```

assumes  $0 < N$ 
shows  $(2::int) \wedge (N-1) = (2::int) \wedge N \text{ div } 2$ 
by (simp add: assms int-power-div-base)

```

Some min/max bounds for 64-bit words

```

lemma bit-bounds-min64:  $((fst (bit-bounds 64))) \leq (sint (v::int64))$ 
unfolding bit-bounds.simps fst-def
using sint-ge[of v] by simp

```

```

lemma bit-bounds-max64:  $((snd (bit-bounds 64))) \geq (sint (v::int64))$ 
unfolding bit-bounds.simps fst-def
using sint-lt[of v] by simp

```

Extend these min/max bounds to extracting smaller signed words using *signed\_take\_bit*.

Note: we could use *signed* to convert between bit-widths, instead of *signed\_take\_bit*. But that would have to be done separately for each bit-width type.

```

corollary sint(signed-take-bit 7 (128 :: int8)) = -128 by code-simp

```

```

ML-val  $\langle @\{thm\ signed-take-bit-decr-length-iff\} \rangle$ 
declare  $[[show-types=true]]$ 
ML-val  $\langle @\{thm\ signed-take-bit-int-less-exp\} \rangle$ 

```

```

lemma signed-take-bit-int-less-exp-word:
fixes ival :: 'a :: len word
assumes  $n < LENGTH('a)$ 
shows  $sint(signed-take-bit n ival) < (2::int) \wedge n$ 
apply transfer using assms apply auto
by (metis min.commute signed-take-bit-signed-take-bit signed-take-bit-int-less-exp)

```

```

lemma signed-take-bit-int-greater-eq-minus-exp-word:
fixes ival :: 'a :: len word
assumes  $n < LENGTH('a)$ 
shows  $-(2 \wedge n) \leq sint(signed-take-bit n ival)$ 
apply transfer using assms apply auto
by (metis min.commute signed-take-bit-signed-take-bit signed-take-bit-int-greater-eq-minus-exp)

```

```

lemma signed-take-bit-range:
fixes ival :: 'a :: len word
assumes  $n < LENGTH('a)$ 
assumes  $val = sint(signed-take-bit n ival)$ 
shows  $-(2 \wedge n) \leq val \wedge val < 2 \wedge n$ 
using signed-take-bit-int-greater-eq-minus-exp-word signed-take-bit-int-less-exp-word
using assms by blast

```

A *bit\_bounds* version of the above lemma.

**lemma** *signed-take-bit-bounds*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n \leq \text{LENGTH}('a)$   
**assumes**  $0 < n$   
**assumes**  $\text{val} = \text{sint}(\text{signed-take-bit } (n - 1) \text{ ival})$   
**shows**  $\text{fst } (\text{bit-bounds } n) \leq \text{val} \wedge \text{val} \leq \text{snd } (\text{bit-bounds } n)$   
**using** *assms signed-take-bit-range lower-bounds-equiv upper-bounds-equiv*  
**by** (*metis bit-bounds.simps fst-conv less-imp-diff-less nat-less-le sint-ge sint-lt snd-conv zle-diff1-eq*)

**lemma** *signed-take-bit-bounds64*:  
**fixes** *ival* :: int64  
**assumes**  $n \leq 64$   
**assumes**  $0 < n$   
**assumes**  $\text{val} = \text{sint}(\text{signed-take-bit } (n - 1) \text{ ival})$   
**shows**  $\text{fst } (\text{bit-bounds } n) \leq \text{val} \wedge \text{val} \leq \text{snd } (\text{bit-bounds } n)$   
**using** *assms signed-take-bit-bounds*  
**by** (*metis size64 word-size*)

**lemma** *int-signed-value-bounds*:  
**assumes**  $b1 \leq 64$   
**assumes**  $0 < b1$   
**shows**  $\text{fst } (\text{bit-bounds } b1) \leq \text{int-signed-value } b1 \text{ } v2 \wedge$   
 $\text{int-signed-value } b1 \text{ } v2 \leq \text{snd } (\text{bit-bounds } b1)$   
**using** *assms int-signed-value.simps signed-take-bit-bounds64* **by** *blast*

**lemma** *int-signed-value-range*:  
**fixes** *ival* :: int64  
**assumes**  $\text{val} = \text{int-signed-value } n \text{ ival}$   
**shows**  $-(2 \wedge (n - 1)) \leq \text{val} \wedge \text{val} < 2 \wedge (n - 1)$   
**using** *assms apply auto*  
**apply** (*smt (verit, ccfv-threshold) sint-greater-eq diff-less len-gt-0 power-strict-increasing*  
*power-less-imp-less-exp signed-take-bit-range len-num1 One-nat-def*)  
**by** (*smt (verit, ccfv-threshold) neg-equal-0-iff-equal power-0 signed-minus-1 sint-0*  
*not-gr-zero*  
*word-exp-length-eq-0 diff-less diff-zero len-gt-0 sint-less power-strict-increasing*  
*signed-take-bit-range power-less-imp-less-exp*)

Some lemmas to relate (int) bit bounds to bit-shifting values.

**lemma** *bit-bounds-lower*:  
**assumes**  $0 < \text{bits}$   
**shows**  $\text{word-of-int } (\text{fst } (\text{bit-bounds } \text{bits})) = ((-1) \ll (\text{bits} - 1))$   
**unfolding** *bit-bounds.simps fst-conv*  
**by** (*metis (mono-tags, opaque-lifting) assms(1) mult-1 mult-minus1-right mult-minus-left*  
*of-int-minus of-int-power shiftl-power upper-bounds-equiv word-numeral-alt*)

**lemma** *two-exp-div*:  
**assumes**  $0 < \text{bits}$



**shows**  $((2::int) \wedge bits \text{ div } (2::int)) = (2::int) \wedge (bits - Suc\ 0)$   
**using** *assms* **by** (*auto simp: int-power-div-base*)

**declare**  $[[show-types]]$

Some lemmas about unsigned words smaller than 64-bit, for zero-extend operators.

**lemma** *take-bit-smaller-range*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n < LENGTH('a)$   
**assumes**  $val = sint(take-bit\ n\ ival)$   
**shows**  $0 \leq val \wedge val < (2::int) \wedge n$   
**by** (*simp add: assms signed-take-bit-eq*)

**lemma** *take-bit-same-size-nochange*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n = LENGTH('a)$   
**shows**  $ival = take-bit\ n\ ival$   
**by** (*simp add: assms*)

A simplification lemma for *new\_int*, showing that upper bits can be ignored.

**lemma** *take-bit-redundant*[*simp*]:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $0 < n$   
**assumes**  $n < LENGTH('a)$   
**shows**  $signed-take-bit\ (n - 1)\ (take-bit\ n\ ival) = signed-take-bit\ (n - 1)\ ival$   
**proof** –  
**have**  $\neg (n \leq n - 1)$  **using** *assms* **by** *arith*  
**then have**  $\bigwedge i. signed-take-bit\ (n - 1)\ (take-bit\ n\ i) = signed-take-bit\ (n - 1)\ i$   
**using** *signed-take-bit-take-bit* **by** (*metis (mono-tags)*)  
**then show** *?thesis*  
**by** *blast*  
**qed**

**lemma** *take-bit-same-size-range*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n = LENGTH('a)$   
**assumes**  $ival2 = take-bit\ n\ ival$   
**shows**  $-(2 \wedge n \text{ div } 2) \leq sint\ ival2 \wedge sint\ ival2 < 2 \wedge n \text{ div } 2$   
**using** *assms lower-bounds-equiv sint-ge sint-lt* **by** *auto*

**lemma** *take-bit-same-bounds*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n = LENGTH('a)$   
**assumes**  $ival2 = take-bit\ n\ ival$   
**shows**  $fst\ (bit-bounds\ n) \leq sint\ ival2 \wedge sint\ ival2 \leq snd\ (bit-bounds\ n)$   
**unfolding** *bit-bounds.simps*  
**using** *assms take-bit-same-size-range*  
**by** *force*

Next we show that casting a word to a wider word preserves any upper/lower bounds. (These lemmas may not be needed any more, since we are not using `scast` now?)

```
lemma scast-max-bound:
  assumes sint (v :: 'a :: len word) < M
  assumes LENGTH('a) < LENGTH('b)
  shows sint ((scast v) :: 'b :: len word) < M
  using assms unfolding Word.scast-eq Word.sint-sbintrunc' by (simp add: sint-uint)
```

```
lemma scast-min-bound:
  assumes M ≤ sint (v :: 'a :: len word)
  assumes LENGTH('a) < LENGTH('b)
  shows M ≤ sint ((scast v) :: 'b :: len word)
  using assms unfolding Word.scast-eq Word.sint-sbintrunc' by (simp add: sint-uint)
```

```
lemma scast-bigger-max-bound:
  assumes (result :: 'b :: len word) = scast (v :: 'a :: len word)
  shows sint result < 2 ^ LENGTH('a) div 2
  using assms apply auto
  by (smt (verit, ccfu-SIG) assms len-gt-0 signed-scast-eq signed-take-bit-int-greater-self-iff
    sint-ge sint-less upper-bounds-equiv sint-lt upper-bounds-equiv scast-max-bound)
```

```
lemma scast-bigger-min-bound:
  assumes (result :: 'b :: len word) = scast (v :: 'a :: len word)
  shows - (2 ^ LENGTH('a) div 2) ≤ sint result
  by (metis upper-bounds-equiv assms len-gt-0 nat-less-le not-less scast-max-bound
    scast-min-bound
    sint-ge)
```

```
lemma scast-bigger-bit-bounds:
  assumes (result :: 'b :: len word) = scast (v :: 'a :: len word)
  shows fst (bit-bounds (LENGTH('a))) ≤ sint result ∧ sint result ≤ snd (bit-bounds
    (LENGTH('a)))
  using assms scast-bigger-min-bound scast-bigger-max-bound
  by auto
```

## 1.2.2 Support lemmas for take bit and signed take bit.

Lemmas for removing redundant `take_bit` wrappers.

```
lemma take-bit-dist-addL[simp]:
  fixes x :: 'a :: len word
  shows take-bit b (take-bit b x + y) = take-bit b (x + y)
proof (induction b)
  case 0
  then show ?case
    by simp
next
  case (Suc b)
```

**then show** *?case*  
**by** (*simp add: add.commute mask-eqs(2) take-bit-eq-mask*)  
**qed**

**lemma** *take-bit-dist-addR[simp]*:  
**fixes**  $x :: 'a :: \text{len word}$   
**shows**  $\text{take-bit } b (x + \text{take-bit } b y) = \text{take-bit } b (x + y)$   
**using** *take-bit-dist-addL* **by** (*metis add.commute*)

**lemma** *take-bit-dist-subL[simp]*:  
**fixes**  $x :: 'a :: \text{len word}$   
**shows**  $\text{take-bit } b (\text{take-bit } b x - y) = \text{take-bit } b (x - y)$   
**by** (*metis take-bit-dist-addR uminus-add-conv-diff*)

**lemma** *take-bit-dist-subR[simp]*:  
**fixes**  $x :: 'a :: \text{len word}$   
**shows**  $\text{take-bit } b (x - \text{take-bit } b y) = \text{take-bit } b (x - y)$   
**using** *take-bit-dist-subL*  
**by** (*metis (no-types, opaque-lifting) diff-add-cancel diff-right-commute diff-self*)

**lemma** *take-bit-dist-neg[simp]*:  
**fixes**  $ix :: 'a :: \text{len word}$   
**shows**  $\text{take-bit } b (- \text{take-bit } b ix) = \text{take-bit } b (- ix)$   
**by** (*metis diff-0 take-bit-dist-subR*)

**lemma** *signed-take-bit[simp]*:  
**fixes**  $x :: 'a :: \text{len word}$   
**assumes**  $0 < b$   
**shows**  $\text{signed-take-bit } (b - 1) (\text{take-bit } b x) = \text{signed-take-bit } (b - 1) x$   
**using** *assms apply auto*  
**by** (*smt (verit, ccfv-threshold) Suc-diff-1 assms lessI linorder-not-less signed-take-bit-take-bit diff-Suc-less Suc-pred One-nat-def*)

**lemma** *mod-larger-ignore*:  
**fixes**  $a :: \text{int}$   
**fixes**  $m n :: \text{nat}$   
**assumes**  $n < m$   
**shows**  $(a \bmod 2^m) \bmod 2^n = a \bmod 2^n$   
**by** (*meson assms le-imp-power-dvd less-or-eq-imp-le mod-mod-cancel*)

**lemma** *mod-dist-over-add*:  
**fixes**  $a b c :: \text{int64}$   
**fixes**  $n :: \text{nat}$   
**assumes**  $1: 0 < n$   
**assumes**  $2: n < 64$   
**shows**  $(a \bmod 2^n + b) \bmod 2^n = (a + b) \bmod 2^n$   
**proof** –  
**have**  $3: (0 :: \text{int64}) < 2^n$

```

    using assms by (simp add: size64 word-2p-lem)
  then show ?thesis
    unfolding word-mod-2p-is-mask[OF 3]
    apply transfer
  by (metis (no-types, opaque-lifting) and.right-idem take-bit-add take-bit-eq-mask)
qed

```

### 1.3 Java min and max operators on 64-bit values

Java uses signed comparison, so we define a convenient abbreviation for this to avoid accidental mistakes, because by default the Isabelle min/max will assume unsigned words.

**abbreviation** *javaMin64* :: *int64*  $\Rightarrow$  *int64*  $\Rightarrow$  *int64* **where**  
*javaMin64 a b*  $\equiv$  (*if a*  $\leq$  *s b* *then a* *else b*)

**abbreviation** *javaMax64* :: *int64*  $\Rightarrow$  *int64*  $\Rightarrow$  *int64* **where**  
*javaMax64 a b*  $\equiv$  (*if a*  $\leq$  *s b* *then b* *else a*)

end

## 2 java.lang.Long

Utility functions from the Java Long class that Graal occasionally makes use of.

```

theory JavaLong
  imports JavaWords
           HOL-Library.FSet
begin

```

**lemma** *negative-all-set-32*:  
 $n < 32 \implies \text{bit } (-1::\text{int}32) \ n$   
**apply** *transfer* **by** *auto*

**definition** *MaxOrNeg* :: *nat set*  $\Rightarrow$  *int* **where**  
*MaxOrNeg s* = (*if s* =  $\{\}$  *then*  $-1$  *else* *Max s*)

**definition** *MinOrHighest* :: *nat set*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat* **where**  
*MinOrHighest s m* = (*if s* =  $\{\}$  *then* *m* *else* *Min s*)

**lemma** *MaxOrNegEmpty*:  
 $\text{MaxOrNeg } s = -1 \iff s = \{\}$   
**unfolding** *MaxOrNeg-def* **by** *auto*

### 2.1 Long.highestOneBit

**definition** *highestOneBit* :: (*a::len*) *word*  $\Rightarrow$  *int* **where**

$highestOneBit\ v = MaxOrNeg\ \{n.\ bit\ v\ n\}$

**lemma** *highestOneBitInvar*:

$highestOneBit\ v = j \implies (\forall i::nat.\ (int\ i > j \longrightarrow \neg (bit\ v\ i)))$

**apply** (*induction size v; auto*) **unfolding** *highestOneBit-def*

**by** (*metis linorder-not-less MaxOrNeg-def empty-iff finite-bit-word mem-Collect-eq of-nat-mono*

*Max-ge*)

**lemma** *highestOneBitNeg*:

$highestOneBit\ v = -1 \longleftrightarrow v = 0$

**unfolding** *highestOneBit-def MaxOrNeg-def*

**by** (*metis Collect-empty-eq-bot bit-0-eq bit-word-eqI int-ops(2) negative-eq-positive one-neq-zero*)

**lemma** *higherBitsFalse*:

**fixes**  $v :: 'a :: len\ word$

**shows**  $i > size\ v \implies \neg (bit\ v\ i)$

**by** (*simp add: bit-word.rep-eq size-word.rep-eq*)

**lemma** *highestOneBitN*:

**assumes**  $bit\ v\ n$

**assumes**  $\forall i::nat.\ (int\ i > n \longrightarrow \neg (bit\ v\ i))$

**shows**  $highestOneBit\ v = n$

**unfolding** *highestOneBit-def MaxOrNeg-def*

**by** (*metis Max-ge Max-in all-not-in-conv assms(1) assms(2) finite-bit-word mem-Collect-eq of-nat-less-iff order-less-le*)

**lemma** *highestOneBitSize*:

**assumes**  $bit\ v\ n$

**assumes**  $n = size\ v$

**shows**  $highestOneBit\ v = n$

**by** (*metis assms(1) assms(2) not-bit-length wsst-TYs(3)*)

**lemma** *highestOneBitMax*:

$highestOneBit\ v < size\ v$

**unfolding** *highestOneBit-def MaxOrNeg-def*

**using** *higherBitsFalse*

**by** (*simp add: bit-imp-le-length size-word.rep-eq*)

**lemma** *highestOneBitAtLeast*:

**assumes**  $bit\ v\ n$

**shows**  $highestOneBit\ v \geq n$

**proof** (*induction size v*)

**case** 0

**then show** *?case* **by** *simp*

**next**

**case** (*Suc x*)

**then have**  $\forall i.\ bit\ v\ i \longrightarrow i < Suc\ x$

**by** (*simp add: bit-imp-le-length wsst-TYs(3)*)  
**then show** *?case*  
**unfolding** *highestOneBit-def MaxOrNeg-def*  
**using** *assms* **by** *auto*  
**qed**

**lemma** *highestOneBitElim:*  
*highestOneBit v = n*  
 $\implies ((n = -1 \wedge v = 0) \vee (n \geq 0 \wedge \text{bit } v \ n))$   
**unfolding** *highestOneBit-def MaxOrNeg-def*  
**by** (*metis Max-in finite-bit-word le0 le-minus-one-simps(3) mem-Collect-eq of-nat-0-le-iff of-nat-eq-iff*)

A recursive implementation of `highestOneBit` that is suitable for code generation.

**fun** *highestOneBitRec* :: *nat*  $\Rightarrow$  (*'a::len*) *word*  $\Rightarrow$  *int* **where**  
*highestOneBitRec n v =*  
*(if bit v n then n*  
*else if n = 0 then -1*  
*else highestOneBitRec (n - 1) v)*

**lemma** *highestOneBitRecTrue:*  
*highestOneBitRec n v = j  $\implies j \geq 0 \implies \text{bit } v \ j$*   
**proof** (*induction n*)  
**case** *0*  
**then show** *?case*  
**by** (*metis diff-0 highestOneBitRec.simps leD of-nat-0-eq-iff of-nat-0-le-iff zle-diff1-eq*)

**next**  
**case** (*Suc n*)  
**then show** *?case*  
**by** (*metis diff-Suc-1 highestOneBitRec.elims nat.discI nat-int*)  
**qed**

**lemma** *highestOneBitRecN:*  
**assumes** *bit v n*  
**shows** *highestOneBitRec n v = n*  
**by** (*simp add: assms*)

**lemma** *highestOneBitRecMax:*  
*highestOneBitRec n v  $\leq n$*   
**by** (*induction n; simp*)

**lemma** *highestOneBitRecElim:*  
**assumes** *highestOneBitRec n v = j*  
**shows**  $((j = -1 \wedge v = 0) \vee (j \geq 0 \wedge \text{bit } v \ j))$   
**using** *assms highestOneBitRecTrue* **by** *blast*

**lemma** *highestOneBitRecZero:*

$v = 0 \implies \text{highestOneBitRec } (\text{size } v) \ v = -1$   
**by** (*induction rule: highestOneBitRec.induct; simp*)

**lemma** *highestOneBitRecLess*:  
**assumes**  $\neg \text{bit } v \ n$   
**shows**  $\text{highestOneBitRec } n \ v = \text{highestOneBitRec } (n - 1) \ v$   
**using** *assms* **by** *force*

Some lemmas that use masks to restrict highestOneBit and relate it to highestOneBitRec.

**lemma** *highestOneBitMask*:  
**assumes**  $\text{size } v = n$   
**shows**  $\text{highestOneBit } v = \text{highestOneBit } (\text{and } v \ (\text{mask } n))$   
**by** (*metis assms dual-order.refl lt2p-lem mask-eq-iff size-word.rep-eq*)

**lemma** *maskSmaller*:  
**fixes**  $v :: 'a :: \text{len word}$   
**assumes**  $\neg \text{bit } v \ n$   
**shows**  $\text{and } v \ (\text{mask } (\text{Suc } n)) = \text{and } v \ (\text{mask } n)$   
**unfolding** *bit-eq-iff*  
**by** (*metis assms bit-and-iff bit-mask-iff less-Suc-eq*)

**lemma** *highestOneBitSmaller*:  
**assumes**  $\text{size } v = \text{Suc } n$   
**assumes**  $\neg \text{bit } v \ n$   
**shows**  $\text{highestOneBit } v = \text{highestOneBit } (\text{and } v \ (\text{mask } n))$   
**by** (*metis assms highestOneBitMask maskSmaller*)

**lemma** *highestOneBitRecMask*:  
**shows**  $\text{highestOneBit } (\text{and } v \ (\text{mask } (\text{Suc } n))) = \text{highestOneBitRec } n \ v$   
**proof** (*induction n*)  
**case**  $0$   
**then have**  $\text{highestOneBit } (\text{and } v \ (\text{mask } (\text{Suc } 0))) = \text{highestOneBitRec } 0 \ v$   
**apply** *auto*  
**apply** (*smt (verit, ccfv-threshold) neg-equal-zero negative-eq-positive bit-1-iff*  
*bit-and-iff*  
*highestOneBitN*)  
**by** (*simp add: bit-iff-and-push-bit-not-eq-0 highestOneBitNeg*)  
**then show** *?case*  
**by** *presburger*  
**next**  
**case**  $(\text{Suc } n)$   
**then show** *?case*  
**proof** (*cases bit v (Suc n)*)  
**case** *True*  
**have**  $1: \text{highestOneBitRec } (\text{Suc } n) \ v = \text{Suc } n$   
**by** (*simp add: True*)  
**have**  $\forall i::\text{nat. } (\text{int } i > (\text{Suc } n) \longrightarrow \neg (\text{bit } (\text{and } v \ (\text{mask } (\text{Suc } (\text{Suc } n)))) \ i))$   
**by** (*simp add: bit-and-iff bit-mask-iff*)

```

then have 2: highestOneBit (and v (mask (Suc (Suc n)))) = Suc n
  using True highestOneBitN
  by (metis bit-take-bit-iff lessI take-bit-eq-mask)
then show ?thesis
  using 1 2 by auto
next
  case False
  then show ?thesis
    by (simp add: Suc maskSmaller)
qed
qed

```

Finally - we can use the mask lemmas to relate `highestOneBitRec` to its spec.

```

lemma highestOneBitImpl[code]:
  highestOneBit v = highestOneBitRec (size v) v
  by (metis highestOneBitMask highestOneBitRecMask maskSmaller not-bit-length
  wsst-TYs(3))

```

```

lemma highestOneBit (0x5 :: int8) = 2 by code-simp

```

## 2.2 Long.lowestOneBit

```

definition lowestOneBit :: ('a::len) word  $\Rightarrow$  nat where
  lowestOneBit v = MinOrHighest {n . bit v n} (size v)

```

```

lemma max-bit: bit (v::('a::len) word) n  $\implies$  n < size v
  by (simp add: bit-imp-le-length size-word.rep-eq)

```

```

lemma max-set-bit: MaxOrNeg {n . bit (v::('a::len) word) n} < Nat.size v
  using max-bit unfolding MaxOrNeg-def
  by force

```

## 2.3 Long.numberOfLeadingZeros

```

definition numberOfLeadingZeros :: ('a::len) word  $\Rightarrow$  nat where
  numberOfLeadingZeros v = nat (Nat.size v - highestOneBit v - 1)

```

```

lemma MaxOrNeg-neg: MaxOrNeg {} = -1
  by (simp add: MaxOrNeg-def)

```

```

lemma MaxOrNeg-max: s  $\neq$  {}  $\implies$  MaxOrNeg s = Max s
  by (simp add: MaxOrNeg-def)

```

```

lemma zero-no-bits:
  {n . bit 0 n} = {}
  by simp

```

```

lemma highestOneBit (0::64 word) = -1

```



**by** (*simp add: MaxOrNeg-neg highestOneBit-def*)

**lemma** *numberOfLeadingZeros (0::64 word) = 64*  
**unfolding** *numberOfLeadingZeros-def* **by** (*simp add: highestOneBitImpl size64*)

**lemma** *highestOneBit-top: Max {highestOneBit (v::64 word)} < 64*  
**unfolding** *highestOneBit-def*  
**by** (*metis Max-singleton int-eq-iff-numeral max-set-bit size64*)

**lemma** *numberOfLeadingZeros-top: Max {numberOfLeadingZeros (v::64 word)} ≤ 64*  
**unfolding** *numberOfLeadingZeros-def*  
**using** *size64*  
**by** (*simp add: MaxOrNeg-def highestOneBit-def nat-le-iff*)

**lemma** *numberOfLeadingZeros-range: 0 ≤ numberOfLeadingZeros a ∧ numberOfLeadingZeros a ≤ Nat.size a*  
**unfolding** *numberOfLeadingZeros-def* **apply** *auto*  
**apply** (*induction highestOneBit a*) **apply** (*simp add: numberOfLeadingZeros-def*)  
**by** (*metis (mono-tags, opaque-lifting) leD negative-zless int-eq-iff diff-right-commute diff-self*  
*diff-zero nat-le-iff le-iff-diff-le-0 minus-diff-eq nat-0-le nat-le-linear of-nat-0-le-iff*  
*MaxOrNeg-def highestOneBit-def*)

**lemma** *leadingZerosAddHighestOne: numberOfLeadingZeros v + highestOneBit v = Nat.size v - 1*  
**unfolding** *numberOfLeadingZeros-def highestOneBit-def*  
**using** *MaxOrNeg-def int-nat-eq int-ops(6) max-bit order-less-irrefl* **by** *fastforce*

## 2.4 Long.numberOfTrailingZeros

**definition** *numberOfTrailingZeros :: ('a::len) word ⇒ nat* **where**  
*numberOfTrailingZeros v = lowestOneBit v*

**lemma** *lowestOneBit-bot: lowestOneBit (0::64 word) = 64*  
**unfolding** *lowestOneBit-def MinOrHighest-def*  
**by** (*simp add: size64*)

**lemma** *bit-zero-set-in-top: bit (-1::'a::len word) 0*  
**by** *auto*

**lemma** *nat-bot-set: (0::nat) ∈ xs → (∀ x ∈ xs . 0 ≤ x)*  
**by** *fastforce*

**lemma** *numberOfTrailingZeros (0::64 word) = 64*  
**unfolding** *numberOfTrailingZeros-def*  
**using** *lowestOneBit-bot* **by** *simp*

## 2.5 Long.reverseBytes

**fun** *reverseBytes-fun* :: ('a::len) word ⇒ nat ⇒ ('a::len) word ⇒ ('a::len) word  
**where**  
  *reverseBytes-fun* v b flip = (if (b = 0) then (flip) else  
    (reverseBytes-fun (v >> 8) (b - 8) (or (flip << 8) (take-bit 8  
v))))

## 2.6 Long.bitCount

**definition** *bitCount* :: ('a::len) word ⇒ nat **where**  
  *bitCount* v = card {n . bit v n}

**fun** *bitCount-fun* :: ('a::len) word ⇒ nat ⇒ nat **where**  
  *bitCount-fun* v n = (if (n = 0) then  
    (if (bit v n) then 1 else 0) else  
    if (bit v n) then (1 + *bitCount-fun* (v) (n - 1))  
    else (0 + *bitCount-fun* (v) (n - 1)))

**lemma** *bitCount 0 = 0*  
  **unfolding** *bitCount-def*  
  **by** (metis card.empty zero-no-bits)

## 2.7 Long.zeroCount

**definition** *zeroCount* :: ('a::len) word ⇒ nat **where**  
  *zeroCount* v = card {n. n < Nat.size v ∧ ¬(bit v n)}

**lemma** *zeroCount-finite*: finite {n. n < Nat.size v ∧ ¬(bit v n)}  
  **using** *finite-nat-set-iff-bounded* **by** blast

**lemma** *negone-set*:  
  bit (-1::('a::len) word) n ↔ n < LENGTH('a)  
  **by** simp

**lemma** *negone-all-bits*:  
  {n . bit (-1::('a::len) word) n} = {n . 0 ≤ n ∧ n < LENGTH('a)}  
  **using** *negone-set*  
  **by** auto

**lemma** *bitCount-finite*:  
  finite {n . bit (v::('a::len) word) n}  
  **by** simp

**lemma** *card-of-range*:  
  x = card {n . 0 ≤ n ∧ n < x}  
  **by** simp

**lemma** *range-of-nat*:

$\{(n::nat) . 0 \leq n \wedge n < x\} = \{n . n < x\}$   
**by** *simp*

**lemma** *finite-range*:  
*finite*  $\{n::nat . n < x\}$   
**by** *simp*

**lemma** *range-eq*:  
**fixes**  $x y :: nat$   
**shows**  $card \{y..<x\} = card \{y<..x\}$   
**using** *card-atLeastLessThan card-greaterThanAtMost* **by** *presburger*

**lemma** *card-of-range-bound*:  
**fixes**  $x y :: nat$   
**assumes**  $x > y$   
**shows**  $x - y = card \{n . y < n \wedge n \leq x\}$   
**proof** –  
**have** *finite*: *finite*  $\{n . y \leq n \wedge n < x\}$   
**by** *auto*  
**have** *nonempty*:  $\{n . y \leq n \wedge n < x\} \neq \{\}$   
**using** *assms* **by** *blast*  
**have** *simp*:  $\{n . y < n \wedge n \leq x\} = \{y<..x\}$   
**by** *auto*  
**have**  $x - y = card \{y<..x\}$   
**by** *auto*  
**then show** *?thesis*  
**unfolding** *simp* **by** *blast*  
**qed**

**lemma** *bitCount*  $(-1::('a::len) word) = LENGTH('a)$   
**unfolding** *bitCount-def* **using** *card-of-range*  
**by** (*metis* (*no-types*, *lifting*) *Collect-cong negone-all-bits*)

**lemma** *bitCount-range*:  
**fixes**  $n :: ('a::len) word$   
**shows**  $0 \leq bitCount n \wedge bitCount n \leq Nat.size n$   
**unfolding** *bitCount-def*  
**by** (*metis* *atLeastLessThan-iff bot-nat-0.extremum max-bit mem-Collect-eq subsetI subset-eq-atLeast0-lessThan-card*)

**lemma** *zerosAboveHighestOne*:  
 $n > highestOneBit a \implies \neg(bit a n)$   
**unfolding** *highestOneBit-def MaxOrNeg-def*  
**by** (*metis* (*mono-tags*, *opaque-lifting*) *Collect-empty-eq Max-ge finite-bit-word less-le-not-le mem-Collect-eq of-nat-le-iff*)

**lemma** *zerosBelowLowestOne*:  
**assumes**  $n < lowestOneBit a$

```

shows  $\neg(\text{bit } a \ n)$ 
proof (cases  $\{i. \text{bit } a \ i\} = \{\}$ )
  case True
  then show ?thesis by simp
next
  case False
  have  $n < \text{Min} (\text{Collect } (\text{bit } a)) \implies \neg \text{bit } a \ n$ 
  using False by auto
  then show ?thesis
  by (metis False MinOrHighest-def assms lowestOneBit-def)
qed

```

```

lemma union-bit-sets:
  fixes  $a :: ('a::\text{len}) \ \text{word}$ 
  shows  $\{n . n < \text{Nat.size } a \wedge \text{bit } a \ n\} \cup \{n . n < \text{Nat.size } a \wedge \neg(\text{bit } a \ n)\} = \{n . n < \text{Nat.size } a\}$ 
  by fastforce

```

```

lemma disjoint-bit-sets:
  fixes  $a :: ('a::\text{len}) \ \text{word}$ 
  shows  $\{n . n < \text{Nat.size } a \wedge \text{bit } a \ n\} \cap \{n . n < \text{Nat.size } a \wedge \neg(\text{bit } a \ n)\} = \{\}$ 
  by blast

```

```

lemma qualified-bitCount:
   $\text{bitCount } v = \text{card } \{n . n < \text{Nat.size } v \wedge \text{bit } v \ n\}$ 
  by (metis (no-types, lifting) Collect-cong bitCount-def max-bit)

```

```

lemma card-eq:
  assumes  $\text{finite } x \wedge \text{finite } y \wedge \text{finite } z$ 
  assumes  $x \cup y = z$ 
  assumes  $y \cap x = \{\}$ 
  shows  $\text{card } z - \text{card } y = \text{card } x$ 
  using assms add-diff-cancel-right' card-Un-disjoint
  by (metis inf commute)

```

```

lemma card-add:
  assumes  $\text{finite } x \wedge \text{finite } y \wedge \text{finite } z$ 
  assumes  $x \cup y = z$ 
  assumes  $y \cap x = \{\}$ 
  shows  $\text{card } x + \text{card } y = \text{card } z$ 
  using assms card-Un-disjoint
  by (metis inf commute)

```

```

lemma card-add-inverses:
  assumes  $\text{finite } \{n. Q \ n \wedge \neg(P \ n)\} \wedge \text{finite } \{n. Q \ n \wedge P \ n\} \wedge \text{finite } \{n. Q \ n\}$ 
  shows  $\text{card } \{n. Q \ n \wedge P \ n\} + \text{card } \{n. Q \ n \wedge \neg(P \ n)\} = \text{card } \{n. Q \ n\}$ 
  apply (rule card-add)
  using assms apply simp

```

```

apply auto[1]
by auto

```

**lemma** *ones-zero-sum-to-width*:

$bitCount\ a + zeroCount\ a = Nat.size\ a$

**proof** –

**have** *add-cards*:  $card\ \{n.\ (\lambda n.\ n < size\ a)\ n \wedge (bit\ a\ n)\} + card\ \{n.\ (\lambda n.\ n < size\ a)\ n \wedge \neg(bit\ a\ n)\} = card\ \{n.\ (\lambda n.\ n < size\ a)\ n\}$

**apply** (*rule card-add-inverses*) **by** *simp*

**then have**  $\dots = Nat.size\ a$

**by** *auto*

**then show** *?thesis*

**unfolding** *bitCount-def zeroCount-def* **using** *max-bit*

**by** (*metis (mono-tags, lifting) Collect-cong add-cards*)

**qed**

**lemma** *intersect-bitCount-helper*:

$card\ \{n.\ n < Nat.size\ a\} - bitCount\ a = card\ \{n.\ n < Nat.size\ a \wedge \neg(bit\ a\ n)\}$

**proof** –

**have** *size-def*:  $Nat.size\ a = card\ \{n.\ n < Nat.size\ a\}$

**using** *card-of-range* **by** *simp*

**have** *bitCount-def*:  $bitCount\ a = card\ \{n.\ n < Nat.size\ a \wedge bit\ a\ n\}$

**using** *qualified-bitCount* **by** *auto*

**have** *disjoint*:  $\{n.\ n < Nat.size\ a \wedge bit\ a\ n\} \cap \{n.\ n < Nat.size\ a \wedge \neg(bit\ a\ n)\} = \{\}$

**using** *disjoint-bit-sets* **by** *auto*

**have** *union*:  $\{n.\ n < Nat.size\ a \wedge bit\ a\ n\} \cup \{n.\ n < Nat.size\ a \wedge \neg(bit\ a\ n)\} = \{n.\ n < Nat.size\ a\}$

**using** *union-bit-sets* **by** *auto*

**show** *?thesis*

**unfolding** *bitCount-def*

**apply** (*rule card-eq*)

**using** *finite-range* **apply** *simp*

**using** *union* **apply** *blast*

**using** *disjoint* **by** *simp*

**qed**

**lemma** *intersect-bitCount*:

$Nat.size\ a - bitCount\ a = card\ \{n.\ n < Nat.size\ a \wedge \neg(bit\ a\ n)\}$

**using** *card-of-range intersect-bitCount-helper* **by** *auto*

**hide-fact** *intersect-bitCount-helper*

**end**

### 3 Operator Semantics

**theory** *Values*

**imports**

*JavaLong*  
**begin**

In order to properly implement the IR semantics we first introduce a type that represents runtime values. These runtime values represent the full range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and arrays.

Note that Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints, and char is 16-bit unsigned. E.g. an 8-bit stamp has a default range of -128..+127. And a 1-bit stamp has a default range of -1..0, surprisingly.

During calculations the smaller sizes are sign-extended to 32 bits, but explicit widening nodes will do that, so most binary calculations should see equal input sizes.

An object reference is an option type where the *None* object reference points to the static fields. This is examined more closely in our definition of the heap.

**type-synonym** *objref* = *nat option*  
**type-synonym** *length* = *nat*

**datatype** (*discs-sels*) *Value* =  
  *UndefVal* |

*IntVal iwidth int64* |

*ObjRef objref* |

*ObjStr string* |

*ArrayVal length Value list*

**fun** *intval-bits* :: *Value*  $\Rightarrow$  *nat* **where**  
  *intval-bits* (*IntVal b v*) = *b*

**fun** *intval-word* :: *Value*  $\Rightarrow$  *int64* **where**  
  *intval-word* (*IntVal b v*) = *v*

Converts an integer word into a Java value.

**fun** *new-int* :: *iwidth*  $\Rightarrow$  *int64*  $\Rightarrow$  *Value* **where**  
  *new-int b w* = *IntVal b (take-bit b w)*

Converts an integer word into a Java value, iff the two types are equal.

**fun** *new-int-bin* :: *iwidth*  $\Rightarrow$  *iwidth*  $\Rightarrow$  *int64*  $\Rightarrow$  *Value* **where**  
  *new-int-bin b1 b2 w* = (*if b1=b2 then new-int b1 w else UndefVal*)

```
fun array-length :: Value  $\Rightarrow$  Value where
  array-length (ArrayVal len list) = new-int 32 (word-of-nat len)
```

```
fun wf-bool :: Value  $\Rightarrow$  bool where
  wf-bool (IntVal b w) = (b = 1) |
  wf-bool - = False
```

```
fun val-to-bool :: Value  $\Rightarrow$  bool where
  val-to-bool (IntVal b val) = (if val = 0 then False else True) |
  val-to-bool val = False
```

```
fun bool-to-val :: bool  $\Rightarrow$  Value where
  bool-to-val True = (IntVal 32 1) |
  bool-to-val False = (IntVal 32 0)
```

Converts an Isabelle bool into a Java value, iff the two types are equal.

```
fun bool-to-val-bin :: iwidth  $\Rightarrow$  iwidth  $\Rightarrow$  bool  $\Rightarrow$  Value where
  bool-to-val-bin t1 t2 b = (if t1 = t2 then bool-to-val b else UndefVal)
```

```
fun is-int-val :: Value  $\Rightarrow$  bool where
  is-int-val v = is-IntVal v
```

```
lemma neg-one-value[simp]: new-int b (neg-one b) = IntVal b (mask b)
by simp
```

```
lemma neg-one-signed[simp]:
  assumes 0 < b
  shows int-signed-value b (neg-one b) = -1
  using assms apply auto
by (metis (no-types, lifting) Suc-pred diff-Suc-1 signed-take-take-bit assms signed-minus-1
  int-signed-value.simps mask-eq-take-bit-minus-one signed-take-bit-of-minus-1)
```

```
lemma word-unsigned:
  shows  $\forall$  b1 v1. (IntVal b1 (word-of-int (int-unsigned-value b1 v1))) = IntVal b1
  v1
  by simp
```

### 3.1 Arithmetic Operators

We need to introduce arithmetic operations which agree with the JVM.

Within the JVM, bytecode arithmetic operations are performed on 32 or 64 bit integers, unboxing where appropriate.

The following collection of intval functions correspond to the JVM arithmetic operations. We merge the 32 and 64 bit operations into a single function, even though the stamp of each IRNode tells us exactly what the bit widths will be. These merged functions make it easier to do the instan-

tiation of Value as 'plus', etc. It might be worse for reasoning, because it could cause more case analysis, but this does not seem to be a problem in practice.

```
fun intval-add :: Value ⇒ Value ⇒ Value where
  intval-add (IntVal b1 v1) (IntVal b2 v2) =
    (if b1 = b2 then IntVal b1 (take-bit b1 (v1+v2)) else UndefVal) |
  intval-add - - = UndefVal
```

```
fun intval-sub :: Value ⇒ Value ⇒ Value where
  intval-sub (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (v1-v2) |
  intval-sub - - = UndefVal
```

```
fun intval-mul :: Value ⇒ Value ⇒ Value where
  intval-mul (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (v1*v2) |
  intval-mul - - = UndefVal
```

```
fun intval-div :: Value ⇒ Value ⇒ Value where
  intval-div (IntVal b1 v1) (IntVal b2 v2) =
    (if v2 = 0 then UndefVal else
     new-int-bin b1 b2 (word-of-int
      ((int-signed-value b1 v1) sdiv (int-signed-value b2 v2)))) |
  intval-div - - = UndefVal
```

```
value intval-div (IntVal 32 5) (IntVal 32 0)
```

```
fun intval-mod :: Value ⇒ Value ⇒ Value where
  intval-mod (IntVal b1 v1) (IntVal b2 v2) =
    (if v2 = 0 then UndefVal else
     new-int-bin b1 b2 (word-of-int
      ((int-signed-value b1 v1) smod (int-signed-value b2 v2)))) |
  intval-mod - - = UndefVal
```

```
fun intval-mul-high :: Value ⇒ Value ⇒ Value where
  intval-mul-high (IntVal b1 v1) (IntVal b2 v2) = (
    if (b1 = b2 ∧ b1 = 64) then (
      if (((int-signed-value b1 v1) < 0) ∨ ((int-signed-value b2 v2) < 0))
      then (
        let x1 = (v1 >> 32)           in
        let x2 = (and v1 4294967295)  in
        let y1 = (v2 >> 32)           in
        let y2 = (and v2 4294967295)  in
        let z2 = (x2 * y2)             in
```



```

let t = (x1 * y2 + (z2 >>> 32)) in
let z1 = (and t 4294967295) in
let z0 = (t >> 32) in
let z1 = (z1 + (x2 * y1)) in

let result = (x1 * y1 + z0 + (z1 >> 32)) in

(new-int b1 result)
) else (

let x1 = (v1 >>> 32) in
let y1 = (v2 >>> 32) in
let x2 = (and v1 4294967295) in
let y2 = (and v2 4294967295) in
let A = (x1 * y1) in
let B = (x2 * y2) in
let C = ((x1 + x2) * (y1 + y2)) in
let K = (C - A - B) in

let result = (((B >>> 32) + K) >>> 32) + A) in

(new-int b1 result)
)
) else (
if (b1 = b2 ^ b1 = 32) then (

let newv1 = (word-of-int (int-signed-value b1 v1)) in
let newv2 = (word-of-int (int-signed-value b1 v2)) in
let r = (newv1 * newv2) in

let result = (r >> 32) in

(new-int b1 result)
) else UndefVal
) |
intval-mul-high - - = UndefVal

```

**fun** *intval-reverse-bytes* :: Value ⇒ Value **where**  
*intval-reverse-bytes* (IntVal b1 v1) = (new-int b1 (reverseBytes-fun v1 b1 0)) |  
*intval-reverse-bytes* - = UndefVal

**fun** *intval-bit-count* :: Value ⇒ Value **where**  
*intval-bit-count* (IntVal b1 v1) = (new-int 32 (word-of-nat (bitCount-fun v1 64))) |  
*intval-bit-count* - = UndefVal

**fun** *intval-negate* :: Value ⇒ Value **where**  
*intval-negate* (IntVal t v) = new-int t (- v) |

*intval-negate - = UndefVal*

**fun** *intval-abs* :: *Value*  $\Rightarrow$  *Value* **where**  
*intval-abs* (*IntVal* *t v*) = *new-int* *t* (if *int-signed-value* *t v* < 0 then - *v* else *v*) |  
*intval-abs - = UndefVal*

TODO: clarify which widths this should work on: just 1-bit or all?

**fun** *intval-logic-negation* :: *Value*  $\Rightarrow$  *Value* **where**  
*intval-logic-negation* (*IntVal* *b v*) = *new-int* *b* (*logic-negate* *v*) |  
*intval-logic-negation - = UndefVal*

### 3.2 Bitwise Operators

**fun** *intval-and* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-and* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *new-int-bin* *b1 b2* (*and* *v1 v2*) |  
*intval-and - - = UndefVal*

**fun** *intval-or* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-or* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *new-int-bin* *b1 b2* (*or* *v1 v2*) |  
*intval-or - - = UndefVal*

**fun** *intval-xor* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-xor* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *new-int-bin* *b1 b2* (*xor* *v1 v2*) |  
*intval-xor - - = UndefVal*

**fun** *intval-not* :: *Value*  $\Rightarrow$  *Value* **where**  
*intval-not* (*IntVal* *t v*) = *new-int* *t* (*not* *v*) |  
*intval-not - = UndefVal*

### 3.3 Comparison Operators

**fun** *intval-short-circuit-or* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-short-circuit-or* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *bool-to-val-bin* *b1 b2* (((*v1*  
 $\neq 0$ )  $\vee$  (*v2*  $\neq 0$ ))) |  
*intval-short-circuit-or - - = UndefVal*

**fun** *intval-equals* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-equals* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *bool-to-val-bin* *b1 b2* (*v1* = *v2*) |  
*intval-equals - - = UndefVal*

**fun** *intval-less-than* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-less-than* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) =  
*bool-to-val-bin* *b1 b2* (*int-signed-value* *b1 v1* < *int-signed-value* *b2 v2*) |  
*intval-less-than - - = UndefVal*

**fun** *intval-below* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-below* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *bool-to-val-bin* *b1 b2* (*v1* < *v2*) |  
*intval-below - - = UndefVal*

```

fun intval-conditional :: Value ⇒ Value ⇒ Value ⇒ Value where
  intval-conditional cond tv fv = (if (val-to-bool cond) then tv else fv)

fun intval-is-null :: Value ⇒ Value where
  intval-is-null (ObjRef (v)) = (if (v=(None)) then bool-to-val True else bool-to-val
  False) |
  intval-is-null - = UndefVal

fun intval-test :: Value ⇒ Value ⇒ Value where
  intval-test (IntVal b1 v1) (IntVal b2 v2) = bool-to-val-bin b1 b2 ((and v1 v2) =
  0) |
  intval-test - - = UndefVal

fun intval-normalize-compare :: Value ⇒ Value ⇒ Value where
  intval-normalize-compare (IntVal b1 v1) (IntVal b2 v2) =
  (if (b1 = b2) then new-int 32 (if (v1 < v2) then -1 else (if (v1 = v2) then 0
  else 1))
  else UndefVal) |
  intval-normalize-compare - - = UndefVal

fun find-index :: 'a ⇒ 'a list ⇒ nat where
  find-index - [] = 0 |
  find-index v (x # xs) = (if (x=v) then 0 else find-index v xs + 1)

definition default-values :: Value list where
  default-values = [new-int 32 0, new-int 64 0, ObjRef None]

definition short-types-32 :: string list where
  short-types-32 = ["Z", "I", "C", "B", "S"]

definition short-types-64 :: string list where
  short-types-64 = ["J"]

fun default-value :: string ⇒ Value where
  default-value n = (if (find-index n short-types-32) < (length short-types-32)
  then (default-values!0) else
  (if (find-index n short-types-64) < (length short-types-64)
  then (default-values!1)
  else (default-values!2)))

fun populate-array :: nat ⇒ Value list ⇒ string ⇒ Value list where
  populate-array len a s = (if (len = 0) then (a)
  else (a @ (populate-array (len-1) [default-value s] s)))

fun intval-new-array :: Value ⇒ string ⇒ Value where

```

```

intval-new-array (IntVal b1 v1) s = (ArrayVal (nat (int-signed-value b1 v1))
      (populate-array (nat (int-signed-value b1 v1)) [] s)) |
intval-new-array - - = UndefVal

```

```

fun intval-load-index :: Value ⇒ Value ⇒ Value where
  intval-load-index (ArrayVal len cons) (IntVal b1 v1) = (if (v1 ≥ (word-of-nat
len)) then (UndefVal)
      else (cons!(nat (int-signed-value b1
v1)))) |
  intval-load-index - - = UndefVal

```

```

fun intval-store-index :: Value ⇒ Value ⇒ Value ⇒ Value where
  intval-store-index (ArrayVal len cons) (IntVal b1 v1) val =
    (if (v1 ≥ (word-of-nat len)) then (UndefVal)
      else (ArrayVal len (list-update cons (nat (int-signed-value b1
v1)) (val)))) |
  intval-store-index - - - = UndefVal

```

```

lemma intval-equals-result:
  assumes intval-equals v1 v2 = r
  assumes r ≠ UndefVal
  shows r = IntVal 32 0 ∨ r = IntVal 32 1
proof -
  obtain b1 i1 where i1: v1 = IntVal b1 i1
    by (metis assms intval-bits.elims intval-equals.simps(2,3,4,5))
  obtain b2 i2 where i2: v2 = IntVal b2 i2
    by (smt (z3) assms intval-equals.elims)
  then have b1 = b2
    by (metis i1 assms bool-to-val-bin.elims intval-equals.simps(1))
  then show ?thesis
    using assms(1) bool-to-val.elims i1 i2 by auto
qed

```

### 3.4 Narrowing and Widening Operators

Note: we allow these operators to have `inBits=outBits`, because the Graal compiler also seems to allow that case, even though it should rarely / never arise in practice.

Some sanity checks that `take_bitN` and `signed_take_bit(N-1)` match up as expected.

```

corollary sint (signed-take-bit 0 (1 :: int32)) = -1 by code-simp
corollary sint (signed-take-bit 7 ((256 + 128) :: int64)) = -128 by code-simp
corollary sint (take-bit 7 ((256 + 128 + 64) :: int64)) = 64 by code-simp
corollary sint (take-bit 8 ((256 + 128 + 64) :: int64)) = 128 + 64 by code-simp

```

```

fun intval-narrow :: nat ⇒ nat ⇒ Value ⇒ Value where
  intval-narrow inBits outBits (IntVal b v) =
    (if inBits = b ∧ 0 < outBits ∧ outBits ≤ inBits ∧ inBits ≤ 64

```

```

    then new-int outBits v
  else UndefVal) |
  intval-narrow - - - = UndefVal

```

```

fun intval-sign-extend :: nat ⇒ nat ⇒ Value ⇒ Value where
  intval-sign-extend inBits outBits (IntVal b v) =
    (if inBits = b ∧ 0 < inBits ∧ inBits ≤ outBits ∧ outBits ≤ 64
     then new-int outBits (signed-take-bit (inBits - 1) v)
     else UndefVal) |
  intval-sign-extend - - - = UndefVal

```

```

fun intval-zero-extend :: nat ⇒ nat ⇒ Value ⇒ Value where
  intval-zero-extend inBits outBits (IntVal b v) =
    (if inBits = b ∧ 0 < inBits ∧ inBits ≤ outBits ∧ outBits ≤ 64
     then new-int outBits (take-bit inBits v)
     else UndefVal) |
  intval-zero-extend - - - = UndefVal

```

Some well-formedness results to help reasoning about narrowing and widening operators

**lemma** *intval-narrow-ok*:

```

assumes intval-narrow inBits outBits val ≠ UndefVal
shows 0 < outBits ∧ outBits ≤ inBits ∧ inBits ≤ 64 ∧ outBits ≤ 64 ∧
  is-IntVal val ∧
  intval-bits val = inBits
using assms apply (cases val; auto) apply (meson le-trans)+ by presburger

```

**lemma** *intval-sign-extend-ok*:

```

assumes intval-sign-extend inBits outBits val ≠ UndefVal
shows 0 < inBits ∧
  inBits ≤ outBits ∧ outBits ≤ 64 ∧
  is-IntVal val ∧
  intval-bits val = inBits
by (metis intval-bits.simps intval-sign-extend.elims is-IntVal-def assms)

```

**lemma** *intval-zero-extend-ok*:

```

assumes intval-zero-extend inBits outBits val ≠ UndefVal
shows 0 < inBits ∧
  inBits ≤ outBits ∧ outBits ≤ 64 ∧
  is-IntVal val ∧
  intval-bits val = inBits
by (metis intval-bits.simps intval-zero-extend.elims is-IntVal-def assms)

```

### 3.5 Bit-Shifting Operators

Note that Java shift operators use unary numeric promotion, unlike other binary operators, which use binary numeric promotion (see the Java language reference manual). This means that the left-hand input determines the output size, while the right-hand input can be any size.

```
fun shift-amount :: iwidth ⇒ int64 ⇒ nat where
  shift-amount b val = unat (and val (if b = 64 then 0x3F else 0x1f))
```

```
fun intval-left-shift :: Value ⇒ Value ⇒ Value where
  intval-left-shift (IntVal b1 v1) (IntVal b2 v2) = new-int b1 (v1 << shift-amount
b1 v2) |
  intval-left-shift - - = UndefVal
```

Signed shift is more complex, because we sometimes have to insert 1 bits at the correct point, which is at b1 bits.

```
fun intval-right-shift :: Value ⇒ Value ⇒ Value where
  intval-right-shift (IntVal b1 v1) (IntVal b2 v2) =
    (let shift = shift-amount b1 v2 in
     let ones = and (mask b1) (not (mask (b1 - shift) :: int64)) in
     (if int-signed-value b1 v1 < 0
      then new-int b1 (or ones (v1 >>> shift))
      else new-int b1 (v1 >>> shift))) |
  intval-right-shift - - = UndefVal
```

```
fun intval-uright-shift :: Value ⇒ Value ⇒ Value where
  intval-uright-shift (IntVal b1 v1) (IntVal b2 v2) = new-int b1 (v1 >>> shift-amount
b1 v2) |
  intval-uright-shift - - = UndefVal
```

### 3.5.1 Examples of Narrowing / Widening Functions

**experiment begin**

**corollary** *intval-narrow 32 8 (IntVal 32 (256 + 128)) = IntVal 8 128 by simp*

**corollary** *intval-narrow 32 8 (IntVal 32 (-2)) = IntVal 8 254 by simp*

**corollary** *intval-narrow 32 1 (IntVal 32 (-2)) = IntVal 1 0 by simp*

**corollary** *intval-narrow 32 1 (IntVal 32 (-3)) = IntVal 1 1 by simp*

**corollary** *intval-narrow 32 8 (IntVal 64 (-2)) = UndefVal by simp*

**corollary** *intval-narrow 64 8 (IntVal 32 (-2)) = UndefVal by simp*

**corollary** *intval-narrow 64 8 (IntVal 64 254) = IntVal 8 254 by simp*

**corollary** *intval-narrow 64 8 (IntVal 64 (256+127)) = IntVal 8 127 by simp*

**corollary** *intval-narrow 64 64 (IntVal 64 (-2)) = IntVal 64 (-2) by simp*

**end**

**experiment begin**

**corollary** *intval-sign-extend 8 32 (IntVal 8 (256 + 128)) = IntVal 32 (2<sup>32</sup> - 128) by simp*

**corollary** *intval-sign-extend 8 32 (IntVal 8 (-2)) = IntVal 32 (2<sup>32</sup> - 2) by simp*

**corollary** *intval-sign-extend 1 32 (IntVal 1 (-2)) = IntVal 32 0 by simp*

**corollary** *intval-sign-extend 1 32 (IntVal 1 (-3)) = IntVal 32 (mask 32) by simp*

**corollary** *intval-sign-extend 8 32 (IntVal 64 254) = UndefVal by simp*

**corollary** *intval-sign-extend* 8 64 (*IntVal* 32 254) = *UndefVal* **by** *simp*  
**corollary** *intval-sign-extend* 8 64 (*IntVal* 8 254) = *IntVal* 64 (-2) **by** *simp*  
**corollary** *intval-sign-extend* 32 64 (*IntVal* 32 ( $2^{32} - 2$ )) = *IntVal* 64 (-2) **by**  
*simp*  
**corollary** *intval-sign-extend* 64 64 (*IntVal* 64 (-2)) = *IntVal* 64 (-2) **by** *simp*  
**end**

**experiment begin**

**corollary** *intval-zero-extend* 8 32 (*IntVal* 8 (256 + 128)) = *IntVal* 32 128 **by** *simp*  
**corollary** *intval-zero-extend* 8 32 (*IntVal* 8 (-2)) = *IntVal* 32 254 **by** *simp*  
**corollary** *intval-zero-extend* 1 32 (*IntVal* 1 (-1)) = *IntVal* 32 1 **by** *simp*  
**corollary** *intval-zero-extend* 1 32 (*IntVal* 1 (-2)) = *IntVal* 32 0 **by** *simp*

**corollary** *intval-zero-extend* 8 32 (*IntVal* 64 (-2)) = *UndefVal* **by** *simp*  
**corollary** *intval-zero-extend* 8 64 (*IntVal* 64 (-2)) = *UndefVal* **by** *simp*  
**corollary** *intval-zero-extend* 8 64 (*IntVal* 8 254) = *IntVal* 64 254 **by** *simp*  
**corollary** *intval-zero-extend* 32 64 (*IntVal* 32 ( $2^{32} - 2$ )) = *IntVal* 64 ( $2^{32} - 2$ ) **by** *simp*  
**corollary** *intval-zero-extend* 64 64 (*IntVal* 64 (-2)) = *IntVal* 64 (-2) **by** *simp*  
**end**

**experiment begin**

**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 0) = *IntVal* 8 128 **by** *eval*  
**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 1) = *IntVal* 8 192 **by** *eval*  
**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 2) = *IntVal* 8 224 **by** *eval*  
**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 8) = *IntVal* 8 255 **by** *eval*  
**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 31) = *IntVal* 8 255 **by** *eval*  
**end**

**lemma** *intval-add-sym*:

**shows** *intval-add* a b = *intval-add* b a  
**by** (*induction* a; *induction* b; *auto simp: add commute*)

**lemma** *intval-add* (*IntVal* 32 ( $2^{31}-1$ )) (*IntVal* 32 ( $2^{31}-1$ )) = *IntVal* 32 ( $2^{32} - 2$ )

**by** *eval*

**lemma** *intval-add* (*IntVal* 64 ( $2^{31}-1$ )) (*IntVal* 64 ( $2^{31}-1$ )) = *IntVal* 64 4294967294  
**by** *eval*

**end**

## 3.6 Fixed-width Word Theories

```
theory ValueThms
  imports Values
begin
```

### 3.6.1 Support Lemmas for Upper/Lower Bounds

```
lemma size32: size v = 32 for v :: 32 word
  by (smt (verit, del-Insts) size-word.rep-eq numeral-Bit0 numeral-2-eq-2 mult-Suc-right
  One-nat-def
  mult.commute len-of-numeral-defs(2,3) mult.right-neutral)
```

```
lemma size64: size v = 64 for v :: 64 word
  by (simp add: size64)
```

```
lemma lower-bounds-equiv:
  assumes 0 < N
  shows -(((2::int) ^ (N-1))) = (2::int) ^ N div 2 * - 1
  by (simp add: assms int-power-div-base)
```

```
lemma upper-bounds-equiv:
  assumes 0 < N
  shows (2::int) ^ (N-1) = (2::int) ^ N div 2
  by (simp add: assms int-power-div-base)
```

Some min/max bounds for 64-bit words

```
lemma bit-bounds-min64: ((fst (bit-bounds 64))) ≤ (sint (v::int64))
  using sint-ge[of v] by simp
```

```
lemma bit-bounds-max64: ((snd (bit-bounds 64))) ≥ (sint (v::int64))
  using sint-lt[of v] by simp
```

Extend these min/max bounds to extracting smaller signed words using `signed_take_bit`.

Note: we could use `signed` to convert between bit-widths, instead of `signed_take_bit`. But that would have to be done separately for each bit-width type.

```
value sint(signed-take-bit 7 (128 :: int8))
```

```
ML-val <@{thm signed-take-bit-decr-length-iff}>
declare [[show-types=true]]
ML-val <@{thm signed-take-bit-int-less-exp}>
```

```
lemma signed-take-bit-int-less-exp-word:
  fixes ival :: 'a :: len word
  assumes n < LENGTH('a)
  shows sint(signed-take-bit n ival) < (2::int) ^ n
```



**apply** *transfer*  
**by** (*smt (verit) not-take-bit-negative signed-take-bit-eq-take-bit-shift*  
*signed-take-bit-int-less-exp take-bit-int-greater-self-iff*)

**lemma** *signed-take-bit-int-greater-eq-minus-exp-word*:  
**fixes** *ival :: 'a :: len word*  
**assumes**  $n < LENGTH('a)$   
**shows**  $-(2^n) \leq sint(signed-take-bit\ n\ ival)$   
**using** *signed-take-bit-int-greater-eq-minus-exp-word assms* **by** *blast*

**lemma** *signed-take-bit-range*:  
**fixes** *ival :: 'a :: len word*  
**assumes**  $n < LENGTH('a)$   
**assumes**  $val = sint(signed-take-bit\ n\ ival)$   
**shows**  $-(2^n) \leq val \wedge val < 2^n$   
**by** (*auto simp add: assms signed-take-bit-int-greater-eq-minus-exp-word*  
*signed-take-bit-int-less-exp-word*)

A *bit\_bounds* version of the above lemma.

**lemma** *signed-take-bit-bounds*:  
**fixes** *ival :: 'a :: len word*  
**assumes**  $n \leq LENGTH('a)$   
**assumes**  $0 < n$   
**assumes**  $val = sint(signed-take-bit\ (n - 1)\ ival)$   
**shows**  $fst\ (bit-bounds\ n) \leq val \wedge val \leq snd\ (bit-bounds\ n)$   
**by** (*metis bit-bounds.simps fst-conv less-imp-diff-less nat-less-le sint-ge sint-lt*  
*snd-conv*  
*zle-diff1-eq upper-bounds-equiv lower-bounds-equiv signed-take-bit-range assms*)

**lemma** *signed-take-bit-bounds64*:  
**fixes** *ival :: int64*  
**assumes**  $n \leq 64$   
**assumes**  $0 < n$   
**assumes**  $val = sint(signed-take-bit\ (n - 1)\ ival)$   
**shows**  $fst\ (bit-bounds\ n) \leq val \wedge val \leq snd\ (bit-bounds\ n)$   
**by** (*metis size64 word-size signed-take-bit-bounds assms*)

**lemma** *int-signed-value-bounds*:  
**assumes**  $b1 \leq 64$   
**assumes**  $0 < b1$   
**shows**  $fst\ (bit-bounds\ b1) \leq int-signed-value\ b1\ v2 \wedge$   
 $int-signed-value\ b1\ v2 \leq snd\ (bit-bounds\ b1)$   
**using** *signed-take-bit-bounds64* **by** (*simp add: assms*)

**lemma** *int-signed-value-range*:  
**fixes** *ival :: int64*  
**assumes**  $val = int-signed-value\ n\ ival$   
**shows**  $-(2^{(n - 1)}) \leq val \wedge val < 2^{(n - 1)}$

**using** *assms int-signed-value-range* **by** *blast*

Some lemmas about unsigned words smaller than 64-bit, for zero-extend operators.

**lemma** *take-bit-smaller-range*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n < \text{LENGTH}('a)$   
**assumes**  $val = \text{sint}(\text{take-bit } n \text{ ival})$   
**shows**  $0 \leq val \wedge val < (2::\text{int}) ^ n$   
**by** (*simp add: assms signed-take-bit-eq*)

**lemma** *take-bit-same-size-nochange*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n = \text{LENGTH}('a)$   
**shows**  $ival = \text{take-bit } n \text{ ival}$   
**by** (*simp add: assms*)

A simplification lemma for *new\_int*, showing that upper bits can be ignored.

**lemma** *take-bit-redundant*[*simp*]:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $0 < n$   
**assumes**  $n < \text{LENGTH}('a)$   
**shows**  $\text{signed-take-bit } (n - 1) (\text{take-bit } n \text{ ival}) = \text{signed-take-bit } (n - 1) \text{ ival}$   
**proof** –  
**have**  $\neg (n \leq n - 1)$   
**using** *assms* **by** *simp*  
**then have**  $\bigwedge i . \text{signed-take-bit } (n - 1) (\text{take-bit } n \text{ } i) = \text{signed-take-bit } (n - 1) \text{ } i$   
**by** (*metis (mono-tags) signed-take-bit-take-bit*)  
**then show** *?thesis*  
**by** *simp*  
**qed**

**lemma** *take-bit-same-size-range*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n = \text{LENGTH}('a)$   
**assumes**  $ival2 = \text{take-bit } n \text{ ival}$   
**shows**  $-(2 ^ n \text{ div } 2) \leq \text{sint } ival2 \wedge \text{sint } ival2 < 2 ^ n \text{ div } 2$   
**using** *lower-bounds-equiv sint-ge sint-lt* **by** (*auto simp add: assms*)

**lemma** *take-bit-same-bounds*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n = \text{LENGTH}('a)$   
**assumes**  $ival2 = \text{take-bit } n \text{ ival}$   
**shows**  $\text{fst } (\text{bit-bounds } n) \leq \text{sint } ival2 \wedge \text{sint } ival2 \leq \text{snd } (\text{bit-bounds } n)$   
**using** *assms take-bit-same-size-range* **by** *force*

Next we show that casting a word to a wider word preserves any upper/lower bounds. (These lemmas may not be needed any more, since we are not using *scast* now?)

**lemma** *scast-max-bound*:  
**assumes**  $\text{sint } (v :: 'a :: \text{len word}) < M$   
**assumes**  $\text{LENGTH}('a) < \text{LENGTH}('b)$   
**shows**  $\text{sint } ((\text{scast } v) :: 'b :: \text{len word}) < M$   
**using** *scast-max-bound assms* **by** *fast*

**lemma** *scast-min-bound*:  
**assumes**  $M \leq \text{sint } (v :: 'a :: \text{len word})$   
**assumes**  $\text{LENGTH}('a) < \text{LENGTH}('b)$   
**shows**  $M \leq \text{sint } ((\text{scast } v) :: 'b :: \text{len word})$   
**by** (*simp add: scast-min-bound assms*)

**lemma** *scast-bigger-max-bound*:  
**assumes**  $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$   
**shows**  $\text{sint } \text{result} < 2^{\text{LENGTH}('a) \text{ div } 2}$   
**using** *assms scast-bigger-max-bound* **by** *blast*

**lemma** *scast-bigger-min-bound*:  
**assumes**  $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$   
**shows**  $-(2^{\text{LENGTH}('a) \text{ div } 2}) \leq \text{sint } \text{result}$   
**using** *scast-bigger-min-bound assms* **by** *blast*

**lemma** *scast-bigger-bit-bounds*:  
**assumes**  $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$   
**shows**  $\text{fst } (\text{bit-bounds } (\text{LENGTH}('a))) \leq \text{sint } \text{result} \wedge \text{sint } \text{result} \leq \text{snd } (\text{bit-bounds } (\text{LENGTH}('a)))$   
**by** (*auto simp add: scast-bigger-max-bound scast-bigger-min-bound assms*)

Results about *new\_int*.

**lemma** *new-int-take-bits*:  
**assumes**  $\text{IntVal } b \text{ val} = \text{new-int } b \text{ ival}$   
**shows**  $\text{take-bit } b \text{ val} = \text{val}$   
**using** *assms* **by** *simp*

### 3.6.2 Support lemmas for take bit and signed take bit.

Lemmas for removing redundant *take\_bit* wrappers.

**lemma** *take-bit-dist-addL*[*simp*]:  
**fixes**  $x :: 'a :: \text{len word}$   
**shows**  $\text{take-bit } b (\text{take-bit } b \ x + y) = \text{take-bit } b (x + y)$   
**proof** (*induction b*)  
**case** 0  
**then show** *?case*  
**by** *simp*  
**next**  
**case** (*Suc b*)  
**then show** *?case*  
**by** (*simp add: add.commute mask-eqs(2) take-bit-eq-mask*)

qed

**lemma** *take-bit-dist-addR[simp]*:

**fixes**  $x :: 'a :: \text{len word}$

**shows**  $\text{take-bit } b (x + \text{take-bit } b y) = \text{take-bit } b (x + y)$

**by** (*metis add.commute take-bit-dist-addL*)

**lemma** *take-bit-dist-subL[simp]*:

**fixes**  $x :: 'a :: \text{len word}$

**shows**  $\text{take-bit } b (\text{take-bit } b x - y) = \text{take-bit } b (x - y)$

**by** (*metis take-bit-dist-addR uminus-add-conv-diff*)

**lemma** *take-bit-dist-subR[simp]*:

**fixes**  $x :: 'a :: \text{len word}$

**shows**  $\text{take-bit } b (x - \text{take-bit } b y) = \text{take-bit } b (x - y)$

**by** (*metis (no-types) take-bit-dist-subL diff-add-cancel diff-right-commute diff-self*)

**lemma** *take-bit-dist-neg[simp]*:

**fixes**  $ix :: 'a :: \text{len word}$

**shows**  $\text{take-bit } b (- \text{take-bit } b ix) = \text{take-bit } b (- ix)$

**by** (*metis diff-0 take-bit-dist-subR*)

**lemma** *signed-take-take-bit[simp]*:

**fixes**  $x :: 'a :: \text{len word}$

**assumes**  $0 < b$

**shows**  $\text{signed-take-bit } (b - 1) (\text{take-bit } b x) = \text{signed-take-bit } (b - 1) x$

**using** *signed-take-take-bit assms* **by** *blast*

**lemma** *mod-larger-ignore*:

**fixes**  $a :: \text{int}$

**fixes**  $m n :: \text{nat}$

**assumes**  $n < m$

**shows**  $(a \bmod 2^m) \bmod 2^n = a \bmod 2^n$

**using** *mod-larger-ignore assms* **by** *blast*

**lemma** *mod-dist-over-add*:

**fixes**  $a b c :: \text{int64}$

**fixes**  $n :: \text{nat}$

**assumes**  $1: 0 < n$

**assumes**  $2: n < 64$

**shows**  $(a \bmod 2^n + b) \bmod 2^n = (a + b) \bmod 2^n$

**proof** –

**have**  $3: (0 :: \text{int64}) < 2^n$

**by** (*simp add: size64 word-2p-lem assms*)

**then show** *?thesis*

**unfolding** *word-mod-2p-is-mask[OF 3]* **apply** *transfer*

**by** (*metis (no-types, opaque-lifting) and.right-idem take-bit-add take-bit-eq-mask*)

qed

end

## 4 Stamp Typing

```
theory Stamp
  imports Values
begin
```

The GraalVM compiler uses the Stamp class to store range and type information for a given node in the IR graph. We model the Stamp class as a datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

```
datatype Stamp =
  VoidStamp
| IntegerStamp (stp-bits: nat) (stp-lower: int) (stp-upper: int)

| KlassPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| MethodCountersPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| MethodPointersStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| ObjectStamp (stp-type: string) (stp-exactType: bool) (stp-nonNull: bool) (stp-alwaysNull:
bool)
| RawPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| IllegalStamp
```

To help with supporting masks in future, this constructor allows masks but ignores them.

```
abbreviation IntegerStampM :: nat  $\Rightarrow$  int  $\Rightarrow$  int  $\Rightarrow$  int64  $\Rightarrow$  int64  $\Rightarrow$  Stamp
where
```

```
IntegerStampM b lo hi down up  $\equiv$  IntegerStamp b lo hi
```

```
fun is-stamp-empty :: Stamp  $\Rightarrow$  bool where
  is-stamp-empty (IntegerStamp b lower upper) = (upper < lower) |
```

```
is-stamp-empty x = False
```

Just like the IntegerStamp class, we need to know that our lo/hi bounds fit into the given number of bits (either signed or unsigned). Our integer stamps have infinite lo/hi bounds, so if the lower bound is non-negative, we can assume that all values are positive, and the integer bits of a related value can be interpreted as unsigned. This is similar (but slightly more general) to what IntegerStamp.java does with its test: if (sameSignBounds()) in the unsignedUpperBound() method.

Note that this is a bit different and more accurate than what `StampFactory.forUnsignedInteger` does (it widens large unsigned ranges to the max signed range to allow all bit patterns) because its lo/hi values are only 64-bit.

```
fun valid-stamp :: Stamp ⇒ bool where
  valid-stamp (IntegerStamp bits lo hi) =
    (0 < bits ∧ bits ≤ 64 ∧
     fst (bit-bounds bits) ≤ lo ∧ lo ≤ snd (bit-bounds bits) ∧
     fst (bit-bounds bits) ≤ hi ∧ hi ≤ snd (bit-bounds bits)) |
  valid-stamp s = True
```

**experiment begin**

```
corollary bit-bounds 1 = (-1, 0) by simp
end
```

— A stamp which includes the full range of the type

```
fun unrestricted-stamp :: Stamp ⇒ Stamp where
  unrestricted-stamp VoidStamp = VoidStamp |
  unrestricted-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (fst
(bit-bounds bits)) (snd (bit-bounds bits))) |

  unrestricted-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
False False) |
  unrestricted-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
False False) |
  unrestricted-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
False False) |
  unrestricted-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" False False False) |
  unrestricted-stamp - = IllegalStamp
```

```
fun is-stamp-unrestricted :: Stamp ⇒ bool where
  is-stamp-unrestricted s = (s = unrestricted-stamp s)
```

— A stamp which provides type information but has an empty range of values

```
fun empty-stamp :: Stamp ⇒ Stamp where
  empty-stamp VoidStamp = VoidStamp |
  empty-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (snd (bit-bounds
bits)) (fst (bit-bounds bits))) |

  empty-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
nonNull alwaysNull) |
  empty-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
nonNull alwaysNull) |
```

```

empty-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
nonNull alwaysNull) |
empty-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" True True False) |
empty-stamp stamp = IllegalStamp

```

— Calculate the meet stamp of two stamps

```

fun meet :: Stamp ⇒ Stamp ⇒ Stamp where
meet VoidStamp VoidStamp = VoidStamp |
meet (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
  if b1 ≠ b2 then IllegalStamp else
  (IntegerStamp b1 (min l1 l2) (max u1 u2))
) |
meet (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
  KlassPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
) |
meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 an2) = (
  MethodCountersPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
) |
meet (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
  MethodPointersStamp (nn1 ∧ nn2) (an1 ∧ an2)
) |
meet s1 s2 = IllegalStamp

```

— Calculate the join stamp of two stamps

```

fun join :: Stamp ⇒ Stamp ⇒ Stamp where
join VoidStamp VoidStamp = VoidStamp |
join (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
  if b1 ≠ b2 then IllegalStamp else
  (IntegerStamp b1 (max l1 l2) (min u1 u2))
) |
join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
  if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
  then (empty-stamp (KlassPointerStamp nn1 an1))
  else (KlassPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
) |
join (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp nn2
an2) = (
  if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
  then (empty-stamp (MethodCountersPointerStamp nn1 an1))
  else (MethodCountersPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
) |
join (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
  if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
  then (empty-stamp (MethodPointersStamp nn1 an1))

```

```

    else (MethodPointersStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join s1 s2 = IllegalStamp

```

— In certain circumstances a stamp provides enough information to evaluate a value as a stamp, the `asConstant` function converts the stamp to a value where one can be inferred.

```

fun asConstant :: Stamp ⇒ Value where
  asConstant (IntegerStamp b l h) = (if l = h then new-int b (word-of-int l) else
  UndefVal) |
  asConstant - = UndefVal

```

— Determine if two stamps never have value overlaps i.e. their join is empty

```

fun alwaysDistinct :: Stamp ⇒ Stamp ⇒ bool where
  alwaysDistinct stamp1 stamp2 = is-stamp-empty (join stamp1 stamp2)

```

— Determine if two stamps must always be the same value i.e. two equal constants

```

fun neverDistinct :: Stamp ⇒ Stamp ⇒ bool where
  neverDistinct stamp1 stamp2 = (asConstant stamp1 = asConstant stamp2 ∧
  asConstant stamp1 ≠ UndefVal)

```

```

fun constantAsStamp :: Value ⇒ Stamp where
  constantAsStamp (IntVal b v) = (IntegerStamp b (int-signed-value b v) (int-signed-value
  b v)) |
  constantAsStamp (ObjRef (None)) = ObjectStamp "" False False True |
  constantAsStamp (ObjRef (Some n)) = ObjectStamp "" False True False |

  constantAsStamp - = IllegalStamp

```

— Define when a runtime value is valid for a stamp. The stamp bounds must be valid, and val must be zero-extended.

```

fun valid-value :: Value ⇒ Stamp ⇒ bool where
  valid-value (IntVal b1 val) (IntegerStamp b l h) =
    (if b1 = b then
      valid-stamp (IntegerStamp b l h) ∧
      take-bit b val = val ∧
      l ≤ int-signed-value b val ∧ int-signed-value b val ≤ h
    else False) |

  valid-value (ObjRef ref) (ObjectStamp klass exact nonNull alwaysNull) =
    ((alwaysNull → ref = None) ∧ (ref=Some → ¬ nonNull)) |
  valid-value stamp val = False

```

```

definition wf-value :: Value ⇒ bool where

```



*wf-value v = valid-value v (constantAsStamp v)*

**lemma** *unfold-wf-value[simp]:*  
*wf-value v  $\implies$  valid-value v (constantAsStamp v)*  
**by** (*simp add: wf-value-def*)

**fun** *compatible* :: *Stamp*  $\Rightarrow$  *Stamp*  $\Rightarrow$  *bool* **where**  
*compatible (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) =*  
*(b1 = b2  $\wedge$  valid-stamp (IntegerStamp b1 lo1 hi1)  $\wedge$  valid-stamp (IntegerStamp*  
*b2 lo2 hi2)) |*  
*compatible (VoidStamp) (VoidStamp) = True |*  
*compatible - - = False*

**fun** *stamp-under* :: *Stamp*  $\Rightarrow$  *Stamp*  $\Rightarrow$  *bool* **where**  
*stamp-under (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) = (hi1 < lo2) |*  
*stamp-under - - = False*

— The most common type of stamp within the compiler (apart from the VoidStamp) is a 32 bit integer stamp with an unrestricted range. We use *default-stamp* as it is a frequently used stamp.

**definition** *default-stamp* :: *Stamp* **where**  
*default-stamp = (unrestricted-stamp (IntegerStamp 32 0 0))*

**value** *valid-value (IntVal 8 (255)) (IntegerStamp 8 (-128) 127)*  
**end**

## 5 Graph Representation

### 5.1 IR Graph Nodes

**theory** *IRNodes*  
**imports**  
*Values*  
**begin**

The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each IRNode constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The *inputs\_of* and *successors\_of* functions partition those labelled references into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write INPUT (or special case thereof) instead of ID for input edges, and SUCC instead of ID for control-flow successor edges. Optional edges are handled

as "INPUT option" etc.

```
datatype IRInvokeKind =  
  Interface | Special | Static | Virtual
```

```
fun isDirect :: IRInvokeKind ⇒ bool where  
  isDirect Interface = False |  
  isDirect Special = True |  
  isDirect Static = True |  
  isDirect Virtual = False
```

```
fun hasReceiver :: IRInvokeKind ⇒ bool where  
  hasReceiver Static = False |  
  hasReceiver - = True
```

```
type-synonym ID = nat  
type-synonym INPUT = ID  
type-synonym INPUT-ASSOC = ID  
type-synonym INPUT-STATE = ID  
type-synonym INPUT-GUARD = ID  
type-synonym INPUT-COND = ID  
type-synonym INPUT-EXT = ID  
type-synonym SUCC = ID
```

```
datatype (discs-sels) IRNode =  
  AbsNode (ir-value: INPUT)  
  | AddNode (ir-x: INPUT) (ir-y: INPUT)  
  | AndNode (ir-x: INPUT) (ir-y: INPUT)  
  | ArrayLengthNode (ir-value: INPUT) (ir-next: SUCC)  
  | BeginNode (ir-next: SUCC)  
  | BitCountNode (ir-value: INPUT)  
  | BytecodeExceptionNode (ir-arguments: INPUT list) (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)  
  | ConditionalNode (ir-condition: INPUT-COND) (ir-trueValue: INPUT) (ir-falseValue: INPUT)  
  | ConstantNode (ir-const: Value)  
  | ControlFlowAnchorNode (ir-next: SUCC)  
  | DynamicNewArrayNode (ir-elementType: INPUT) (ir-length: INPUT) (ir-voidClass-opt: INPUT option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)  
  | EndNode  
  | ExceptionObjectNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)  
  
  | FixedGuardNode (ir-condition: INPUT-COND) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)  
  | FrameState (ir-monitorIds: INPUT-ASSOC list) (ir-outerFrameState-opt: INPUT-STATE option) (ir-values-opt: INPUT list option) (ir-virtualObjectMappings-opt: INPUT-STATE list option)
```

- | *IfNode* (*ir-condition*: INPUT-COND) (*ir-trueSuccessor*: SUCC) (*ir-falseSuccessor*: SUCC)
- | *IntegerBelowNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *IntegerEqualsNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *IntegerLessThanNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *IntegerMulHighNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *IntegerNormalizeCompareNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *IntegerTestNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *InvokeNode* (*ir-nid*: ID) (*ir-callTarget*: INPUT-EXT) (*ir-classInit-opt*: INPUT option) (*ir-stateDuring-opt*: INPUT-STATE option) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *InvokeWithExceptionNode* (*ir-nid*: ID) (*ir-callTarget*: INPUT-EXT) (*ir-classInit-opt*: INPUT option) (*ir-stateDuring-opt*: INPUT-STATE option) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC) (*ir-exceptionEdge*: SUCC)
- | *IsNullNode* (*ir-value*: INPUT)
- | *KillingBeginNode* (*ir-next*: SUCC)
- | *LeftShiftNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *LoadFieldNode* (*ir-nid*: ID) (*ir-field*: string) (*ir-object-opt*: INPUT option) (*ir-next*: SUCC)
- | *LoadIndexedNode* (*ir-index*: INPUT) (*ir-guard-opt*: INPUT-GUARD option) (*ir-value*: INPUT) (*ir-next*: SUCC)
- | *LogicNegationNode* (*ir-value*: INPUT-COND)
- | *LoopBeginNode* (*ir-ends*: INPUT-ASSOC list) (*ir-overflowGuard-opt*: INPUT-GUARD option) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *LoopEndNode* (*ir-loopBegin*: INPUT-ASSOC)
- | *LoopExitNode* (*ir-loopBegin*: INPUT-ASSOC) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *MergeNode* (*ir-ends*: INPUT-ASSOC list) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *MethodCallTargetNode* (*ir-targetMethod*: string) (*ir-arguments*: INPUT list) (*ir-invoke-kind*: IRInvokeKind)
- | *MulNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *NarrowNode* (*ir-inputBits*: nat) (*ir-resultBits*: nat) (*ir-value*: INPUT)
- | *NegateNode* (*ir-value*: INPUT)
- | *NewArrayNode* (*ir-length*: INPUT) (*ir-stateBefore-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *NewInstanceNode* (*ir-nid*: ID) (*ir-instanceClass*: string) (*ir-stateBefore-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *NotNode* (*ir-value*: INPUT)
- | *OrNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *ParameterNode* (*ir-index*: nat)
- | *PiNode* (*ir-object*: INPUT) (*ir-guard-opt*: INPUT-GUARD option)
- | *ReturnNode* (*ir-result-opt*: INPUT option) (*ir-memoryMap-opt*: INPUT-EXT option)
- | *ReverseBytesNode* (*ir-value*: INPUT)
- | *RightShiftNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *ShortCircuitOrNode* (*ir-x*: INPUT-COND) (*ir-y*: INPUT-COND)
- | *SignExtendNode* (*ir-inputBits*: nat) (*ir-resultBits*: nat) (*ir-value*: INPUT)
- | *SignedDivNode* (*ir-nid*: ID) (*ir-x*: INPUT) (*ir-y*: INPUT) (*ir-zeroCheck-opt*: IN-

```

PUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)

| SignedFloatingIntegerDivNode (ir-x: INPUT) (ir-y: INPUT)
| SignedFloatingIntegerRemNode (ir-x: INPUT) (ir-y: INPUT)
| SignedRemNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt:
INPUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
| StartNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
| StoreFieldNode (ir-nid: ID) (ir-field: string) (ir-value: INPUT) (ir-stateAfter-opt:
INPUT-STATE option) (ir-object-opt: INPUT option) (ir-next: SUCC)
| StoreIndexedNode (ir-storeCheck: INPUT-GUARD option) (ir-value: ID) (ir-stateAfter-opt:
INPUT-STATE option) (ir-index: INPUT) (ir-guard-opt: INPUT-GUARD option)
(ir-array: INPUT) (ir-next: SUCC)
| SubNode (ir-x: INPUT) (ir-y: INPUT)
| UnsignedRightShiftNode (ir-x: INPUT) (ir-y: INPUT)
| UnwindNode (ir-exception: INPUT)
| ValuePhiNode (ir-nid: ID) (ir-values: INPUT list) (ir-merge: INPUT-ASSOC)
| ValueProxyNode (ir-value: INPUT) (ir-loopExit: INPUT-ASSOC)
| XORNode (ir-x: INPUT) (ir-y: INPUT)
| ZeroExtendNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
| NoNode

| RefNode (ir-ref:ID)

```

```

fun opt-to-list :: 'a option ⇒ 'a list where

```

```

  opt-to-list None = [] |
  opt-to-list (Some v) = [v]

```

```

fun opt-list-to-list :: 'a list option ⇒ 'a list where

```

```

  opt-list-to-list None = [] |
  opt-list-to-list (Some x) = x

```

The following functions, `inputs_of` and `successors_of`, are automatically generated from the GraalVM compiler. Their purpose is to partition the node edges into input or successor edges.

```

fun inputs-of :: IRNode ⇒ ID list where

```

```

  inputs-of-AbsNode:
  inputs-of (AbsNode value) = [value] |
  inputs-of-AddNode:
  inputs-of (AddNode x y) = [x, y] |
  inputs-of-AndNode:
  inputs-of (AndNode x y) = [x, y] |
  inputs-of-ArrayLengthNode:
  inputs-of (ArrayLengthNode x next) = [x] |
  inputs-of-BeginNode:
  inputs-of (BeginNode next) = [] |

```

*inputs-of-BitCountNode:*  
*inputs-of (BitCountNode value) = [value] |*  
*inputs-of-BytecodeExceptionNode:*  
*inputs-of (BytecodeExceptionNode arguments stateAfter next) = arguments @*  
*(opt-to-list stateAfter) |*  
*inputs-of-ConditionalNode:*  
*inputs-of (ConditionalNode condition trueValue falseValue) = [condition, true-*  
*Value, falseValue] |*  
*inputs-of-ConstantNode:*  
*inputs-of (ConstantNode const) = [] |*  
*inputs-of-ControlFlowAnchorNode:*  
*inputs-of (ControlFlowAnchorNode n) = [] |*  
*inputs-of-DynamicNewArrayNode:*  
*inputs-of (DynamicNewArrayNode elementType length0 voidClass stateBefore*  
*next) = [elementType, length0] @ (opt-to-list voidClass) @ (opt-to-list stateBefore)*  
*|*  
*inputs-of-EndNode:*  
*inputs-of (EndNode) = [] |*  
*inputs-of-ExceptionObjectNode:*  
*inputs-of (ExceptionObjectNode stateAfter next) = (opt-to-list stateAfter) |*  
*inputs-of-FixedGuardNode:*  
*inputs-of (FixedGuardNode condition stateBefore next) = [condition] |*  
*inputs-of-FrameState:*  
*inputs-of (FrameState monitorIds outerFrameState values virtualObjectMappings)*  
*= monitorIds @ (opt-to-list outerFrameState) @ (opt-list-to-list values) @ (opt-list-to-list*  
*virtualObjectMappings) |*  
*inputs-of-IfNode:*  
*inputs-of (IfNode condition trueSuccessor falseSuccessor) = [condition] |*  
*inputs-of-IntegerBelowNode:*  
*inputs-of (IntegerBelowNode x y) = [x, y] |*  
*inputs-of-IntegerEqualsNode:*  
*inputs-of (IntegerEqualsNode x y) = [x, y] |*  
*inputs-of-IntegerLessThanNode:*  
*inputs-of (IntegerLessThanNode x y) = [x, y] |*  
*inputs-of-IntegerMulHighNode:*  
*inputs-of (IntegerMulHighNode x y) = [x, y] |*  
*inputs-of-IntegerNormalizeCompareNode:*  
*inputs-of (IntegerNormalizeCompareNode x y) = [x, y] |*  
*inputs-of-IntegerTestNode:*  
*inputs-of (IntegerTestNode x y) = [x, y] |*  
*inputs-of-InvokeNode:*  
*inputs-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)*  
*= callTarget # (opt-to-list classInit) @ (opt-to-list stateDuring) @ (opt-to-list*  
*stateAfter) |*  
*inputs-of-InvokeWithExceptionNode:*  
*inputs-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring stateAfter*  
*next exceptionEdge) = callTarget # (opt-to-list classInit) @ (opt-to-list stateDur-*  
*ing) @ (opt-to-list stateAfter) |*  
*inputs-of-IsNullNode:*

*inputs-of (IsNullNode value) = [value] |*  
*inputs-of-KillingBeginNode:*  
*inputs-of (KillingBeginNode next) = [] |*  
*inputs-of-LeftShiftNode:*  
*inputs-of (LeftShiftNode x y) = [x, y] |*  
*inputs-of-LoadFieldNode:*  
*inputs-of (LoadFieldNode nid0 field object next) = (opt-to-list object) |*  
*inputs-of-LoadIndexedNode:*  
*inputs-of (LoadIndexedNode index guard x next) = [x] |*  
*inputs-of-LogicNegationNode:*  
*inputs-of (LogicNegationNode value) = [value] |*  
*inputs-of-LoopBeginNode:*  
*inputs-of (LoopBeginNode ends overflowGuard stateAfter next) = ends @ (opt-to-list overflowGuard) @ (opt-to-list stateAfter) |*  
*inputs-of-LoopEndNode:*  
*inputs-of (LoopEndNode loopBegin) = [loopBegin] |*  
*inputs-of-LoopExitNode:*  
*inputs-of (LoopExitNode loopBegin stateAfter next) = loopBegin # (opt-to-list stateAfter) |*  
*inputs-of-MergeNode:*  
*inputs-of (MergeNode ends stateAfter next) = ends @ (opt-to-list stateAfter) |*  
*inputs-of-MethodCallTargetNode:*  
*inputs-of (MethodCallTargetNode targetMethod arguments invoke-kind) = arguments |*  
*inputs-of-MulNode:*  
*inputs-of (MulNode x y) = [x, y] |*  
*inputs-of-NarrowNode:*  
*inputs-of (NarrowNode inputBits resultBits value) = [value] |*  
*inputs-of-NegateNode:*  
*inputs-of (NegateNode value) = [value] |*  
*inputs-of-NewArrayNode:*  
*inputs-of (NewArrayNode length0 stateBefore next) = length0 # (opt-to-list stateBefore) |*  
*inputs-of-NewInstanceNode:*  
*inputs-of (NewInstanceNode nid0 instanceClass stateBefore next) = (opt-to-list stateBefore) |*  
*inputs-of-NotNode:*  
*inputs-of (NotNode value) = [value] |*  
*inputs-of-OrNode:*  
*inputs-of (OrNode x y) = [x, y] |*  
*inputs-of-ParameterNode:*  
*inputs-of (ParameterNode index) = [] |*  
*inputs-of-PiNode:*  
*inputs-of (PiNode object guard) = object # (opt-to-list guard) |*  
*inputs-of-ReturnNode:*  
*inputs-of (ReturnNode result memoryMap) = (opt-to-list result) @ (opt-to-list memoryMap) |*  
*inputs-of-ReverseBytesNode:*  
*inputs-of (ReverseBytesNode value) = [value] |*

*inputs-of-RightShiftNode:*  
*inputs-of (RightShiftNode x y) = [x, y] |*  
*inputs-of-ShortCircuitOrNode:*  
*inputs-of (ShortCircuitOrNode x y) = [x, y] |*  
*inputs-of-SignExtendNode:*  
*inputs-of (SignExtendNode inputBits resultBits value) = [value] |*  
*inputs-of-SignedDivNode:*  
*inputs-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [x, y] @*  
*(opt-to-list zeroCheck) @ (opt-to-list stateBefore) |*  
*inputs-of-SignedFloatingIntegerDivNode:*  
*inputs-of (SignedFloatingIntegerDivNode x y) = [x, y] |*  
*inputs-of-SignedFloatingIntegerRemNode:*  
*inputs-of (SignedFloatingIntegerRemNode x y) = [x, y] |*  
*inputs-of-SignedRemNode:*  
*inputs-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [x, y] @*  
*(opt-to-list zeroCheck) @ (opt-to-list stateBefore) |*  
*inputs-of-StartNode:*  
*inputs-of (StartNode stateAfter next) = (opt-to-list stateAfter) |*  
*inputs-of-StoreFieldNode:*  
*inputs-of (StoreFieldNode nid0 field value stateAfter object next) = value #*  
*(opt-to-list stateAfter) @ (opt-to-list object) |*  
*inputs-of-StoreIndexedNode:*  
*inputs-of (StoreIndexedNode check val st index guard array nid') = [val, array] |*  
*inputs-of-SubNode:*  
*inputs-of (SubNode x y) = [x, y] |*  
*inputs-of-UnsignedRightShiftNode:*  
*inputs-of (UnsignedRightShiftNode x y) = [x, y] |*  
*inputs-of-UnwindNode:*  
*inputs-of (UnwindNode exception) = [exception] |*  
*inputs-of-ValuePhiNode:*  
*inputs-of (ValuePhiNode nid0 values merge) = merge # values |*  
*inputs-of-ValueProxyNode:*  
*inputs-of (ValueProxyNode value loopExit) = [value, loopExit] |*  
*inputs-of-XorNode:*  
*inputs-of (XorNode x y) = [x, y] |*  
*inputs-of-ZeroExtendNode:*  
*inputs-of (ZeroExtendNode inputBits resultBits value) = [value] |*  
*inputs-of-NoNode: inputs-of (NoNode) = [] |*

*inputs-of-RefNode: inputs-of (RefNode ref) = [ref]*

**fun** *successors-of :: IRNode ⇒ ID list where*

*successors-of-AbsNode:*  
*successors-of (AbsNode value) = [] |*  
*successors-of-AddNode:*  
*successors-of (AddNode x y) = [] |*  
*successors-of-AndNode:*

*successors-of* (*AndNode* *x y*) = [] |  
*successors-of-ArrayLengthNode*:  
*successors-of* (*ArrayLengthNode* *x next*) = [*next*] |  
*successors-of-BeginNode*:  
*successors-of* (*BeginNode* *next*) = [*next*] |  
*successors-of-BitCountNode*:  
*successors-of* (*BitCountNode* *value*) = [] |  
*successors-of-BytecodeExceptionNode*:  
*successors-of* (*BytecodeExceptionNode* *arguments stateAfter next*) = [*next*] |  
*successors-of-ConditionalNode*:  
*successors-of* (*ConditionalNode* *condition trueValue falseValue*) = [] |  
*successors-of-ConstantNode*:  
*successors-of* (*ConstantNode* *const*) = [] |  
*successors-of-ControlFlowAnchorNode*:  
*successors-of* (*ControlFlowAnchorNode* *next*) = [*next*] |  
*successors-of-DynamicNewArrayNode*:  
*successors-of* (*DynamicNewArrayNode* *elementType length0 voidClass stateBefore next*) = [*next*] |  
*successors-of-EndNode*:  
*successors-of* (*EndNode*) = [] |  
*successors-of-ExceptionObjectNode*:  
*successors-of* (*ExceptionObjectNode* *stateAfter next*) = [*next*] |  
*successors-of-FixedGuardNode*:  
*successors-of* (*FixedGuardNode* *condition stateBefore next*) = [*next*] |  
*successors-of-FrameState*:  
*successors-of* (*FrameState* *monitorIds outerFrameState values virtualObjectMappings*) = [] |  
*successors-of-IfNode*:  
*successors-of* (*IfNode* *condition trueSuccessor falseSuccessor*) = [*trueSuccessor*, *falseSuccessor*] |  
*successors-of-IntegerBelowNode*:  
*successors-of* (*IntegerBelowNode* *x y*) = [] |  
*successors-of-IntegerEqualsNode*:  
*successors-of* (*IntegerEqualsNode* *x y*) = [] |  
*successors-of-IntegerLessThanNode*:  
*successors-of* (*IntegerLessThanNode* *x y*) = [] |  
*successors-of-IntegerMulHighNode*:  
*successors-of* (*IntegerMulHighNode* *x y*) = [] |  
*successors-of-IntegerNormalizeCompareNode*:  
*successors-of* (*IntegerNormalizeCompareNode* *x y*) = [] |  
*successors-of-IntegerTestNode*:  
*successors-of* (*IntegerTestNode* *x y*) = [] |  
*successors-of-InvokeNode*:  
*successors-of* (*InvokeNode* *nid0 callTarget classInit stateDuring stateAfter next*) = [*next*] |  
*successors-of-InvokeWithExceptionNode*:  
*successors-of* (*InvokeWithExceptionNode* *nid0 callTarget classInit stateDuring stateAfter next exceptionEdge*) = [*next*, *exceptionEdge*] |  
*successors-of-IsNullNode*:



*successors-of (IsNullNode value) = [] |*  
*successors-of-KillingBeginNode:*  
*successors-of (KillingBeginNode next) = [next] |*  
*successors-of-LeftShiftNode:*  
*successors-of (LeftShiftNode x y) = [] |*  
*successors-of-LoadFieldNode:*  
*successors-of (LoadFieldNode nid0 field object next) = [next] |*  
*successors-of-LoadIndexedNode:*  
*successors-of (LoadIndexedNode index guard x next) = [next] |*  
*successors-of-LogicNegationNode:*  
*successors-of (LogicNegationNode value) = [] |*  
*successors-of-LoopBeginNode:*  
*successors-of (LoopBeginNode ends overflowGuard stateAfter next) = [next] |*  
*successors-of-LoopEndNode:*  
*successors-of (LoopEndNode loopBegin) = [] |*  
*successors-of-LoopExitNode:*  
*successors-of (LoopExitNode loopBegin stateAfter next) = [next] |*  
*successors-of-MergeNode:*  
*successors-of (MergeNode ends stateAfter next) = [next] |*  
*successors-of-MethodCallTargetNode:*  
*successors-of (MethodCallTargetNode targetMethod arguments invoke-kind) = []*

*successors-of-MulNode:*  
*successors-of (MulNode x y) = [] |*  
*successors-of-NarrowNode:*  
*successors-of (NarrowNode inputBits resultBits value) = [] |*  
*successors-of-NegateNode:*  
*successors-of (NegateNode value) = [] |*  
*successors-of-NewArrayNode:*  
*successors-of (NewArrayNode length0 stateBefore next) = [next] |*  
*successors-of-NewInstanceNode:*  
*successors-of (NewInstanceNode nid0 instanceClass stateBefore next) = [next] |*  
*successors-of-NotNode:*  
*successors-of (NotNode value) = [] |*  
*successors-of-OrNode:*  
*successors-of (OrNode x y) = [] |*  
*successors-of-ParameterNode:*  
*successors-of (ParameterNode index) = [] |*  
*successors-of-PiNode:*  
*successors-of (PiNode object guard) = [] |*  
*successors-of-ReturnNode:*  
*successors-of (ReturnNode result memoryMap) = [] |*  
*successors-of-ReverseBytesNode:*  
*successors-of (ReverseBytesNode value) = [] |*  
*successors-of-RightShiftNode:*  
*successors-of (RightShiftNode x y) = [] |*  
*successors-of-ShortCircuitOrNode:*  
*successors-of (ShortCircuitOrNode x y) = [] |*  
*successors-of-SignExtendNode:*

*successors-of* (*SignExtendNode* *inputBits* *resultBits* *value*) = [] |  
*successors-of-SignedDivNode*:  
*successors-of* (*SignedDivNode* *nid0* *x* *y* *zeroCheck* *stateBefore* *next*) = [*next*] |  
*successors-of-SignedFloatingIntegerDivNode*:  
*successors-of* (*SignedFloatingIntegerDivNode* *x* *y*) = [] |  
*successors-of-SignedFloatingIntegerRemNode*:  
*successors-of* (*SignedFloatingIntegerRemNode* *x* *y*) = [] |  
*successors-of-SignedRemNode*:  
*successors-of* (*SignedRemNode* *nid0* *x* *y* *zeroCheck* *stateBefore* *next*) = [*next*] |  
*successors-of-StartNode*:  
*successors-of* (*StartNode* *stateAfter* *next*) = [*next*] |  
*successors-of-StoreFieldNode*:  
*successors-of* (*StoreFieldNode* *nid0* *field* *value* *stateAfter* *object* *next*) = [*next*] |  
*successors-of-StoreIndexedNode*:  
*successors-of* (*StoreIndexedNode* *check* *val* *st* *index* *guard* *array* *next*) = [*next*] |  
*successors-of-SubNode*:  
*successors-of* (*SubNode* *x* *y*) = [] |  
*successors-of-UnsignedRightShiftNode*:  
*successors-of* (*UnsignedRightShiftNode* *x* *y*) = [] |  
*successors-of-UnwindNode*:  
*successors-of* (*UnwindNode* *exception*) = [] |  
*successors-of-ValuePhiNode*:  
*successors-of* (*ValuePhiNode* *nid0* *values* *merge*) = [] |  
*successors-of-ValueProxyNode*:  
*successors-of* (*ValueProxyNode* *value* *loopExit*) = [] |  
*successors-of-XorNode*:  
*successors-of* (*XorNode* *x* *y*) = [] |  
*successors-of-ZeroExtendNode*:  
*successors-of* (*ZeroExtendNode* *inputBits* *resultBits* *value*) = [] |  
*successors-of-NoNode*: *successors-of* (*NoNode*) = [] |

*successors-of-RefNode*: *successors-of* (*RefNode* *ref*) = [*ref*]

**lemma** *inputs-of* (*FrameState* *x* (*Some* *y*) (*Some* *z*) *None*) = *x* @ [*y*] @ *z*  
**by** *simp*

**lemma** *successors-of* (*FrameState* *x* (*Some* *y*) (*Some* *z*) *None*) = []  
**by** *simp*

**lemma** *inputs-of* (*IfNode* *c* *t* *f*) = [*c*]  
**by** *simp*

**lemma** *successors-of* (*IfNode* *c* *t* *f*) = [*t*, *f*]  
**by** *simp*

**lemma** *inputs-of* (*EndNode*) = [] ∧ *successors-of* (*EndNode*) = []  
**by** *simp*

**end**

## 5.2 IR Graph Node Hierarchy

```
theory IRNodeHierarchy  
imports IRNodes  
begin
```

It is helpful to introduce a node hierarchy into our formalization. Often the GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the *IRNode* class to determine inheritance.

As one would expect, the function `is<ClassName>Type` will be true if the node parameter is a subclass of the `ClassName` within the GraalVM compiler.

These functions have been automatically generated from the compiler.

```
fun is-EndNode :: IRNode ⇒ bool where  
  is-EndNode EndNode = True |  
  is-EndNode - = False
```

```
fun is-VirtualState :: IRNode ⇒ bool where  
  is-VirtualState n = ((is-FrameState n))
```

```
fun is-BinaryArithmeticNode :: IRNode ⇒ bool where  
  is-BinaryArithmeticNode n = ((is-AddNode n) ∨ (is-AndNode n) ∨ (is-MulNode n)  
  ∨ (is-OrNode n) ∨ (is-SubNode n) ∨ (is-XorNode n) ∨ (is-IntegerNormalizeCompareNode  
  n) ∨ (is-IntegerMulHighNode n))
```

```
fun is-ShiftNode :: IRNode ⇒ bool where  
  is-ShiftNode n = ((is-LeftShiftNode n) ∨ (is-RightShiftNode n) ∨ (is-UnsignedRightShiftNode  
  n))
```

```
fun is-BinaryNode :: IRNode ⇒ bool where  
  is-BinaryNode n = ((is-BinaryArithmeticNode n) ∨ (is-ShiftNode n))
```

```
fun is-AbstractLocalNode :: IRNode ⇒ bool where  
  is-AbstractLocalNode n = ((is-ParameterNode n))
```

```
fun is-IntegerConvertNode :: IRNode ⇒ bool where  
  is-IntegerConvertNode n = ((is-NarrowNode n) ∨ (is-SignExtendNode n) ∨  
  (is-ZeroExtendNode n))
```

```
fun is-UnaryArithmeticNode :: IRNode ⇒ bool where  
  is-UnaryArithmeticNode n = ((is-AbsNode n) ∨ (is-NegateNode n) ∨ (is-NotNode  
  n) ∨ (is-BitCountNode n) ∨ (is-ReverseBytesNode n))
```

```

fun is-UnaryNode :: IRNode ⇒ bool where
  is-UnaryNode n = ((is-IntegerConvertNode n) ∨ (is-UnaryArithmeticNode n))

fun is-PhiNode :: IRNode ⇒ bool where
  is-PhiNode n = ((is-ValuePhiNode n))

fun is-FloatingGuardedNode :: IRNode ⇒ bool where
  is-FloatingGuardedNode n = ((is-PiNode n))

fun is-UnaryOpLogicNode :: IRNode ⇒ bool where
  is-UnaryOpLogicNode n = ((is-IsNullNode n))

fun is-IntegerLowerThanNode :: IRNode ⇒ bool where
  is-IntegerLowerThanNode n = ((is-IntegerBelowNode n) ∨ (is-IntegerLessThanNode
n))

fun is-CompareNode :: IRNode ⇒ bool where
  is-CompareNode n = ((is-IntegerEqualsNode n) ∨ (is-IntegerLowerThanNode n))

fun is-BinaryOpLogicNode :: IRNode ⇒ bool where
  is-BinaryOpLogicNode n = ((is-CompareNode n) ∨ (is-IntegerTestNode n))

fun is-LogicNode :: IRNode ⇒ bool where
  is-LogicNode n = ((is-BinaryOpLogicNode n) ∨ (is-LogicNegationNode n) ∨
(is-ShortCircuitOrNode n) ∨ (is-UnaryOpLogicNode n))

fun is-ProxyNode :: IRNode ⇒ bool where
  is-ProxyNode n = ((is-ValueProxyNode n))

fun is-FloatingNode :: IRNode ⇒ bool where
  is-FloatingNode n = ((is-AbstractLocalNode n) ∨ (is-BinaryNode n) ∨ (is-ConditionalNode
n) ∨ (is-ConstantNode n) ∨ (is-FloatingGuardedNode n) ∨ (is-LogicNode n) ∨
(is-PhiNode n) ∨ (is-ProxyNode n) ∨ (is-UnaryNode n))

fun is-AccessFieldNode :: IRNode ⇒ bool where
  is-AccessFieldNode n = ((is-LoadFieldNode n) ∨ (is-StoreFieldNode n))

fun is-AbstractNewArrayNode :: IRNode ⇒ bool where
  is-AbstractNewArrayNode n = ((is-DynamicNewArrayNode n) ∨ (is-NewArrayNode
n))

fun is-AbstractNewObjectNode :: IRNode ⇒ bool where
  is-AbstractNewObjectNode n = ((is-AbstractNewArrayNode n) ∨ (is-NewInstanceNode
n))

fun is-AbstractFixedGuardNode :: IRNode ⇒ bool where
  is-AbstractFixedGuardNode n = (is-FixedGuardNode n)

fun is-IntegerDivRemNode :: IRNode ⇒ bool where

```

$is\text{-IntegerDivRemNode } n = ((is\text{-SignedDivNode } n) \vee (is\text{-SignedRemNode } n))$

**fun**  $is\text{-FixedBinaryNode} :: IRNode \Rightarrow bool$  **where**  
 $is\text{-FixedBinaryNode } n = (is\text{-IntegerDivRemNode } n)$

**fun**  $is\text{-DeoptimizingFixedWithNextNode} :: IRNode \Rightarrow bool$  **where**  
 $is\text{-DeoptimizingFixedWithNextNode } n = ((is\text{-AbstractNewObjectNode } n) \vee (is\text{-FixedBinaryNode } n) \vee (is\text{-AbstractFixedGuardNode } n))$

**fun**  $is\text{-AbstractMemoryCheckpoint} :: IRNode \Rightarrow bool$  **where**  
 $is\text{-AbstractMemoryCheckpoint } n = ((is\text{-BytecodeExceptionNode } n) \vee (is\text{-InvokeNode } n))$

**fun**  $is\text{-AbstractStateSplit} :: IRNode \Rightarrow bool$  **where**  
 $is\text{-AbstractStateSplit } n = ((is\text{-AbstractMemoryCheckpoint } n))$

**fun**  $is\text{-AbstractMergeNode} :: IRNode \Rightarrow bool$  **where**  
 $is\text{-AbstractMergeNode } n = ((is\text{-LoopBeginNode } n) \vee (is\text{-MergeNode } n))$

**fun**  $is\text{-BeginStateSplitNode} :: IRNode \Rightarrow bool$  **where**  
 $is\text{-BeginStateSplitNode } n = ((is\text{-AbstractMergeNode } n) \vee (is\text{-ExceptionObjectNode } n) \vee (is\text{-LoopExitNode } n) \vee (is\text{-StartNode } n))$

**fun**  $is\text{-AbstractBeginNode} :: IRNode \Rightarrow bool$  **where**  
 $is\text{-AbstractBeginNode } n = ((is\text{-BeginNode } n) \vee (is\text{-BeginStateSplitNode } n) \vee (is\text{-KillingBeginNode } n))$

**fun**  $is\text{-AccessArrayNode} :: IRNode \Rightarrow bool$  **where**  
 $is\text{-AccessArrayNode } n = ((is\text{-LoadIndexedNode } n) \vee (is\text{-StoreIndexedNode } n))$

**fun**  $is\text{-FixedWithNextNode} :: IRNode \Rightarrow bool$  **where**  
 $is\text{-FixedWithNextNode } n = ((is\text{-AbstractBeginNode } n) \vee (is\text{-AbstractStateSplit } n) \vee (is\text{-AccessFieldNode } n) \vee (is\text{-DeoptimizingFixedWithNextNode } n) \vee (is\text{-ControlFlowAnchorNode } n) \vee (is\text{-ArrayLengthNode } n) \vee (is\text{-AccessArrayNode } n))$

**fun**  $is\text{-WithExceptionNode} :: IRNode \Rightarrow bool$  **where**  
 $is\text{-WithExceptionNode } n = ((is\text{-InvokeWithExceptionNode } n))$

**fun**  $is\text{-ControlSplitNode} :: IRNode \Rightarrow bool$  **where**  
 $is\text{-ControlSplitNode } n = ((is\text{-IfNode } n) \vee (is\text{-WithExceptionNode } n))$

**fun**  $is\text{-ControlSinkNode} :: IRNode \Rightarrow bool$  **where**  
 $is\text{-ControlSinkNode } n = ((is\text{-ReturnNode } n) \vee (is\text{-UnwindNode } n))$

**fun**  $is\text{-AbstractEndNode} :: IRNode \Rightarrow bool$  **where**  
 $is\text{-AbstractEndNode } n = ((is\text{-EndNode } n) \vee (is\text{-LoopEndNode } n))$

**fun**  $is\text{-FixedNode} :: IRNode \Rightarrow bool$  **where**  
 $is\text{-FixedNode } n = ((is\text{-AbstractEndNode } n) \vee (is\text{-ControlSinkNode } n) \vee (is\text{-ControlSplitNode } n))$

$n) \vee (is-FixedWithNextNode\ n))$

**fun** *is-CallTargetNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-CallTargetNode*  $n = ((is-MethodCallTargetNode\ n))$

**fun** *is-ValueNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ValueNode*  $n = ((is-CallTargetNode\ n) \vee (is-FixedNode\ n) \vee (is-FloatingNode\ n))$

**fun** *is-Node* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Node*  $n = ((is-ValueNode\ n) \vee (is-VirtualState\ n))$

**fun** *is-MemoryKill* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-MemoryKill*  $n = ((is-AbstractMemoryCheckpoint\ n))$

**fun** *is-NarrowableArithmeticNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-NarrowableArithmeticNode*  $n = ((is-AbsNode\ n) \vee (is-AddNode\ n) \vee (is-AndNode\ n) \vee (is-MulNode\ n) \vee (is-NegateNode\ n) \vee (is-NotNode\ n) \vee (is-OrNode\ n) \vee (is-ShiftNode\ n) \vee (is-SubNode\ n) \vee (is-XorNode\ n))$

**fun** *is-AnchoringNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AnchoringNode*  $n = ((is-AbstractBeginNode\ n))$

**fun** *is-DeoptBefore* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-DeoptBefore*  $n = ((is-DeoptimizingFixedWithNextNode\ n))$

**fun** *is-IndirectCanonicalization* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-IndirectCanonicalization*  $n = ((is-LogicNode\ n))$

**fun** *is-IterableNodeType* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-IterableNodeType*  $n = ((is-AbstractBeginNode\ n) \vee (is-AbstractMergeNode\ n) \vee (is-FrameState\ n) \vee (is-IfNode\ n) \vee (is-IntegerDivRemNode\ n) \vee (is-InvokeWithExceptionNode\ n) \vee (is-LoopBeginNode\ n) \vee (is-LoopExitNode\ n) \vee (is-MethodCallTargetNode\ n) \vee (is-ParameterNode\ n) \vee (is-ReturnNode\ n) \vee (is-ShortCircuitOrNode\ n))$

**fun** *is-Invoke* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Invoke*  $n = ((is-InvokeNode\ n) \vee (is-InvokeWithExceptionNode\ n))$

**fun** *is-Proxy* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Proxy*  $n = ((is-ProxyNode\ n))$

**fun** *is-ValueProxy* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ValueProxy*  $n = ((is-PiNode\ n) \vee (is-ValueProxyNode\ n))$

**fun** *is-ValueNodeInterface* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ValueNodeInterface*  $n = ((is-ValueNode\ n))$

**fun** *is-ArrayLengthProvider* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ArrayLengthProvider*  $n = ((is-AbstractNewArrayNode\ n) \vee (is-ConstantNode\ n))$

*n*))

**fun** *is-StampInverter* :: *IRNode* ⇒ *bool* **where**  
*is-StampInverter n* = ((*is-IntegerConvertNode n*) ∨ (*is-NegateNode n*) ∨ (*is-NotNode n*))

**fun** *is-GuardingNode* :: *IRNode* ⇒ *bool* **where**  
*is-GuardingNode n* = ((*is-AbstractBeginNode n*))

**fun** *is-SingleMemoryKill* :: *IRNode* ⇒ *bool* **where**  
*is-SingleMemoryKill n* = ((*is-BytecodeExceptionNode n*) ∨ (*is-ExceptionObjectNode n*) ∨ (*is-InvokeNode n*) ∨ (*is-InvokeWithExceptionNode n*) ∨ (*is-KillingBeginNode n*) ∨ (*is-StartNode n*))

**fun** *is-LIRLowerable* :: *IRNode* ⇒ *bool* **where**  
*is-LIRLowerable n* = ((*is-AbstractBeginNode n*) ∨ (*is-AbstractEndNode n*) ∨ (*is-AbstractMergeNode n*) ∨ (*is-BinaryOpLogicNode n*) ∨ (*is-CallTargetNode n*) ∨ (*is-ConditionalNode n*) ∨ (*is-ConstantNode n*) ∨ (*is-IfNode n*) ∨ (*is-InvokeNode n*) ∨ (*is-InvokeWithExceptionNode n*) ∨ (*is-IsNullNode n*) ∨ (*is-LoopBeginNode n*) ∨ (*is-PiNode n*) ∨ (*is-ReturnNode n*) ∨ (*is-SignedDivNode n*) ∨ (*is-SignedRemNode n*) ∨ (*is-UnaryOpLogicNode n*) ∨ (*is-UnwindNode n*))

**fun** *is-GuardedNode* :: *IRNode* ⇒ *bool* **where**  
*is-GuardedNode n* = ((*is-FloatingGuardedNode n*))

**fun** *is-ArithmeticLIRLowerable* :: *IRNode* ⇒ *bool* **where**  
*is-ArithmeticLIRLowerable n* = ((*is-AbsNode n*) ∨ (*is-BinaryArithmeticNode n*) ∨ (*is-IntegerConvertNode n*) ∨ (*is-NotNode n*) ∨ (*is-ShiftNode n*) ∨ (*is-UnaryArithmeticNode n*))

**fun** *is-SwitchFoldable* :: *IRNode* ⇒ *bool* **where**  
*is-SwitchFoldable n* = ((*is-IfNode n*))

**fun** *is-VirtualizableAllocation* :: *IRNode* ⇒ *bool* **where**  
*is-VirtualizableAllocation n* = ((*is-NewArrayNode n*) ∨ (*is-NewInstanceNode n*))

**fun** *is-Unary* :: *IRNode* ⇒ *bool* **where**  
*is-Unary n* = ((*is-LoadFieldNode n*) ∨ (*is-LogicNegationNode n*) ∨ (*is-UnaryNode n*) ∨ (*is-UnaryOpLogicNode n*))

**fun** *is-FixedNodeInterface* :: *IRNode* ⇒ *bool* **where**  
*is-FixedNodeInterface n* = ((*is-FixedNode n*))

**fun** *is-BinaryCommutative* :: *IRNode* ⇒ *bool* **where**  
*is-BinaryCommutative n* = ((*is-AddNode n*) ∨ (*is-AndNode n*) ∨ (*is-IntegerEqualsNode n*) ∨ (*is-MulNode n*) ∨ (*is-OrNode n*) ∨ (*is-XorNode n*))

**fun** *is-Canonicalizable* :: *IRNode* ⇒ *bool* **where**  
*is-Canonicalizable n* = ((*is-BytecodeExceptionNode n*) ∨ (*is-ConditionalNode n*) ∨

$(is-DynamicNewArrayNode\ n) \vee (is-PhiNode\ n) \vee (is-PiNode\ n) \vee (is-ProxyNode\ n) \vee (is-StoreFieldNode\ n) \vee (is-ValueProxyNode\ n)$

**fun** *is-UncheckedInterfaceProvider* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-UncheckedInterfaceProvider* *n* =  $((is-InvokeNode\ n) \vee (is-InvokeWithExceptionNode\ n) \vee (is-LoadFieldNode\ n) \vee (is-ParameterNode\ n))$

**fun** *is-Binary* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Binary* *n* =  $((is-BinaryArithmeticNode\ n) \vee (is-BinaryNode\ n) \vee (is-BinaryOpLogicNode\ n) \vee (is-CompareNode\ n) \vee (is-FixedBinaryNode\ n) \vee (is-ShortCircuitOrNode\ n))$

**fun** *is-ArithmeticOperation* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ArithmeticOperation* *n* =  $((is-BinaryArithmeticNode\ n) \vee (is-IntegerConvertNode\ n) \vee (is-ShiftNode\ n) \vee (is-UnaryArithmeticNode\ n))$

**fun** *is-ValueNumberable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ValueNumberable* *n* =  $((is-FloatingNode\ n) \vee (is-ProxyNode\ n))$

**fun** *is-Lowerable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Lowerable* *n* =  $((is-AbstractNewObjectNode\ n) \vee (is-AccessFieldNode\ n) \vee (is-BytecodeExceptionNode\ n) \vee (is-ExceptionObjectNode\ n) \vee (is-IntegerDivRemNode\ n) \vee (is-UnwindNode\ n))$

**fun** *is-Virtualizable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Virtualizable* *n* =  $((is-IsNullNode\ n) \vee (is-LoadFieldNode\ n) \vee (is-PiNode\ n) \vee (is-StoreFieldNode\ n) \vee (is-ValueProxyNode\ n))$

**fun** *is-Simplifiable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Simplifiable* *n* =  $((is-AbstractMergeNode\ n) \vee (is-BeginNode\ n) \vee (is-IfNode\ n) \vee (is-LoopExitNode\ n) \vee (is-MethodCallTargetNode\ n) \vee (is-NewArrayNode\ n))$

**fun** *is-StateSplit* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-StateSplit* *n* =  $((is-AbstractStateSplit\ n) \vee (is-BeginStateSplitNode\ n) \vee (is-StoreFieldNode\ n))$

**fun** *is-ConvertNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ConvertNode* *n* =  $((is-IntegerConvertNode\ n))$

**fun** *is-sequential-node* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-sequential-node* (*StartNode* -) = *True* |  
*is-sequential-node* (*BeginNode* -) = *True* |  
*is-sequential-node* (*KillingBeginNode* -) = *True* |  
*is-sequential-node* (*LoopBeginNode* - - -) = *True* |  
*is-sequential-node* (*LoopExitNode* - -) = *True* |  
*is-sequential-node* (*MergeNode* - -) = *True* |  
*is-sequential-node* (*RefNode* -) = *True* |  
*is-sequential-node* (*ControlFlowAnchorNode* -) = *True* |  
*is-sequential-node* - = *False*



The following convenience function is useful in determining if two IRNodes are of the same type irregardless of their edges. It will return true if both the node parameters are the same node class.

```

fun is-same-ir-node-type :: IRNode ⇒ IRNode ⇒ bool where
is-same-ir-node-type n1 n2 = (
  ((is-AbsNode n1) ∧ (is-AbsNode n2)) ∨
  ((is-AddNode n1) ∧ (is-AddNode n2)) ∨
  ((is-AndNode n1) ∧ (is-AndNode n2)) ∨
  ((is-BeginNode n1) ∧ (is-BeginNode n2)) ∨
  ((is-BytecodeExceptionNode n1) ∧ (is-BytecodeExceptionNode n2)) ∨
  ((is-ConditionalNode n1) ∧ (is-ConditionalNode n2)) ∨
  ((is-ConstantNode n1) ∧ (is-ConstantNode n2)) ∨
  ((is-DynamicNewArrayNode n1) ∧ (is-DynamicNewArrayNode n2)) ∨
  ((is-EndNode n1) ∧ (is-EndNode n2)) ∨
  ((is-ExceptionObjectNode n1) ∧ (is-ExceptionObjectNode n2)) ∨
  ((is-FrameState n1) ∧ (is-FrameState n2)) ∨
  ((is-IfNode n1) ∧ (is-IfNode n2)) ∨
  ((is-IntegerBelowNode n1) ∧ (is-IntegerBelowNode n2)) ∨
  ((is-IntegerEqualsNode n1) ∧ (is-IntegerEqualsNode n2)) ∨
  ((is-IntegerLessThanNode n1) ∧ (is-IntegerLessThanNode n2)) ∨
  ((is-InvokeNode n1) ∧ (is-InvokeNode n2)) ∨
  ((is-InvokeWithExceptionNode n1) ∧ (is-InvokeWithExceptionNode n2)) ∨
  ((is-IsNullNode n1) ∧ (is-IsNullNode n2)) ∨
  ((is-KillingBeginNode n1) ∧ (is-KillingBeginNode n2)) ∨
  ((is-LeftShiftNode n1) ∧ (is-LeftShiftNode n2)) ∨
  ((is-LoadFieldNode n1) ∧ (is-LoadFieldNode n2)) ∨
  ((is-LogicNegationNode n1) ∧ (is-LogicNegationNode n2)) ∨
  ((is-LoopBeginNode n1) ∧ (is-LoopBeginNode n2)) ∨
  ((is-LoopEndNode n1) ∧ (is-LoopEndNode n2)) ∨
  ((is-LoopExitNode n1) ∧ (is-LoopExitNode n2)) ∨
  ((is-MergeNode n1) ∧ (is-MergeNode n2)) ∨
  ((is-MethodCallTargetNode n1) ∧ (is-MethodCallTargetNode n2)) ∨
  ((is-MulNode n1) ∧ (is-MulNode n2)) ∨
  ((is-NarrowNode n1) ∧ (is-NarrowNode n2)) ∨
  ((is-NegateNode n1) ∧ (is-NegateNode n2)) ∨
  ((is-NewArrayNode n1) ∧ (is-NewArrayNode n2)) ∨
  ((is-NewInstanceNode n1) ∧ (is-NewInstanceNode n2)) ∨
  ((is-NotNode n1) ∧ (is-NotNode n2)) ∨
  ((is-OrNode n1) ∧ (is-OrNode n2)) ∨
  ((is-ParameterNode n1) ∧ (is-ParameterNode n2)) ∨
  ((is-PiNode n1) ∧ (is-PiNode n2)) ∨
  ((is-ReturnNode n1) ∧ (is-ReturnNode n2)) ∨
  ((is-RightShiftNode n1) ∧ (is-RightShiftNode n2)) ∨
  ((is-ShortCircuitOrNode n1) ∧ (is-ShortCircuitOrNode n2)) ∨
  ((is-SignedDivNode n1) ∧ (is-SignedDivNode n2)) ∨
  ((is-SignedFloatingIntegerDivNode n1) ∧ (is-SignedFloatingIntegerDivNode n2))
  ∨
  ((is-SignedFloatingIntegerRemNode n1) ∧ (is-SignedFloatingIntegerRemNode n2))
  ∨

```

```

((is-SignedRemNode n1) ∧ (is-SignedRemNode n2)) ∨
((is-SignExtendNode n1) ∧ (is-SignExtendNode n2)) ∨
((is-StartNode n1) ∧ (is-StartNode n2)) ∨
((is-StoreFieldNode n1) ∧ (is-StoreFieldNode n2)) ∨
((is-SubNode n1) ∧ (is-SubNode n2)) ∨
((is-UnsignedRightShiftNode n1) ∧ (is-UnsignedRightShiftNode n2)) ∨
((is-UnwindNode n1) ∧ (is-UnwindNode n2)) ∨
((is-ValuePhiNode n1) ∧ (is-ValuePhiNode n2)) ∨
((is-ValueProxyNode n1) ∧ (is-ValueProxyNode n2)) ∨
((is-XorNode n1) ∧ (is-XorNode n2)) ∨
((is-ZeroExtendNode n1) ∧ (is-ZeroExtendNode n2)))

```

**end**

### 5.3 IR Graph Type

```

theory IRGraph
imports
  IRNodeHierarchy
  Stamp
  HOL-Library.FSet
  HOL.Relation
begin

```

This theory defines the main Graal data structure - an entire IR Graph.

IRGraph is defined as a partial map with a finite domain. The finite domain is required to be able to generate code and produce an interpreter.

```

typedef IRGraph = {g :: ID ⇒ (IRNode × Stamp) . finite (dom g)}

```

**proof** –

```

  have finite(dom(Map.empty)) ∧ ran Map.empty = {} by auto
  then show ?thesis
    by fastforce

```

**qed**

```

setup-lifting type-definition-IRGraph

```

```

lift-definition ids :: IRGraph ⇒ ID set

```

```

is λg. {nid ∈ dom g . ∄s. g nid = (Some (NoNode, s))} .

```

```

fun with-default :: 'c ⇒ ('b ⇒ 'c) ⇒ (('a → 'b) ⇒ 'a ⇒ 'c) where

```

```

  with-default def conv = (λm k.
    (case m k of None ⇒ def | Some v ⇒ conv v))

```

```

lift-definition kind :: IRGraph ⇒ (ID ⇒ IRNode)

```

```

is with-default NoNode fst .

```

```

lift-definition stamp :: IRGraph ⇒ ID ⇒ Stamp

```

```

is with-default IllegalStamp snd .

```

**lift-definition** *add-node* ::  $ID \Rightarrow (IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph$   
**is**  $\lambda nid k g$ . *if*  $fst\ k = NoNode$  *then*  $g$  *else*  $g(nid \mapsto k)$  **by** *simp*

**lift-definition** *remove-node* ::  $ID \Rightarrow IRGraph \Rightarrow IRGraph$   
**is**  $\lambda nid g$ .  $g(nid := None)$  **by** *simp*

**lift-definition** *replace-node* ::  $ID \Rightarrow (IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph$   
**is**  $\lambda nid k g$ . *if*  $fst\ k = NoNode$  *then*  $g$  *else*  $g(nid \mapsto k)$  **by** *simp*

**lift-definition** *as-list* ::  $IRGraph \Rightarrow (ID \times IRNode \times Stamp)\ list$   
**is**  $\lambda g$ . *map* ( $\lambda k$ .  $(k, the\ (g\ k))$ ) (*sorted-list-of-set* ( $dom\ g$ )) .

**fun** *no-node* ::  $(ID \times (IRNode \times Stamp))\ list \Rightarrow (ID \times (IRNode \times Stamp))\ list$   
**where**  
*no-node*  $g = filter\ (\lambda n$ .  $fst\ (snd\ n) \neq NoNode)$   $g$

**lift-definition** *irgraph* ::  $(ID \times (IRNode \times Stamp))\ list \Rightarrow IRGraph$   
**is** *map-of*  $\circ$  *no-node*  
**by** (*simp add: finite-dom-map-of*)

**definition** *as-set* ::  $IRGraph \Rightarrow (ID \times (IRNode \times Stamp))\ set$  **where**  
*as-set*  $g = \{(n, kind\ g\ n, stamp\ g\ n) \mid n . n \in ids\ g\}$

**definition** *true-ids* ::  $IRGraph \Rightarrow ID\ set$  **where**  
*true-ids*  $g = ids\ g - \{n \in ids\ g . \exists n' . kind\ g\ n = RefNode\ n'\}$

**definition** *domain-subtraction* ::  $'a\ set \Rightarrow ('a \times 'b)\ set \Rightarrow ('a \times 'b)\ set$   
**(infix  $\trianglelefteq$  30) where**  
*domain-subtraction*  $s\ r = \{(x, y) . (x, y) \in r \wedge x \notin s\}$

**notation** (*latex*)  
*domain-subtraction* ( $- \triangleleft -$ )

**code-datatype** *irgraph*

**fun** *filter-none* **where**  
*filter-none*  $g = \{nid \in dom\ g . \nexists s. g\ nid = (Some\ (NoNode, s))\}$

**lemma** *no-node-clears*:  
 $res = no-node\ xs \longrightarrow (\forall x \in set\ res. fst\ (snd\ x) \neq NoNode)$   
**by** *simp*

**lemma** *dom-eq*:  
**assumes**  $\forall x \in set\ xs. fst\ (snd\ x) \neq NoNode$   
**shows**  $filter-none\ (map-of\ xs) = dom\ (map-of\ xs)$   
**using** *assms map-of-SomeD* **by** *fastforce*

**lemma** *fil-eq*:

*filter-none* (*map-of* (*no-node xs*)) = *set* (*map fst* (*no-node xs*))

**by** (*metis no-node-clears dom-eq dom-map-of-conv-image-fst list.set-map*)

**lemma** *irgraph[code]*: *ids* (*irgraph m*) = *set* (*map fst* (*no-node m*))

**by** (*metis fil-eq Rep-IRGraph eq-onp-same-args filter-none.simps ids.abs-eq ir-graph.abs-eq*)

*irgraph.rep-eq mem-Collect-eq*)

**lemma** *[code]*: *Rep-IRGraph* (*irgraph m*) = *map-of* (*no-node m*)

**by** (*simp add: irgraph.rep-eq*)

— Get the inputs set of a given node ID

**fun** *inputs* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID set* **where**

*inputs g nid* = *set* (*inputs-of* (*kind g nid*))

— Get the successor set of a given node ID

**fun** *succ* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID set* **where**

*succ g nid* = *set* (*successors-of* (*kind g nid*))

— Gives a relation between node IDs - between a node and its input nodes

**fun** *input-edges* :: *IRGraph*  $\Rightarrow$  *ID rel* **where**

*input-edges g* = ( $\bigcup i \in \text{ids } g. \{(i,j) \mid j \in (\text{inputs } g \ i)\}$ )

— Find all the nodes in the graph that have nid as an input - the usages of nid

**fun** *usages* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID set* **where**

*usages g nid* =  $\{i. i \in \text{ids } g \wedge \text{nid} \in \text{inputs } g \ i\}$

**fun** *successor-edges* :: *IRGraph*  $\Rightarrow$  *ID rel* **where**

*successor-edges g* = ( $\bigcup i \in \text{ids } g. \{(i,j) \mid j \in (\text{succ } g \ i)\}$ )

**fun** *predecessors* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID set* **where**

*predecessors g nid* =  $\{i. i \in \text{ids } g \wedge \text{nid} \in \text{succ } g \ i\}$

**fun** *nodes-of* :: *IRGraph*  $\Rightarrow$  (*IRNode*  $\Rightarrow$  *bool*)  $\Rightarrow$  *ID set* **where**

*nodes-of g sel* =  $\{\text{nid} \in \text{ids } g. \text{sel } (\text{kind } g \ \text{nid})\}$

**fun** *edge* :: (*IRNode*  $\Rightarrow$  'a)  $\Rightarrow$  *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  'a **where**

*edge sel nid g* = *sel* (*kind g nid*)

**fun** *filtered-inputs* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  (*IRNode*  $\Rightarrow$  *bool*)  $\Rightarrow$  *ID list* **where**

*filtered-inputs g nid f* = *filter* (*f*  $\circ$  (*kind g*)) (*inputs-of* (*kind g nid*))

**fun** *filtered-successors* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  (*IRNode*  $\Rightarrow$  *bool*)  $\Rightarrow$  *ID list* **where**

*filtered-successors g nid f* = *filter* (*f*  $\circ$  (*kind g*)) (*successors-of* (*kind g nid*))

**fun** *filtered-usages* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  (*IRNode*  $\Rightarrow$  *bool*)  $\Rightarrow$  *ID set* **where**

*filtered-usages g nid f* =  $\{n \in (\text{usages } g \ \text{nid}). f \ (\text{kind } g \ n)\}$

**fun** *is-empty* :: *IRGraph*  $\Rightarrow$  *bool* **where**

*is-empty g* = (*ids g* =  $\{\}$ )

**fun** *any-usage* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID* **where**

*any-usage g nid* = *hd* (*sorted-list-of-set* (*usages g nid*))

**lemma** *ids-some[simp]*:  $x \in \text{ids } g \iff \text{kind } g \ x \neq \text{NoNode}$

**proof** —

**have that:**  $x \in \text{ids } g \implies \text{kind } g \ x \neq \text{NoNode}$

by (auto simp add: kind.rep-eq ids.rep-eq)  
 have kind g x ≠ NoNode → x ∈ ids g  
 by (cases Rep-IRGraph g x = None; auto simp add: ids-def kind-def)  
 from this that show ?thesis  
 by auto  
 qed

**lemma not-in-g:**  
 assumes nid ∉ ids g  
 shows kind g nid = NoNode  
 using assms by simp

**lemma valid-creation[simp]:**  
 finite (dom g) ↔ Rep-IRGraph (Abs-IRGraph g) = g  
 by (metis Abs-IRGraph-inverse Rep-IRGraph mem-Collect-eq)

**lemma [simp]:** finite (ids g)  
 using Rep-IRGraph by (simp add: ids.rep-eq)

**lemma [simp]:** finite (ids (irgraph g))  
 by (simp add: finite-dom-map-of)

**lemma [simp]:** finite (dom g) → ids (Abs-IRGraph g) = {nid ∈ dom g . ∃ s. g nid = Some (NoNode, s)}  
 by (simp add: ids.rep-eq)

**lemma [simp]:** finite (dom g) → kind (Abs-IRGraph g) = (λx . (case g x of None ⇒ NoNode | Some n ⇒ fst n))  
 by (simp add: kind.rep-eq)

**lemma [simp]:** finite (dom g) → stamp (Abs-IRGraph g) = (λx . (case g x of None ⇒ IllegalStamp | Some n ⇒ snd n))  
 by (simp add: stamp.rep-eq)

**lemma [simp]:** ids (irgraph g) = set (map fst (no-node g))  
 by (simp add: irgraph)

**lemma [simp]:** kind (irgraph g) = (λnid. (case (map-of (no-node g)) nid of None ⇒ NoNode | Some n ⇒ fst n))  
 by (simp add: kind.rep-eq irgraph.rep-eq)

**lemma [simp]:** stamp (irgraph g) = (λnid. (case (map-of (no-node g)) nid of None ⇒ IllegalStamp | Some n ⇒ snd n))  
 by (simp add: stamp.rep-eq irgraph.rep-eq)

**lemma map-of-upd:** (map-of g)(k ↦ v) = (map-of ((k, v) # g))  
 by simp

**lemma** [code]: *replace-node*  $nid\ k\ (irgraph\ g) = (irgraph\ ((nid,\ k)\ \#)\ g))$   
**proof** (*cases*  $fst\ k = NoNode$ )  
  **case** *True*  
  **then show** *?thesis*  
  **by** (*metis* (*mono-tags, lifting*) *Rep-IRGraph-inject filter.simps(2) irgraph.abs-eq no-node.simps replace-node.rep-eq snd-conv*)  
**next**  
  **case** *False*  
  **then show** *?thesis*  
  **by** (*smt* (*verit, ccfv-SIG*) *irgraph-def Rep-IRGraph comp-apply eq-onp-same-args filter.simps(2) id-def irgraph.rep-eq map-fun-apply map-of-upd mem-Collect-eq no-node.elims replace-node-def replace-node.abs-eq snd-eqD*)  
**qed**

**lemma** [code]: *add-node*  $nid\ k\ (irgraph\ g) = (irgraph\ (((nid,\ k)\ \#)\ g))$   
**by** (*smt* (*verit*) *Rep-IRGraph-inject add-node.rep-eq filter.simps(2) irgraph.rep-eq map-of-upd snd-conv no-node.simps*)

**lemma** *add-node-lookup*:  
 $gup = add-node\ nid\ (k,\ s)\ g \longrightarrow$   
*(if*  $k \neq NoNode$  *then*  $kind\ gup\ nid = k \wedge stamp\ gup\ nid = s$  *else*  $kind\ gup\ nid = kind\ g\ nid$ )  
**proof** (*cases*  $k = NoNode$ )  
  **case** *True*  
  **then show** *?thesis*  
  **by** (*simp* *add: add-node.rep-eq kind.rep-eq*)  
**next**  
  **case** *False*  
  **then show** *?thesis*  
  **by** (*simp* *add: kind.rep-eq add-node.rep-eq stamp.rep-eq*)  
**qed**

**lemma** *remove-node-lookup*:  
 $gup = remove-node\ nid\ g \longrightarrow kind\ gup\ nid = NoNode \wedge stamp\ gup\ nid = IllegalStamp$   
**by** (*simp* *add: kind.rep-eq remove-node.rep-eq stamp.rep-eq*)

**lemma** *replace-node-lookup[simp]*:  
 $gup = replace-node\ nid\ (k,\ s)\ g \wedge k \neq NoNode \longrightarrow kind\ gup\ nid = k \wedge stamp\ gup\ nid = s$   
**by** (*simp* *add: replace-node.rep-eq kind.rep-eq stamp.rep-eq*)

**lemma** *replace-node-unchanged*:  
 $gup = replace-node\ nid\ (k,\ s)\ g \longrightarrow (\forall\ n \in (ids\ g - \{nid\}) . n \in ids\ g \wedge n \in ids\ gup \wedge kind\ g\ n = kind\ gup\ n)$

by (simp add: kind.rep-eq replace-node.rep-eq)

### 5.3.1 Example Graphs

Example 1: empty graph (just a start and end node)

**definition** *start-end-graph*:: *IRGraph* **where**

*start-end-graph* = *irgraph* [(0, *StartNode* None 1, *VoidStamp*), (1, *ReturnNode* None None, *VoidStamp*)]

Example 2: public static int sq(int x) return x \* x;

[1 P(0)] / [0 Start] [4 \*] | / V / [5 Return]

**definition** *eg2-sq* :: *IRGraph* **where**

```
eg2-sq = irgraph [  
  (0, StartNode None 5, VoidStamp),  
  (1, ParameterNode 0, default-stamp),  
  (4, MulNode 1 1, default-stamp),  
  (5, ReturnNode (Some 4) None, default-stamp)  
]
```

**value** *input-edges* *eg2-sq*

**value** *usages* *eg2-sq* 1

**end**

## 5.4 Structural Graph Comparison

**theory**

*Comparison*

**imports**

*IRGraph*

**begin**

We introduce a form of structural graph comparison that is able to assert structural equivalence of graphs which differ in zero or more reference node chains for any given nodes.

**fun** *find-ref-nodes* :: *IRGraph*  $\Rightarrow$  (*ID*  $\rightarrow$  *ID*) **where**

*find-ref-nodes* *g* = *map-of*

(*map* ( $\lambda n.$  (*n*, *ir-ref* (*kind* *g* *n*))) (*filter* ( $\lambda id.$  *is-RefNode* (*kind* *g* *id*)) (*sorted-list-of-set* (*ids* *g*))))

**fun** *replace-ref-nodes* :: *IRGraph*  $\Rightarrow$  (*ID*  $\rightarrow$  *ID*)  $\Rightarrow$  *ID* list  $\Rightarrow$  *ID* list **where**

*replace-ref-nodes* *g* *m* *xs* = *map* ( $\lambda id.$  (*case* (*m* *id*) of *Some* *other*  $\Rightarrow$  *other* | *None*  $\Rightarrow$  *id*)) *xs*

**fun** *find-next* :: *ID* list  $\Rightarrow$  *ID* set  $\Rightarrow$  *ID* option **where**

*find-next to-see seen* = (let l = (filter ( $\lambda$ nid. nid  $\notin$  seen) to-see)  
in (case l of []  $\Rightarrow$  None | xs  $\Rightarrow$  Some (hd xs)))

**inductive** *reachables* :: IRGraph  $\Rightarrow$  ID list  $\Rightarrow$  ID set  $\Rightarrow$  ID set  $\Rightarrow$  bool **where**  
*reachables* g [] {} {} |  
[[None = *find-next to-see seen*]  $\Longrightarrow$  *reachables* g to-see seen seen |  
[[Some n = *find-next to-see seen*;  
node = kind g n;  
new = (inputs-of node) @ (successors-of node);  
*reachables* g (to-see @ new) ({n}  $\cup$  seen) seen' ]]  $\Longrightarrow$  *reachables* g to-see seen  
seen'

**code-pred** (modes: i  $\Rightarrow$  i  $\Rightarrow$  i  $\Rightarrow$  o  $\Rightarrow$  bool) [show-steps,show-mode-inference,show-intermediate-results]

*reachables* .

**inductive** *nodeEq* :: (ID  $\rightarrow$  ID)  $\Rightarrow$  IRGraph  $\Rightarrow$  ID  $\Rightarrow$  IRGraph  $\Rightarrow$  ID  $\Rightarrow$  bool  
**where**  
[[ kind g1 n1 = RefNode ref; *nodeEq* m g1 ref g2 n2 ]]  $\Longrightarrow$  *nodeEq* m g1 n1 g2 n2 |  
[[ x = kind g1 n1;  
y = kind g2 n2;  
is-same-ir-node-type x y;  
replace-ref-nodes g1 m (successors-of x) = successors-of y;  
replace-ref-nodes g1 m (inputs-of x) = inputs-of y ]]  
 $\Longrightarrow$  *nodeEq* m g1 n1 g2 n2

**code-pred** [show-modes] *nodeEq* .

**fun** *diffNodesGraph* :: IRGraph  $\Rightarrow$  IRGraph  $\Rightarrow$  ID set **where**  
*diffNodesGraph* g1 g2 = (let refNodes = *find-ref-nodes* g1 in  
{ n . n  $\in$  Predicate.the (*reachables-i-i-i-o* g1 [0] {})  $\wedge$  (case refNodes n of Some  
-  $\Rightarrow$  False | -  $\Rightarrow$  True)  $\wedge$   $\neg$ (*nodeEq* refNodes g1 n g2 n)})

**fun** *diffNodesInfo* :: IRGraph  $\Rightarrow$  IRGraph  $\Rightarrow$  (ID  $\times$  IRNode  $\times$  IRNode) set (**infix**  
 $\cap_s$  20)  
**where**  
*diffNodesInfo* g1 g2 = {(nid, kind g1 nid, kind g2 nid) | nid . nid  $\in$  *diffNodesGraph*  
g1 g2}

**fun** *eqGraph* :: IRGraph  $\Rightarrow$  IRGraph  $\Rightarrow$  bool (**infix**  $\approx_s$  20)  
**where**  
*eqGraph* isabelle-graph graal-graph = ((*diffNodesGraph* isabelle-graph graal-graph)  
= {})

**end**



## 5.5 Control-flow Graph Traversal

**theory**

*Traversal*

**imports**

*IRGraph*

**begin**

**type-synonym** *Seen* = *ID set*

`nextEdge` helps determine which node to traverse next by returning the first successor edge that isn't in the set of already visited nodes. If there is not an appropriate successor, `None` is returned instead.

```
fun nextEdge :: Seen ⇒ ID ⇒ IRGraph ⇒ ID option where
  nextEdge seen nid g =
    (let nids = (filter (λnid'. nid' ∉ seen) (successors-of (kind g nid))) in
     (if length nids > 0 then Some (hd nids) else None))
```

`pred` determines which node, if any, acts as the predecessor of another.

Merge nodes represent a special case where-in the predecessor exists as an input edge of the merge node, to simplify the traversal we treat only the first input end node as the predecessor, ignoring that multiple nodes may act as a successor.

For all other nodes, the predecessor is the first element of the predecessors set. Note that in a well-formed graph there should only be one element in the predecessor set.

```
fun pred :: IRGraph ⇒ ID ⇒ ID option where
  pred g nid = (case kind g nid of
    (MergeNode ends -) ⇒ Some (hd ends) |
    - ⇒
      (if IRGraph.predecessors g nid = {}
       then None else
        Some (hd (sorted-list-of-set (IRGraph.predecessors g nid))))
  )
```

Here we try to implement a generic fork of the control-flow traversal algorithm that was initially implemented for the `ConditionalElimination` phase

**type-synonym** *'a TraversalState* = (*ID* × *Seen* × *'a*)

**inductive** *Step*

```
:: ('a TraversalState ⇒ 'a) ⇒ IRGraph ⇒ 'a TraversalState ⇒ 'a TraversalState
option ⇒ bool
```

**for** *sa g* **where**

— Hit a `BeginNode` with an `IfNode` predecessor which represents the start of a basic block for the `IfNode`. 1. `nid'` will be the successor of the begin node. 2. Find the first and only predecessor. 3. Extract condition from the preceding `IfNode`. 4.

Negate condition if the begin node is second branch (we've taken the else branch of the condition) 5. Add the condition or the negated condition to stack 6. Perform any stamp updates based on the condition using the registerNewCondition function and place them on the top of the stack of stamp information

$\llbracket kind\ g\ nid = BeginNode\ nid';$

$nid \notin seen;$   
 $seen' = \{nid\} \cup seen;$

$Some\ ifcond = pred\ g\ nid;$   
 $kind\ g\ ifcond = IfNode\ cond\ t\ f;$

$analysis' = sa\ (nid,\ seen,\ analysis)$   
 $\implies Step\ sa\ g\ (nid,\ seen,\ analysis)\ (Some\ (nid',\ seen',\ analysis'))\ |$

— Hit an EndNode 1. nid' will be the usage of EndNode 2. pop the conditions and stamp stack

$\llbracket kind\ g\ nid = EndNode;$

$nid \notin seen;$   
 $seen' = \{nid\} \cup seen;$

$nid' = any-usage\ g\ nid;$

$analysis' = sa\ (nid,\ seen,\ analysis)$   
 $\implies Step\ sa\ g\ (nid,\ seen,\ analysis)\ (Some\ (nid',\ seen',\ analysis'))\ |$

— We can find a successor edge that is not in seen, go there

$\llbracket \neg(is-EndNode\ (kind\ g\ nid));$   
 $\neg(is-BeginNode\ (kind\ g\ nid));$

$nid \notin seen;$   
 $seen' = \{nid\} \cup seen;$

$Some\ nid' = nextEdge\ seen'\ nid\ g;$

$analysis' = sa\ (nid,\ seen,\ analysis)$   
 $\implies Step\ sa\ g\ (nid,\ seen,\ analysis)\ (Some\ (nid',\ seen',\ analysis'))\ |$

— We can cannot find a successor edge that is not in seen, give back None

$\llbracket \neg(is-EndNode\ (kind\ g\ nid));$   
 $\neg(is-BeginNode\ (kind\ g\ nid));$

$nid \notin seen;$   
 $seen' = \{nid\} \cup seen;$

$None = nextEdge\ seen'\ nid\ g$   
 $\implies Step\ sa\ g\ (nid,\ seen,\ analysis)\ None\ |$

— We've already seen this node, give back None  
 $\llbracket nid \in seen \rrbracket \implies Step\ sa\ g\ (nid, seen, analysis)\ None$

**code-pred** (*modes: i ⇒ i ⇒ i ⇒ o ⇒ bool*) *Step* .

**end**

## 6 Data-flow Semantics

**theory** *IRTreeEval*  
**imports**  
*Graph.Stamp*  
**begin**

We define a tree representation of data-flow nodes, as an abstraction of the graph view.

Data-flow trees are evaluated in the context of a method state (currently called *MapState* in the theories for historical reasons).

The method state consists of the values for each method parameter, references to method parameters use an index of the parameter within the parameter list, as such we store a list of parameter values which are looked up at parameter references.

The method state also stores a mapping of node ids to values. The contents of this mapping is calculates during the traversal of the control flow graph.

As a concrete example, as the *SignedDivNode::'a* can have side-effects (during division by zero), it is treated as part of the control-flow, since the data-flow phase is specified to be side-effect free. As a result, the control-flow semantics for *SignedDivNode::'a* calculates the value of a node and maps the node identifier to the value within the method state. The data-flow semantics then just reads the value stored in the method state for the node.

**type-synonym** *ID* = *nat*  
**type-synonym** *MapState* = *ID* ⇒ *Value*  
**type-synonym** *Params* = *Value list*

**definition** *new-map-state* :: *MapState* **where**  
*new-map-state* = ( $\lambda x.$  *UndefVal*)

### 6.1 Data-flow Tree Representation

**datatype** *IRUnaryOp* =  
*UnaryAbs*  
| *UnaryNeg*  
| *UnaryNot*  
| *UnaryLogicNegation*  
| *UnaryNarrow* (*ir-inputBits: nat*) (*ir-resultBits: nat*)  
| *UnarySignExtend* (*ir-inputBits: nat*) (*ir-resultBits: nat*)

```

| UnaryZeroExtend (ir-inputBits: nat) (ir-resultBits: nat)
| UnaryIsNull
| UnaryReverseBytes
| UnaryBitCount

```

**datatype** *IRBinaryOp* =

```

  BinAdd
| BinSub
| BinMul
| BinDiv
| BinMod
| BinAnd
| BinOr
| BinXor
| BinShortCircuitOr
| BinLeftShift
| BinRightShift
| BinURightShift
| BinIntegerEquals
| BinIntegerLessThan
| BinIntegerBelow
| BinIntegerTest
| BinIntegerNormalizeCompare
| BinIntegerMulHigh

```

**datatype** (*discs-sels*) *IRExpr* =

```

  UnaryExpr (ir-uop: IRUnaryOp) (ir-value: IRExpr)
| BinaryExpr (ir-op: IRBinaryOp) (ir-x: IRExpr) (ir-y: IRExpr)
| ConditionalExpr (ir-condition: IRExpr) (ir-trueValue: IRExpr) (ir-falseValue:
IRExpr)

```

```

| ParameterExpr (ir-index: nat) (ir-stamp: Stamp)

```

```

| LeafExpr (ir-nid: ID) (ir-stamp: Stamp)

```

```

| ConstantExpr (ir-const: Value)
| ConstantVar (ir-name: String.literal)
| VariableExpr (ir-name: String.literal) (ir-stamp: Stamp)

```

**fun** *is-ground* :: *IRExpr* ⇒ *bool* **where**

```

  is-ground (UnaryExpr op e) = is-ground e |
  is-ground (BinaryExpr op e1 e2) = (is-ground e1 ∧ is-ground e2) |
  is-ground (ConditionalExpr b e1 e2) = (is-ground b ∧ is-ground e1 ∧ is-ground
e2) |
  is-ground (ParameterExpr i s) = True |
  is-ground (LeafExpr n s) = True |
  is-ground (ConstantExpr v) = True |
  is-ground (ConstantVar name) = False |

```

*is-ground* ( *VariableExpr* name *s* ) = *False*

```
typedef GroundExpr = { e :: IRExpr . is-ground e }  
  using is-ground.simps(6) by blast
```

## 6.2 Functions for re-calculating stamps

Note: in Java all integer calculations are done as 32 or 64 bit calculations. However, here we generalise the operators to allow any size calculations. Many operators have the same output bits as their inputs. However, the unary integer operators that are not *normal\_unary* are narrowing or widening operators, so the result bits is specified by the operator. The binary integer operators are divided into three groups: (1) *binary\_fixed\_32* operators always output 32 bits, (2) *binary\_shift\_ops* operators output size is determined by their left argument, and (3) other operators output the same number of bits as both their inputs.

**abbreviation** *binary-normal* :: *IRBinaryOp* set **where**

*binary-normal*  $\equiv$  { *BinAdd*, *BinMul*, *BinDiv*, *BinMod*, *BinSub*, *BinAnd*, *BinOr*, *BinXor* }

**abbreviation** *binary-fixed-32-ops* :: *IRBinaryOp* set **where**

*binary-fixed-32-ops*  $\equiv$  { *BinShortCircuitOr*, *BinIntegerEquals*, *BinIntegerLessThan*, *BinIntegerBelow*, *BinIntegerTest*, *BinIntegerNormalizeCompare* }

**abbreviation** *binary-shift-ops* :: *IRBinaryOp* set **where**

*binary-shift-ops*  $\equiv$  { *BinLeftShift*, *BinRightShift*, *BinURightShift* }

**abbreviation** *binary-fixed-ops* :: *IRBinaryOp* set **where**

*binary-fixed-ops*  $\equiv$  { *BinIntegerMulHigh* }

**abbreviation** *normal-unary* :: *IRUnaryOp* set **where**

*normal-unary*  $\equiv$  { *UnaryAbs*, *UnaryNeg*, *UnaryNot*, *UnaryLogicNegation*, *UnaryReverseBytes* }

**abbreviation** *unary-fixed-32-ops* :: *IRUnaryOp* set **where**

*unary-fixed-32-ops*  $\equiv$  { *UnaryBitCount* }

**abbreviation** *boolean-unary* :: *IRUnaryOp* set **where**

*boolean-unary*  $\equiv$  { *UnaryIsNull* }

**lemma** *binary-ops-all*:

**shows**  $op \in \text{binary-normal} \vee op \in \text{binary-fixed-32-ops} \vee op \in \text{binary-fixed-ops} \vee op \in \text{binary-shift-ops}$

**by** (*cases op; auto*)

**lemma** *binary-ops-distinct-normal*:

**shows**  $op \in \text{binary-normal} \implies op \notin \text{binary-fixed-32-ops} \wedge op \notin \text{binary-fixed-ops}$   
 $\wedge op \notin \text{binary-shift-ops}$   
**by** *auto*

**lemma** *binary-ops-distinct-fixed-32*:

**shows**  $op \in \text{binary-fixed-32-ops} \implies op \notin \text{binary-normal} \wedge op \notin \text{binary-fixed-ops}$   
 $\wedge op \notin \text{binary-shift-ops}$   
**by** *auto*

**lemma** *binary-ops-distinct-fixed*:

**shows**  $op \in \text{binary-fixed-ops} \implies op \notin \text{binary-fixed-32-ops} \wedge op \notin \text{binary-normal}$   
 $\wedge op \notin \text{binary-shift-ops}$   
**by** *auto*

**lemma** *binary-ops-distinct-shift*:

**shows**  $op \in \text{binary-shift-ops} \implies op \notin \text{binary-fixed-32-ops} \wedge op \notin \text{binary-fixed-ops}$   
 $\wedge op \notin \text{binary-normal}$   
**by** *auto*

**lemma** *unary-ops-distinct*:

**shows**  $op \in \text{normal-unary} \implies op \notin \text{boolean-unary} \wedge op \notin \text{unary-fixed-32-ops}$   
**and**  $op \in \text{boolean-unary} \implies op \notin \text{normal-unary} \wedge op \notin \text{unary-fixed-32-ops}$   
**and**  $op \in \text{unary-fixed-32-ops} \implies op \notin \text{boolean-unary} \wedge op \notin \text{normal-unary}$   
**by** *auto*

**fun** *stamp-unary* :: *IRUnaryOp*  $\Rightarrow$  *Stamp*  $\Rightarrow$  *Stamp* **where**

*stamp-unary* *UnaryIsNull* - = (*IntegerStamp* 32 0 1) |  
*stamp-unary* *op* (*IntegerStamp* *b* *lo* *hi*) =  
  *unrestricted-stamp* (*IntegerStamp*  
    (*if*  $op \in \text{normal-unary}$  then *b* else  
      (*if*  $op \in \text{boolean-unary}$  then 32 else  
        (*if*  $op \in \text{unary-fixed-32-ops}$  then 32 else  
          (*ir-resultBits* *op*)) *lo* *hi*)) |

*stamp-unary* *op* - = *IllegalStamp*

**fun** *stamp-binary* :: *IRBinaryOp*  $\Rightarrow$  *Stamp*  $\Rightarrow$  *Stamp*  $\Rightarrow$  *Stamp* **where**

*stamp-binary* *op* (*IntegerStamp* *b1* *lo1* *hi1*) (*IntegerStamp* *b2* *lo2* *hi2*) =  
  (*if*  $op \in \text{binary-shift-ops}$  then *unrestricted-stamp* (*IntegerStamp* *b1* *lo1* *hi1*)  
  else *if*  $b1 \neq b2$  then *IllegalStamp* else  
    (*if*  $op \in \text{binary-fixed-32-ops}$   
      then *unrestricted-stamp* (*IntegerStamp* 32 *lo1* *hi1*)  
      else *unrestricted-stamp* (*IntegerStamp* *b1* *lo1* *hi1*))) |

*stamp-binary op - - = IllegalStamp*

```
fun stamp-expr :: IRExpr ⇒ Stamp where
  stamp-expr (UnaryExpr op x) = stamp-unary op (stamp-expr x) |
  stamp-expr (BinaryExpr bop x y) = stamp-binary bop (stamp-expr x) (stamp-expr
y) |
  stamp-expr (ConstantExpr val) = constantAsStamp val |
  stamp-expr (LeafExpr i s) = s |
  stamp-expr (ParameterExpr i s) = s |
  stamp-expr (ConditionalExpr c t f) = meet (stamp-expr t) (stamp-expr f)

export-code stamp-unary stamp-binary stamp-expr
```

### 6.3 Data-flow Tree Evaluation

```
fun unary-eval :: IRUnaryOp ⇒ Value ⇒ Value where
  unary-eval UnaryAbs v = intval-abs v |
  unary-eval UnaryNeg v = intval-negate v |
  unary-eval UnaryNot v = intval-not v |
  unary-eval UnaryLogicNegation v = intval-logic-negation v |
  unary-eval (UnaryNarrow inBits outBits) v = intval-narrow inBits outBits v |
  unary-eval (UnarySignExtend inBits outBits) v = intval-sign-extend inBits outBits
v |
  unary-eval (UnaryZeroExtend inBits outBits) v = intval-zero-extend inBits outBits
v |
  unary-eval UnaryIsNull v = intval-is-null v |
  unary-eval UnaryReverseBytes v = intval-reverse-bytes v |
  unary-eval UnaryBitCount v = intval-bit-count v
```

```
fun bin-eval :: IRBinaryOp ⇒ Value ⇒ Value ⇒ Value where
  bin-eval BinAdd v1 v2 = intval-add v1 v2 |
  bin-eval BinSub v1 v2 = intval-sub v1 v2 |
  bin-eval BinMul v1 v2 = intval-mul v1 v2 |
  bin-eval BinDiv v1 v2 = intval-div v1 v2 |
  bin-eval BinMod v1 v2 = intval-mod v1 v2 |
  bin-eval BinAnd v1 v2 = intval-and v1 v2 |
  bin-eval BinOr v1 v2 = intval-or v1 v2 |
  bin-eval BinXor v1 v2 = intval-xor v1 v2 |
  bin-eval BinShortCircuitOr v1 v2 = intval-short-circuit-or v1 v2 |
  bin-eval BinLeftShift v1 v2 = intval-left-shift v1 v2 |
  bin-eval BinRightShift v1 v2 = intval-right-shift v1 v2 |
  bin-eval BinURightShift v1 v2 = intval-uright-shift v1 v2 |
  bin-eval BinIntegerEquals v1 v2 = intval-equals v1 v2 |
  bin-eval BinIntegerLessThan v1 v2 = intval-less-than v1 v2 |
  bin-eval BinIntegerBelow v1 v2 = intval-below v1 v2 |
  bin-eval BinIntegerTest v1 v2 = intval-test v1 v2 |
  bin-eval BinIntegerNormalizeCompare v1 v2 = intval-normalize-compare v1 v2 |
  bin-eval BinIntegerMulHigh v1 v2 = intval-mul-high v1 v2
```

**lemma** *defined-eval-is-intval*:

**shows**  $\text{bin-eval } op \ x \ y \neq \text{UndefVal} \implies (\text{is-IntVal } x \wedge \text{is-IntVal } y)$   
**by** (*cases op*; *cases x*; *cases y*; *auto*)

**lemmas** *eval-thms* =

*intval-abs.simps* *intval-negate.simps* *intval-not.simps*  
*intval-logic-negation.simps* *intval-narrow.simps*  
*intval-sign-extend.simps* *intval-zero-extend.simps*  
*intval-add.simps* *intval-mul.simps* *intval-sub.simps*  
*intval-and.simps* *intval-or.simps* *intval-xor.simps*  
*intval-left-shift.simps* *intval-right-shift.simps*  
*intval-uright-shift.simps* *intval-equals.simps*  
*intval-less-than.simps* *intval-below.simps*

**inductive** *not-undef-or-fail* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *bool* **where**

$\llbracket \text{value} \neq \text{UndefVal} \rrbracket \implies \text{not-undef-or-fail } \text{value } \text{value}$

**notation** (*latex output*)

*not-undef-or-fail* ( $- = -$ )

**inductive**

*evaltree* :: *MapState*  $\Rightarrow$  *Params*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *Value*  $\Rightarrow$  *bool* ( $\llbracket -, - \rrbracket \vdash - \mapsto -$  55)  
**for**  $m \ p$  **where**

*ConstantExpr*:

$\llbracket \text{wf-value } c \rrbracket$   
 $\implies \llbracket m, p \rrbracket \vdash (\text{ConstantExpr } c) \mapsto c \mid$

*ParameterExpr*:

$\llbracket i < \text{length } p; \text{valid-value } (p!i) \ s \rrbracket$   
 $\implies \llbracket m, p \rrbracket \vdash (\text{ParameterExpr } i \ s) \mapsto p!i \mid$

*ConditionalExpr*:

$\llbracket \llbracket m, p \rrbracket \vdash ce \mapsto cond;$   
 $cond \neq \text{UndefVal};$   
 $branch = (\text{if val-to-bool } cond \ \text{then } te \ \text{else } fe);$   
 $\llbracket m, p \rrbracket \vdash branch \mapsto result;$   
 $result \neq \text{UndefVal};$

$\llbracket m, p \rrbracket \vdash te \mapsto true; \ true \neq \text{UndefVal};$   
 $\llbracket m, p \rrbracket \vdash fe \mapsto false; \ false \neq \text{UndefVal} \rrbracket$   
 $\implies \llbracket m, p \rrbracket \vdash (\text{ConditionalExpr } ce \ te \ fe) \mapsto result \mid$

*UnaryExpr*:

$\llbracket \llbracket m, p \rrbracket \vdash xe \mapsto x;$   
 $result = (\text{unary-eval } op \ x);$



$result \neq \text{UndefVal}]$   
 $\implies [m,p] \vdash (\text{UnaryExpr } op \ xe) \mapsto result \mid$

*BinaryExpr:*  
 $[[m,p] \vdash xe \mapsto x;$   
 $[m,p] \vdash ye \mapsto y;$   
 $result = (\text{bin-eval } op \ x \ y);$   
 $result \neq \text{UndefVal}]$   
 $\implies [m,p] \vdash (\text{BinaryExpr } op \ xe \ ye) \mapsto result \mid$

*LeafExpr:*  
 $[val = m \ n;$   
 $valid\text{-value } val \ s]$   
 $\implies [m,p] \vdash \text{LeafExpr } n \ s \mapsto val$

**code-pred** (*modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as evalT*)  
 $[show\text{-steps}, show\text{-mode-inference}, show\text{-intermediate-results}]$   
 $evaltree \ .$

**inductive**

$evaltrees :: \text{MapState} \Rightarrow \text{Params} \Rightarrow \text{IRExpr list} \Rightarrow \text{Value list} \Rightarrow \text{bool} ([-,] \vdash - \mapsto)$   
- 55)

**for  $m \ p$  where**

*EvalNil:*  
 $[m,p] \vdash [] \mapsto [] \mid$

*EvalCons:*  
 $[[m,p] \vdash x \mapsto xval;$   
 $[m,p] \vdash yy \mapsto yyval]$   
 $\implies [m,p] \vdash (x\#yy) \mapsto (xval\#yyval)$

**code-pred** (*modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as evalTs*)  
 $evaltrees \ .$

**definition**  $sq\text{-param0} :: \text{IRExpr}$  **where**

$sq\text{-param0} = \text{BinaryExpr BinMul}$   
 $(\text{ParameterExpr } 0 \ (\text{IntegerStamp } 32 \ (- \ 2147483648) \ 2147483647))$   
 $(\text{ParameterExpr } 0 \ (\text{IntegerStamp } 32 \ (- \ 2147483648) \ 2147483647))$

**values**  $\{v. \text{evaltree new-map-state [IntVal 32 5] } sq\text{-param0 } v\}$

**declare**  $evaltree.intros [intro]$

**declare**  $evaltrees.intros [intro]$

## 6.4 Data-flow Tree Refinement

We define the induced semantic equivalence relation between expressions. Note that syntactic equality implies semantic equivalence, but not vice versa.

**definition** *equiv-exprs* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* (-  $\doteq$  - 55) **where**  
 $(e1 \doteq e2) = (\forall m p v. (([m,p] \vdash e1 \mapsto v) \longleftrightarrow ([m,p] \vdash e2 \mapsto v)))$

We also prove that this is a total equivalence relation (*equivp equiv-exprs*) (HOL.Equiv\_Relations), so that we can reuse standard results about equivalence relations.

**lemma** *equivp equiv-exprs*

**apply** (*auto simp add: equivp-def equiv-exprs-def*) **by** (*metis equiv-exprs-def*)<sup>+</sup>

We define a refinement ordering over *IRExpr* and show that it is a preorder. Note that it is asymmetric because *e2* may refer to fewer variables than *e1*.

**instantiation** *IRExpr* :: *preorder* **begin**

**notation** *less-eq* (**infix**  $\sqsubseteq$  65)

**definition**

*le-expr-def* [*simp*]:

$(e2 \leq e1) \longleftrightarrow (\forall m p v. (([m,p] \vdash e1 \mapsto v) \longrightarrow ([m,p] \vdash e2 \mapsto v)))$

**definition**

*lt-expr-def* [*simp*]:

$(e1 < e2) \longleftrightarrow (e1 \leq e2 \wedge \neg (e1 \doteq e2))$

**instance proof**

**fix** *x y z* :: *IRExpr*

**show**  $x < y \longleftrightarrow x \leq y \wedge \neg (y \leq x)$  **by** (*simp add: equiv-exprs-def; auto*)

**show**  $x \leq x$  **by** *simp*

**show**  $x \leq y \Longrightarrow y \leq z \Longrightarrow x \leq z$  **by** *simp*

**qed**

**end**

**abbreviation** (**output**) *Refines* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* (**infix**  $\sqsupseteq$  64)

**where**  $e1 \sqsupseteq e2 \equiv (e2 \leq e1)$

## 6.5 Stamp Masks

A stamp can contain additional range information in the form of masks. A stamp has an up mask and a down mask, corresponding to the bits that may be set and the bits that must be set.

Examples: A stamp where no range information is known will have; an up mask of -1 as all bits may be set, and a down mask of 0 as no bits must be set.

A stamp known to be one should have; an up mask of 1 as only the first bit may be set, no others, and a down mask of 1 as the first bit must be set and no others.

We currently don't carry mask information in stamps, and instead assume correct masks to prove optimizations.

```

locale stamp-mask =
  fixes up :: IRExp ⇒ int64 (↑)
  fixes down :: IRExp ⇒ int64 (↓)
  assumes up-spec: [m, p] ⊢ e ↦ IntVal b v ⇒ (and v (not ((ucast (↑e)))) = 0
    and down-spec: [m, p] ⊢ e ↦ IntVal b v ⇒ (and (not v) (ucast (↓e))) = 0
begin

```

**lemma** may-implies-either:

```

[m, p] ⊢ e ↦ IntVal b v ⇒ bit (↑e) n ⇒ bit v n = False ∨ bit v n = True
by simp

```

**lemma** not-may-implies-false:

```

[m, p] ⊢ e ↦ IntVal b v ⇒ ¬(bit (↑e) n) ⇒ bit v n = False
by (metis (no-types, lifting) bit.double-compl up-spec bit-and-iff bit-not-iff bit-unsigned-iff
  down-spec)

```

**lemma** must-implies-true:

```

[m, p] ⊢ e ↦ IntVal b v ⇒ bit (↓e) n ⇒ bit v n = True
by (metis bit.compl-one bit-and-iff bit-minus-1-iff bit-not-iff impossible-bit ucast-id
  down-spec)

```

**lemma** not-must-implies-either:

```

[m, p] ⊢ e ↦ IntVal b v ⇒ ¬(bit (↓e) n) ⇒ bit v n = False ∨ bit v n = True
by simp

```

**lemma** must-implies-may:

```

[m, p] ⊢ e ↦ IntVal b v ⇒ n < 32 ⇒ bit (↓e) n ⇒ bit (↑e) n
by (meson must-implies-true not-may-implies-false)

```

**lemma** up-mask-and-zero-implies-zero:

```

assumes and (↑x) (↑y) = 0
assumes [m, p] ⊢ x ↦ IntVal b xv
assumes [m, p] ⊢ y ↦ IntVal b yv
shows and xv yv = 0
by (smt (z3) assms and.commute and.right-neutral bit.compl-zero bit.conj-cancel-right
  ucast-id
  bit.conj-disj-distrib(1) up-spec word-bw-assocs(1) word-not-dist(2) word-ao-absorbs(8)
  and-eq-not-not-or)

```

**lemma** not-down-up-mask-and-zero-implies-zero:

```

assumes and (not (↓x)) (↑y) = 0
assumes [m, p] ⊢ x ↦ IntVal b xv

```

```

assumes  $[m, p] \vdash y \mapsto \text{IntVal } b \ yv$ 
shows  $\text{and } xv \ yv = yv$ 
by (metis (no-types, opaque-lifting) assms bit.conj-cancel-left bit.conj-disj-distrib(1,2)
  bit.de-Morgan-disj ucast-id down-spec or-eq-not-not-and up-spec word-ao-absorbs(2,8)
  word-bw-lcs(1) word-not-dist(2))

end

definition IRExpr-up :: IRExpr  $\Rightarrow$  int64 where
  IRExpr-up e = not 0

definition IRExpr-down :: IRExpr  $\Rightarrow$  int64 where
  IRExpr-down e = 0

lemma ucast-zero:  $(\text{ucast } (0::\text{int64})::\text{int32}) = 0$ 
  by simp

lemma ucast-minus-one:  $(\text{ucast } (-1::\text{int64})::\text{int32}) = -1$ 
  apply transfer by auto

interpretation simple-mask: stamp-mask
  IRExpr-up :: IRExpr  $\Rightarrow$  int64
  IRExpr-down :: IRExpr  $\Rightarrow$  int64
  apply unfold-locales
  by (simp add: ucast-minus-one IRExpr-up-def IRExpr-down-def)+

end

```

## 6.6 Data-flow Tree Theorems

```

theory IRTreeEvalThms
  imports
    Graph.ValueThms
    IRTreeEval
begin

```

### 6.6.1 Deterministic Data-flow Evaluation

```

lemma evalDet:
   $[m,p] \vdash e \mapsto v_1 \Longrightarrow$ 
   $[m,p] \vdash e \mapsto v_2 \Longrightarrow$ 
   $v_1 = v_2$ 
  apply (induction arbitrary: v2 rule: evaltree.induct) by (elim EvalTreeE; auto)+

lemma evalAllDet:
   $[m,p] \vdash e \mapsto v_1 \Longrightarrow$ 
   $[m,p] \vdash e \mapsto v_2 \Longrightarrow$ 
   $v_1 = v_2$ 
  apply (induction arbitrary: v2 rule: evaltrees.induct)
  apply (elim EvalTreeE; auto)

```

using *evalDet* by *force*

### 6.6.2 Typing Properties for Integer Evaluation Functions

We use three simple typing properties on integer values: *isIntVal32*, *isIntVal64* and the more general *isIntVal*.

**lemma** *unary-eval-not-obj-ref*:  
shows *unary-eval op x ≠ ObjRef v*  
by (*cases op*; *cases x*; *auto*)

**lemma** *unary-eval-not-obj-str*:  
shows *unary-eval op x ≠ ObjStr v*  
by (*cases op*; *cases x*; *auto*)

**lemma** *unary-eval-not-array*:  
shows *unary-eval op x ≠ ArrayVal len v*  
by (*cases op*; *cases x*; *auto*)

**lemma** *unary-eval-int*:  
assumes *unary-eval op x ≠ UndefinedVal*  
shows *is-IntVal (unary-eval op x)*  
by (*cases unary-eval op x*; *auto simp add: assms unary-eval-not-obj-ref unary-eval-not-obj-str unary-eval-not-array*)

**lemma** *bin-eval-int*:  
assumes *bin-eval op x y ≠ UndefinedVal*  
shows *is-IntVal (bin-eval op x y)*  
using *assms*  
apply (*cases op*; *cases x*; *cases y*; *auto simp add: is-IntVal-def*)  
apply *presburger*+  
prefer 3 prefer 4  
  apply (*smt (verit, del-Insts) new-int.simps*)  
    apply (*smt (verit, del-Insts) new-int.simps*)  
    apply (*meson new-int-bin.simps*)  
    apply (*meson bool-to-val.elims*)  
    apply (*meson bool-to-val.elims*)  
    apply (*smt (verit, del-Insts) new-int.simps*)  
by (*metis bool-to-val.elims*)+

**lemma** *IntVal0*:  
(*IntVal 32 0*) = (*new-int 32 0*)  
by *auto*

```

lemma IntVal1:
  (IntVal 32 1) = (new-int 32 1)
  by auto

lemma bin-eval-new-int:
  assumes bin-eval op x y ≠ UndefVal
  shows  $\exists b v. (bin-eval\ op\ x\ y) = new-int\ b\ v \wedge$ 
          $b = (if\ op \in binary-fixed-32-ops\ then\ 32\ else\ intval-bits\ x)$ 
  using is-IntVal-def assms
proof (cases op)
  case BinAdd
  then show ?thesis
    using assms apply (cases x; cases y; auto) by presburger
next
  case BinMul
  then show ?thesis
    using assms apply (cases x; cases y; auto) by presburger
next
  case BinDiv
  then show ?thesis
    using assms apply (cases x; cases y; auto)
    by (meson new-int-bin.simps)
next
  case BinMod
  then show ?thesis
    using assms apply (cases x; cases y; auto)
    by (meson new-int-bin.simps)
next
  case BinSub
  then show ?thesis
    using assms apply (cases x; cases y; auto) by presburger
next
  case BinAnd
  then show ?thesis
    using assms apply (cases x; cases y; auto) by (metis take-bit-and)+
next
  case BinOr
  then show ?thesis
    using assms apply (cases x; cases y; auto) by (metis take-bit-or)+
next
  case BinXor
  then show ?thesis
    using assms apply (cases x; cases y; auto) by (metis take-bit-xor)+
next
  case BinShortCircuitOr
  then show ?thesis
    using assms apply (cases x; cases y; auto)
    by (metis IntVal1 bits-mod-0 bool-to-val.elims new-int.simps take-bit-eq-mod)+

```

```

next
  case BinLeftShift
  then show ?thesis
    using assms by (cases x; cases y; auto)
next
  case BinRightShift
  then show ?thesis
    using assms apply (cases x; cases y; auto) by (smt (verit, del-insts) new-int.simps)+
next
  case BinURightShift
  then show ?thesis
    using assms by (cases x; cases y; auto)
next
  case BinIntegerEquals
  then show ?thesis
    using assms apply (cases x; cases y; auto)
    apply (metis (full-types) IntVal0 IntVal1 bool-to-val.simps(1,2) new-int.elims)
by presburger
next
  case BinIntegerLessThan
  then show ?thesis
    using assms apply (cases x; cases y; auto)
    apply (metis (no-types, opaque-lifting) bool-to-val.simps(1,2) bool-to-val.elims
new-int.simps
      IntVal1 take-bit-of-0)
    by presburger
next
  case BinIntegerBelow
  then show ?thesis
    using assms apply (cases x; cases y; auto)
    apply (metis bool-to-val.simps(1,2) bool-to-val.elims new-int.simps IntVal0 Int-
Val1)
    by presburger
next
  case BinIntegerTest
  then show ?thesis
    using assms apply (cases x; cases y; auto)
    apply (metis bool-to-val.simps(1,2) bool-to-val.elims new-int.simps IntVal0 Int-
Val1)
    by presburger
next
  case BinIntegerNormalizeCompare
  then show ?thesis
    using assms apply (cases x; cases y; auto) using take-bit-of-0 apply blast
    by (metis IntVal1 intval-word.simps new-int.elims take-bit-minus-one-eq-mask)+
next
  case BinIntegerMulHigh
  then show ?thesis
    using assms apply (cases x; cases y; auto)

```

```

    prefer 2 prefer 5 prefer 8
    apply presburger+
    by metis+
qed

```

```

lemma int-stamp:
  assumes is-IntVal v
  shows is-IntegerStamp (constantAsStamp v)
  using assms is-IntVal-def by auto

```

```

lemma validStampIntConst:
  assumes v = IntVal b ival
  assumes 0 < b ∧ b ≤ 64
  shows valid-stamp (constantAsStamp v)
proof -
  have bnds: fst (bit-bounds b) ≤ int-signed-value b ival ∧
    int-signed-value b ival ≤ snd (bit-bounds b)
    using assms(2) int-signed-value-bounds by simp
  have s: constantAsStamp v = IntegerStamp b (int-signed-value b ival) (int-signed-value
    b ival)
    using assms(1) by simp
  then show ?thesis
    unfolding s valid-stamp.simps using assms(2) bnds by linarith
qed

```

```

lemma validDefIntConst:
  assumes v: v = IntVal b ival
  assumes 0 < b ∧ b ≤ 64
  assumes take-bit b ival = ival
  shows valid-value v (constantAsStamp v)
proof -
  have bnds: fst (bit-bounds b) ≤ int-signed-value b ival ∧
    int-signed-value b ival ≤ snd (bit-bounds b)
    using assms(2) int-signed-value-bounds by simp
  have s: constantAsStamp v = IntegerStamp b (int-signed-value b ival) (int-signed-value
    b ival)
    using assms(1) by simp
  then show ?thesis
    using assms validStampIntConst by simp
qed

```

### 6.6.3 Evaluation Results are Valid

A valid value cannot be *UndefVal*.

```

lemma valid-not-undef:
  assumes valid-value val s
  assumes s ≠ VoidStamp
  shows val ≠ UndefVal
  apply (rule valid-value.elims(1)[of val s True]) using assms by auto

```



**lemma** *valid-VoidStamp*[*elim*]:

**shows** *valid-value val VoidStamp*  $\implies$  *val = UndefVal*  
**by** *simp*

**lemma** *valid-ObjStamp*[*elim*]:

**shows** *valid-value val (ObjectStamp klass exact nonNull alwaysNull)*  $\implies$   $(\exists v. \text{val} = \text{ObjRef } v)$   
**by** (*metis Value.exhaust valid-value.simps(3,11,12,18)*)

**lemma** *valid-int*[*elim*]:

**shows** *valid-value val (IntegerStamp b lo hi)*  $\implies$   $(\exists v. \text{val} = \text{IntVal } b \ v)$   
**using** *valid-value.elims(2)* **by** *fastforce*

**lemmas** *valid-value-elim* =

*valid-VoidStamp*  
*valid-ObjStamp*  
*valid-int*

**lemma** *evaltree-not-undef*:

**fixes** *m p e v*  
**shows**  $([m,p] \vdash e \mapsto v) \implies v \neq \text{UndefVal}$   
**apply** (*induction rule: evaltree.induct*) **by** (*auto simp add: wf-value-def*)

**lemma** *leafint*:

**assumes**  $[m,p] \vdash \text{LeafExpr } i \ (\text{IntegerStamp } b \ \text{lo } \ \text{hi}) \mapsto \text{val}$   
**shows**  $\exists b \ v. \text{val} = (\text{IntVal } b \ v)$

**proof** –

**have** *valid-value val (IntegerStamp b lo hi)*  
**using** *assms* **by** (*rule LeafExprE; simp*)  
**then show** *?thesis*  
**by** *auto*

**qed**

**lemma** *default-stamp* [*simp*]: *default-stamp = IntegerStamp 32 (-2147483648) 2147483647*

**by** (*auto simp add: default-stamp-def*)

**lemma** *valid-value-signed-int-range* [*simp*]:

**assumes** *valid-value val (IntegerStamp b lo hi)*  
**assumes** *lo < 0*

**shows**  $\exists v. (\text{val} = \text{IntVal } b \ v \wedge$   
 $\text{lo} \leq \text{int-signed-value } b \ v \wedge$   
 $\text{int-signed-value } b \ v \leq \text{hi})$

**by** (*metis valid-value.simps(1) assms(1) valid-int*)

## 6.6.4 Example Data-flow Optimisations

## 6.6.5 Monotonicity of Expression Refinement

We prove that each subexpression position is monotonic. That is, optimizing a subexpression anywhere deep inside a top-level expression also optimizes that top-level expression.

Note that we might also be able to do this via reusing Isabelle's *mono* operator (HOL.Orderings theory), proving instantiations like *mono(UnaryExpr op)*, but it is not obvious how to do this for both arguments of the binary expressions.

**lemma** *mono-unary*:

**assumes**  $x \geq x'$   
**shows**  $(UnaryExpr\ op\ x) \geq (UnaryExpr\ op\ x')$   
**using** *assms* **by** *auto*

**lemma** *mono-binary*:

**assumes**  $x \geq x'$   
**assumes**  $y \geq y'$   
**shows**  $(BinaryExpr\ op\ x\ y) \geq (BinaryExpr\ op\ x'\ y')$   
**using** *BinaryExpr\ assms* **by** *auto*

**lemma** *never-void*:

**assumes**  $[m, p] \vdash x \mapsto xv$   
**assumes** *valid-value xv (stamp-expr xe)*  
**shows** *stamp-expr xe*  $\neq VoidStamp$   
**using** *assms(2)* **by** *force*

**lemma** *compatible-trans*:

*compatible x y*  $\wedge$  *compatible y z*  $\implies$  *compatible x z*  
**by** (*cases x*; *cases y*; *cases z*; *auto*)

**lemma** *compatible-refl*:

*compatible x y*  $\implies$  *compatible y x*  
**using** *compatible.elims(2)* **by** *fastforce*

**lemma** *mono-conditional*:

**assumes**  $c \geq c'$   
**assumes**  $t \geq t'$   
**assumes**  $f \geq f'$   
**shows**  $(ConditionalExpr\ c\ t\ f) \geq (ConditionalExpr\ c'\ t'\ f')$   
**proof** (*simp only: le-expr-def; (rule allI)+; rule impI*)

```

fix  $m\ p\ v$ 
assume  $a: [m,p] \vdash \text{ConditionalExpr } c\ t\ f \mapsto v$ 
then obtain  $cond$  where  $c: [m,p] \vdash c \mapsto cond$ 
  by auto
then have  $c': [m,p] \vdash c' \mapsto cond$ 
  using assms by simp

then obtain  $tr$  where  $tr: [m,p] \vdash t \mapsto tr$ 
  using  $a$  by auto
then have  $tr': [m,p] \vdash t' \mapsto tr$ 
  using assms(2) by auto
then obtain  $fa$  where  $fa: [m,p] \vdash f \mapsto fa$ 
  using  $a$  by blast
then have  $fa': [m,p] \vdash f' \mapsto fa$ 
  using assms(3) by auto
define  $branch$  where  $b: branch = (\text{if val-to-bool cond then } t \text{ else } f)$ 
define  $branch'$  where  $b': branch' = (\text{if val-to-bool cond then } t' \text{ else } f')$ 
then have  $beval: [m,p] \vdash branch \mapsto v$ 
  using  $a\ b\ c\ evalDet$  by blast

from  $beval$  have  $[m,p] \vdash branch' \mapsto v$ 
  using assms by (auto simp add: b b')
then show  $[m,p] \vdash \text{ConditionalExpr } c'\ t'\ f' \mapsto v$ 
  using  $c'\ fa'\ tr'$  by (simp add: evaltree-not-undef b' ConditionalExpr)
qed

```

## 6.7 Unfolding rules for evaltree quadruples down to bin-eval level

These rewrite rules can be useful when proving optimizations. They support top-down rewriting of each level of the tree into the lower-level *bin<sub>e</sub>eval* / *unary<sub>e</sub>eval* level, simply by saying *unfoldingunfold<sub>e</sub>evaltree*.

**lemma** *unfold-const*:

```

( $[m,p] \vdash \text{ConstantExpr } c \mapsto v$ ) = (wf-value  $v \wedge v = c$ )
by auto

```

**lemma** *unfold-binary*:

```

shows ( $[m,p] \vdash \text{BinaryExpr } op\ xe\ ye \mapsto val$ ) = ( $\exists\ x\ y.$ 
  ( $[m,p] \vdash xe \mapsto x$ )  $\wedge$ 
  ( $[m,p] \vdash ye \mapsto y$ )  $\wedge$ 
  ( $val = \text{bin-eval } op\ x\ y$ )  $\wedge$ 
  ( $val \neq \text{UndefVal}$ )
  ) (is ?L = ?R)

```

**proof** (*intro iffI*)

**assume**  $\exists: ?L$

**show**  $?R$  **by** (*rule evaltree.cases[OF ?L]; blast+*)

```

next
  assume ?R
  then obtain x y where [m,p] ⊢ xe ↦ x
    and [m,p] ⊢ ye ↦ y
    and val = bin-eval op x y
    and val ≠ UndefVal
  by auto
  then show ?L
    by (rule BinaryExpr)
qed

```

```

lemma unfold-unary:
  shows ([m,p] ⊢ UnaryExpr op xe ↦ val)
    = (∃ x.
      (([m,p] ⊢ xe ↦ x) ∧
       (val = unary-eval op x) ∧
       (val ≠ UndefVal)
      )) (is ?L = ?R)
  by auto

```

```

lemmas unfold-evaltree =
  unfold-binary
  unfold-unary

```

## 6.8 Lemmas about *new\_int* and integer eval results.

```

lemma unary-eval-new-int:
  assumes def: unary-eval op x ≠ UndefVal
  shows ∃ b v. (unary-eval op x = new-int b v ∧

```

$$\begin{aligned}
 b = & \text{(if } op \in \text{normal-unary} \quad \text{then } \text{intval-bits } x \text{ else} \\
 & \text{if } op \in \text{boolean-unary} \quad \text{then } 32 \quad \text{else} \\
 & \text{if } op \in \text{unary-fixed-32-ops} \text{ then } 32 \quad \text{else} \\
 & \text{ir-resultBits } op)
 \end{aligned}$$

```

proof (cases op)
  case UnaryAbs
  then show ?thesis
    apply auto
    by (metis intval-bits.simps intval-abs.simps(1) UnaryAbs def new-int.elims
        unary-eval.simps(1)
        intval-abs.elims)
next
  case UnaryNeg
  then show ?thesis
    apply auto
    by (metis def intval-bits.simps intval-negate.elims new-int.elims unary-eval.simps(2))
next

```

```

case UnaryNot
then show ?thesis
  apply auto
  by (metis intval-bits.simps intval-not.elims new-int.simps unary-eval.simps(3))
def)
next
case UnaryLogicNegation
then show ?thesis
  apply auto
  by (metis intval-bits.simps UnaryLogicNegation intval-logic-negation.elims new-int.elims
def
  unary-eval.simps(4))
next
case (UnaryNarrow x51 x52)
then show ?thesis
  using assms apply auto
  subgoal premises p
  proof –
    obtain xb xv where xv: x = IntVal xb xv
    by (metis UnaryNarrow def intval-logic-negation.cases intval-narrow.simps(2,3,4,5)
      unary-eval.simps(5))
    then have evalNotUndef: intval-narrow x51 x52 x ≠ UndefVal
      using p by fast
    then show ?thesis
      by (metis (no-types, lifting) new-int.elims intval-narrow.simps(1) xv)
    qed done
next
case (UnarySignExtend x61 x62)
then show ?thesis
  using assms apply auto
  subgoal premises p
  proof –
    obtain xb xv where xv: x = IntVal xb xv
      by (metis Value.exhaust intval-sign-extend.simps(2,3,4,5) p(2))
    then have evalNotUndef: intval-sign-extend x61 x62 x ≠ UndefVal
      using p by fast
    then show ?thesis
      by (metis intval-sign-extend.simps(1) new-int.elims xv)
    qed done
next
case (UnaryZeroExtend x71 x72)
then show ?thesis
  using assms apply auto
  subgoal premises p
  proof –
    obtain xb xv where xv: x = IntVal xb xv
      by (metis Value.exhaust intval-zero-extend.simps(2,3,4,5) p(2))
    then have evalNotUndef: intval-zero-extend x71 x72 x ≠ UndefVal
      using p by fast

```

```

      then show ?thesis
      by (metis intval-zero-extend.simps(1) new-int.elims xv)
    qed done
  next
  case UnaryIsNull
  then show ?thesis
  apply auto
  by (metis bool-to-val.simps(1) new-int.simps IntVal0 IntVal1 unary-eval.simps(8)
    assms def
      intval-is-null.elims bool-to-val.elims)
  next
  case UnaryReverseBytes
  then show ?thesis
  apply auto
  by (metis intval-bits.simps intval-reverse-bytes.elims new-int.elims unary-eval.simps(9)
    def)
  next
  case UnaryBitCount
  then show ?thesis
  apply auto
  by (metis intval-bit-count.elims new-int.simps unary-eval.simps(10) intval-bit-count.simps(1)
    def)
qed

```

**lemma** *new-int-unused-bits-zero*:  
 assumes  $\text{IntVal } b \text{ ival} = \text{new-int } b \text{ ival0}$   
 shows  $\text{take-bit } b \text{ ival} = \text{ival}$   
 by (simp add: new-int-take-bits assms)

**lemma** *unary-eval-unused-bits-zero*:  
 assumes  $\text{unary-eval } op \ x = \text{IntVal } b \text{ ival}$   
 shows  $\text{take-bit } b \text{ ival} = \text{ival}$   
 by (metis unary-eval-new-int Value.inject(1) new-int.elims new-int-unused-bits-zero
 Value.simps(5)
 assms)

**lemma** *bin-eval-unused-bits-zero*:  
 assumes  $\text{bin-eval } op \ x \ y = (\text{IntVal } b \text{ ival})$   
 shows  $\text{take-bit } b \text{ ival} = \text{ival}$   
 by (metis bin-eval-new-int Value.distinct(1) Value.inject(1) new-int.elims new-int-take-bits
 assms)

**lemma** *eval-unused-bits-zero*:  
 $[m,p] \vdash xe \mapsto (\text{IntVal } b \text{ ix}) \implies \text{take-bit } b \text{ ix} = \text{ix}$   
**proof** (induction xe)  
 case (UnaryExpr x1 xe)  
 then show ?case  
 by (auto simp add: unary-eval-unused-bits-zero)

```

next
  case (BinaryExpr x1 xe1 xe2)
  then show ?case
  by (auto simp add: bin-eval-unused-bits-zero)
next
  case (ConditionalExpr xe1 xe2 xe3)
  then show ?case
  by (metis (full-types) EvalTreeE(3))
next
  case (ParameterExpr i s)
  then have valid-value (p!i) s
  by fastforce
  then show ?case
  by (metis (no-types, opaque-lifting) Value.distinct(9) intval-bits.simps valid-value.elims(2)
    local.ParameterExpr ParameterExprE intval-word.simps)
next
  case (LeafExpr x1 x2)
  then show ?case
  apply auto
  by (metis (no-types, opaque-lifting) intval-bits.simps intval-word.simps valid-value.elims(2)
    valid-value.simps(18))
next
  case (ConstantExpr x)
  then show ?case
  by (metis EvalTreeE(1) constantAsStamp.simps(1) valid-value.simps(1) wf-value-def)
next
  case (ConstantVar x)
  then show ?case
  by auto
next
  case (VariableExpr x1 x2)
  then show ?case
  by auto
qed

```

**lemma unary-normal-bitsize:**  
**assumes** unary-eval op x = IntVal b ival  
**assumes** op ∈ normal-unary  
**shows** ∃ ix. x = IntVal b ix  
**using** assms **apply** (cases op; auto) **prefer** 5  
**apply** (smt (verit, ccfv-threshold) Value.distinct(1) Value.inject(1) intval-reverse-bytes.elims  
 new-int.simps)  
**by** (metis Value.distinct(1) Value.inject(1) intval-logic-negation.elims new-int.simps  
 intval-not.elims intval-negate.elims intval-abs.elims)+

**lemma unary-not-normal-bitsize:**  
**assumes** unary-eval op x = IntVal b ival  
**assumes** op ∉ normal-unary ∧ op ∉ boolean-unary ∧ op ∉ unary-fixed-32-ops  
**shows** b = ir-resultBits op ∧ 0 < b ∧ b ≤ 64

**apply** (*cases op*) **prefer 8 prefer 10 prefer 10 using** *assms apply blast+*  
**by** (*smt(verit, ccfv-SIG) Value.distinct(1) assms(1) intval-bits.simps intval-narrow.elims*  
*intval-narrow-ok intval-zero-extend.elims linorder-not-less neq0-conv new-int.simps*  
*unary-eval.simps(5,6,7) IRUnaryOp.sel(4,5,6) intval-sign-extend.elims*)**+**

**lemma** *unary-eval-bitsize*:

**assumes** *unary-eval op x = IntVal b ival*  
**assumes**  $2: x = \text{IntVal } bx \text{ } ix$   
**assumes**  $0 < bx \wedge bx \leq 64$   
**shows**  $0 < b \wedge b \leq 64$   
**using** *assms apply (cases op; simp)*  
**by** (*metis Value.distinct(1) Value.inject(1) intval-narrow.simps(1) le-zero-eq int-*  
*val-narrow-ok*  
*new-int.simps le-zero-eq gr-zeroI*)**+**

**lemma** *bin-eval-inputs-are-ints*:

**assumes** *bin-eval op x y = IntVal b ix*  
**obtains** *xb yb xi yi* **where**  $x = \text{IntVal } xb \text{ } xi \wedge y = \text{IntVal } yb \text{ } yi$   
**proof** –  
**have** *bin-eval op x y  $\neq$  UndefVal*  
**by** (*simp add: assms*)  
**then show** *?thesis*  
**using** *assms that by (cases op; cases x; cases y; auto)*  
**qed**

**lemma** *eval-bits-1-64*:

$[m,p] \vdash xe \mapsto (\text{IntVal } b \text{ } ix) \implies 0 < b \wedge b \leq 64$   
**proof** (*induction xe arbitrary: b ix*)  
**case** (*UnaryExpr op x2*)  
**then obtain** *xv* **where**  
 $xv: ([m,p] \vdash x2 \mapsto xv) \wedge$   
 $\text{IntVal } b \text{ } ix = \text{unary-eval } op \text{ } xv$   
**by** (*auto simp add: unfold-binary*)  
**then have**  $b = (\text{if } op \in \text{normal-unary} \text{ then } \text{intval-bits } xv \text{ else}$   
 $\text{if } op \in \text{unary-fixed-32-ops} \text{ then } 32 \text{ else}$   
 $\text{if } op \in \text{boolean-unary} \text{ then } 32 \text{ else}$   
 $\text{ir-resultBits } op)$   
**by** (*metis Value.disc(1) Value.discI(1) Value.sel(1) new-int.simps unary-eval-new-int*)  
**then show** *?case*  
**by** (*metis xv linorder-le-cases linorder-not-less numeral-less-iff semiring-norm(76,78)*  
*grOI*  
*unary-normal-bitsize unary-not-normal-bitsize UnaryExpr.IH*)

**next**

**case** (*BinaryExpr op x y*)  
**then obtain** *xv yv* **where**  
 $xy: ([m,p] \vdash x \mapsto xv) \wedge$   
 $([m,p] \vdash y \mapsto yv) \wedge$



```

      IntVal b ix = bin-eval op xv yv
    by (auto simp add: unfold-binary)
  then have def: bin-eval op xv yv ≠ UndefVal and xv: xv ≠ UndefVal and yv ≠
UndefVal
    using evaltree-not-undef xy by (force, blast, blast)
  then have b = (if op ∈ binary-fixed-32-ops then 32 else intval-bits xv)
    by (metis xy intval-bits.simps new-int.simps bin-eval-new-int)
  then show ?case
    by (smt (verit, best) Value.distinct(9,11,13) BinaryExpr.IH(1) xv bin-eval-inputs-are-ints
xy
      intval-bits.elims le-add-same-cancel1 less-or-eq-imp-le numeral-Bit0 zero-less-numeral)
next
  case (ConditionalExpr xe1 xe2 xe3)
  then show ?case
    by (metis (full-types) EvalTreeE(3))
next
  case (ParameterExpr x1 x2)
  then show ?case
    apply auto
    using valid-value.elims(2)
    by (metis valid-stamp.simps(1) intval-bits.simps valid-value.simps(18))+
next
  case (LeafExpr x1 x2)
  then show ?case
    apply auto
    using valid-value.elims(1,2)
    by (metis Value.inject(1) valid-stamp.simps(1) valid-value.simps(18) Value.distinct(9))+
next
  case (ConstantExpr x)
  then show ?case
    by (metis wf-value-def constantAsStamp.simps(1) valid-stamp.simps(1) valid-value.simps(1)
      EvalTreeE(1))
next
  case (ConstantVar x)
  then show ?case
    by auto
next
  case (VariableExpr x1 x2)
  then show ?case
    by auto
qed

```

**lemma** *bin-eval-normal-bits*:

**assumes**  $op \in \text{binary-normal}$

**assumes**  $\text{bin-eval } op \ x \ y = xy$

**assumes**  $xy \neq \text{UndefVal}$

**shows**  $\exists xv \ yv \ xyv \ b. (x = \text{IntVal } b \ xv \wedge y = \text{IntVal } b \ yv \wedge xy = \text{IntVal } b \ xyv)$

**using** *assms* **apply** *simp*

```

proof (cases op ∈ binary-normal)
case True
then show ?thesis
  proof –
    have operator: xy = bin-eval op x y
      by (simp add: assms(2))
    obtain xv xb where xv: x = IntVal xb xv
    by (metis assms(3) bin-eval-inputs-are-ints bin-eval-int is-IntVal-def operator)
    obtain yv yb where yv: y = IntVal yb yv
    by (metis assms(3) bin-eval-inputs-are-ints bin-eval-int is-IntVal-def operator)
    then have notUndefMeansWidthSame: bin-eval op x y ≠ UndefVal ⇒ (xb
= yb)
      using assms apply (cases op; auto)
      by (metis intval-xor.simps(1) intval-or.simps(1) intval-div.simps(1) int-
val-mod.simps(1) intval-and.simps(1) intval-sub.simps(1)
intval-mul.simps(1) intval-add.simps(1) new-int-bin.elims xv)+
    then have inWidthsSame: xb = yb
      using assms(3) operator by auto
    obtain ob xyv where out: xy = IntVal ob xyv
      by (metis Value.collapse(1) assms(3) bin-eval-int operator)
    then have yb = ob
      using assms apply (cases op; auto)
      apply (simp add: inWidthsSame xv yv)+
      apply (metis assms(3) intval-bits.simps new-int.simps new-int-bin.elims)
      apply (metis xv yv Value.distinct(1) intval-mod.simps(1) new-int.simps
new-int-bin.elims)
      by (simp add: inWidthsSame xv yv)+
    then show ?thesis
      using xv yv inWidthsSame assms out by blast
  qed
next
  case False
  then show ?thesis
    using assms by simp
  qed

```

**lemma** *unfold-binary-width-bin-normal*:

**assumes** op ∈ binary-normal

**shows**  $\bigwedge xv yv.$

$IntVal b val = bin-eval op xv yv \implies$

$[m,p] \vdash xe \mapsto xv \implies$

$[m,p] \vdash ye \mapsto yv \implies$

$bin-eval op xv yv \neq UndefVal \implies$

$\exists xa.$

$(([m,p] \vdash xe \mapsto IntVal b xa) \wedge$

$(\exists ya. ([m,p] \vdash ye \mapsto IntVal b ya) \wedge$

$bin-eval op xv yv = bin-eval op (IntVal b xa) (IntVal b ya)))$

**using** assms **apply** simp

**subgoal** premises p **for** x y

**proof** –  
**obtain**  $xv\ yv$  **where**  $eval: ([m,p] \vdash xe \mapsto xv) \wedge ([m,p] \vdash ye \mapsto yv)$   
**using**  $p(2,3)$  **by** *blast*  
**then obtain**  $xa\ bb$  **where**  $xa: xv = IntVal\ bb\ xa$   
**by** (*metis bin-eval-inputs-are-ints evalDet p(1,2)*)  
**then obtain**  $ya\ yb$  **where**  $ya: yv = IntVal\ yb\ ya$   
**by** (*metis bin-eval-inputs-are-ints evalDet p(1,3) eval*)  
**then have**  $eqWidth: bb = b$   
**by** (*metis intval-bits.simps p(1,2,4) assms eval xa bin-eval-normal-bits evalDet*)  
**then obtain**  $xy$  **where**  $eval0: bin-eval\ op\ x\ y = IntVal\ b\ xy$   
**by** (*metis p(1)*)  
**then have**  $sameVals: bin-eval\ op\ x\ y = bin-eval\ op\ xv\ yv$   
**by** (*metis evalDet p(2,3) eval*)  
**then have**  $notUndefMeansSameWidth: bin-eval\ op\ xv\ yv \neq UndefVal \implies (bb = yb)$   
**using** *assms apply (cases op; auto)*  
**by** (*metis intval-add.simps(1) intval-mul.simps(1) intval-div.simps(1) intval-mod.simps(1) intval-sub.simps(1) intval-and.simps(1) intval-or.simps(1) intval-xor.simps(1) new-int-bin.simps xa ya*)  
**have**  $unfoldVal: bin-eval\ op\ x\ y = bin-eval\ op\ (IntVal\ bb\ xa)\ (IntVal\ yb\ ya)$   
**unfolding**  $sameVals\ xa\ ya$  **by** *simp*  
**then have**  $sameWidth: b = yb$   
**using**  $eqWidth\ notUndefMeansSameWidth\ p(4)\ sameVals$  **by** *force*  
**then show** *?thesis*  
**using**  $eqWidth\ eval\ xa\ ya\ unfoldVal$  **by** *blast*  
**qed**  
**done**

**lemma** *unfold-binary-width*:  
**assumes**  $op \in binary-normal$   
**shows**  $([m,p] \vdash BinaryExpr\ op\ xe\ ye \mapsto IntVal\ b\ val) = (\exists\ x\ y.$   
 $(([m,p] \vdash xe \mapsto IntVal\ b\ x) \wedge$   
 $([m,p] \vdash ye \mapsto IntVal\ b\ y) \wedge$   
 $(IntVal\ b\ val = bin-eval\ op\ (IntVal\ b\ x)\ (IntVal\ b\ y)) \wedge$   
 $(IntVal\ b\ val \neq UndefVal)$   
 $))$  **(is**  $?L = ?R$ )

**proof** (*intro iffI*)  
**assume**  $?L$   
**show**  $?R$   
**apply** (*rule evaltree.cases[OF ?L]*) **apply** *auto*  
**apply** (*cases op \in binary-normal*)  
**using** *unfold-binary-width-bin-normal assms* **by** *force+*  
**next**

**assume**  $R: ?R$   
**then obtain**  $x\ y$  **where**  $[m,p] \vdash xe \mapsto IntVal\ b\ x$   
**and**  $[m,p] \vdash ye \mapsto IntVal\ b\ y$   
**and**  $new-int\ b\ val = bin-eval\ op\ (IntVal\ b\ x)\ (IntVal\ b\ y)$   
**and**  $new-int\ b\ val \neq UndefVal$   
**using** *bin-eval-unused-bits-zero* **by** *force*

```

    then show ?L
      using R by blast
qed

end

```

## 7 Tree to Graph

```

theory TreeToGraph
  imports
    Semantics.IRTreeEval
    Graph.IRGraph
    Snippets.Snipping
begin

```

### 7.1 Subgraph to Data-flow Tree

```

fun find-node-and-stamp :: IRGraph  $\Rightarrow$  (IRNode  $\times$  Stamp)  $\Rightarrow$  ID option where
  find-node-and-stamp g (n,s) =
    find ( $\lambda i. \text{kind } g \ i = n \wedge \text{stamp } g \ i = s$ ) (sorted-list-of-set(ids g))

```

```

export-code find-node-and-stamp

```

```

fun is-preevaluated :: IRNode  $\Rightarrow$  bool where
  is-preevaluated (InvokeNode n - - - -) = True |
  is-preevaluated (InvokeWithExceptionNode n - - - - -) = True |
  is-preevaluated (NewInstanceNode n - - -) = True |
  is-preevaluated (LoadFieldNode n - - -) = True |
  is-preevaluated (SignedDivNode n - - - -) = True |
  is-preevaluated (SignedRemNode n - - - -) = True |
  is-preevaluated (ValuePhiNode n - -) = True |
  is-preevaluated (BytecodeExceptionNode n - -) = True |
  is-preevaluated (NewArrayNode n - -) = True |
  is-preevaluated (ArrayLengthNode n -) = True |
  is-preevaluated (LoadIndexedNode n - - -) = True |
  is-preevaluated (StoreIndexedNode n - - - - -) = True |
  is-preevaluated - = False

```

#### inductive

```

rep :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  IRExpr  $\Rightarrow$  bool (-  $\vdash$  -  $\simeq$  - 55)
for g where

```

```

  ConstantNode:
  [[kind g n = ConstantNode c]]
   $\implies g \vdash n \simeq (\text{ConstantExpr } c)$  |

```

```

  ParameterNode:
  [[kind g n = ParameterNode i;

```

$stamp\ g\ n = s]$   
 $\implies g \vdash n \simeq (ParameterExpr\ i\ s) \mid$

*ConditionalNode:*

$[[kind\ g\ n = ConditionalNode\ c\ t\ f;$   
 $g \vdash c \simeq ce;$   
 $g \vdash t \simeq te;$   
 $g \vdash f \simeq fe]]$   
 $\implies g \vdash n \simeq (ConditionalExpr\ ce\ te\ fe) \mid$

*AbsNode:*

$[[kind\ g\ n = AbsNode\ x;$   
 $g \vdash x \simeq xe]]$   
 $\implies g \vdash n \simeq (UnaryExpr\ UnaryAbs\ xe) \mid$

*ReverseBytesNode:*

$[[kind\ g\ n = ReverseBytesNode\ x;$   
 $g \vdash x \simeq xe]]$   
 $\implies g \vdash n \simeq (UnaryExpr\ UnaryReverseBytes\ xe) \mid$

*BitCountNode:*

$[[kind\ g\ n = BitCountNode\ x;$   
 $g \vdash x \simeq xe]]$   
 $\implies g \vdash n \simeq (UnaryExpr\ UnaryBitCount\ xe) \mid$

*NotNode:*

$[[kind\ g\ n = NotNode\ x;$   
 $g \vdash x \simeq xe]]$   
 $\implies g \vdash n \simeq (UnaryExpr\ UnaryNot\ xe) \mid$

*NegateNode:*

$[[kind\ g\ n = NegateNode\ x;$   
 $g \vdash x \simeq xe]]$   
 $\implies g \vdash n \simeq (UnaryExpr\ UnaryNeg\ xe) \mid$

*LogicNegationNode:*

$[[kind\ g\ n = LogicNegationNode\ x;$   
 $g \vdash x \simeq xe]]$   
 $\implies g \vdash n \simeq (UnaryExpr\ UnaryLogicNegation\ xe) \mid$

*AddNode:*

$[[kind\ g\ n = AddNode\ x\ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye]]$   
 $\implies g \vdash n \simeq (BinaryExpr\ BinAdd\ xe\ ye) \mid$

*MulNode:*

$\llbracket \text{kind } g \ n = \text{MulNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr BinMul } xe \ ye) \mid$

*DivNode:*  
 $\llbracket \text{kind } g \ n = \text{SignedFloatingIntegerDivNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr BinDiv } xe \ ye) \mid$

*ModNode:*  
 $\llbracket \text{kind } g \ n = \text{SignedFloatingIntegerRemNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr BinMod } xe \ ye) \mid$

*SubNode:*  
 $\llbracket \text{kind } g \ n = \text{SubNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr BinSub } xe \ ye) \mid$

*AndNode:*  
 $\llbracket \text{kind } g \ n = \text{AndNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr BinAnd } xe \ ye) \mid$

*OrNode:*  
 $\llbracket \text{kind } g \ n = \text{OrNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr BinOr } xe \ ye) \mid$

*XorNode:*  
 $\llbracket \text{kind } g \ n = \text{XorNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr BinXor } xe \ ye) \mid$

*ShortCircuitOrNode:*  
 $\llbracket \text{kind } g \ n = \text{ShortCircuitOrNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr BinShortCircuitOr } xe \ ye) \mid$

*LeftShiftNode:*  
 $\llbracket \text{kind } g \ n = \text{LeftShiftNode } x \ y;$

$$\begin{aligned}
& g \vdash x \simeq xe; \\
& g \vdash y \simeq ye \\
\implies & g \vdash n \simeq (\text{BinaryExpr BinLeftShift } xe \ ye) \mid
\end{aligned}$$

*RightShiftNode:*

$$\begin{aligned}
& \llbracket \text{kind } g \ n = \text{RightShiftNode } x \ y; \\
& g \vdash x \simeq xe; \\
& g \vdash y \simeq ye \rrbracket \\
\implies & g \vdash n \simeq (\text{BinaryExpr BinRightShift } xe \ ye) \mid
\end{aligned}$$

*UnsignedRightShiftNode:*

$$\begin{aligned}
& \llbracket \text{kind } g \ n = \text{UnsignedRightShiftNode } x \ y; \\
& g \vdash x \simeq xe; \\
& g \vdash y \simeq ye \rrbracket \\
\implies & g \vdash n \simeq (\text{BinaryExpr BinURightShift } xe \ ye) \mid
\end{aligned}$$

*IntegerBelowNode:*

$$\begin{aligned}
& \llbracket \text{kind } g \ n = \text{IntegerBelowNode } x \ y; \\
& g \vdash x \simeq xe; \\
& g \vdash y \simeq ye \rrbracket \\
\implies & g \vdash n \simeq (\text{BinaryExpr BinIntegerBelow } xe \ ye) \mid
\end{aligned}$$

*IntegerEqualsNode:*

$$\begin{aligned}
& \llbracket \text{kind } g \ n = \text{IntegerEqualsNode } x \ y; \\
& g \vdash x \simeq xe; \\
& g \vdash y \simeq ye \rrbracket \\
\implies & g \vdash n \simeq (\text{BinaryExpr BinIntegerEquals } xe \ ye) \mid
\end{aligned}$$

*IntegerLessThanNode:*

$$\begin{aligned}
& \llbracket \text{kind } g \ n = \text{IntegerLessThanNode } x \ y; \\
& g \vdash x \simeq xe; \\
& g \vdash y \simeq ye \rrbracket \\
\implies & g \vdash n \simeq (\text{BinaryExpr BinIntegerLessThan } xe \ ye) \mid
\end{aligned}$$

*IntegerTestNode:*

$$\begin{aligned}
& \llbracket \text{kind } g \ n = \text{IntegerTestNode } x \ y; \\
& g \vdash x \simeq xe; \\
& g \vdash y \simeq ye \rrbracket \\
\implies & g \vdash n \simeq (\text{BinaryExpr BinIntegerTest } xe \ ye) \mid
\end{aligned}$$

*IntegerNormalizeCompareNode:*

$$\begin{aligned}
& \llbracket \text{kind } g \ n = \text{IntegerNormalizeCompareNode } x \ y; \\
& g \vdash x \simeq xe; \\
& g \vdash y \simeq ye \rrbracket \\
\implies & g \vdash n \simeq (\text{BinaryExpr BinIntegerNormalizeCompare } xe \ ye) \mid
\end{aligned}$$

*IntegerMulHighNode:*

$$\begin{aligned}
& \llbracket \text{kind } g \ n = \text{IntegerMulHighNode } x \ y; \\
& g \vdash x \simeq xe;
\end{aligned}$$

$$g \vdash y \simeq ye] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinIntegerMulHigh } xe \ ye) \mid$$

*NarrowNode:*

$$[[\text{kind } g \ n = \text{NarrowNode } \text{inputBits } \text{resultBits } x; \\ g \vdash x \simeq xe]] \\ \implies g \vdash n \simeq (\text{UnaryExpr } (\text{UnaryNarrow } \text{inputBits } \text{resultBits}) \ xe) \mid$$

*SignExtendNode:*

$$[[\text{kind } g \ n = \text{SignExtendNode } \text{inputBits } \text{resultBits } x; \\ g \vdash x \simeq xe]] \\ \implies g \vdash n \simeq (\text{UnaryExpr } (\text{UnarySignExtend } \text{inputBits } \text{resultBits}) \ xe) \mid$$

*ZeroExtendNode:*

$$[[\text{kind } g \ n = \text{ZeroExtendNode } \text{inputBits } \text{resultBits } x; \\ g \vdash x \simeq xe]] \\ \implies g \vdash n \simeq (\text{UnaryExpr } (\text{UnaryZeroExtend } \text{inputBits } \text{resultBits}) \ xe) \mid$$

*LeafNode:*

$$[[\text{is-preevaluated } (\text{kind } g \ n); \\ \text{stamp } g \ n = s]] \\ \implies g \vdash n \simeq (\text{LeafExpr } n \ s) \mid$$

*PiNode:*

$$[[\text{kind } g \ n = \text{PiNode } n' \ \text{guard}; \\ g \vdash n' \simeq e]] \\ \implies g \vdash n \simeq e \mid$$

*RefNode:*

$$[[\text{kind } g \ n = \text{RefNode } n'; \\ g \vdash n' \simeq e]] \\ \implies g \vdash n \simeq e \mid$$

*IsNullNode:*

$$[[\text{kind } g \ n = \text{IsNullNode } v; \\ g \vdash v \simeq lfn]] \\ \implies g \vdash n \simeq (\text{UnaryExpr } \text{UnaryIsNull } lfn)$$

**code-pred** (*modes: i ⇒ i ⇒ o ⇒ bool as exprE*) *rep* .

**inductive**

*replist* :: *IRGraph* ⇒ *ID list* ⇒ *IRExpr list* ⇒ *bool* (- ⊢ - [≃] - 55)  
**for g where**



*RepNil:*  
 $g \vdash [] [\simeq] [] \mid$

*RepCons:*  
 $\llbracket g \vdash x \simeq xe;$   
 $g \vdash xs [\simeq] xse \rrbracket$   
 $\implies g \vdash x\#xs [\simeq] xe\#xse$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *exprListE*) *replist* .

**definition** *wf-term-graph* ::  $\text{MapState} \Rightarrow \text{Params} \Rightarrow \text{IRGraph} \Rightarrow \text{ID} \Rightarrow \text{bool}$  **where**  
*wf-term-graph* *m p g n* =  $(\exists e. (g \vdash n \simeq e) \wedge (\exists v. ([m, p] \vdash e \mapsto v)))$

**values** {*t. eg2-sq*  $\vdash 4 \simeq t$ }

## 7.2 Data-flow Tree to Subgraph

**fun** *unary-node* ::  $\text{IRUnaryOp} \Rightarrow \text{ID} \Rightarrow \text{IRNode}$  **where**  
*unary-node* *UnaryAbs* *v* = *AbsNode* *v* |  
*unary-node* *UnaryNot* *v* = *NotNode* *v* |  
*unary-node* *UnaryNeg* *v* = *NegateNode* *v* |  
*unary-node* *UnaryLogicNegation* *v* = *LogicNegationNode* *v* |  
*unary-node* (*UnaryNarrow* *ib rb*) *v* = *NarrowNode* *ib rb v* |  
*unary-node* (*UnarySignExtend* *ib rb*) *v* = *SignExtendNode* *ib rb v* |  
*unary-node* (*UnaryZeroExtend* *ib rb*) *v* = *ZeroExtendNode* *ib rb v* |  
*unary-node* *UnaryIsNull* *v* = *IsNullNode* *v* |  
*unary-node* *UnaryReverseBytes* *v* = *ReverseBytesNode* *v* |  
*unary-node* *UnaryBitCount* *v* = *BitCountNode* *v*

**fun** *bin-node* ::  $\text{IRBinaryOp} \Rightarrow \text{ID} \Rightarrow \text{ID} \Rightarrow \text{IRNode}$  **where**  
*bin-node* *BinAdd* *x y* = *AddNode* *x y* |  
*bin-node* *BinMul* *x y* = *MulNode* *x y* |  
*bin-node* *BinDiv* *x y* = *SignedFloatingIntegerDivNode* *x y* |  
*bin-node* *BinMod* *x y* = *SignedFloatingIntegerRemNode* *x y* |  
*bin-node* *BinSub* *x y* = *SubNode* *x y* |  
*bin-node* *BinAnd* *x y* = *AndNode* *x y* |  
*bin-node* *BinOr* *x y* = *OrNode* *x y* |  
*bin-node* *BinXor* *x y* = *XorNode* *x y* |  
*bin-node* *BinShortCircuitOr* *x y* = *ShortCircuitOrNode* *x y* |  
*bin-node* *BinLeftShift* *x y* = *LeftShiftNode* *x y* |  
*bin-node* *BinRightShift* *x y* = *RightShiftNode* *x y* |  
*bin-node* *BinURightShift* *x y* = *UnsignedRightShiftNode* *x y* |  
*bin-node* *BinIntegerEquals* *x y* = *IntegerEqualsNode* *x y* |  
*bin-node* *BinIntegerLessThan* *x y* = *IntegerLessThanNode* *x y* |  
*bin-node* *BinIntegerBelow* *x y* = *IntegerBelowNode* *x y* |  
*bin-node* *BinIntegerTest* *x y* = *IntegerTestNode* *x y* |  
*bin-node* *BinIntegerNormalizeCompare* *x y* = *IntegerNormalizeCompareNode* *x y*

```

|
  bin-node BinIntegerMulHigh x y = IntegerMulHighNode x y

inductive fresh-id :: IRGraph ⇒ ID ⇒ bool where
  n ∉ ids g ⇒ fresh-id g n

code-pred fresh-id .

fun get-fresh-id :: IRGraph ⇒ ID where

  get-fresh-id g = last(sorted-list-of-set(ids g)) + 1

export-code get-fresh-id

value get-fresh-id eg2-sq
value get-fresh-id (add-node 6 (ParameterNode 2, default-stamp) eg2-sq)

inductive unique :: IRGraph ⇒ (IRNode × Stamp) ⇒ (IRGraph × ID) ⇒ bool
where
  Exists:
  [[find-node-and-stamp g node = Some n]]
  ⇒ unique g node (g, n) |
  New:
  [[find-node-and-stamp g node = None;
   n = get-fresh-id g;
   g' = add-node n node g]]
  ⇒ unique g node (g', n)

code-pred (modes: i ⇒ i ⇒ o ⇒ bool as uniqueE) unique .

inductive
  unrep :: IRGraph ⇒ IRExpr ⇒ (IRGraph × ID) ⇒ bool (- ⊕ - ∼ - 55)
  where

  UnrepConstantNode:
  [[unique g (ConstantNode c, constantAsStamp c) (g1, n)]]
  ⇒ g ⊕ (ConstantExpr c) ∼ (g1, n) |

  UnrepParameterNode:
  [[unique g (ParameterNode i, s) (g1, n)]]
  ⇒ g ⊕ (ParameterExpr i s) ∼ (g1, n) |

  UnrepConditionalNode:
  [[g ⊕ ce ∼ (g1, c);
   g1 ⊕ te ∼ (g2, t);
   g2 ⊕ fe ∼ (g3, f);
   s' = meet (stamp g3 t) (stamp g3 f)];

```

$\text{unique } g_3 \text{ (ConditionalNode } c \ t \ f, \ s') \ (g_4, \ n)\llbracket$   
 $\implies g \oplus \text{(ConditionalExpr } ce \ te \ fe) \rightsquigarrow (g_4, \ n) \mid$

*UnrepUnaryNode:*

$\llbracket g \oplus xe \rightsquigarrow (g_1, \ x);$   
 $s' = \text{stamp-unary op (stamp } g_1 \ x);$   
 $\text{unique } g_1 \text{ (unary-node op } x, \ s') \ (g_2, \ n)\llbracket$   
 $\implies g \oplus \text{(UnaryExpr op } xe) \rightsquigarrow (g_2, \ n) \mid$

*UnrepBinaryNode:*

$\llbracket g \oplus xe \rightsquigarrow (g_1, \ x);$   
 $g_1 \oplus ye \rightsquigarrow (g_2, \ y);$   
 $s' = \text{stamp-binary op (stamp } g_2 \ x) \text{ (stamp } g_2 \ y);$   
 $\text{unique } g_2 \text{ (bin-node op } x \ y, \ s') \ (g_3, \ n)\llbracket$   
 $\implies g \oplus \text{(BinaryExpr op } xe \ ye) \rightsquigarrow (g_3, \ n) \mid$

*AllLeafNodes:*

$\llbracket \text{stamp } g \ n = s;$   
 $\text{is-preevaluated (kind } g \ n)\llbracket$   
 $\implies g \oplus \text{(LeafExpr } n \ s) \rightsquigarrow (g, \ n)$

**code-pred** (*modes: i ⇒ i ⇒ o ⇒ bool as unrepE*)  
*unrep .*

*uniqueRules*

$\frac{\text{find-node-and-stamp } (g::\text{IRGraph}) \text{ (node}::\text{IRNode} \times \text{Stamp}) = \text{Some } (n::\text{nat})}{\text{unique } g \ \text{node } (g, \ n)}$

$\frac{\text{find-node-and-stamp } (g::\text{IRGraph}) \text{ (node}::\text{IRNode} \times \text{Stamp}) = \text{None}$   
 $(n::\text{nat}) = \text{get-fresh-id } g \quad (g'::\text{IRGraph}) = \text{add-node } n \ \text{node } g}{\text{unique } g \ \text{node } (g', \ n)}$

*unrepRules*

$$\begin{array}{c}
\frac{\text{unique } (g::IRGraph) \text{ (ConstantNode } (c::Value), \text{ constantAsStamp } c) (g_1::IRGraph, n::nat)}{g \oplus \text{ConstantExpr } c \rightsquigarrow (g_1, n)} \\
\frac{\text{unique } (g::IRGraph) \text{ (ParameterNode } (i::nat), s::Stamp) (g_1::IRGraph, n::nat)}{g \oplus \text{ParameterExpr } i \ s \rightsquigarrow (g_1, n)} \\
\frac{\begin{array}{c} g::IRGraph \oplus ce::IRExpr \rightsquigarrow (g_1::IRGraph, c::nat) \\ g_1 \oplus te::IRExpr \rightsquigarrow (g_2::IRGraph, t::nat) \\ g_2 \oplus fe::IRExpr \rightsquigarrow (g_3::IRGraph, f::nat) \\ (s'::Stamp) = \text{meet } (\text{stamp } g_3 \ t) \ (\text{stamp } g_3 \ f) \\ \text{unique } g_3 \text{ (ConditionalNode } c \ t \ f, s') (g_4::IRGraph, n::nat) \end{array}}{g \oplus \text{ConditionalExpr } ce \ te \ fe \rightsquigarrow (g_4, n)} \\
\frac{\begin{array}{c} g::IRGraph \oplus xe::IRExpr \rightsquigarrow (g_1::IRGraph, x::nat) \\ g_1 \oplus ye::IRExpr \rightsquigarrow (g_2::IRGraph, y::nat) \\ (s'::Stamp) = \text{stamp-binary } (op::IRBinaryOp) \ (\text{stamp } g_2 \ x) \ (\text{stamp } g_2 \ y) \\ \text{unique } g_2 \text{ (bin-node } op \ x \ y, s') (g_3::IRGraph, n::nat) \end{array}}{g \oplus \text{BinaryExpr } op \ xe \ ye \rightsquigarrow (g_3, n)} \\
\frac{\begin{array}{c} g::IRGraph \oplus xe::IRExpr \rightsquigarrow (g_1::IRGraph, x::nat) \\ (s'::Stamp) = \text{stamp-unary } (op::IRUnaryOp) \ (\text{stamp } g_1 \ x) \\ \text{unique } g_1 \text{ (unary-node } op \ x, s') (g_2::IRGraph, n::nat) \end{array}}{g \oplus \text{UnaryExpr } op \ xe \rightsquigarrow (g_2, n)} \\
\frac{\begin{array}{c} \text{stamp } (g::IRGraph) \ (n::nat) = (s::Stamp) \\ \text{is-preevaluated } (\text{kind } g \ n) \end{array}}{g \oplus \text{LeafExpr } n \ s \rightsquigarrow (g, n)}
\end{array}$$

### 7.3 Lift Data-flow Tree Semantics

**inductive** *encodeeval* :: *IRGraph* ⇒ *MapState* ⇒ *Params* ⇒ *ID* ⇒ *Value* ⇒ *bool*

([*-, -, -*] ⊢ - ↦ - 50)

**where**

(*g* ⊢ *n* ≃ *e*) ∧ ([*m, p*] ⊢ *e* ↦ *v*) ⇒ [*g, m, p*] ⊢ *n* ↦ *v*

**code-pred** (*modes*: *i* ⇒ *i* ⇒ *i* ⇒ *i* ⇒ *o* ⇒ *bool*) *encodeeval* .

**inductive** *encodeEvalAll* :: *IRGraph* ⇒ *MapState* ⇒ *Params* ⇒ *ID list* ⇒ *Value list* ⇒ *bool*

([*-, -, -*] ⊢ - [↦] - 60) **where**

(*g* ⊢ *nids* [≃] *es*) ∧ ([*m, p*] ⊢ *es* [↦] *vs*) ⇒ ([*g, m, p*] ⊢ *nids* [↦] *vs*)

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *encodeEvalAll* .

## 7.4 Graph Refinement

**definition** *graph-represents-expression* ::  $IRGraph \Rightarrow ID \Rightarrow IRExpr \Rightarrow \text{bool}$   
 $(- \vdash - \trianglelefteq - 50)$

**where**

$(g \vdash n \trianglelefteq e) = (\exists e' . (g \vdash n \simeq e') \wedge (e' \leq e))$

**definition** *graph-refinement* ::  $IRGraph \Rightarrow IRGraph \Rightarrow \text{bool}$  **where**

*graph-refinement*  $g_1 g_2 =$   
 $((ids\ g_1 \subseteq ids\ g_2) \wedge$   
 $(\forall n . n \in ids\ g_1 \longrightarrow (\forall e. (g_1 \vdash n \simeq e) \longrightarrow (g_2 \vdash n \trianglelefteq e))))$

**lemma** *graph-refinement*:

*graph-refinement*  $g_1 g_2 \implies$   
 $(\forall n\ m\ p\ v. n \in ids\ g_1 \longrightarrow ([g_1, m, p] \vdash n \mapsto v) \longrightarrow ([g_2, m, p] \vdash n \mapsto v))$

**by** (*meson encodeeval.simps graph-refinement-def graph-represents-expression-def le-expr-def*)

## 7.5 Maximal Sharing

**definition** *maximal-sharing*:

*maximal-sharing*  $g = (\forall n_1\ n_2 . n_1 \in \text{true-ids}\ g \wedge n_2 \in \text{true-ids}\ g \longrightarrow$   
 $(\forall e. (g \vdash n_1 \simeq e) \wedge (g \vdash n_2 \simeq e) \wedge (\text{stamp}\ g\ n_1 = \text{stamp}\ g\ n_2) \longrightarrow n_1 =$   
 $n_2))$

**end**

## 7.6 Formedness Properties

**theory** *Form*

**imports**

*Semantics.TreeToGraph*

**begin**

**definition** *wf-start* **where**

*wf-start*  $g = (0 \in ids\ g \wedge$   
 $is\ StartNode\ (kind\ g\ 0))$

**definition** *wf-closed* **where**

*wf-closed*  $g =$   
 $(\forall n \in ids\ g .$   
 $inputs\ g\ n \subseteq ids\ g \wedge$   
 $succ\ g\ n \subseteq ids\ g \wedge$   
 $kind\ g\ n \neq NoNode)$

**definition** *wf-phs* **where**

*wf-phs*  $g =$

$$\begin{aligned}
& (\forall n \in \text{ids } g. \\
& \quad \text{is-PhiNode } (\text{kind } g \ n) \longrightarrow \\
& \quad \text{length } (\text{ir-values } (\text{kind } g \ n)) \\
& \quad = \text{length } (\text{ir-ends } \\
& \quad \quad (\text{kind } g \ (\text{ir-merge } (\text{kind } g \ n))))))
\end{aligned}$$

**definition** *wf-ends* **where**

$$\begin{aligned}
\text{wf-ends } g & = \\
& (\forall n \in \text{ids } g . \\
& \quad \text{is-AbstractEndNode } (\text{kind } g \ n) \longrightarrow \\
& \quad \text{card } (\text{usages } g \ n) > 0)
\end{aligned}$$

**fun** *wf-graph* :: *IRGraph*  $\Rightarrow$  *bool* **where**

$$\text{wf-graph } g = (\text{wf-start } g \wedge \text{wf-closed } g \wedge \text{wf-phis } g \wedge \text{wf-ends } g)$$

**lemmas** *wf-folds* =

*wf-graph.simps*  
*wf-start-def*  
*wf-closed-def*  
*wf-phis-def*  
*wf-ends-def*

**fun** *wf-stamps* :: *IRGraph*  $\Rightarrow$  *bool* **where**

$$\begin{aligned}
\text{wf-stamps } g & = (\forall n \in \text{ids } g . \\
& \quad (\forall v \ m \ p \ e . (g \vdash n \simeq e) \wedge ([m, p] \vdash e \mapsto v) \longrightarrow \text{valid-value } v \ (\text{stamp-expr } e)))
\end{aligned}$$

**fun** *wf-stamp* :: *IRGraph*  $\Rightarrow$  (*ID*  $\Rightarrow$  *Stamp*)  $\Rightarrow$  *bool* **where**

$$\begin{aligned}
\text{wf-stamp } g \ s & = (\forall n \in \text{ids } g . \\
& \quad (\forall v \ m \ p \ e . (g \vdash n \simeq e) \wedge ([m, p] \vdash e \mapsto v) \longrightarrow \text{valid-value } v \ (s \ n)))
\end{aligned}$$

**lemma** *wf-empty*: *wf-graph start-end-graph*

**unfolding** *wf-folds* **by** (*simp add: start-end-graph-def*)

**lemma** *wf-eg2-sq*: *wf-graph eg2-sq*

**unfolding** *wf-folds* **by** (*simp add: eg2-sq-def*)

**fun** *wf-logic-node-inputs* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *bool* **where**

$$\begin{aligned}
\text{wf-logic-node-inputs } g \ n & = \\
& (\forall \text{inp} \in \text{set } (\text{inputs-of } (\text{kind } g \ n)) . (\forall v \ m \ p . ([g, m, p] \vdash \text{inp} \mapsto v) \longrightarrow \text{wf-bool } v))
\end{aligned}$$

**fun** *wf-values* :: *IRGraph*  $\Rightarrow$  *bool* **where**

$$\begin{aligned}
\text{wf-values } g & = (\forall n \in \text{ids } g . \\
& \quad (\forall v \ m \ p . ([g, m, p] \vdash n \mapsto v) \longrightarrow \\
& \quad \quad (\text{is-LogicNode } (\text{kind } g \ n) \longrightarrow \\
& \quad \quad \quad \text{wf-bool } v \wedge \text{wf-logic-node-inputs } g \ n)))
\end{aligned}$$

**end**

## 7.7 Dynamic Frames

This theory defines two operators, 'unchanged' and 'changeonly', that are useful for specifying which nodes in an IRGraph can change. The dynamic framing idea originates from 'Dynamic Frames' in software verification, started by Ioannis T. Kassios in "Dynamic frames: Support for framing, dependencies and sharing without restrictions", In FM 2006.

**theory** *IRGraphFrames*

**imports**

*Form*

**begin**

**fun** *unchanged* :: *ID set*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool* **where**

*unchanged ns g1 g2* =  $(\forall n . n \in ns \longrightarrow$

$(n \in ids\ g1 \wedge n \in ids\ g2 \wedge kind\ g1\ n = kind\ g2\ n \wedge stamp\ g1\ n = stamp\ g2\ n))$

**fun** *changeonly* :: *ID set*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool* **where**

*changeonly ns g1 g2* =  $(\forall n . n \in ids\ g1 \wedge n \notin ns \longrightarrow$

$(n \in ids\ g1 \wedge n \in ids\ g2 \wedge kind\ g1\ n = kind\ g2\ n \wedge stamp\ g1\ n = stamp\ g2\ n))$

**lemma** *node-unchanged*:

**assumes** *unchanged ns g1 g2*

**assumes** *nid*  $\in$  *ns*

**shows** *kind g1 nid* = *kind g2 nid*

**using** *assms* **by** *simp*

**lemma** *other-node-unchanged*:

**assumes** *changeonly ns g1 g2*

**assumes** *nid*  $\in$  *ids g1*

**assumes** *nid*  $\notin$  *ns*

**shows** *kind g1 nid* = *kind g2 nid*

**using** *assms* **by** *simp*

Some notation for input nodes used

**inductive** *eval-uses*:: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID*  $\Rightarrow$  *bool*

**for** *g* **where**

*use0*: *nid*  $\in$  *ids g*

$\implies$  *eval-uses g nid nid* |

*use-inp*: *nid'*  $\in$  *inputs g n*

$\implies$  *eval-uses g nid nid'* |

*use-trans*:  $\llbracket$  *eval-uses g nid nid'*;

*eval-uses g nid' nid''*  $\rrbracket$

$\implies$  *eval-uses g nid nid''*

```

fun eval-usages :: IRGraph ⇒ ID ⇒ ID set where
  eval-usages g nid = {n ∈ ids g . eval-uses g nid n}

lemma eval-usages-self:
  assumes nid ∈ ids g
  shows nid ∈ eval-usages g nid
  using assms by (simp add: ids.rep-eq eval-uses.intros(1))

lemma not-in-g-inputs:
  assumes nid ∉ ids g
  shows inputs g nid = {}
proof –
  have k: kind g nid = NoNode
    using assms by (simp add: not-in-g)
  then show ?thesis
    by (simp add: k)
qed

lemma child-member:
  assumes n = kind g nid
  assumes n ≠ NoNode
  assumes List.member (inputs-of n) child
  shows child ∈ inputs g nid
  by (metis in-set-member inputs.simps assms(1,3))

lemma child-member-in:
  assumes nid ∈ ids g
  assumes List.member (inputs-of (kind g nid)) child
  shows child ∈ inputs g nid
  by (metis child-member ids-some assms)

lemma inp-in-g:
  assumes n ∈ inputs g nid
  shows nid ∈ ids g
proof –
  have inputs g nid ≠ {}
    by (metis empty-iff empty-set assms)
  then have kind g nid ≠ NoNode
    by (metis not-in-g-inputs ids-some)
  then show ?thesis
    by (metis not-in-g)
qed

lemma inp-in-g-wf:
  assumes wf-graph g
  assumes n ∈ inputs g nid
  shows n ∈ ids g
  using assms wf-folds inp-in-g by blast

```



**lemma** *kind-unchanged*:  
**assumes**  $nid \in ids\ g1$   
**assumes** *unchanged* (*eval-usages*  $g1\ nid$ )  $g1\ g2$   
**shows**  $kind\ g1\ nid = kind\ g2\ nid$   
**proof** –  
**show** *?thesis*  
**using** *assms eval-usages-self by simp*  
**qed**

**lemma** *stamp-unchanged*:  
**assumes**  $nid \in ids\ g1$   
**assumes** *unchanged* (*eval-usages*  $g1\ nid$ )  $g1\ g2$   
**shows**  $stamp\ g1\ nid = stamp\ g2\ nid$   
**by** (*meson assms eval-usages-self unchanged.elims(2)*)

**lemma** *child-unchanged*:  
**assumes**  $child \in inputs\ g1\ nid$   
**assumes** *unchanged* (*eval-usages*  $g1\ nid$ )  $g1\ g2$   
**shows** *unchanged* (*eval-usages*  $g1\ child$ )  $g1\ g2$   
**by** (*smt assms eval-usages.simps mem-Collect-eq unchanged.simps use-inp use-trans*)

**lemma** *eval-usages*:  
**assumes**  $us = eval-usages\ g\ nid$   
**assumes**  $nid' \in ids\ g$   
**shows**  $eval-uses\ g\ nid\ nid' \longleftrightarrow nid' \in us$  (**is**  $?P \longleftrightarrow ?Q$ )  
**using** *assms by (simp add: ids.rep-eq)*

**lemma** *inputs-are-uses*:  
**assumes**  $nid' \in inputs\ g\ nid$   
**shows**  $eval-uses\ g\ nid\ nid'$   
**by** (*metis assms use-inp*)

**lemma** *inputs-are-usages*:  
**assumes**  $nid' \in inputs\ g\ nid$   
**assumes**  $nid' \in ids\ g$   
**shows**  $nid' \in eval-usages\ g\ nid$   
**using** *assms by (simp add: inputs-are-uses)*

**lemma** *inputs-of-are-usages*:  
**assumes**  $List.member\ (inputs-of\ (kind\ g\ nid))\ nid'$   
**assumes**  $nid' \in ids\ g$   
**shows**  $nid' \in eval-usages\ g\ nid$   
**by** (*metis assms in-set-member inputs.elims inputs-are-usages*)

**lemma** *usage-includes-inputs*:  
**assumes**  $us = eval-usages\ g\ nid$   
**assumes**  $ls = inputs\ g\ nid$   
**assumes**  $ls \subseteq ids\ g$

```

shows  $ls \subseteq us$ 
using inputs-are-usages assms by blast

lemma elim-inp-set:
assumes  $k = \text{kind } g \text{ } nid$ 
assumes  $k \neq \text{NoNode}$ 
assumes  $child \in \text{set } (\text{inputs-of } k)$ 
shows  $child \in \text{inputs } g \text{ } nid$ 
using assms by simp

lemma encode-in-ids:
assumes  $g \vdash nid \simeq e$ 
shows  $nid \in \text{ids } g$ 
using assms apply (induction rule: rep.induct) by fastforce+

lemma eval-in-ids:
assumes  $[g, m, p] \vdash nid \mapsto v$ 
shows  $nid \in \text{ids } g$ 
using assms encode-in-ids by (auto simp add: encodeeval.simps)

lemma transitive-kind-same:
assumes unchanged (eval-usages  $g1 \text{ } nid$ )  $g1 \text{ } g2$ 
shows  $\forall nid' \in (\text{eval-usages } g1 \text{ } nid) . \text{kind } g1 \text{ } nid' = \text{kind } g2 \text{ } nid'$ 
by (meson unchanged.elims(1) assms)

theorem stay-same-encoding:
assumes nc: unchanged (eval-usages  $g1 \text{ } nid$ )  $g1 \text{ } g2$ 
assumes g1:  $g1 \vdash nid \simeq e$ 
assumes wf: wf-graph  $g1$ 
shows  $g2 \vdash nid \simeq e$ 
proof –
  have dom:  $nid \in \text{ids } g1$ 
    using g1 encode-in-ids by simp
  show ?thesis
    using g1 nc wf dom
  proof (induction e rule: rep.induct)
  case (ConstantNode  $n \text{ } c$ )
    then have  $\text{kind } g2 \text{ } n = \text{ConstantNode } c$ 
      by (metis kind-unchanged)
    then show ?case
      using rep.ConstantNode by presburger
  next
  case (ParameterNode  $n \text{ } i \text{ } s$ )
    then have  $\text{kind } g2 \text{ } n = \text{ParameterNode } i$ 
      by (metis kind-unchanged)
    then show ?case
      by (metis ParameterNode.hyps(2) ParameterNode.prem(1,3) rep.ParameterNode
stamp-unchanged)
  next

```

```

case (ConditionalNode n c t f ce te fe)
then have kind g2 n = ConditionalNode c t f
  by (metis kind-unchanged)
have c ∈ eval-usages g1 n ∧ t ∈ eval-usages g1 n ∧ f ∈ eval-usages g1 n
by (metis inputs-of-ConditionalNode ConditionalNode.hyps(1,2,3,4) encode-in-ids
inputs.simps
  inputs-are-usages list.set-intros(1) set-subset-Cons subset-code(1))
then show ?case
by (metis ConditionalNode.hyps(1) ConditionalNode.prem(1) IRNodes.inputs-of-ConditionalNode
  ⟨kind g2 n = ConditionalNode c t f⟩ child-unchanged inputs.simps list.set-intros(1)
  local.ConditionalNode(5,6,7,9) rep.ConditionalNode set-subset-Cons sub-
set-code(1)
  unchanged.elims(2))
next
case (AbsNode n x xe)
then have kind g2 n = AbsNode x
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n
by (metis inputs-of-AbsNode AbsNode.hyps(1,2) encode-in-ids inputs.simps in-
puts-are-usages
  list.set-intros(1))
then show ?case
by (metis AbsNode.IH AbsNode.hyps(1) AbsNode.prem(1,3) IRNodes.inputs-of-AbsNode
rep.AbsNode
  ⟨kind g2 n = AbsNode x⟩ child-member-in child-unchanged local.wf mem-
ber-rec(1)
  unchanged.simps)
next
case (ReverseBytesNode n x xe)
then have kind g2 n = ReverseBytesNode x
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n
by (metis IRNodes.inputs-of-ReverseBytesNode ReverseBytesNode.hyps(1,2)
encode-in-ids
  inputs.simps inputs-are-usages list.set-intros(1))
then show ?case
by (metis IRNodes.inputs-of-ReverseBytesNode ReverseBytesNode.IH Reverse-
BytesNode.hyps(1,2)
  ReverseBytesNode.prem(1) child-member-in child-unchanged local.wf mem-
ber-rec(1)
  ⟨kind g2 n = ReverseBytesNode x⟩ encode-in-ids rep.ReverseBytesNode)
next
case (BitCountNode n x xe)
then have kind g2 n = BitCountNode x
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n
by (metis BitCountNode.hyps(1,2) IRNodes.inputs-of-BitCountNode encode-in-ids

```

```

inputs.simps
  inputs-are-usages list.set-intros(1))
  then show ?case
    by (metis BitCountNode.IH BitCountNode.hyps(1,2) BitCountNode.prem(1)
member-rec(1) local.wf
      IRNodes.inputs-of-BitCountNode ⟨kind g2 n = BitCountNode x⟩ encode-in-ids
rep.BitCountNode
      child-member-in child-unchanged)
next
  case (NotNode n x xe)
  then have kind g2 n = NotNode x
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
    by (metis inputs-of-NotNode NotNode.hyps(1,2) encode-in-ids inputs.simps in-
puts-are-usages
      list.set-intros(1))
  then show ?case
    by (metis NotNode.IH NotNode.hyps(1) NotNode.prem(1,3) IRNodes.inputs-of-NotNode
rep.NotNode
      ⟨kind g2 n = NotNode x⟩ child-member-in child-unchanged local.wf mem-
ber-rec(1)
      unchanged.simps)
next
  case (NegateNode n x xe)
  then have kind g2 n = NegateNode x
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
    by (metis inputs-of-NegateNode NegateNode.hyps(1,2) encode-in-ids inputs.simps
inputs-are-usages
      list.set-intros(1))
  then show ?case
    by (metis IRNodes.inputs-of-NegateNode NegateNode.IH NegateNode.hyps(1)
NegateNode.prem(1,3)
      ⟨kind g2 n = NegateNode x⟩ child-member-in child-unchanged local.wf
member-rec(1)
      rep.NegateNode unchanged.elims(1))
next
  case (LogicNegationNode n x xe)
  then have kind g2 n = LogicNegationNode x
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
    by (metis inputs-of-LogicNegationNode inputs-of-are-usages LogicNegationN-
ode.hyps(1,2)
      encode-in-ids member-rec(1))
  then show ?case
    by (metis IRNodes.inputs-of-LogicNegationNode LogicNegationNode.IH Logic-
NegationNode.hyps(1,2)
      LogicNegationNode.prem(1) ⟨kind g2 n = LogicNegationNode x⟩ child-unchanged
encode-in-ids

```

```

      inputs.simps list.set-intros(1) local.wf rep.LogicNegationNode)
next
  case (AddNode n x y xe ye)
  then have kind g2 n = AddNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis AddNode.hyps(1,2,3) IRNodes.inputs-of-AddNode encode-in-ids in-mono
inputs.simps
      inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
    by (metis AddNode.IH(1,2) AddNode.hyps(1,2,3) AddNode.premis(1) IRN-
odes.inputs-of-AddNode
      ⟨kind g2 n = AddNode x y⟩ child-unchanged encode-in-ids in-set-member
inputs.simps
      local.wf member-rec(1) rep.AddNode)
next
  case (MulNode n x y xe ye)
  then have kind g2 n = MulNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis MulNode.hyps(1,2,3) IRNodes.inputs-of-MulNode encode-in-ids in-mono
inputs.simps
      inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
    by (metis ⟨kind g2 n = MulNode x y⟩ child-unchanged inputs.simps list.set-intros(1)
rep.MulNode
      set-subset-Cons subset-iff unchanged.elims(2) inputs-of-MulNode MulN-
ode(1,4,5,6,7))
next
  case (DivNode n x y xe ye)
  then have kind g2 n = SignedFloatingIntegerDivNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis DivNode.hyps(1,2,3) IRNodes.inputs-of-SignedFloatingIntegerDivNode
encode-in-ids in-mono inputs.simps
      inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
    by (metis ⟨kind g2 n = SignedFloatingIntegerDivNode x y⟩ child-unchanged
inputs.simps list.set-intros(1) rep.DivNode
      set-subset-Cons subset-iff unchanged.elims(2) inputs-of-SignedFloatingIntegerDivNode
DivNode(1,4,5,6,7))
next
  case (ModNode n x y xe ye)
  then have kind g2 n = SignedFloatingIntegerRemNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis ModNode.hyps(1,2,3) IRNodes.inputs-of-SignedFloatingIntegerRemNode
encode-in-ids in-mono inputs.simps
      inputs-are-usages list.set-intros(1) set-subset-Cons)

```

```

then show ?case
  by (metis ‹kind g2 n = SignedFloatingIntegerRemNode x y› child-unchanged
inputs.simps list.set-intros(1) rep.ModNode
  set-subset-Cons subset-iff unchanged.elims(2) inputs-of-SignedFloatingIntegerRemNode
ModNode(1,4,5,6,7))
next
  case (SubNode n x y xe ye)
  then have kind g2 n = SubNode x y
  by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis SubNode.hyps(1,2,3) IRNodes.inputs-of-SubNode encode-in-ids in-mono
inputs.simps
  inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
  by (metis ‹kind g2 n = SubNode x y› child-member child-unchanged encode-in-ids
ids-some SubNode
  member-rec(1) rep.SubNode inputs-of-SubNode)
next
  case (AndNode n x y xe ye)
  then have kind g2 n = AndNode x y
  by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis AndNode.hyps(1,2,3) IRNodes.inputs-of-AndNode encode-in-ids in-mono
inputs.simps
  inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
  by (metis AndNode(1,4,5,6,7) inputs-of-AndNode ‹kind g2 n = AndNode x y›
child-unchanged
  inputs.simps list.set-intros(1) rep.AndNode set-subset-Cons subset-iff un-
changed.elims(2))
next
  case (OrNode n x y xe ye)
  then have kind g2 n = OrNode x y
  by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis OrNode.hyps(1,2,3) IRNodes.inputs-of-OrNode encode-in-ids in-mono
inputs.simps
  inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
  by (metis inputs-of-OrNode ‹kind g2 n = OrNode x y› child-unchanged en-
code-in-ids rep.OrNode
  child-member ids-some member-rec(1) OrNode)
next
  case (XorNode n x y xe ye)
  then have kind g2 n = XorNode x y
  by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis XorNode.hyps(1,2,3) IRNodes.inputs-of-XorNode encode-in-ids in-mono
inputs.simps)

```

```

      inputs-are-usages list.set-intros(1) set-subset-Cons)
    then show ?case
    by (metis inputs-of-XorNode ⟨kind g2 n = XorNode x y⟩ child-member child-unchanged
rep.XorNode
      encode-in-ids ids-some member-rec(1) XorNode)
  next
  case (ShortCircuitOrNode n x y xe ye)
  then have kind g2 n = ShortCircuitOrNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis ShortCircuitOrNode.hyps(1,2,3) IRNodes.inputs-of-ShortCircuitOrNode
inputs-are-usages
      in-mono inputs.simps list.set-intros(1) set-subset-Cons encode-in-ids)
  then show ?case
    by (metis ShortCircuitOrNode inputs-of-ShortCircuitOrNode ⟨kind g2 n =
ShortCircuitOrNode x y⟩
      child-member child-unchanged encode-in-ids ids-some member-rec(1) rep.ShortCircuitOrNode)
  next
  case (LeftShiftNode n x y xe ye)
  then have kind g2 n = LeftShiftNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis LeftShiftNode.hyps(1,2,3) IRNodes.inputs-of-LeftShiftNode encode-in-ids
inputs.simps
      inputs-are-usages list.set-intros(1) set-subset-Cons in-mono)
  then show ?case
    by (metis LeftShiftNode inputs-of-LeftShiftNode ⟨kind g2 n = LeftShiftNode x
y⟩ child-unchanged
      encode-in-ids ids-some member-rec(1) rep.LeftShiftNode child-member)
  next
  case (RightShiftNode n x y xe ye)
  then have kind g2 n = RightShiftNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis RightShiftNode.hyps(1,2,3) IRNodes.inputs-of-RightShiftNode en-
code-in-ids inputs.simps
      inputs-are-usages list.set-intros(1) set-subset-Cons in-mono)
  then show ?case
    by (metis RightShiftNode inputs-of-RightShiftNode ⟨kind g2 n = RightShiftNode
x y⟩ child-member
      child-unchanged encode-in-ids ids-some member-rec(1) rep.RightShiftNode)
  next
  case (UnsignedRightShiftNode n x y xe ye)
  then have kind g2 n = UnsignedRightShiftNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis UnsignedRightShiftNode.hyps(1,2,3) IRNodes.inputs-of-UnsignedRightShiftNode
in-mono
      encode-in-ids inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)

```

```

then show ?case
  by (metis UnsignedRightShiftNode inputs-of-UnsignedRightShiftNode child-member
    child-unchanged
      ⟨kind g2 n = UnsignedRightShiftNode x y⟩ encode-in-ids ids-some rep. UnsignedRightShiftNode
        member-rec(1))
next
  case (IntegerBelowNode n x y xe ye)
  then have kind g2 n = IntegerBelowNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis IntegerBelowNode.hyps(1,2,3) IRNodes.inputs-of-IntegerBelowNode
      encode-in-ids in-mono
        inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
    by (metis inputs-of-IntegerBelowNode ⟨kind g2 n = IntegerBelowNode x y⟩
      rep.IntegerBelowNode
        child-member child-unchanged encode-in-ids ids-some member-rec(1) IntegerBelowNode)
  next
  case (IntegerEqualsNode n x y xe ye)
  then have kind g2 n = IntegerEqualsNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis IntegerEqualsNode.hyps(1,2,3) IRNodes.inputs-of-IntegerEqualsNode
      inputs-are-usages
        in-mono inputs.simps encode-in-ids list.set-intros(1) set-subset-Cons)
  then show ?case
    by (metis inputs-of-IntegerEqualsNode ⟨kind g2 n = IntegerEqualsNode x y⟩
      rep.IntegerEqualsNode
        child-member child-unchanged encode-in-ids ids-some member-rec(1) IntegerEqualsNode)
  next
  case (IntegerLessThanNode n x y xe ye)
  then have kind g2 n = IntegerLessThanNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis IntegerLessThanNode.hyps(1,2,3) IRNodes.inputs-of-IntegerLessThanNode
      encode-in-ids
        in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
    by (metis rep.IntegerLessThanNode inputs-of-IntegerLessThanNode child-unchanged
      encode-in-ids
        ⟨kind g2 n = IntegerLessThanNode x y⟩ child-member member-rec(1)
      IntegerLessThanNode
        ids-some)
  next
  case (IntegerTestNode n x y xe ye)
  then have kind g2 n = IntegerTestNode x y
    by (metis kind-unchanged)

```



```

then have  $x \in \text{eval-usages } g1 \ n \wedge y \in \text{eval-usages } g1 \ n$ 
by (metis IntegerTestNode.hyps IRNodes.inputs-of-IntegerTestNode encode-in-ids
in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
then show ?case
by (metis rep.IntegerTestNode inputs-of-IntegerTestNode child-unchanged en-
code-in-ids
⟨kind  $g2 \ n = \text{IntegerTestNode } x \ y$ ⟩ child-member member-rec(1) IntegerTestN-
ode ids-some)
next
case (IntegerNormalizeCompareNode  $n \ x \ y \ xe \ ye$ )
then have  $\text{kind } g2 \ n = \text{IntegerNormalizeCompareNode } x \ y$ 
by (metis kind-unchanged)
then have  $x \in \text{eval-usages } g1 \ n \wedge y \in \text{eval-usages } g1 \ n$ 
by (metis IRNodes.inputs-of-IntegerNormalizeCompareNode IntegerNormalize-
CompareNode.hyps(1,2,3)
encode-in-ids in-set-member inputs.simps inputs-are-usages member-rec(1))
then show ?case
by (metis IRNodes.inputs-of-IntegerNormalizeCompareNode IntegerNormalize-
CompareNode.IH(1,2)
IntegerNormalizeCompareNode.hyps(1,2,3) IntegerNormalizeCompareN-
ode.premis(1) inputs.simps
⟨kind  $(g2::\text{IRGraph}) \ (n::\text{nat}) = \text{IntegerNormalizeCompareNode } (x::\text{nat})$ 
 $(y::\text{nat})$ ⟩ local.wf
encode-in-ids list.set-intros(1) rep.IntegerNormalizeCompareNode set-subset-Cons
in-mono
child-unchanged)
next
case (IntegerMulHighNode  $n \ x \ y \ xe \ ye$ )
then have  $\text{kind } g2 \ n = \text{IntegerMulHighNode } x \ y$ 
by (metis kind-unchanged)
then have  $x \in \text{eval-usages } g1 \ n$ 
by (metis IRNodes.inputs-of-IntegerMulHighNode IntegerMulHighNode.hyps(1,2)
encode-in-ids
inputs-of-are-usages member-rec(1))
then show ?case
by (metis inputs-of-IntegerMulHighNode IntegerMulHighNode.IH(1,2) Inte-
gerMulHighNode.hyps(1,2,3)
IntegerMulHighNode.premis(1) child-unchanged encode-in-ids inputs.simps
list.set-intros(1,2)
⟨kind  $(g2::\text{IRGraph}) \ (n::\text{nat}) = \text{IntegerMulHighNode } (x::\text{nat}) \ (y::\text{nat})$ ⟩
rep.IntegerMulHighNode
local.wf)
next
case (NarrowNode  $n \ ib \ rb \ x \ xe$ )
then have  $\text{kind } g2 \ n = \text{NarrowNode } ib \ rb \ x$ 
by (metis kind-unchanged)
then have  $x \in \text{eval-usages } g1 \ n$ 
by (metis NarrowNode.hyps(1,2) IRNodes.inputs-of-NarrowNode inputs-are-usages
encode-in-ids)

```

```

      list.set-intros(1) inputs.simps)
  then show ?case
  by (metis NarrowNode(1,3,4,5) inputs-of-NarrowNode ⟨kind g2 n = NarrowNode
ib rb x⟩ inputs.elims
      child-unchanged list.set-intros(1) rep.NarrowNode unchanged.simps)
next
  case (SignExtendNode n ib rb x xe)
  then have kind g2 n = SignExtendNode ib rb x
  by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
  by (metis inputs-of-SignExtendNode SignExtendNode.hyps(1,2) inputs-are-usages
      encode-in-ids
      list.set-intros(1) inputs.simps)
  then show ?case
  by (metis SignExtendNode(1,3,4,5,6) inputs-of-SignExtendNode in-set-member
      list.set-intros(1)
      ⟨kind g2 n = SignExtendNode ib rb x⟩ child-member-in child-unchanged
      rep.SignExtendNode
      unchanged.elims(2))
next
  case (ZeroExtendNode n ib rb x xe)
  then have kind g2 n = ZeroExtendNode ib rb x
  by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
  by (metis ZeroExtendNode.hyps(1,2) IRNodes.inputs-of-ZeroExtendNode en-
      code-in-ids inputs.simps
      inputs-are-usages list.set-intros(1))
  then show ?case
  by (metis ZeroExtendNode(1,3,4,5,6) inputs-of-ZeroExtendNode child-unchanged
      unchanged.simps
      ⟨kind g2 n = ZeroExtendNode ib rb x⟩ child-member-in rep.ZeroExtendNode
      member-rec(1))
next
  case (LeafNode n s)
  then show ?case
  by (metis kind-unchanged rep.LeafNode stamp-unchanged)
next
  case (PiNode n n' gu)
  then have kind g2 n = PiNode n' gu
  by (metis kind-unchanged)
  then show ?case
  by (metis PiNode.IH ⟨kind (g2) (n) = PiNode (n') (gu)⟩ child-unchanged
      encode-in-ids rep.PiNode
      inputs.elims list.set-intros(1) PiNode.hyps PiNode.prem(1,2) IRNodes.inputs-of-PiNode)
next
  case (RefNode n n')
  then have kind g2 n = RefNode n'
  by (metis kind-unchanged)
  then have n' ∈ eval-usages g1 n

```

```

    by (metis IRNodes.inputs-of-RefNode RefNode.hyps(1,2) inputs-are-usages list.set-intros(1)
        inputs.elims encode-in-ids)
  then show ?case
    by (metis IRNodes.inputs-of-RefNode RefNode.IH RefNode.hyps(1,2) RefNode.prem(1)
        inputs.elims
        ⟨kind g2 n = RefNode n'⟩ child-unchanged encode-in-ids list.set-intros(1)
        rep.RefNode
        local.wf)
next
  case (IsNullNode n v)
  then have kind g2 n = IsNullNode v
    by (metis kind-unchanged)
  then show ?case
    by (metis IRNodes.inputs-of-IsNullNode IsNullNode.IH IsNullNode.hyps(1,2)
        IsNullNode.prem(1)
        ⟨kind g2 n = IsNullNode v⟩ child-unchanged encode-in-ids inputs.simps
        list.set-intros(1)
        local.wf rep.IsNullNode)
qed
qed

```

**theorem** *stay-same*:

```

  assumes nc: unchanged (eval-usages g1 nid) g1 g2
  assumes g1: [g1, m, p] ⊢ nid ↦ v1
  assumes wf: wf-graph g1
  shows [g2, m, p] ⊢ nid ↦ v1
proof -
  have nid: nid ∈ ids g1
    using g1 eval-in-ids by simp
  then have nid ∈ eval-usages g1 nid
    using eval-usages-self by simp
  then have kind-same: kind g1 nid = kind g2 nid
    using nc node-unchanged by blast
  obtain e where e: (g1 ⊢ nid ≈ e) ∧ ([m,p] ⊢ e ↦ v1)
    using g1 by (auto simp add: encodeeval.simps)
  then have val: [m,p] ⊢ e ↦ v1
    by (simp add: g1 encodeeval.simps)
  then show ?thesis
    using e nc unfolding encodeeval.simps
  proof (induct e v1 arbitrary: nid rule: evaltree.induct)
    case (ConstantExpr c)
    then show ?case
      by (meson local.wf stay-same-encoding)
  next
    case (ParameterExpr i s)
    have g2 ⊢ nid ≈ ParameterExpr i s
      by (meson local.wf stay-same-encoding ParameterExpr)
    then show ?case

```

```

    by (meson ParameterExpr.hyps evaltree.ParameterExpr)
  next
  case (ConditionalExpr ce cond branch te fe v)
  then have  $g2 \vdash nid \simeq \text{ConditionalExpr } ce \text{ te } fe$ 
    using local.wf stay-same-encoding by presburger
  then show ?case
    by (meson ConditionalExpr.prem1)
  next
  case (UnaryExpr xe v op)
  then show ?case
    using local.wf stay-same-encoding by blast
  next
  case (BinaryExpr xe x ye y op)
  then show ?case
    using local.wf stay-same-encoding by blast
  next
  case (LeafExpr val nid s)
  then show ?case
    by (metis local.wf stay-same-encoding)
qed

```

**lemma** *add-changed*:

```

  assumes  $gup = \text{add-node } new \ k \ g$ 
  shows  $\text{changeonly } \{new\} \ g \ gup$ 
  by (simp add: assms add-node.rep-eq kind.rep-eq stamp.rep-eq)

```

**lemma** *disjoint-change*:

```

  assumes  $\text{changeonly } change \ g \ gup$ 
  assumes  $\text{nochange} = \text{ids } g - change$ 
  shows  $\text{unchanged } \text{nochange } \ g \ gup$ 
  using assms by simp

```

**lemma** *add-node-unchanged*:

```

  assumes  $new \notin \text{ids } g$ 
  assumes  $nid \in \text{ids } g$ 
  assumes  $gup = \text{add-node } new \ k \ g$ 
  assumes  $\text{wf-graph } g$ 
  shows  $\text{unchanged } (\text{eval-usages } g \ nid) \ g \ gup$ 
proof -
  have  $new \notin (\text{eval-usages } g \ nid)$ 
    using assms by simp
  then have  $\text{changeonly } \{new\} \ g \ gup$ 
    using assms add-changed by simp
  then show ?thesis
    using assms by auto
qed

```

**lemma** *eval-uses-imp*:

```

((nid' ∈ ids g ∧ nid = nid')
 ∨ nid' ∈ inputs g nid
 ∨ (∃ nid'' . eval-uses g nid nid'' ∧ eval-uses g nid'' nid'))
 $\longleftrightarrow$  eval-uses g nid nid'
by (meson eval-uses.simps)

```

**lemma** *wf-use-ids*:

```

assumes wf-graph g
assumes nid ∈ ids g
assumes eval-uses g nid nid'
shows nid' ∈ ids g
using assms(3) apply (induction rule: eval-uses.induct) using assms(1) inp-in-g-wf
by auto

```

**lemma** *no-external-use*:

```

assumes wf-graph g
assumes nid' ∉ ids g
assumes nid ∈ ids g
shows ¬(eval-uses g nid nid')
proof –
  have 0: nid ≠ nid'
    using assms by auto
  have inp: nid' ∉ inputs g nid
    using assms inp-in-g-wf by auto
  have rec-0: ∄ n . n ∈ ids g ∧ n = nid'
    using assms by simp
  have rec-inp: ∄ n . n ∈ ids g ∧ n ∈ inputs g nid'
    using assms(2) by (simp add: inp-in-g)
  have rec: ∄ nid'' . eval-uses g nid nid'' ∧ eval-uses g nid'' nid'
    using wf-use-ids assms by blast
  from inp 0 rec show ?thesis
    using eval-uses-imp by blast
qed

```

**end**

## 7.8 Tree to Graph Theorems

```

theory TreeToGraphThms
imports
  IRTreeEvalThms
  IRGraphFrames
  HOL-Eisbach.Eisbach
  HOL-Eisbach.Eisbach-Tools
begin

```

### 7.8.1 Extraction and Evaluation of Expression Trees is Deterministic.

First, we prove some extra rules that relate each type of IRNode to the corresponding IRExpr type that 'rep' will produce. These are very helpful for proving that 'rep' is deterministic.

**named-theorems** *rep*

**lemma** *rep-constant* [*rep*]:

$$\begin{aligned} g \vdash n \simeq e &\implies \\ \text{kind } g \ n = \text{ConstantNode } c &\implies \\ e = \text{ConstantExpr } c & \\ \text{by (induction rule: } \text{rep.induct; auto)} & \end{aligned}$$

**lemma** *rep-parameter* [*rep*]:

$$\begin{aligned} g \vdash n \simeq e &\implies \\ \text{kind } g \ n = \text{ParameterNode } i &\implies \\ (\exists s. e = \text{ParameterExpr } i \ s) & \\ \text{by (induction rule: } \text{rep.induct; auto)} & \end{aligned}$$

**lemma** *rep-conditional* [*rep*]:

$$\begin{aligned} g \vdash n \simeq e &\implies \\ \text{kind } g \ n = \text{ConditionalNode } c \ t \ f &\implies \\ (\exists ce \ te \ fe. e = \text{ConditionalExpr } ce \ te \ fe) & \\ \text{by (induction rule: } \text{rep.induct; auto)} & \end{aligned}$$

**lemma** *rep-abs* [*rep*]:

$$\begin{aligned} g \vdash n \simeq e &\implies \\ \text{kind } g \ n = \text{AbsNode } x &\implies \\ (\exists xe. e = \text{UnaryExpr } \text{UnaryAbs } xe) & \\ \text{by (induction rule: } \text{rep.induct; auto)} & \end{aligned}$$

**lemma** *rep-reverse-bytes* [*rep*]:

$$\begin{aligned} g \vdash n \simeq e &\implies \\ \text{kind } g \ n = \text{ReverseBytesNode } x &\implies \\ (\exists xe. e = \text{UnaryExpr } \text{UnaryReverseBytes } xe) & \\ \text{by (induction rule: } \text{rep.induct; auto)} & \end{aligned}$$

**lemma** *rep-bit-count* [*rep*]:

$$\begin{aligned} g \vdash n \simeq e &\implies \\ \text{kind } g \ n = \text{BitCountNode } x &\implies \\ (\exists xe. e = \text{UnaryExpr } \text{UnaryBitCount } xe) & \\ \text{by (induction rule: } \text{rep.induct; auto)} & \end{aligned}$$

**lemma** *rep-not* [*rep*]:

$$\begin{aligned} g \vdash n \simeq e &\implies \\ \text{kind } g \ n = \text{NotNode } x &\implies \\ (\exists xe. e = \text{UnaryExpr } \text{UnaryNot } xe) & \\ \text{by (induction rule: } \text{rep.induct; auto)} & \end{aligned}$$

**lemma** *rep-negate* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = NegateNode\ x \implies$   
 $(\exists\ xe.\ e = UnaryExpr\ UnaryNeg\ xe)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-logicnegation* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = LogicNegationNode\ x \implies$   
 $(\exists\ xe.\ e = UnaryExpr\ UnaryLogicNegation\ xe)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-add* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = AddNode\ x\ y \implies$   
 $(\exists\ xe\ ye.\ e = BinaryExpr\ BinAdd\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-sub* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = SubNode\ x\ y \implies$   
 $(\exists\ xe\ ye.\ e = BinaryExpr\ BinSub\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-mul* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = MulNode\ x\ y \implies$   
 $(\exists\ xe\ ye.\ e = BinaryExpr\ BinMul\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-div* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = SignedFloatingIntegerDivNode\ x\ y \implies$   
 $(\exists\ xe\ ye.\ e = BinaryExpr\ BinDiv\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-mod* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = SignedFloatingIntegerRemNode\ x\ y \implies$   
 $(\exists\ xe\ ye.\ e = BinaryExpr\ BinMod\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-and* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = AndNode\ x\ y \implies$   
 $(\exists\ xe\ ye.\ e = BinaryExpr\ BinAnd\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-or* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = OrNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinOr\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-xor* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = XorNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinXor\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-short-circuit-or* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = ShortCircuitOrNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinShortCircuitOr\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-left-shift* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = LeftShiftNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinLeftShift\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-right-shift* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = RightShiftNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinRightShift\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-unsigned-right-shift* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = UnsignedRightShiftNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinURightShift\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-integer-below* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = IntegerBelowNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinIntegerBelow\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-integer-equals* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = IntegerEqualsNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinIntegerEquals\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-integer-less-than* [*rep*]:



$g \vdash n \simeq e \implies$   
 $kind\ g\ n = IntegerLessThanNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinIntegerLessThan\ xe\ ye)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-integer-mul-high* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = IntegerMulHighNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinIntegerMulHigh\ xe\ ye)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-integer-test* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = IntegerTestNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinIntegerTest\ xe\ ye)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-integer-normalize-compare* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = IntegerNormalizeCompareNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinIntegerNormalizeCompare\ xe\ ye)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-narrow* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = NarrowNode\ ib\ rb\ x \implies$   
 $(\exists\ x. e = UnaryExpr\ (UnaryNarrow\ ib\ rb)\ x)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-sign-extend* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = SignExtendNode\ ib\ rb\ x \implies$   
 $(\exists\ x. e = UnaryExpr\ (UnarySignExtend\ ib\ rb)\ x)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-zero-extend* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = ZeroExtendNode\ ib\ rb\ x \implies$   
 $(\exists\ x. e = UnaryExpr\ (UnaryZeroExtend\ ib\ rb)\ x)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-load-field* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $is-preevaluated\ (kind\ g\ n) \implies$   
 $(\exists\ s. e = LeafExpr\ n\ s)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-bytecode-exception* [*rep*]:  
 $g \vdash n \simeq e \implies$

$(\text{kind } g \ n) = \text{BytecodeExceptionNode } gu \ st \ n' \implies$   
 $(\exists s. e = \text{LeafExpr } n \ s)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-new-array* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $(\text{kind } g \ n) = \text{NewArrayNode } len \ st \ n' \implies$   
 $(\exists s. e = \text{LeafExpr } n \ s)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-array-length* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $(\text{kind } g \ n) = \text{ArrayLengthNode } x \ n' \implies$   
 $(\exists s. e = \text{LeafExpr } n \ s)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-load-index* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $(\text{kind } g \ n) = \text{LoadIndexedNode } index \ guard \ x \ n' \implies$   
 $(\exists s. e = \text{LeafExpr } n \ s)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-store-index* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $(\text{kind } g \ n) = \text{StoreIndexedNode } check \ val \ st \ index \ guard \ x \ n' \implies$   
 $(\exists s. e = \text{LeafExpr } n \ s)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-ref* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $\text{kind } g \ n = \text{RefNode } n' \implies$   
 $g \vdash n' \simeq e$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-pi* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $\text{kind } g \ n = \text{PiNode } n' \ gu \implies$   
 $g \vdash n' \simeq e$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-is-null* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $\text{kind } g \ n = \text{IsNullNode } x \implies$   
 $(\exists xe. e = (\text{UnaryExpr } \text{UnaryIsNull } xe))$   
**by** (*induction rule: rep.induct; auto*)

**method** *solve-det uses node =*  
 $(\text{match } node \ \mathbf{in} \ \text{kind } - - = \text{node} \ \mathbf{for} \ \text{node} \implies$   
 $\langle \text{match } rep \ \mathbf{in} \ r: - \implies - = \text{node} \ - \implies - \rangle$

```

  <match IRNode.inject in i: (node - = node -) = - =>
    <match RepE in e: - => (∧x. - = node x => -) => - =>
      <match IRNode.distinct in d: node - ≠ RefNode - =>
        <match IRNode.distinct in f: node - ≠ PiNode - - =>
          <metis i e r d f>>>>> |
match node in kind - - = node - - for node =>
  <match rep in r: - => - = node - - => - =>
    <match IRNode.inject in i: (node - - = node - -) = - =>
      <match RepE in e: - => (∧x y. - = node x y => -) => - =>
        <match IRNode.distinct in d: node - - ≠ RefNode - =>
          <match IRNode.distinct in f: node - - ≠ PiNode - - =>
            <metis i e r d f>>>>> |
match node in kind - - = node - - - for node =>
  <match rep in r: - => - = node - - - => - =>
    <match IRNode.inject in i: (node - - - = node - - -) = - =>
      <match RepE in e: - => (∧x y z. - = node x y z => -) => - =>
        <match IRNode.distinct in d: node - - - ≠ RefNode - =>
          <match IRNode.distinct in f: node - - - ≠ PiNode - - - =>
            <metis i e r d f>>>>> |
match node in kind - - = node - - - - for node =>
  <match rep in r: - => - = node - - - - => - =>
    <match IRNode.inject in i: (node - - - - = node - - - -) = - =>
      <match RepE in e: - => (∧x. - = node - - x => -) => - =>
        <match IRNode.distinct in d: node - - - - ≠ RefNode - =>
          <match IRNode.distinct in f: node - - - - ≠ PiNode - - - - =>
            <metis i e r d f>>>>>

```

Now we can prove that 'rep' and 'eval', and their list versions, are deterministic.

**lemma** *repDet*:

**shows**  $(g \vdash n \simeq e_1) \implies (g \vdash n \simeq e_2) \implies e_1 = e_2$

**proof** (*induction arbitrary: e<sub>2</sub> rule: rep.induct*)

**case** (*ConstantNode n c*)

**then show** *?case*

**using** *rep-constant by simp*

**next**

**case** (*ParameterNode n i s*)

**then show** *?case*

**by** (*metis IRNode.distinct(3655) IRNode.distinct(3697) ParameterNodeE rep-parameter*)

**next**

**case** (*ConditionalNode n c t f ce te fe*)

**then show** *?case*

**by** (*metis ConditionalNodeE IRNode.distinct(925) IRNode.distinct(967) IRNode.sel(90) IRNode.sel(93) IRNode.sel(94) rep-conditional*)

**next**

**case** (*AbsNode n x xe*)

**then show** *?case*

**by** (*solve-det node: AbsNode*)

**next**

```

    case (ReverseBytesNode n x xe)
  then show ?case
    by (solve-det node: ReverseBytesNode)
next
  case (BitCountNode n x xe)
  then show ?case
    by (solve-det node: BitCountNode)
next
  case (NotNode n x xe)
  then show ?case
    by (solve-det node: NotNode)
next
  case (NegateNode n x xe)
  then show ?case
    by (solve-det node: NegateNode)
next
  case (LogicNegationNode n x xe)
  then show ?case
    by (solve-det node: LogicNegationNode)
next
  case (AddNode n x y xe ye)
  then show ?case
    by (solve-det node: AddNode)
next
  case (MulNode n x y xe ye)
  then show ?case
    by (solve-det node: MulNode)
next
  case (DivNode n x y xe ye)
  then show ?case
    by (solve-det node: DivNode)
next
  case (ModNode n x y xe ye)
  then show ?case
    by (solve-det node: ModNode)
next
  case (SubNode n x y xe ye)
  then show ?case
    by (solve-det node: SubNode)
next
  case (AndNode n x y xe ye)
  then show ?case
    by (solve-det node: AndNode)
next
  case (OrNode n x y xe ye)
  then show ?case
    by (solve-det node: OrNode)
next
  case (XorNode n x y xe ye)

```

```

    then show ?case
      by (solve-det node: XorNode)
  next
  case (ShortCircuitOrNode n x y xe ye)
  then show ?case
    by (solve-det node: ShortCircuitOrNode)
  next
  case (LeftShiftNode n x y xe ye)
  then show ?case
    by (solve-det node: LeftShiftNode)
  next
  case (RightShiftNode n x y xe ye)
  then show ?case
    by (solve-det node: RightShiftNode)
  next
  case (UnsignedRightShiftNode n x y xe ye)
  then show ?case
    by (solve-det node: UnsignedRightShiftNode)
  next
  case (IntegerBelowNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerBelowNode)
  next
  case (IntegerEqualsNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerEqualsNode)
  next
  case (IntegerLessThanNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerLessThanNode)
  next
  case (IntegerTestNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerTestNode)
  next
  case (IntegerNormalizeCompareNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerNormalizeCompareNode)
  next
  case (IntegerMulHighNode n x xe)
  then show ?case
    by (solve-det node: IntegerMulHighNode)
  next
  case (NarrowNode n x xe)
  then show ?case
    using NarrowNodeE rep-narrow
    by (metis IRNode.distinct(3361) IRNode.distinct(3403) IRNode.inject(36))
  next
  case (SignExtendNode n x xe)

```

```

then show ?case
  using SignExtendNodeE rep-sign-extend
  by (metis IRNode.distinct(3707) IRNode.distinct(3919) IRNode.inject(48))
next
  case (ZeroExtendNode n x xe)
  then show ?case
    using ZeroExtendNodeE rep-zero-extend
    by (metis IRNode.distinct(3735) IRNode.distinct(4157) IRNode.inject(62))
next
  case (LeafNode n s)
  then show ?case
    using rep-load-field LeafNodeE
    by (metis is-preevaluated.simps(48) is-preevaluated.simps(65))
next
  case (RefNode n^)
  then show ?case
    using rep-ref by blast
next
  case (PiNode n v)
  then show ?case
    using rep-pi by blast
next
  case (IsNullNode n v)
  then show ?case
    using IsNullNodeE rep-is-null
    by (metis IRNode.distinct(2557) IRNode.distinct(2599) IRNode.inject(24))
qed

```

```

lemma repAllDet:
   $g \vdash xs [\simeq] e1 \implies$ 
   $g \vdash xs [\simeq] e2 \implies$ 
   $e1 = e2$ 
proof (induction arbitrary: e2 rule: replist.induct)
  case RepNil
  then show ?case
    using replist.cases by auto
next
  case (RepCons x xe xs xse)
  then show ?case
    by (metis list.distinct(1) list.sel(1,3) repDet replist.cases)
qed

```

```

lemma encodeEvalDet:
   $[g,m,p] \vdash e \mapsto v1 \implies$ 
   $[g,m,p] \vdash e \mapsto v2 \implies$ 
   $v1 = v2$ 
  by (metis encodeeval.simps evalDet repDet)

```

```

lemma graphDet: ( $[g,m,p] \vdash n \mapsto v_1$ )  $\wedge$  ( $[g,m,p] \vdash n \mapsto v_2$ )  $\implies v_1 = v_2$ 

```

by (auto simp add: encodeEvalDet)

**lemma** *encodeEvalAllDet*:

$[g, m, p] \vdash nids [\mapsto] vs \implies [g, m, p] \vdash nids [\mapsto] vs' \implies vs = vs'$   
using *repAllDet evalAllDet*  
by (metis *encodeEvalAll.simps*)

## 7.8.2 Monotonicity of Graph Refinement

Lift refinement monotonicity to graph level. Hopefully these shouldn't really be required.

**lemma** *mono-abs*:

assumes  $kind\ g1\ n = AbsNode\ x \wedge kind\ g2\ n = AbsNode\ x$   
assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
assumes  $xe1 \geq xe2$   
assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
shows  $e1 \geq e2$   
by (metis *AbsNode assms mono-unary repDet*)

**lemma** *mono-not*:

assumes  $kind\ g1\ n = NotNode\ x \wedge kind\ g2\ n = NotNode\ x$   
assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
assumes  $xe1 \geq xe2$   
assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
shows  $e1 \geq e2$   
by (metis *NotNode assms mono-unary repDet*)

**lemma** *mono-negate*:

assumes  $kind\ g1\ n = NegateNode\ x \wedge kind\ g2\ n = NegateNode\ x$   
assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
assumes  $xe1 \geq xe2$   
assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
shows  $e1 \geq e2$   
by (metis *NegateNode assms mono-unary repDet*)

**lemma** *mono-logic-negation*:

assumes  $kind\ g1\ n = LogicNegationNode\ x \wedge kind\ g2\ n = LogicNegationNode\ x$   
assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
assumes  $xe1 \geq xe2$   
assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
shows  $e1 \geq e2$   
by (metis *LogicNegationNode assms mono-unary repDet*)

**lemma** *mono-narrow*:

assumes  $kind\ g1\ n = NarrowNode\ ib\ rb\ x \wedge kind\ g2\ n = NarrowNode\ ib\ rb\ x$   
assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
assumes  $xe1 \geq xe2$   
assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
shows  $e1 \geq e2$

by (*metis NarrowNode assms mono-unary repDet*)

**lemma** *mono-sign-extend*:

assumes  $\text{kind } g1 \ n = \text{SignExtendNode } ib \ rb \ x \wedge \text{kind } g2 \ n = \text{SignExtendNode } ib \ rb \ x$

assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$

assumes  $xe1 \geq xe2$

assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$

shows  $e1 \geq e2$

by (*metis SignExtendNode assms mono-unary repDet*)

**lemma** *mono-zero-extend*:

assumes  $\text{kind } g1 \ n = \text{ZeroExtendNode } ib \ rb \ x \wedge \text{kind } g2 \ n = \text{ZeroExtendNode } ib \ rb \ x$

assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$

assumes  $xe1 \geq xe2$

assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$

shows  $e1 \geq e2$

by (*metis ZeroExtendNode assms mono-unary repDet*)

**lemma** *mono-conditional-graph*:

assumes  $\text{kind } g1 \ n = \text{ConditionalNode } c \ t \ f \wedge \text{kind } g2 \ n = \text{ConditionalNode } c \ t \ f$

assumes  $(g1 \vdash c \simeq ce1) \wedge (g2 \vdash c \simeq ce2)$

assumes  $(g1 \vdash t \simeq te1) \wedge (g2 \vdash t \simeq te2)$

assumes  $(g1 \vdash f \simeq fe1) \wedge (g2 \vdash f \simeq fe2)$

assumes  $ce1 \geq ce2 \wedge te1 \geq te2 \wedge fe1 \geq fe2$

assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$

shows  $e1 \geq e2$

by (*smt (verit, ccfv-SIG) ConditionalNode assms mono-conditional repDet le-expr-def*)

**lemma** *mono-add*:

assumes  $\text{kind } g1 \ n = \text{AddNode } x \ y \wedge \text{kind } g2 \ n = \text{AddNode } x \ y$

assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$

assumes  $(g1 \vdash y \simeq ye1) \wedge (g2 \vdash y \simeq ye2)$

assumes  $xe1 \geq xe2 \wedge ye1 \geq ye2$

assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$

shows  $e1 \geq e2$

by (*metis (no-types, lifting) AddNode mono-binary assms repDet*)

**lemma** *mono-mul*:

assumes  $\text{kind } g1 \ n = \text{MulNode } x \ y \wedge \text{kind } g2 \ n = \text{MulNode } x \ y$

assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$

assumes  $(g1 \vdash y \simeq ye1) \wedge (g2 \vdash y \simeq ye2)$

assumes  $xe1 \geq xe2 \wedge ye1 \geq ye2$

assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$

shows  $e1 \geq e2$

by (*metis (no-types, lifting) MulNode assms mono-binary repDet*)

**lemma** *mono-div*:



**assumes**  $kind\ g1\ n = SignedFloatingIntegerDivNode\ x\ y \wedge kind\ g2\ n = SignedFloatingIntegerDivNode\ x\ y$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $(g1 \vdash y \simeq ye1) \wedge (g2 \vdash y \simeq ye2)$   
**assumes**  $xe1 \geq xe2 \wedge ye1 \geq ye2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
**by**  $(metis\ (no-types,\ lifting)\ DivNode\ assms\ mono-binary\ repDet)$

**lemma** *mono-mod*:

**assumes**  $kind\ g1\ n = SignedFloatingIntegerRemNode\ x\ y \wedge kind\ g2\ n = SignedFloatingIntegerRemNode\ x\ y$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $(g1 \vdash y \simeq ye1) \wedge (g2 \vdash y \simeq ye2)$   
**assumes**  $xe1 \geq xe2 \wedge ye1 \geq ye2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
**by**  $(metis\ (no-types,\ lifting)\ ModNode\ assms\ mono-binary\ repDet)$

**lemma** *term-graph-evaluation*:

$(g \vdash n \sqsubseteq e) \implies (\forall\ m\ p\ v . ([m,p] \vdash e \mapsto v) \longrightarrow ([g,m,p] \vdash n \mapsto v))$   
**using** *graph-represents-expression-def encodeeval.simps* **by**  $(auto;\ meson)$

**lemma** *encodes-contains*:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n \neq NoNode$   
**apply**  $(induction\ rule:\ rep.induct)$   
**apply**  $(match\ IRNode.distinct\ in\ e:\ ?n \neq NoNode \implies \langle presburger\ add:\ e \rangle +)$   
**by** *fastforce+*

**lemma** *no-encoding*:

**assumes**  $n \notin ids\ g$   
**shows**  $\neg(g \vdash n \simeq e)$   
**using** *assms* **apply** *simp* **apply**  $(rule\ notI)$  **by**  $(induction\ e;\ simp\ add:\ encodes-contains)$

**lemma** *not-excluded-keep-type*:

**assumes**  $n \in ids\ g1$   
**assumes**  $n \notin excluded$   
**assumes**  $(excluded \sqsubseteq as-set\ g1) \subseteq as-set\ g2$   
**shows**  $kind\ g1\ n = kind\ g2\ n \wedge stamp\ g1\ n = stamp\ g2\ n$   
**using** *assms* **by**  $(auto\ simp\ add:\ domain-subtraction-def\ as-set-def)$

**method** *metis-node-eq-unary* **for**  $node :: 'a \Rightarrow IRNode =$

$(match\ IRNode.inject\ in\ i:\ (node\ - = node\ -) = - \implies$   
 $\langle metis\ i \rangle)$

**method** *metis-node-eq-binary* **for**  $node :: 'a \Rightarrow 'a \Rightarrow IRNode =$

$(match\ IRNode.inject\ in\ i:\ (node\ - - = node\ - -) = - \implies$   
 $\langle metis\ i \rangle)$

**method** *metis-node-eq-ternary* **for** *node* :: 'a ⇒ 'a ⇒ 'a ⇒ IRNode =  
 (match IRNode.inject in i: (node - - - = node - - -) = - ⇒  
 ⟨metis i⟩)

### 7.8.3 Lift Data-flow Tree Refinement to Graph Refinement

**theorem** *graph-semantic-preservation*:

**assumes** *a*:  $e1' \geq e2'$   
**assumes** *b*:  $(\{n'\} \triangleleft \text{as-set } g1) \subseteq \text{as-set } g2$   
**assumes** *c*:  $g1 \vdash n' \simeq e1'$   
**assumes** *d*:  $g2 \vdash n' \simeq e2'$   
**shows** *graph-refinement* *g1 g2*  
**unfolding** *graph-refinement-def* **apply** *rule*  
**apply** (*metis b d ids-some no-encoding not-excluded-keep-type singleton-iff subsetI*)  
**apply** (*rule allI*) **apply** (*rule impI*) **apply** (*rule allI*) **apply** (*rule impI*)  
**unfolding** *graph-represents-expression-def*  
**proof** –  
**fix** *n e1*  
**assume** *e*:  $n \in \text{ids } g1$   
**assume** *f*:  $(g1 \vdash n \simeq e1)$   
**show**  $\exists e2. (g2 \vdash n \simeq e2) \wedge e1 \geq e2$   
**proof** (*cases n = n'*)  
**case** *True*  
**have** *g*:  $e1 = e1'$   
**using** *f* **by** (*simp add: repDet True c*)  
**have** *h*:  $(g2 \vdash n \simeq e2') \wedge e1' \geq e2'$   
**using** *a* **by** (*simp add: d True*)  
**then show** *?thesis*  
**by** (*auto simp add: g*)  
**next**  
**case** *False*  
**have**  $n \notin \{n'\}$   
**by** (*simp add: False*)  
**then have** *i*:  $\text{kind } g1 \ n = \text{kind } g2 \ n \wedge \text{stamp } g1 \ n = \text{stamp } g2 \ n$   
**using** *not-excluded-keep-type b e* **by** *presburger*  
**show** *?thesis*  
**using** *f i*  
**proof** (*induction e1*)  
**case** (*ConstantNode n c*)  
**then show** *?case*  
**by** (*metis eq-refl rep.ConstantNode*)  
**next**  
**case** (*ParameterNode n i s*)  
**then show** *?case*  
**by** (*metis eq-refl rep.ParameterNode*)  
**next**  
**case** (*ConditionalNode n c t f ce1 te1 fe1*)  
**have** *k*:  $g1 \vdash n \simeq \text{ConditionalExpr } ce1 \ te1 \ fe1$

```

using ConditionalNode by (simp add: ConditionalNode.hyps(2) rep.ConditionalNode
f)
obtain cn tn fn where l: kind g1 n = ConditionalNode cn tn fn
  by (auto simp add: ConditionalNode.hyps(1))
then have mc: g1 ⊢ cn ≈ ce1
  using ConditionalNode.hyps(1,2) by simp
from l have mt: g1 ⊢ tn ≈ te1
  using ConditionalNode.hyps(1,3) by simp
from l have mf: g1 ⊢ fn ≈ fe1
  using ConditionalNode.hyps(1,4) by simp
then show ?case
proof –
  have g1 ⊢ cn ≈ ce1
    by (simp add: mc)
  have g1 ⊢ tn ≈ te1
    by (simp add: mt)
  have g1 ⊢ fn ≈ fe1
    by (simp add: mf)
  have cer: ∃ ce2. (g2 ⊢ cn ≈ ce2) ∧ ce1 ≥ ce2
    using ConditionalNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-ternary ConditionalNode)
  have ter: ∃ te2. (g2 ⊢ tn ≈ te2) ∧ te1 ≥ te2
    using ConditionalNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-ternary ConditionalNode)
  have ∃ fe2. (g2 ⊢ fn ≈ fe2) ∧ fe1 ≥ fe2
    using ConditionalNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-ternary ConditionalNode)
  then have ∃ ce2 te2 fe2. (g2 ⊢ n ≈ ConditionalExpr ce2 te2 fe2) ∧
    ConditionalExpr ce1 te1 fe1 ≥ ConditionalExpr ce2 te2 fe2
    apply meson
  by (smt (verit, best) mono-conditional ConditionalNode.prem1 l rep.ConditionalNode
cer ter)
  then show ?thesis
    by meson
qed
next
case (AbsNode n x xe1)
have k: g1 ⊢ n ≈ UnaryExpr UnaryAbs xe1
  using AbsNode by (simp add: AbsNode.hyps(2) rep.AbsNode f)
obtain xn where l: kind g1 n = AbsNode xn
  by (auto simp add: AbsNode.hyps(1))
then have m: g1 ⊢ xn ≈ xe1
  using AbsNode.hyps(1,2) by simp
then show ?case
proof (cases xn = n')
  case True

```

```

then have n: xe1 = e1'
  using m by (simp add: repDet c)
then have ev: g2 ⊢ n ≃ UnaryExpr UnaryAbs e2'
  using l d by (simp add: rep.AbsNode True AbsNode.premis)
then have r: UnaryExpr UnaryAbs e1' ≥ UnaryExpr UnaryAbs e2'
  by (meson a mono-unary)
then show ?thesis
  by (metis n ev)
next
case False
have g1 ⊢ xn ≃ xe1
  by (simp add: m)
have ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
  using AbsNode False b encodes-contains l not-excluded-keep-type not-in-g
singleton-iff
  by (metis-node-eq-unary AbsNode)
then have ∃ xe2. (g2 ⊢ n ≃ UnaryExpr UnaryAbs xe2) ∧
  UnaryExpr UnaryAbs xe1 ≥ UnaryExpr UnaryAbs xe2
  by (metis AbsNode.premis l mono-unary rep.AbsNode)
then show ?thesis
  by meson
qed
next
case (ReverseBytesNode n x xe1)
have k: g1 ⊢ n ≃ UnaryExpr UnaryReverseBytes xe1
  by (simp add: ReverseBytesNode.hyps(1,2) rep.ReverseBytesNode)
obtain xn where l: kind g1 n = ReverseBytesNode xn
  by (simp add: ReverseBytesNode.hyps(1))
then have m: g1 ⊢ xn ≃ xe1
  by (metis IRNode.inject(45) ReverseBytesNode.hyps(1,2))
then show ?case
proof (cases xn = n')
case True
then have n: xe1 = e1'
  using m by (simp add: repDet c)
then have ev: g2 ⊢ n ≃ UnaryExpr UnaryReverseBytes e2'
  using ReverseBytesNode.premis True d l rep.ReverseBytesNode by presburger
then have r: UnaryExpr UnaryReverseBytes e1' ≥ UnaryExpr UnaryRe-
verseBytes e2'
  by (meson a mono-unary)
then show ?thesis
  by (metis n ev)
next
case False
have g1 ⊢ xn ≃ xe1
  by (simp add: m)
have ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
  by (metis False IRNode.inject(45) ReverseBytesNode.IH ReverseBytesNode.hyps(1,2))
b l

```

```

      encodes-contains ids-some not-excluded-keep-type singleton-iff)
    then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr UnaryReverseBytes } xe2) \wedge$ 
       $\text{UnaryExpr UnaryReverseBytes } xe1 \geq \text{UnaryExpr UnaryReverseBytes } xe2$ 
      by (metis ReverseBytesNode.premis l mono-unary rep.ReverseBytesNode)
    then show ?thesis
      by meson
  qed
next
case (BitCountNode n x xe1)
have k:  $g1 \vdash n \simeq \text{UnaryExpr UnaryBitCount } xe1$ 
  by (simp add: BitCountNode.hyps(1,2) rep.BitCountNode)
obtain xn where l: kind g1 n = BitCountNode xn
  by (simp add: BitCountNode.hyps(1))
then have m:  $g1 \vdash xn \simeq xe1$ 
  by (metis BitCountNode.hyps(1,2) IRNode.inject(6))
then show ?case
proof (cases xn = n')
case True
  then have n:  $xe1 = e1'$ 
    using m by (simp add: repDet c)
  then have ev:  $g2 \vdash n \simeq \text{UnaryExpr UnaryBitCount } e2'$ 
    using BitCountNode.premis True d l rep.BitCountNode by presburger
  then have r:  $\text{UnaryExpr UnaryBitCount } e1' \geq \text{UnaryExpr UnaryBitCount}$ 
     $e2'$ 
    by (meson a mono-unary)
  then show ?thesis
    by (metis n ev)
case False
  then have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: m)
  have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    by (metis BitCountNode.IH BitCountNode.hyps(1) False IRNode.inject(6)
      b emptyE insertE l m
      no-encoding not-excluded-keep-type)
  then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr UnaryBitCount } xe2) \wedge$ 
     $\text{UnaryExpr UnaryBitCount } xe1 \geq \text{UnaryExpr UnaryBitCount } xe2$ 
    by (metis BitCountNode.premis l mono-unary rep.BitCountNode)
  then show ?thesis
    by meson
  qed
next
case (NotNode n x xe1)
have k:  $g1 \vdash n \simeq \text{UnaryExpr UnaryNot } xe1$ 
  using NotNode by (simp add: NotNode.hyps(2) rep.NotNode f)
obtain xn where l: kind g1 n = NotNode xn
  by (auto simp add: NotNode.hyps(1))
then have m:  $g1 \vdash xn \simeq xe1$ 
  using NotNode.hyps(1,2) by simp

```

```

then show ?case
proof (cases xn = n')
  case True
  then have n: xe1 = e1'
    using m by (simp add: repDet c)
  then have ev: g2 ⊢ n ≃ UnaryExpr UnaryNot e2'
    using l by (simp add: rep.NotNode d True NotNode.premis)
  then have r: UnaryExpr UnaryNot e1' ≥ UnaryExpr UnaryNot e2'
    by (meson a mono-unary)
  then show ?thesis
    by (metis n ev)
next
case False
have g1 ⊢ xn ≃ xe1
  by (simp add: m)
have ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
  using NotNode False b l not-excluded-keep-type singletonD no-encoding
  by (metis-node-eq-unary NotNode)
then have ∃ xe2. (g2 ⊢ n ≃ UnaryExpr UnaryNot xe2) ∧
  UnaryExpr UnaryNot xe1 ≥ UnaryExpr UnaryNot xe2
  by (metis NotNode.premis l mono-unary rep.NotNode)
then show ?thesis
  by meson
qed
next
case (NegateNode n x xe1)
have k: g1 ⊢ n ≃ UnaryExpr UnaryNeg xe1
  using NegateNode by (simp add: NegateNode.hyps(2) rep.NegateNode f)
obtain xn where l: kind g1 n = NegateNode xn
  by (auto simp add: NegateNode.hyps(1))
then have m: g1 ⊢ xn ≃ xe1
  using NegateNode.hyps(1,2) by simp
then show ?case
proof (cases xn = n')
  case True
  then have n: xe1 = e1'
    using m by (simp add: c repDet)
  then have ev: g2 ⊢ n ≃ UnaryExpr UnaryNeg e2'
    using l by (simp add: rep.NegateNode True NegateNode.premis d)
  then have r: UnaryExpr UnaryNeg e1' ≥ UnaryExpr UnaryNeg e2'
    by (meson a mono-unary)
  then show ?thesis
    by (metis n ev)
next
case False
have g1 ⊢ xn ≃ xe1
  by (simp add: m)
have ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
  using NegateNode False b l not-excluded-keep-type singletonD no-encoding

```

```

    by (metis-node-eq-unary NegateNode)
  then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr UnaryNeg } xe2) \wedge$ 
     $\text{UnaryExpr UnaryNeg } xe1 \geq \text{UnaryExpr UnaryNeg } xe2$ 
    by (metis NegateNode.premis l mono-unary rep.NegateNode)
  then show ?thesis
    by meson
qed
next
case (LogicNegationNode n x xe1)
have k:  $g1 \vdash n \simeq \text{UnaryExpr UnaryLogicNegation } xe1$ 
using LogicNegationNode by (simp add: LogicNegationNode.hyps(2) rep.LogicNegationNode)
obtain xn where l: kind g1 n = LogicNegationNode xn
  by (simp add: LogicNegationNode.hyps(1))
then have m:  $g1 \vdash xn \simeq xe1$ 
  using LogicNegationNode.hyps(1,2) by simp
then show ?case
proof (cases xn = n')
case True
  then have n:  $xe1 = e1'$ 
    using m by (simp add: c repDet)
  then have ev:  $g2 \vdash n \simeq \text{UnaryExpr UnaryLogicNegation } e2'$ 
  using l by (simp add: rep.LogicNegationNode True LogicNegationNode.premis
d
    LogicNegationNode.hyps(1))
  then have r:  $\text{UnaryExpr UnaryLogicNegation } e1' \geq \text{UnaryExpr UnaryLogicNegation } e2'$ 
    by (meson a mono-unary)
  then show ?thesis
    by (metis n ev)
next
case False
have g1  $\vdash xn \simeq xe1$ 
  by (simp add: m)
have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
  using LogicNegationNode False b l not-excluded-keep-type singletonD
no-encoding
  by (metis-node-eq-unary LogicNegationNode)
  then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr UnaryLogicNegation } xe2) \wedge$ 
 $\text{UnaryExpr UnaryLogicNegation } xe1 \geq \text{UnaryExpr UnaryLogicNegation } xe2$ 
    by (metis LogicNegationNode.premis l mono-unary rep.LogicNegationNode)
  then show ?thesis
    by meson
qed
next
case (AddNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq \text{BinaryExpr BinAdd } xe1 ye1$ 
  using AddNode by (simp add: AddNode.hyps(2) rep.AddNode f)
obtain xn yn where l: kind g1 n = AddNode xn yn
  by (simp add: AddNode.hyps(1))

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then have  $mx: g1 \vdash xn \simeq xe1$ 
  using AddNode.hyps(1,2) by simp
from  $l$  have  $my: g1 \vdash yn \simeq ye1$ 
  using AddNode.hyps(1,3) by simp
then show ?case
proof –
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
  have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
  have  $xer: \exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using AddNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary AddNode)
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
    using AddNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary AddNode)
  then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinAdd xe2 ye2) \wedge$ 
     $BinaryExpr BinAdd xe1 ye1 \geq BinaryExpr BinAdd xe2 ye2$ 
    by (metis AddNode.premis l mono-binary rep.AddNode xer)
  then show ?thesis
    by meson
qed
next
case (MulNode n x y xe1 ye1)
have  $k: g1 \vdash n \simeq BinaryExpr BinMul xe1 ye1$ 
  using MulNode by (simp add: MulNode.hyps(2) rep.MulNode f)
obtain  $xn yn$  where  $l: kind\ g1\ n = MulNode\ xn\ yn$ 
  by (simp add: MulNode.hyps(1))
then have  $mx: g1 \vdash xn \simeq xe1$ 
  using MulNode.hyps(1,2) by simp
from  $l$  have  $my: g1 \vdash yn \simeq ye1$ 
  using MulNode.hyps(1,3) by simp
then show ?case
proof –
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
  have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
  have  $xer: \exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using MulNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary MulNode)
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
    using MulNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary MulNode)
  then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinMul xe2 ye2) \wedge$ 

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      BinaryExpr BinMul xe1 ye1 ≥ BinaryExpr BinMul xe2 ye2
    by (metis MulNode.premis l mono-binary rep.MulNode xer)
  then show ?thesis
    by meson
qed
next
case (DivNode n x y xe1 ye1)
have k: g1 ⊢ n ≈ BinaryExpr BinDiv xe1 ye1
  using DivNode by (simp add: DivNode.hyps(2) rep.DivNode f)
obtain xn yn where l: kind g1 n = SignedFloatingIntegerDivNode xn yn
  by (simp add: DivNode.hyps(1))
then have mx: g1 ⊢ xn ≈ xe1
  using DivNode.hyps(1,2) by simp
from l have my: g1 ⊢ yn ≈ ye1
  using DivNode.hyps(1,3) by simp
then show ?case
proof -
  have g1 ⊢ xn ≈ xe1
    by (simp add: mx)
  have g1 ⊢ yn ≈ ye1
    by (simp add: my)
  have xer: ∃ xe2. (g2 ⊢ xn ≈ xe2) ∧ xe1 ≥ xe2
    using DivNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
  by (metis-node-eq-binary SignedFloatingIntegerDivNode)
  have ∃ ye2. (g2 ⊢ yn ≈ ye2) ∧ ye1 ≥ ye2
using DivNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
  by (metis-node-eq-binary SignedFloatingIntegerDivNode)
  then have ∃ xe2 ye2. (g2 ⊢ n ≈ BinaryExpr BinDiv xe2 ye2) ∧
    BinaryExpr BinDiv xe1 ye1 ≥ BinaryExpr BinDiv xe2 ye2
  by (metis DivNode.premis l mono-binary rep.DivNode xer)
  then show ?thesis
    by meson
qed
next
case (ModNode n x y xe1 ye1)
have k: g1 ⊢ n ≈ BinaryExpr BinMod xe1 ye1
  using ModNode by (simp add: ModNode.hyps(2) rep.ModNode f)
obtain xn yn where l: kind g1 n = SignedFloatingIntegerRemNode xn yn
  by (simp add: ModNode.hyps(1))
then have mx: g1 ⊢ xn ≈ xe1
  using ModNode.hyps(1,2) by simp
from l have my: g1 ⊢ yn ≈ ye1
  using ModNode.hyps(1,3) by simp
then show ?case
proof -
  have g1 ⊢ xn ≈ xe1
    by (simp add: mx)
  have g1 ⊢ yn ≈ ye1

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    by (simp add: my)
  have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using ModNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary SignedFloatingIntegerRemNode)
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
    using ModNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary SignedFloatingIntegerRemNode)
  then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinMod xe2 ye2) \wedge$ 
     $BinaryExpr BinMod xe1 ye1 \geq BinaryExpr BinMod xe2 ye2$ 
    by (metis ModNode.premis l mono-binary rep.ModNode xer)
  then show ?thesis
    by meson
qed
next
case (SubNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq BinaryExpr BinSub xe1 ye1$ 
  using SubNode by (simp add: SubNode.hyps(2) rep.SubNode f)
obtain xn yn where l: kind g1 n = SubNode xn yn
  by (simp add: SubNode.hyps(1))
then have mx:  $g1 \vdash xn \simeq xe1$ 
  using SubNode.hyps(1,2) by simp
from l have my:  $g1 \vdash yn \simeq ye1$ 
  using SubNode.hyps(1,3) by simp
then show ?case
proof -
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
  have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
  have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
  using SubNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
    by (metis-node-eq-binary SubNode)
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
  using SubNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
    by (metis-node-eq-binary SubNode)
  then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinSub xe2 ye2) \wedge$ 
     $BinaryExpr BinSub xe1 ye1 \geq BinaryExpr BinSub xe2 ye2$ 
    by (metis SubNode.premis l mono-binary rep.SubNode xer)
  then show ?thesis
    by meson
qed
next
case (AndNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq BinaryExpr BinAnd xe1 ye1$ 
  using AndNode by (simp add: AndNode.hyps(2) rep.AndNode f)
obtain xn yn where l: kind g1 n = AndNode xn yn
  using AndNode.hyps(1) by simp

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then have  $mx: g1 \vdash xn \simeq xe1$ 
  using AndNode.hyps(1,2) by simp
from  $l$  have  $my: g1 \vdash yn \simeq ye1$ 
  using AndNode.hyps(1,3) by simp
then show ?case
proof –
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
  have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
  have  $xer: \exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using AndNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary AndNode)
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
    using AndNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary AndNode)
  then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinAnd xe2 ye2) \wedge$ 
     $BinaryExpr BinAnd xe1 ye1 \geq BinaryExpr BinAnd xe2 ye2$ 
    by (metis AndNode.prem1 l mono-binary rep.AndNode xer)
  then show ?thesis
    by meson
qed
next
case (OrNode n x y xe1 ye1)
have  $k: g1 \vdash n \simeq BinaryExpr BinOr xe1 ye1$ 
  using OrNode by (simp add: OrNode.hyps(2) rep.OrNode f)
obtain  $xn yn$  where  $l: kind\ g1\ n = OrNode\ xn\ yn$ 
  using OrNode.hyps(1) by simp
then have  $mx: g1 \vdash xn \simeq xe1$ 
  using OrNode.hyps(1,2) by simp
from  $l$  have  $my: g1 \vdash yn \simeq ye1$ 
  using OrNode.hyps(1,3) by simp
then show ?case
proof –
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
  have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
  have  $xer: \exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
  using OrNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
    by (metis-node-eq-binary OrNode)
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
  using OrNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
    by (metis-node-eq-binary OrNode)
  then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinOr xe2 ye2) \wedge$ 
     $BinaryExpr BinOr xe1 ye1 \geq BinaryExpr BinOr xe2 ye2$ 
    by (metis OrNode.prem1 l mono-binary rep.OrNode xer)

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    then show ?thesis
      by meson
  qed
next
case (XorNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq \text{BinaryExpr BinXor } xe1 \ ye1$ 
  using XorNode by (simp add: XorNode.hyps(2) rep.XorNode f)
obtain xn yn where l:  $\text{kind } g1 \ n = \text{XorNode } xn \ yn$ 
  using XorNode.hyps(1) by simp
then have mx:  $g1 \vdash xn \simeq xe1$ 
  using XorNode.hyps(1,2) by simp
from l have my:  $g1 \vdash yn \simeq ye1$ 
  using XorNode.hyps(1,3) by simp
then show ?case
proof -
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
  have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
  have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using XorNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary XorNode)
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
    using XorNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary XorNode)
  then have  $\exists xe2 \ ye2. (g2 \vdash n \simeq \text{BinaryExpr BinXor } xe2 \ ye2) \wedge$ 
     $\text{BinaryExpr BinXor } xe1 \ ye1 \geq \text{BinaryExpr BinXor } xe2 \ ye2$ 
    by (metis XorNode.prem1 mono-binary rep.XorNode xer)
  then show ?thesis
    by meson
  qed
next
case (ShortCircuitOrNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq \text{BinaryExpr BinShortCircuitOr } xe1 \ ye1$ 
  using ShortCircuitOrNode by (simp add: ShortCircuitOrNode.hyps(2) rep.ShortCircuitOrNode
f)
obtain xn yn where l:  $\text{kind } g1 \ n = \text{ShortCircuitOrNode } xn \ yn$ 
  using ShortCircuitOrNode.hyps(1) by simp
then have mx:  $g1 \vdash xn \simeq xe1$ 
  using ShortCircuitOrNode.hyps(1,2) by simp
from l have my:  $g1 \vdash yn \simeq ye1$ 
  using ShortCircuitOrNode.hyps(1,3) by simp
then show ?case
proof -
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
  have  $g1 \vdash yn \simeq ye1$ 

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    by (simp add: my)
    have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      using ShortCircuitOrNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
    by (metis-node-eq-binary ShortCircuitOrNode)
    have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
      using ShortCircuitOrNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
    by (metis-node-eq-binary ShortCircuitOrNode)
    then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinShortCircuitOr xe2 ye2)$ 
 $\wedge$ 
    BinaryExpr BinShortCircuitOr xe1 ye1  $\geq$  BinaryExpr BinShortCircuitOr xe2 ye2
    by (metis ShortCircuitOrNode.premis l mono-binary rep.ShortCircuitOrNode
xer)
    then show ?thesis
      by meson
    qed
  next
  case (LeftShiftNode n x y xe1 ye1)
  have k:  $g1 \vdash n \simeq BinaryExpr BinLeftShift xe1 ye1$ 
    using LeftShiftNode by (simp add: LeftShiftNode.hyps(2) rep.LeftShiftNode
f)
  obtain xn yn where l: kind g1 n = LeftShiftNode xn yn
    using LeftShiftNode.hyps(1) by simp
  then have mx:  $g1 \vdash xn \simeq xe1$ 
    using LeftShiftNode.hyps(1,2) by simp
  from l have my:  $g1 \vdash yn \simeq ye1$ 
    using LeftShiftNode.hyps(1,3) by simp
  then show ?case
  proof -
    have  $g1 \vdash xn \simeq xe1$ 
      by (simp add: mx)
    have  $g1 \vdash yn \simeq ye1$ 
      by (simp add: my)
    have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      using LeftShiftNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary LeftShiftNode)
    have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
      using LeftShiftNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary LeftShiftNode)
    then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinLeftShift xe2 ye2) \wedge$ 
    BinaryExpr BinLeftShift xe1 ye1  $\geq$  BinaryExpr BinLeftShift xe2 ye2
      by (metis LeftShiftNode.premis l mono-binary rep.LeftShiftNode xer)
    then show ?thesis
      by meson
    qed
  next

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case (RightShiftNode n x y xe1 ye1)
have k: g1 ⊢ n ≃ BinaryExpr BinRightShift xe1 ye1
using RightShiftNode by (simp add: RightShiftNode.hyps(2) rep.RightShiftNode)
obtain xn yn where l: kind g1 n = RightShiftNode xn yn
  using RightShiftNode.hyps(1) by simp
then have mx: g1 ⊢ xn ≃ xe1
  using RightShiftNode.hyps(1,2) by simp
from l have my: g1 ⊢ yn ≃ ye1
  using RightShiftNode.hyps(1,3) by simp
then show ?case
proof -
  have g1 ⊢ xn ≃ xe1
    by (simp add: mx)
  have g1 ⊢ yn ≃ ye1
    by (simp add: my)
  have xer: ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
    using RightShiftNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
  by (metis-node-eq-binary RightShiftNode)
  have ∃ ye2. (g2 ⊢ yn ≃ ye2) ∧ ye1 ≥ ye2
    using RightShiftNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
  by (metis-node-eq-binary RightShiftNode)
  then have ∃ xe2 ye2. (g2 ⊢ n ≃ BinaryExpr BinRightShift xe2 ye2) ∧
BinaryExpr BinRightShift xe1 ye1 ≥ BinaryExpr BinRightShift xe2 ye2
    by (metis RightShiftNode.premis l mono-binary rep.RightShiftNode xer)
  then show ?thesis
    by meson
qed
next
case (UnsignedRightShiftNode n x y xe1 ye1)
have k: g1 ⊢ n ≃ BinaryExpr BinURightShift xe1 ye1
using UnsignedRightShiftNode by (simp add: UnsignedRightShiftNode.hyps(2)
rep.UnsignedRightShiftNode)
obtain xn yn where l: kind g1 n = UnsignedRightShiftNode xn yn
  using UnsignedRightShiftNode.hyps(1) by simp
then have mx: g1 ⊢ xn ≃ xe1
  using UnsignedRightShiftNode.hyps(1,2) by simp
from l have my: g1 ⊢ yn ≃ ye1
  using UnsignedRightShiftNode.hyps(1,3) by simp
then show ?case
proof -
  have g1 ⊢ xn ≃ xe1
    by (simp add: mx)
  have g1 ⊢ yn ≃ ye1
    by (simp add: my)
  have xer: ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
    using UnsignedRightShiftNode a b c d no-encoding not-excluded-keep-type

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repDet singletonD
  l
  by (metis-node-eq-binary UnsignedRightShiftNode)
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
  using UnsignedRightShiftNode a b c d no-encoding not-excluded-keep-type
repDet singletonD
  l
  by (metis-node-eq-binary UnsignedRightShiftNode)
  then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinURightShift xe2 ye2) \wedge$ 
     $BinaryExpr BinURightShift xe1 ye1 \geq BinaryExpr BinURightShift xe2 ye2$ 
  by (metis UnsignedRightShiftNode.premis l mono-binary rep.UnsignedRightShiftNode
xer)
  then show ?thesis
  by meson
qed
next
case (IntegerBelowNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq BinaryExpr BinIntegerBelow xe1 ye1$ 
using IntegerBelowNode by (simp add: IntegerBelowNode.hyps(2) rep.IntegerBelowNode)
obtain xn yn where l: kind g1 n = IntegerBelowNode xn yn
  using IntegerBelowNode.hyps(1) by simp
then have mx:  $g1 \vdash xn \simeq xe1$ 
  using IntegerBelowNode.hyps(1,2) by simp
from l have my:  $g1 \vdash yn \simeq ye1$ 
  using IntegerBelowNode.hyps(1,3) by simp
then show ?case
proof -
  have  $g1 \vdash xn \simeq xe1$ 
  by (simp add: mx)
  have  $g1 \vdash yn \simeq ye1$ 
  by (simp add: my)
  have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
  using IntegerBelowNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
  by (metis-node-eq-binary IntegerBelowNode)
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
  using IntegerBelowNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
  by (metis-node-eq-binary IntegerBelowNode)
  then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinIntegerBelow xe2 ye2) \wedge$ 
     $BinaryExpr BinIntegerBelow xe1 ye1 \geq BinaryExpr BinIntegerBelow xe2 ye2$ 
  by (metis IntegerBelowNode.premis l mono-binary rep.IntegerBelowNode
xer)
  then show ?thesis
  by meson
qed
next
case (IntegerEqualsNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq BinaryExpr BinIntegerEquals xe1 ye1$ 

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using IntegerEqualsNode by (simp add: IntegerEqualsNode.hyps(2) rep.IntegerEqualsNode)
obtain xn yn where l: kind g1 n = IntegerEqualsNode xn yn
  using IntegerEqualsNode.hyps(1) by simp
then have mx: g1 ⊢ xn ≈ xe1
  using IntegerEqualsNode.hyps(1,2) by simp
from l have my: g1 ⊢ yn ≈ ye1
  using IntegerEqualsNode.hyps(1,3) by simp
then show ?case
proof –
  have g1 ⊢ xn ≈ xe1
    by (simp add: mx)
  have g1 ⊢ yn ≈ ye1
    by (simp add: my)
  have xer: ∃ xe2. (g2 ⊢ xn ≈ xe2) ∧ xe1 ≥ xe2
    using IntegerEqualsNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
    by (metis-node-eq-binary IntegerEqualsNode)
  have ∃ ye2. (g2 ⊢ yn ≈ ye2) ∧ ye1 ≥ ye2
    using IntegerEqualsNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
    by (metis-node-eq-binary IntegerEqualsNode)
  then have ∃ xe2 ye2. (g2 ⊢ n ≈ BinaryExpr BinIntegerEquals xe2 ye2) ∧
BinaryExpr BinIntegerEquals xe1 ye1 ≥ BinaryExpr BinIntegerEquals xe2 ye2
    by (metis IntegerEqualsNode.premis l mono-binary rep.IntegerEqualsNode
xer)
  then show ?thesis
    by meson
qed
next
case (IntegerLessThanNode n x y xe1 ye1)
have k: g1 ⊢ n ≈ BinaryExpr BinIntegerLessThan xe1 ye1
  using IntegerLessThanNode by (simp add: IntegerLessThanNode.hyps(2)
rep.IntegerLessThanNode)
obtain xn yn where l: kind g1 n = IntegerLessThanNode xn yn
  using IntegerLessThanNode.hyps(1) by simp
then have mx: g1 ⊢ xn ≈ xe1
  using IntegerLessThanNode.hyps(1,2) by simp
from l have my: g1 ⊢ yn ≈ ye1
  using IntegerLessThanNode.hyps(1,3) by simp
then show ?case
proof –
  have g1 ⊢ xn ≈ xe1
    by (simp add: mx)
  have g1 ⊢ yn ≈ ye1
    by (simp add: my)
  have xer: ∃ xe2. (g2 ⊢ xn ≈ xe2) ∧ xe1 ≥ xe2
    using IntegerLessThanNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
    by (metis-node-eq-binary IntegerLessThanNode)

```



```

      have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
        using IntegerLessThanNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
      by (metis-node-eq-binary IntegerLessThanNode)
      then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinIntegerLessThan xe2 ye2)$ 
 $\wedge$ 
BinaryExpr BinIntegerLessThan xe1 ye1  $\geq$  BinaryExpr BinIntegerLessThan xe2
ye2
      by (metis IntegerLessThanNode.premis l mono-binary rep.IntegerLessThanNode
xer)
      then show ?thesis
        by meson
      qed
next
case (IntegerTestNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq BinaryExpr BinIntegerTest xe1 ye1$ 
  using IntegerTestNode by (meson rep.IntegerTestNode)
obtain xn yn where l: kind g1 n = IntegerTestNode xn yn
  by (simp add: IntegerTestNode.hyps(1))
then have mx:  $g1 \vdash xn \simeq xe1$ 
  using IRNode.inject(21) IntegerTestNode.hyps(1,2) by presburger
from l have my:  $g1 \vdash yn \simeq ye1$ 
  by (metis IRNode.inject(21) IntegerTestNode.hyps(1,3))
then show ?case
proof -
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
  have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
  have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using IntegerTestNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis IRNode.inject(21))
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
    using IntegerLessThanNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
    by (metis IRNode.inject(21) IntegerTestNode.IH(2) IntegerTestNode.hyps(1)
my)
  then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinIntegerTest xe2 ye2) \wedge$ 
BinaryExpr BinIntegerTest xe1 ye1  $\geq$  BinaryExpr BinIntegerTest xe2 ye2
    by (metis IntegerTestNode.premis l mono-binary xer rep.IntegerTestNode)
  then show ?thesis
    by meson
  qed
next
case (IntegerNormalizeCompareNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq BinaryExpr BinIntegerNormalizeCompare xe1 ye1$ 
by (simp add: IntegerNormalizeCompareNode.hyps(1,2,3) rep.IntegerNormalizeCompareNode)
obtain xn yn where l: kind g1 n = IntegerNormalizeCompareNode xn yn

```

```

    by (simp add: IntegerNormalizeCompareNode.hyps(1))
  then have  $m x: g1 \vdash x n \simeq x e1$ 
    using IRNode.inject(20) IntegerNormalizeCompareNode.hyps(1,2) by pres-
burger
  from  $l$  have  $m y: g1 \vdash y n \simeq y e1$ 
    using IRNode.inject(20) IntegerNormalizeCompareNode.hyps(1,3) by pres-
burger
  then show ?case
  proof -
    have  $g1 \vdash x n \simeq x e1$ 
      by (simp add:  $m x$ )
    have  $g1 \vdash y n \simeq y e1$ 
      by (simp add:  $m y$ )
    have  $x e r: \exists x e2. (g2 \vdash x n \simeq x e2) \wedge x e1 \geq x e2$ 
      by (metis IRNode.inject(20) IntegerNormalizeCompareNode.IH(1) l m x
no-encoding a b c d
IntegerNormalizeCompareNode.hyps(1) emptyE insertE not-excluded-keep-type
repDet)
    have  $\exists y e2. (g2 \vdash y n \simeq y e2) \wedge y e1 \geq y e2$ 
      by (metis IRNode.inject(20) IntegerNormalizeCompareNode.IH(2) m y
no-encoding a b c d l
IntegerNormalizeCompareNode.hyps(1) emptyE insertE not-excluded-keep-type
repDet)
    then have  $\exists x e2 y e2. (g2 \vdash n \simeq \text{BinaryExpr BinIntegerNormalizeCompare}
x e2 y e2) \wedge$ 
       $\text{BinaryExpr BinIntegerNormalizeCompare } x e1 y e1 \geq \text{BinaryExpr BinIntegerNor-}
\text{malizeCompare } x e2 y e2$ 
      by (metis IntegerNormalizeCompareNode.prem s l mono-binary rep.IntegerNormalizeCompareNode
x e r)
    then show ?thesis
      by meson
  qed
next
case (IntegerMulHighNode n x y x e1 y e1)
have  $k: g1 \vdash n \simeq \text{BinaryExpr BinIntegerMulHigh } x e1 y e1$ 
  by (simp add: IntegerMulHighNode.hyps(1,2,3) rep.IntegerMulHighNode)
obtain  $x n y n$  where  $l: \text{kind } g1 n = \text{IntegerMulHighNode } x n y n$ 
  by (simp add: IntegerMulHighNode.hyps(1))
then have  $m x: g1 \vdash x n \simeq x e1$ 
  using IRNode.inject(19) IntegerMulHighNode.hyps(1,2) by presburger
from  $l$  have  $m y: g1 \vdash y n \simeq y e1$ 
  using IRNode.inject(19) IntegerMulHighNode.hyps(1,3) by presburger
then show ?case
  proof -
    have  $g1 \vdash x n \simeq x e1$ 
      by (simp add:  $m x$ )
    have  $g1 \vdash y n \simeq y e1$ 
      by (simp add:  $m y$ )
    have  $x e r: \exists x e2. (g2 \vdash x n \simeq x e2) \wedge x e1 \geq x e2$ 

```

```

    by (metis IRNode.inject(19) IntegerMulHighNode.IH(1) IntegerMulHigh-
Node.hyps(1) a b c d
      emptyE insertE l mx no-encoding not-excluded-keep-type repDet)
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
    by (metis IRNode.inject(19) IntegerMulHighNode.IH(2) IntegerMulHigh-
Node.hyps(1) a b c d
      emptyE insertE l my no-encoding not-excluded-keep-type repDet)
  then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinIntegerMulHigh xe2 ye2) \wedge$ 
 $BinaryExpr BinIntegerMulHigh xe1 ye1 \geq BinaryExpr BinIntegerMulHigh xe2 ye2$ 
    by (metis IntegerMulHighNode.premis l mono-binary rep.IntegerMulHighNode
xer)
  then show ?thesis
    by meson
qed
next
case (NarrowNode n inputBits resultBits x xe1)
have k:  $g1 \vdash n \simeq UnaryExpr (UnaryNarrow inputBits resultBits) xe1$ 
  using NarrowNode by (simp add: NarrowNode.hyps(2) rep.NarrowNode)
obtain xn where l: kind g1 n = NarrowNode inputBits resultBits xn
  using NarrowNode.hyps(1) by simp
then have m:  $g1 \vdash xn \simeq xe1$ 
  using NarrowNode.hyps(1,2) by simp
then show ?case
proof (cases xn = n')
  case True
  then have n:  $xe1 = e1'$ 
    using m by (simp add: repDet c)
  then have ev:  $g2 \vdash n \simeq UnaryExpr (UnaryNarrow inputBits resultBits) e2'$ 

    using l by (simp add: rep.NarrowNode d True NarrowNode.premis)
  then have r:  $UnaryExpr (UnaryNarrow inputBits resultBits) e1' \geq$ 
 $UnaryExpr (UnaryNarrow inputBits resultBits) e2'$ 
    by (meson a mono-unary)
  then show ?thesis
    by (metis n ev)
next
case False
have g1  $g1 \vdash xn \simeq xe1$ 
  by (simp add: m)
have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
  using NarrowNode False b encodes-contains l not-excluded-keep-type not-in-g
singleton-iff
  by (metis-node-eq-ternary NarrowNode)
then have  $\exists xe2. (g2 \vdash n \simeq UnaryExpr (UnaryNarrow inputBits resultBits)$ 
 $xe2) \wedge$ 
 $UnaryExpr (UnaryNarrow inputBits resultBits) xe1 \geq$ 
 $UnaryExpr (UnaryNarrow inputBits resultBits) xe2$ 
  by (metis NarrowNode.premis l mono-unary rep.NarrowNode)
then show ?thesis

```

```

    by meson
  qed
next
case (SignExtendNode n inputBits resultBits x xe1)
have k: g1 ⊢ n ≃ UnaryExpr (UnarySignExtend inputBits resultBits) xe1
using SignExtendNode by (simp add: SignExtendNode.hyps(2) rep.SignExtendNode)
obtain xn where l: kind g1 n = SignExtendNode inputBits resultBits xn
  using SignExtendNode.hyps(1) by simp
then have m: g1 ⊢ xn ≃ xe1
  using SignExtendNode.hyps(1,2) by simp
then show ?case
proof (cases xn = n')
case True
then have n: xe1 = e1'
  using m by (simp add: repDet c)
then have ev: g2 ⊢ n ≃ UnaryExpr (UnarySignExtend inputBits resultBits)
e2'
  using l by (simp add: True d rep.SignExtendNode SignExtendNode.prem)
then have r: UnaryExpr (UnarySignExtend inputBits resultBits) e1' ≥
  UnaryExpr (UnarySignExtend inputBits resultBits) e2'
  by (meson a mono-unary)
then show ?thesis
  by (metis n ev)
next
case False
have g1 ⊢ xn ≃ xe1
  by (simp add: m)
have ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
  using SignExtendNode False b encodes-contains l not-excluded-keep-type
not-in-g
  singleton-iff
  by (metis node-eq-ternary SignExtendNode)
then have ∃ xe2. (g2 ⊢ n ≃ UnaryExpr (UnarySignExtend inputBits
resultBits) xe2) ∧
  UnaryExpr (UnarySignExtend inputBits resultBits)
xe1 ≥
  UnaryExpr (UnarySignExtend inputBits resultBits) xe2
  by (metis SignExtendNode.prem l mono-unary rep.SignExtendNode)
then show ?thesis
  by meson
qed
next
case (ZeroExtendNode n inputBits resultBits x xe1)
have k: g1 ⊢ n ≃ UnaryExpr (UnaryZeroExtend inputBits resultBits) xe1
using ZeroExtendNode by (simp add: ZeroExtendNode.hyps(2) rep.ZeroExtendNode)
obtain xn where l: kind g1 n = ZeroExtendNode inputBits resultBits xn
  using ZeroExtendNode.hyps(1) by simp
then have m: g1 ⊢ xn ≃ xe1
  using ZeroExtendNode.hyps(1,2) by simp

```

```

then show ?case
proof (cases xn = n')
  case True
  then have n: xe1 = e1'
    using m by (simp add: repDet c)
  then have ev: g2 ⊢ n ≈ UnaryExpr (UnaryZeroExtend inputBits resultBits)
e2'
    using l by (simp add: ZeroExtendNode.premis True d rep.ZeroExtendNode)
  then have r: UnaryExpr (UnaryZeroExtend inputBits resultBits) e1' ≥
    UnaryExpr (UnaryZeroExtend inputBits resultBits) e2'
    by (meson a mono-unary)
  then show ?thesis
    by (metis n ev)
next
  case False
  have g1 ⊢ xn ≈ xe1
    by (simp add: m)
  have ∃ xe2. (g2 ⊢ xn ≈ xe2) ∧ xe1 ≥ xe2
    using ZeroExtendNode b encodes-contains l not-excluded-keep-type not-in-g
singleton-iff
      False
    by (metis node-eq-ternary ZeroExtendNode)
  then have ∃ xe2. (g2 ⊢ n ≈ UnaryExpr (UnaryZeroExtend inputBits
resultBits) xe2) ∧
    UnaryExpr (UnaryZeroExtend inputBits resultBits)
xe1 ≥
    UnaryExpr (UnaryZeroExtend inputBits resultBits) xe2
    by (metis ZeroExtendNode.premis l mono-unary rep.ZeroExtendNode)
  then show ?thesis
    by meson
qed
next
  case (LeafNode n s)
  then show ?case
    by (metis eq-refl rep.LeafNode)
next
  case (PiNode n' gu)
  then show ?case
    by (metis encodes-contains not-excluded-keep-type not-in-g rep.PiNode repDet
singleton-iff
      a b c d)
next
  case (RefNode n')
  then show ?case
    by (metis a b c d no-encoding not-excluded-keep-type rep.RefNode repDet
singletonD)
next
  case (IsNullNode n)
  then show ?case

```

```

    by (metis insertE mono-unary no-encoding not-excluded-keep-type rep.IsNullNode
repDet emptyE
      a b c d)
  qed
qed
qed

```

```

lemma graph-semantic-preservation-subscript:
  assumes a:  $e_1' \geq e_2'$ 
  assumes b:  $(\{n\} \trianglelefteq \text{as-set } g_1) \subseteq \text{as-set } g_2$ 
  assumes c:  $g_1 \vdash n \simeq e_1'$ 
  assumes d:  $g_2 \vdash n \simeq e_2'$ 
  shows graph-refinement  $g_1 g_2$ 
  using assms by (simp add: graph-semantic-preservation)

```

```

lemma tree-to-graph-rewriting:
   $e_1 \geq e_2$ 
   $\wedge (g_1 \vdash n \simeq e_1) \wedge \text{maximal-sharing } g_1$ 
   $\wedge (\{n\} \trianglelefteq \text{as-set } g_1) \subseteq \text{as-set } g_2$ 
   $\wedge (g_2 \vdash n \simeq e_2) \wedge \text{maximal-sharing } g_2$ 
   $\implies \text{graph-refinement } g_1 g_2$ 
  by (auto simp add: graph-semantic-preservation)

```

```

declare [[simp-trace]]
lemma equal-refines:
  fixes e1 e2 :: IRExpr
  assumes e1 = e2
  shows  $e1 \geq e2$ 
  using assms by simp
declare [[simp-trace=false]]

```

```

lemma eval-contains-id[simp]:  $g1 \vdash n \simeq e \implies n \in \text{ids } g1$ 
  using no-encoding by auto

```

```

lemma subset-kind[simp]:  $\text{as-set } g1 \subseteq \text{as-set } g2 \implies g1 \vdash n \simeq e \implies \text{kind } g1 n =$ 
 $\text{kind } g2 n$ 
  using eval-contains-id as-set-def by blast

```

```

lemma subset-stamp[simp]:  $\text{as-set } g1 \subseteq \text{as-set } g2 \implies g1 \vdash n \simeq e \implies \text{stamp } g1 n$ 
 $= \text{stamp } g2 n$ 
  using eval-contains-id as-set-def by blast

```

```

method solve-subset-eval uses as-set eval =
  (metis eval as-set subset-kind subset-stamp |
  metis eval as-set subset-kind)

```

```

lemma subset-implies-evals:

```

```

assumes  $as\text{-set } g1 \subseteq as\text{-set } g2$ 
assumes  $(g1 \vdash n \simeq e)$ 
shows  $(g2 \vdash n \simeq e)$ 
using  $assms(2)$ 
apply ( $induction\ e$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: ConstantNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: ParameterNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: ConditionalNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: AbsNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: ReverseBytesNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: BitCountNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: NotNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: NegateNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: LogicNegationNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: AddNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: MulNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: DivNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: ModNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: SubNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: AndNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: OrNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: XorNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: ShortCircuitOrNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: LeftShiftNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: RightShiftNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: UnsignedRightShiftNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: IntegerBelowNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: IntegerEqualsNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: IntegerLessThanNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: IntegerTestNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: IntegerNormalizeCompareNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: IntegerMulHighNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: NarrowNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: SignExtendNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: ZeroExtendNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: LeafNode$ )
  apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: PiNode$ )
apply ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: RefNode$ )
by ( $solve\text{-subset}\text{-eval } as\text{-set}: assms(1)\ eval: IsNullNode$ )

```

**lemma**  $subset\text{-refines}$ :

```

assumes  $as\text{-set } g1 \subseteq as\text{-set } g2$ 
shows  $graph\text{-refinement } g1\ g2$ 
proof –
  have  $ids\ g1 \subseteq ids\ g2$ 
  using  $assms\ as\text{-set}\text{-def}$  by  $blast$ 
  then show  $?thesis$ 
  unfolding  $graph\text{-refinement}\text{-def}$ 
  apply  $rule$  apply ( $rule\ allI$ ) apply ( $rule\ impI$ ) apply ( $rule\ allI$ ) apply ( $rule$ 

```

```

impI)
  unfolding graph-represents-expression-def
  proof -
    fix n e1
    assume 1:n ∈ ids g1
    assume 2:g1 ⊢ n ≈ e1
    show ∃ e2. (g2 ⊢ n ≈ e2) ∧ e1 ≥ e2
      by (meson equal-refines subset-implies-evals assms 1 2)
    qed
  qed

```

```

lemma graph-construction:
  e1 ≥ e2
  ∧ as-set g1 ⊆ as-set g2
  ∧ (g2 ⊢ n ≈ e2)
  ⇒ (g2 ⊢ n ≦ e1) ∧ graph-refinement g1 g2
  by (meson encodeeval.simps graph-represents-expression-def le-expr-def subset-refines)

```

#### 7.8.4 Term Graph Reconstruction

```

lemma find-exists-kind:
  assumes find-node-and-stamp g (node, s) = Some nid
  shows kind g nid = node
  by (metis (mono-tags, lifting) find-Some-iff find-node-and-stamp.simps assms)

```

```

lemma find-exists-stamp:
  assumes find-node-and-stamp g (node, s) = Some nid
  shows stamp g nid = s
  by (metis (mono-tags, lifting) find-Some-iff find-node-and-stamp.simps assms)

```

```

lemma find-new-kind:
  assumes g' = add-node nid (node, s) g
  assumes node ≠ NoNode
  shows kind g' nid = node
  by (simp add: add-node-lookup assms)

```

```

lemma find-new-stamp:
  assumes g' = add-node nid (node, s) g
  assumes node ≠ NoNode
  shows stamp g' nid = s
  by (simp add: assms add-node-lookup)

```

```

lemma sorted-bottom:
  assumes finite xs
  assumes x ∈ xs
  shows x ≤ last(sorted-list-of-set(xs::nat set))
  proof -
  obtain largest where largest: largest = last (sorted-list-of-set(xs))
    by simp

```



```

obtain sortedList where sortedList: sortedList = sorted-list-of-set(xs)
  by simp
  have step:  $\forall i. 0 < i \wedge i < (\text{length } (\text{sortedList})) \longrightarrow \text{sortedList}!(i-1) \leq \text{sortedList}!(i)$ 
  unfolding sortedList apply auto
  by (metis diff-le-self sorted-list-of-set.length-sorted-key-list-of-set sorted-nth-mono sorted-list-of-set(2))
  have finalElement: last (sorted-list-of-set(xs)) =
    sorted-list-of-set(xs)!(length (sorted-list-of-set(xs))
- 1)
  using assms last-conv-nth sorted-list-of-set.sorted-key-list-of-set-eq-Nil-iff by
blast
  have contains0:  $(x \in xs) = (x \in \text{set } (\text{sorted-list-of-set}(xs)))$ 
  using assms(1) by auto
  have lastLargest:  $((x \in xs) \longrightarrow (\text{largest} \geq x))$ 
  using step unfolding largest finalElement apply auto
  by (metis (no-types, lifting) One-nat-def Suc-pred assms(1) card-Diff1-less
in-set-conv-nth
sorted-list-of-set.length-sorted-key-list-of-set card-Diff-singleton-if less-Suc-eq-le
sorted-list-of-set.sorted-sorted-key-list-of-set length-pos-if-in-set sorted-nth-mono
contains0)
  then show ?thesis
  by (simp add: assms largest)
qed

```

```

lemma fresh: finite xs  $\implies$  last(sorted-list-of-set(xs::nat set)) + 1  $\notin$  xs
  using sorted-bottom not-le by auto

```

```

lemma fresh-ids:
  assumes n = get-fresh-id g
  shows n  $\notin$  ids g
proof -
  have finite (ids g)
  by (simp add: Rep-IRGraph)
  then show ?thesis
  using assms fresh unfolding get-fresh-id.simps by blast
qed

```

```

lemma graph-unchanged-rep-unchanged:
  assumes  $\forall n \in \text{ids } g. \text{kind } g \ n = \text{kind } g' \ n$ 
  assumes  $\forall n \in \text{ids } g. \text{stamp } g \ n = \text{stamp } g' \ n$ 
  shows  $(g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)$ 
  apply (rule impI) subgoal premises e using e assms
  apply (induction n e)
    apply (metis no-encoding rep.ConstantNode)
    apply (metis no-encoding rep.ParameterNode)
    apply (metis no-encoding rep.ConditionalNode)
    apply (metis no-encoding rep.AbsNode)
    apply (metis no-encoding rep.ReverseBytesNode)

```

```

    apply (metis no-encoding rep.BitCountNode)
    apply (metis no-encoding rep.NotNode)
    apply (metis no-encoding rep.NegateNode)
    apply (metis no-encoding rep.LogicNegationNode)
    apply (metis no-encoding rep.AddNode)
    apply (metis no-encoding rep.MulNode)
    apply (metis no-encoding rep.DivNode)
    apply (metis no-encoding rep.ModNode)
    apply (metis no-encoding rep.SubNode)
    apply (metis no-encoding rep.AndNode)
    apply (metis no-encoding rep.OrNode)
    apply (metis no-encoding rep.XorNode)
    apply (metis no-encoding rep.ShortCircuitOrNode)
    apply (metis no-encoding rep.LeftShiftNode)
    apply (metis no-encoding rep.RightShiftNode)
    apply (metis no-encoding rep.UnsignedRightShiftNode)
    apply (metis no-encoding rep.IntegerBelowNode)
    apply (metis no-encoding rep.IntegerEqualsNode)
    apply (metis no-encoding rep.IntegerLessThanNode)
    apply (metis no-encoding rep.IntegerTestNode)
    apply (metis no-encoding rep.IntegerNormalizeCompareNode)
    apply (metis no-encoding rep.IntegerMulHighNode)
    apply (metis no-encoding rep.NarrowNode)
    apply (metis no-encoding rep.SignExtendNode)
    apply (metis no-encoding rep.ZeroExtendNode)
    apply (metis no-encoding rep.LeafNode)
    apply (metis no-encoding rep.PiNode)
    apply (metis no-encoding rep.RefNode)
  by (metis no-encoding rep.IsNullNode)
done

```

**lemma** *fresh-node-subset*:

```

  assumes  $n \notin \text{ids } g$ 
  assumes  $g' = \text{add-node } n (k, s) g$ 
  shows  $\text{as-set } g \subseteq \text{as-set } g'$ 
  by (smt (z3) Collect-mono-iff Diff-idemp Diff-insert-absorb add-changed as-set-def
    unchanged.simps
      disjoint-change assms)

```

**lemma** *unique-subset*:

```

  assumes unique  $g \text{ node } (g', n)$ 
  shows  $\text{as-set } g \subseteq \text{as-set } g'$ 
  using assms fresh-ids fresh-node-subset
  by (metis Pair-inject old.prod.exhaust subsetI unique.cases)

```

**lemma** *unrep-subset*:

```

  assumes  $(g \oplus e \rightsquigarrow (g', n))$ 
  shows  $\text{as-set } g \subseteq \text{as-set } g'$ 
  using assms

```

```

proof (induction g e (g', n) arbitrary: g' n)
  case (UnrepConstantNode g c n g')
  then show ?case using unique-subset by simp
next
  case (UnrepParameterNode g i s n)
  then show ?case using unique-subset by simp
next
  case (UnrepConditionalNode g ce g2 c te g3 t fe g4 f s' n)
  then show ?case using unique-subset by blast
next
  case (UnrepUnaryNode g xe g2 x s' op n)
  then show ?case using unique-subset by blast
next
  case (UnrepBinaryNode g xe g2 x ye g3 y s' op n)
  then show ?case using unique-subset by blast
next
  case (AllLeafNodes g n s)
  then show ?case
    by auto
qed

```

```

lemma fresh-node-preserves-other-nodes:
  assumes n' = get-fresh-id g
  assumes g' = add-node n' (k, s) g
  shows  $\forall n \in \text{ids } g . (g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)$ 
  using assms apply auto
  by (metis fresh-node-subset subset-implies-evals fresh-ids assms)

```

```

lemma found-node-preserves-other-nodes:
  assumes find-node-and-stamp g (k, s) = Some n
  shows  $\forall n \in \text{ids } g . (g \vdash n \simeq e) \longleftrightarrow (g' \vdash n \simeq e)$ 
  by (auto simp add: assms)

```

```

lemma unrep-ids-subset[simp]:
  assumes g  $\oplus$  e  $\rightsquigarrow$  (g', n)
  shows  $\text{ids } g \subseteq \text{ids } g'$ 
  by (meson graph-refinement-def subset-refines unrep-subset assms)

```

```

lemma unrep-unchanged:
  assumes g  $\oplus$  e  $\rightsquigarrow$  (g', n)
  shows  $\forall n \in \text{ids } g . \forall e . (g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)$ 
  by (meson subset-implies-evals unrep-subset assms)

```

```

lemma unique-kind:
  assumes unique g (node, s) (g', nid)
  assumes node  $\neq$  NoNode
  shows  $\text{kind } g' \text{ nid} = \text{node} \wedge \text{stamp } g' \text{ nid} = s$ 
  using assms find-exists-kind add-node-lookup
  by (smt (verit, del-insts) Pair-inject find-exists-stamp unique.cases)

```

```

lemma unique-eval:
  assumes unique g (n, s) (g', nid)
  shows  $g \vdash \text{nid}' \simeq e \implies g' \vdash \text{nid}' \simeq e$ 
  using assms subset-implies-evals unique-subset by blast

lemma unrep-eval:
  assumes unrep g e (g', nid)
  shows  $g \vdash \text{nid}' \simeq e' \implies g' \vdash \text{nid}' \simeq e'$ 
  using assms subset-implies-evals no-encoding unrep-unchanged by blast

lemma unary-node-nonode:
  unary-node op x  $\neq$  NoNode
  by (cases op; auto)

lemma bin-node-nonode:
  bin-node op x y  $\neq$  NoNode
  by (cases op; auto)

theorem term-graph-reconstruction:
   $g \oplus e \rightsquigarrow (g', n) \implies (g' \vdash n \simeq e) \wedge \text{as-set } g \subseteq \text{as-set } g'$ 
  subgoal premises e apply (rule conjI) defer
    using e unrep-subset apply blast using e
  proof (induction g e (g', n) arbitrary: g' n)
    case (UnrepConstantNode g c g1 n)
      then show ?case
      using ConstantNode unique-kind by blast
    next
      case (UnrepParameterNode g i s g1 n)
        then show ?case
        using ParameterNode unique-kind
        by (metis IRNode.distinct(3695))
    next
      case (UnrepConditionalNode g ce g1 c te g2 t fe g3 f s' g4 n)
        then show ?case
        using unique-kind unique-eval unrep-eval
        by (meson ConditionalNode IRNode.distinct(965))
    next
      case (UnrepUnaryNode g xe g1 x s' op g2 n)
        then have k: kind g2 n = unary-node op x
        using unique-kind unary-node-nonode by simp
        then have  $g_2 \vdash x \simeq xe$ 
        using UnrepUnaryNode unique-eval by blast
        then show ?case
        using k apply (cases op)
        using unary-node.simps(1,2,3,4,5,6,7,8,9,10)
        AbsNode NegateNode NotNode LogicNegationNode NarrowNode SignEx-
        tendNode ZeroExtendNode

```

```

      IsNullNode ReverseBytesNode BitCountNode
    by presburger+
  next
  case (UnrepBinaryNode g xe g1 x ye g2 y s' op g3 n)
  then have k: kind g3 n = bin-node op x y
    using unique-kind bin-node-nonnode by simp
  have x: g3 ⊢ x ≈ xe
    using UnrepBinaryNode unique-eval unrep-eval by blast
  have y: g3 ⊢ y ≈ ye
    using UnrepBinaryNode unique-eval unrep-eval by blast
  then show ?case
    using x k apply (cases op)
    using bin-node.simps(1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18)
      AddNode MulNode DivNode ModNode SubNode AndNode OrNode
ShortCircuitOrNode LeftShiftNode RightShiftNode
      UnsignedRightShiftNode IntegerEqualsNode IntegerLessThanNode Inte-
gerBelowNode XorNode
      IntegerTestNode IntegerNormalizeCompareNode IntegerMulHighNode
    by metis+
  next
  case (AllLeafNodes g n s)
  then show ?case
    by (simp add: rep.LeafNode)
qed
done

```

**lemma** *ref-refinement*:  
 assumes  $g \vdash n \simeq e_1$   
 assumes  $\text{kind } g \ n' = \text{RefNode } n$   
 shows  $g \vdash n' \trianglelefteq e_1$   
 by (meson equal-refines graph-represents-expression-def RefNode assms)

**lemma** *unrep-refines*:  
 assumes  $g \oplus e \rightsquigarrow (g', n)$   
 shows *graph-refinement*  $g \ g'$   
 using *assms* by (simp add: unrep-subset subset-refines)

**lemma** *add-new-node-refines*:  
 assumes  $n \notin \text{ids } g$   
 assumes  $g' = \text{add-node } n \ (k, s) \ g$   
 shows *graph-refinement*  $g \ g'$   
 using *assms* by (simp add: fresh-node-subset subset-refines)

**lemma** *add-node-as-set*:  
 assumes  $g' = \text{add-node } n \ (k, s) \ g$   
 shows  $\{n\} \trianglelefteq \text{as-set } g \subseteq \text{as-set } g'$   
 unfolding *assms*  
 by (smt (verit, ccfv-SIG) case-prodE changeonly.simps mem-Collect-eq prod.sel(1) subsetI *assms*)

*add-changed as-set-def domain-subtraction-def*

**theorem** *refined-insert*:

**assumes**  $e_1 \geq e_2$

**assumes**  $g_1 \oplus e_2 \rightsquigarrow (g_2, n')$

**shows**  $(g_2 \vdash n' \leq e_1) \wedge \text{graph-refinement } g_1 \ g_2$

**using** *assms graph-construction term-graph-reconstruction* **by** *blast*

**lemma** *ids-finite*:  $\text{finite } (\text{ids } g)$

**by** *simp*

**lemma** *unwrap-sorted*:  $\text{set } (\text{sorted-list-of-set } (\text{ids } g)) = \text{ids } g$

**using** *ids-finite* **by** *simp*

**lemma** *find-none*:

**assumes**  $\text{find-node-and-stamp } g \ (k, s) = \text{None}$

**shows**  $\forall n \in \text{ids } g. \text{kind } g \ n \neq k \vee \text{stamp } g \ n \neq s$

**proof** –

**have**  $(\nexists n. n \in \text{ids } g \wedge (\text{kind } g \ n = k \wedge \text{stamp } g \ n = s))$

**by** (*metis (mono-tags) unwrap-sorted find-None-iff find-node-and-stamp.simps*

*assms*)

**then show** *?thesis*

**by** *auto*

**qed**

**method** *ref-represents* **uses** *node =*

(*metis IRNode.distinct(2755) RefNode dual-order.refl find-new-kind fresh-node-subset node subset-implies-evals*)

### 7.8.5 Data-flow Tree to Subgraph Preserves Maximal Sharing

**lemma** *same-kind-stamp-encodes-equal*:

**assumes**  $\text{kind } g \ n = \text{kind } g \ n'$

**assumes**  $\text{stamp } g \ n = \text{stamp } g \ n'$

**assumes**  $\neg(\text{is-preevaluated } (\text{kind } g \ n))$

**shows**  $\forall e. (g \vdash n \simeq e) \longrightarrow (g \vdash n' \simeq e)$

**apply** (*rule allI*)

**subgoal for**  $e$

```

apply (rule impI)
subgoal premises eval using eval assms
  apply (induction e)
using ConstantNode apply presburger
using ParameterNode apply presburger
  apply (metis ConditionalNode)
  apply (metis AbsNode)
  apply (metis ReverseBytesNode)
  apply (metis BitCountNode)
  apply (metis NotNode)
  apply (metis NegateNode)
  apply (metis LogicNegationNode)
  apply (metis AddNode)
  apply (metis MulNode)
  apply (metis DivNode)
  apply (metis ModNode)
  apply (metis SubNode)
  apply (metis AndNode)
  apply (metis OrNode)
  apply (metis XorNode)
  apply (metis ShortCircuitOrNode)
  apply (metis LeftShiftNode)
  apply (metis RightShiftNode)
  apply (metis UnsignedRightShiftNode)
  apply (metis IntegerBelowNode)
  apply (metis IntegerEqualsNode)
  apply (metis IntegerLessThanNode)
  apply (metis IntegerTestNode)
  apply (metis IntegerNormalizeCompareNode)
  apply (metis IntegerMulHighNode)
  apply (metis NarrowNode)
  apply (metis SignExtendNode)
  apply (metis ZeroExtendNode)
defer
  apply (metis PiNode)
  apply (metis RefNode)
apply (metis IsNullNode)
by blast
done
done

```

**lemma** *new-node-not-present*:

```

assumes find-node-and-stamp  $g$  (node, s) = None
assumes  $n = \text{get-fresh-id } g$ 
assumes  $g' = \text{add-node } n$  (node, s)  $g$ 
shows  $\forall n' \in \text{true-ids } g. (\forall e. ((g \vdash n \simeq e) \wedge (g \vdash n' \simeq e)) \longrightarrow n = n')$ 
using assms encode-in-ids fresh-ids by blast

```

**lemma** *true-ids-def*:

$true-ids\ g = \{n \in ids\ g. \neg(is-RefNode\ (kind\ g\ n)) \wedge ((kind\ g\ n) \neq NoNode)\}$   
**using**  $true-ids-def$  **by**  $(auto\ simp\ add: is-RefNode-def)$

**lemma**  $add-node-some-node-def$ :

**assumes**  $k \neq NoNode$   
**assumes**  $g' = add-node\ nid\ (k, s)\ g$   
**shows**  $g' = Abs-IRGraph\ ((Rep-IRGraph\ g)(nid \mapsto (k, s)))$   
**by**  $(metis\ Rep-IRGraph-inverse\ add-node.rep-eq\ fst-conv\ assms)$

**lemma**  $ids-add-update-v1$ :

**assumes**  $g' = add-node\ nid\ (k, s)\ g$   
**assumes**  $k \neq NoNode$   
**shows**  $dom\ (Rep-IRGraph\ g') = dom\ (Rep-IRGraph\ g) \cup \{nid\}$   
**by**  $(simp\ add: add-node.rep-eq\ assms)$

**lemma**  $ids-add-update-v2$ :

**assumes**  $g' = add-node\ nid\ (k, s)\ g$   
**assumes**  $k \neq NoNode$   
**shows**  $nid \in ids\ g'$   
**by**  $(simp\ add: find-new-kind\ assms)$

**lemma**  $add-node-ids-subset$ :

**assumes**  $n \in ids\ g$   
**assumes**  $g' = add-node\ n\ node\ g$   
**shows**  $ids\ g' = ids\ g \cup \{n\}$   
**using**  $assms\ replace-node.rep-eq$  **by**  $(auto\ simp\ add: replace-node-def\ ids.rep-eq\ add-node-def)$

**lemma**  $convert-maximal$ :

**assumes**  $\forall n\ n'. n \in true-ids\ g \wedge n' \in true-ids\ g \longrightarrow$   
 $(\forall e\ e'. (g \vdash n \simeq e) \wedge (g \vdash n' \simeq e') \longrightarrow e \neq e')$   
**shows**  $maximal-sharing\ g$   
**using**  $assms$  **by**  $(auto\ simp\ add: maximal-sharing)$

**lemma**  $add-node-set-eq$ :

**assumes**  $k \neq NoNode$   
**assumes**  $n \notin ids\ g$   
**shows**  $as-set\ (add-node\ n\ (k, s)\ g) = as-set\ g \cup \{(n, (k, s))\}$   
**using**  $assms$  **unfolding**  $as-set-def$  **by**  $(transfer; auto)$

**lemma**  $add-node-as-set-eq$ :

**assumes**  $g' = add-node\ n\ (k, s)\ g$   
**assumes**  $n \notin ids\ g$   
**shows**  $(\{n\} \triangleleft as-set\ g') = as-set\ g$   
**unfolding**  $domain-subtraction-def$   
**by**  $(smt\ (z3)\ assms\ add-node-set-eq\ Collect-cong\ Rep-IRGraph-inverse\ UnCI\ add-node.rep-eq\ le-boolE$   
 $as-set-def\ case-prodE2\ case-prodI2\ le-boolI'\ mem-Collect-eq\ prod.sel(1)\ single-$   
 $tonD\ singletonI)$



*UnE*)

**lemma** *true-ids*:

*true-ids*  $g = \text{ids } g - \{n \in \text{ids } g. \text{is-RefNode } (\text{kind } g \ n)\}$   
**unfolding** *true-ids-def* **by** *fastforce*

**lemma** *as-set-ids*:

**assumes** *as-set*  $g = \text{as-set } g'$   
**shows** *ids*  $g = \text{ids } g'$   
**by** (*metis antisym equalityD1 graph-refinement-def subset-refines assms*)

**lemma** *ids-add-update*:

**assumes**  $k \neq \text{NoNode}$   
**assumes**  $n \notin \text{ids } g$   
**assumes**  $g' = \text{add-node } n \ (k, s) \ g$   
**shows** *ids*  $g' = \text{ids } g \cup \{n\}$   
**by** (*smt (z3) Diff-idemp Diff-insert-absorb Un-commute add-node.rep-eq insert-is-Un insert-Collect add-node-def ids.rep-eq ids-add-update-v1 insertE assms replace-node-unchanged Collect-cong map-upd-Some-unfold mem-Collect-eq replace-node-def ids-add-update-v2*)

**lemma** *true-ids-add-update*:

**assumes**  $k \neq \text{NoNode}$   
**assumes**  $n \notin \text{ids } g$   
**assumes**  $g' = \text{add-node } n \ (k, s) \ g$   
**assumes**  $\neg(\text{is-RefNode } k)$   
**shows** *true-ids*  $g' = \text{true-ids } g \cup \{n\}$   
**by** (*smt (z3) Collect-cong Diff-iff Diff-insert-absorb Un-commute add-node-def find-new-kind assms insert-Diff-if insert-is-Un mem-Collect-eq replace-node-def replace-node-unchanged true-ids ids-add-update*)

**lemma** *new-def*:

**assumes**  $(\text{new} \triangleleft \text{as-set } g') = \text{as-set } g$   
**shows**  $n \in \text{ids } g \longrightarrow n \notin \text{new}$   
**using** *assms apply auto unfolding as-set-def*  
**by** (*smt (z3) as-set-def case-prodD domain-subtraction-def mem-Collect-eq assms ids-some*)

**lemma** *add-preserves-rep*:

**assumes** *unchanged*:  $(\text{new} \triangleleft \text{as-set } g') = \text{as-set } g$   
**assumes** *closed*: *wf-closed*  $g$   
**assumes** *existed*:  $n \in \text{ids } g$   
**assumes**  $g' \vdash n \simeq e$   
**shows**  $g \vdash n \simeq e$   
**proof** (*cases*  $n \in \text{new}$ )  
**case** *True*

```

have  $n \notin \text{ids } g$ 
  using unchanged True as-set-def unfolding domain-subtraction-def by blast
then show ?thesis
  using existed by simp
next
case False
have kind-eq:  $\forall n' . n' \notin \text{new} \longrightarrow \text{kind } g \ n' = \text{kind } g' \ n'$ 
  — can be more general than stamp_eq because NoNode default is equal
  apply (rule allI; rule impI)
  by (smt (z3) case-prodE domain-subtraction-def ids-some mem-Collect-eq subsetI
unchanged
not-excluded-keep-type)
from False have stamp-eq:  $\forall n' \in \text{ids } g' . n' \notin \text{new} \longrightarrow \text{stamp } g \ n' = \text{stamp } g' \ n'$ 
  by (metis equalityE not-excluded-keep-type unchanged)
show ?thesis
  using assms(4) kind-eq stamp-eq False
proof (induction n e rule: rep.induct)
  case (ConstantNode n c)
  then show ?case
    by (simp add: rep.ConstantNode)
next
  case (ParameterNode n i s)
  then show ?case
    by (metis no-encoding rep.ParameterNode)
next
  case (ConditionalNode n c t f ce te fe)
  have kind:  $\text{kind } g \ n = \text{ConditionalNode } c \ t \ f$ 
    by (simp add: kind-eq ConditionalNode.premis(3) ConditionalNode.hyps(1))
  then have isin:  $n \in \text{ids } g$ 
    by simp
  have inputs:  $\{c, t, f\} = \text{inputs } g \ n$ 
    by (simp add: kind)
  have  $c \in \text{ids } g \wedge t \in \text{ids } g \wedge f \in \text{ids } g$ 
    using closed wf-closed-def isin inputs by blast
  then have  $c \notin \text{new} \wedge t \notin \text{new} \wedge f \notin \text{new}$ 
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: rep.ConditionalNode ConditionalNode)
next
  case (AbsNode n x xe)
  then have kind:  $\text{kind } g \ n = \text{AbsNode } x$ 
    by simp
  then have isin:  $n \in \text{ids } g$ 
    by simp
  have inputs:  $\{x\} = \text{inputs } g \ n$ 
    by (simp add: kind)
  have  $x \in \text{ids } g$ 
    using closed wf-closed-def isin inputs by blast

```

```

then have  $x \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: AbsNode rep.AbsNode)
next
case (ReverseBytesNode n x xe)
then have kind: kind g n = ReverseBytesNode x
  by simp
then have isin: n ∈ ids g
  by simp
have inputs: {x} = inputs g n
  by (simp add: kind)
have  $x \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  using ReverseBytesNode.IH kind kind-eq rep.ReverseBytesNode stamp-eq by
blast
next
case (BitCountNode n x xe)
then have kind: kind g n = BitCountNode x
  by simp
then have isin: n ∈ ids g
  by simp
have inputs: {x} = inputs g n
  by (simp add: kind)
have  $x \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  using BitCountNode.IH kind kind-eq rep.BitCountNode stamp-eq by blast
next
case (NotNode n x xe)
then have kind: kind g n = NotNode x
  by simp
then have isin: n ∈ ids g
  by simp
have inputs: {x} = inputs g n
  by (simp add: kind)
have  $x \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: NotNode rep.NotNode)
next
case (NegateNode n x xe)

```

```

then have kind:  $kind\ g\ n = NegateNode\ x$ 
  by simp
then have isin:  $n \in ids\ g$ 
  by simp
have inputs:  $\{x\} = inputs\ g\ n$ 
  by (simp add: kind)
have  $x \in ids\ g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin new$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: NegateNode rep.NegateNode)
next
case (LogicNegationNode n x xe)
then have kind:  $kind\ g\ n = LogicNegationNode\ x$ 
  by simp
then have isin:  $n \in ids\ g$ 
  by simp
have inputs:  $\{x\} = inputs\ g\ n$ 
  by (simp add: kind)
have  $x \in ids\ g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin new$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: LogicNegationNode rep.LogicNegationNode)
next
case (AddNode n x y xe ye)
then have kind:  $kind\ g\ n = AddNode\ x\ y$ 
  by simp
then have isin:  $n \in ids\ g$ 
  by simp
have inputs:  $\{x, y\} = inputs\ g\ n$ 
  by (simp add: kind)
have  $x \in ids\ g \wedge y \in ids\ g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin new \wedge y \notin new$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: AddNode rep.AddNode)
next
case (MulNode n x y xe ye)
then have kind:  $kind\ g\ n = MulNode\ x\ y$ 
  by simp
then have isin:  $n \in ids\ g$ 
  by simp
have inputs:  $\{x, y\} = inputs\ g\ n$ 
  by (simp add: kind)
have  $x \in ids\ g \wedge y \in ids\ g$ 

```

```

    using closed wf-closed-def isin inputs by blast
  then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: MulNode rep.MulNode)
next
case (DivNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{SignedFloatingIntegerDivNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: DivNode rep.DivNode)
next
case (ModNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{SignedFloatingIntegerRemNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: ModNode rep.ModNode)
next
case (SubNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{SubNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: SubNode rep.SubNode)
next
case (AndNode n x y xe ye)

```

```

then have kind:  $kind\ g\ n = AndNode\ x\ y$ 
  by simp
then have isin:  $n \in ids\ g$ 
  by simp
have inputs:  $\{x, y\} = inputs\ g\ n$ 
  by (simp add: kind)
have  $x \in ids\ g \wedge y \in ids\ g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin new \wedge y \notin new$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: AndNode rep.AndNode)
next
case (OrNode n x y xe ye)
then have kind:  $kind\ g\ n = OrNode\ x\ y$ 
  by simp
then have isin:  $n \in ids\ g$ 
  by simp
have inputs:  $\{x, y\} = inputs\ g\ n$ 
  by (simp add: kind)
have  $x \in ids\ g \wedge y \in ids\ g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin new \wedge y \notin new$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: OrNode rep.OrNode)
next
case (XorNode n x y xe ye)
then have kind:  $kind\ g\ n = XorNode\ x\ y$ 
  by simp
then have isin:  $n \in ids\ g$ 
  by simp
have inputs:  $\{x, y\} = inputs\ g\ n$ 
  by (simp add: kind)
have  $x \in ids\ g \wedge y \in ids\ g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin new \wedge y \notin new$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: XorNode rep.XorNode)
next
case (ShortCircuitOrNode n x y xe ye)
then have kind:  $kind\ g\ n = ShortCircuitOrNode\ x\ y$ 
  by simp
then have isin:  $n \in ids\ g$ 
  by simp
have inputs:  $\{x, y\} = inputs\ g\ n$ 
  by (simp add: kind)
have  $x \in ids\ g \wedge y \in ids\ g$ 

```

```

    using closed wf-closed-def isin inputs by blast
  then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: ShortCircuitOrNode rep.ShortCircuitOrNode)
next
case (LeftShiftNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{LeftShiftNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: LeftShiftNode rep.LeftShiftNode)
next
case (RightShiftNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{RightShiftNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: RightShiftNode rep.RightShiftNode)
next
case (UnsignedRightShiftNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{UnsignedRightShiftNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: UnsignedRightShiftNode rep.UnsignedRightShiftNode)
next
case (IntegerBelowNode n x y xe ye)

```

```

then have kind: kind g n = IntegerBelowNode x y
  by simp
then have isin: n ∈ ids g
  by simp
have inputs: {x, y} = inputs g n
  by (simp add: kind)
have x ∈ ids g ∧ y ∈ ids g
  using closed wf-closed-def isin inputs by blast
then have x ∉ new ∧ y ∉ new
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: IntegerBelowNode rep.IntegerBelowNode)
next
case (IntegerEqualsNode n x y xe ye)
then have kind: kind g n = IntegerEqualsNode x y
  by simp
then have isin: n ∈ ids g
  by simp
have inputs: {x, y} = inputs g n
  by (simp add: kind)
have x ∈ ids g ∧ y ∈ ids g
  using closed wf-closed-def isin inputs by blast
then have x ∉ new ∧ y ∉ new
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: IntegerEqualsNode rep.IntegerEqualsNode)
next
case (IntegerLessThanNode n x y xe ye)
then have kind: kind g n = IntegerLessThanNode x y
  by simp
then have isin: n ∈ ids g
  by simp
have inputs: {x, y} = inputs g n
  by (simp add: kind)
have x ∈ ids g ∧ y ∈ ids g
  using closed wf-closed-def isin inputs by blast
then have x ∉ new ∧ y ∉ new
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: IntegerLessThanNode rep.IntegerLessThanNode)
next
case (IntegerTestNode n x y xe ye)
then have kind: kind g n = IntegerTestNode x y
  by simp
then have isin: n ∈ ids g
  by simp
have inputs: {x, y} = inputs g n
  by (simp add: kind)
have x ∈ ids g ∧ y ∈ ids g

```



```

    using closed wf-closed-def isin inputs by blast
  then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: IntegerTestNode rep.IntegerTestNode)
next
case (IntegerNormalizeCompareNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{IntegerNormalizeCompareNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
using IntegerNormalizeCompareNode.IH(1,2) kind kind-eq rep.IntegerNormalizeCompareNode
stamp-eq by blast
next
case (IntegerMulHighNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{IntegerMulHighNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  using IntegerMulHighNode.IH(1,2) kind kind-eq rep.IntegerMulHighNode
stamp-eq by blast
next
case (NarrowNode n inputBits resultBits x xe)
then have kind:  $\text{kind } g \ n = \text{NarrowNode } \text{inputBits } \text{resultBits } x$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: NarrowNode rep.NarrowNode)

```

```

next
  case (SignExtendNode n inputBits resultBits x xe)
  then have kind: kind g n = SignExtendNode inputBits resultBits x
    by simp
  then have isin: n ∈ ids g
    by simp
  have inputs: {x} = inputs g n
    by (simp add: kind)
  have x ∈ ids g
    using closed wf-closed-def isin inputs by blast
  then have x ∉ new
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: SignExtendNode rep.SignExtendNode)
next
  case (ZeroExtendNode n inputBits resultBits x xe)
  then have kind: kind g n = ZeroExtendNode inputBits resultBits x
    by simp
  then have isin: n ∈ ids g
    by simp
  have inputs: {x} = inputs g n
    by (simp add: kind)
  have x ∈ ids g
    using closed wf-closed-def isin inputs by blast
  then have x ∉ new
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: ZeroExtendNode rep.ZeroExtendNode)
next
  case (LeafNode n s)
  then show ?case
    by (metis no-encoding rep.LeafNode)
next
  case (PiNode n n' gu e)
  then have kind: kind g n = PiNode n' gu
    by simp
  then have isin: n ∈ ids g
    by simp
  have inputs: set (n' # (opt-to-list gu)) = inputs g n
    by (simp add: kind)
  have n' ∈ ids g
    by (metis in-mono list.set-intros(1) inputs isin wf-closed-def closed)
  then show ?case
    using PiNode.IH kind kind-eq new-def rep.PiNode stamp-eq unchanged by
blast
next
  case (RefNode n n' e)
  then have kind: kind g n = RefNode n'
    by simp

```

```

then have isin: n ∈ ids g
  by simp
have inputs: {n'} = inputs g n
  by (simp add: kind)
have n' ∈ ids g
  using closed wf-closed-def isin inputs by blast
then have n' ∉ new
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: RefNode rep.RefNode)
next
case (IsNullNode n v)
then have kind: kind g n = IsNullNode v
  by simp
then have isin: n ∈ ids g
  by simp
have inputs: {v} = inputs g n
  by (simp add: kind)
have v ∈ ids g
  using closed wf-closed-def isin inputs by blast
then have v ∉ new
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: rep.IsNullNode stamp-eq kind-eq kind IsNullNode.IH)
qed
qed

```

**lemma not-in-no-rep:**  
 $n \notin \text{ids } g \implies \forall e. \neg(g \vdash n \simeq e)$   
 using eval-contains-id by auto

**lemma unary-inputs:**  
 assumes kind g n = unary-node op x  
 shows inputs g n = {x}  
 by (cases op; auto simp add: assms)

**lemma unary-succ:**  
 assumes kind g n = unary-node op x  
 shows succ g n = {}  
 by (cases op; auto simp add: assms)

**lemma binary-inputs:**  
 assumes kind g n = bin-node op x y  
 shows inputs g n = {x, y}  
 by (cases op; auto simp add: assms)

**lemma binary-succ:**

**assumes**  $kind\ g\ n = bin\_node\ op\ x\ y$   
**shows**  $succ\ g\ n = \{\}$   
**by** (*cases op; auto simp add: assms*)

**lemma** *unrep-contains:*

**assumes**  $g \oplus e \rightsquigarrow (g', n)$   
**shows**  $n \in ids\ g'$   
**using** *assms not-in-no-rep term-graph-reconstruction by blast*

**lemma** *unrep-preserves-contains:*

**assumes**  $n \in ids\ g$   
**assumes**  $g \oplus e \rightsquigarrow (g', n')$   
**shows**  $n \in ids\ g'$   
**by** (*meson subsetD unrep-ids-subset assms*)

**lemma** *unique-preserves-closure:*

**assumes** *wf-closed g*  
**assumes**  $unique\ g\ (node, s)\ (g', n)$   
**assumes**  $set\ (inputs\ of\ node) \subseteq ids\ g \wedge$   
 $set\ (successors\ of\ node) \subseteq ids\ g \wedge$   
 $node \neq NoNode$   
**shows** *wf-closed g'*  
**using** *assms*  
**by** (*smt (verit, del-insts) Pair-inject UnE add-changed fresh-ids graph-refinement-def*  
*ids-add-update inputs.simps other-node-unchanged singletonD subset-refines sub-*  
*set-trans succ.simps unique.cases unique-kind unique-subset wf-closed-def*)

**lemma** *unrep-preserves-closure:*

**assumes** *wf-closed g*  
**assumes**  $g \oplus e \rightsquigarrow (g', n)$   
**shows** *wf-closed g'*  
**using** *assms(2,1) wf-closed-def*  
**proof** (*induction g e (g', n) arbitrary: g' n*)  
**next**  
**case** (*UnrepConstantNode g c g' n*)  
**then show** *?case using unique-preserves-closure*  
**by** (*metis IRNode.distinct(1077) IRNodes.inputs-of-ConstantNode IRN-*  
*odes.successors-of-ConstantNode empty-subsetI list.set(1)*)  
**next**  
**case** (*UnrepParameterNode g i s n*)  
**then show** *?case using unique-preserves-closure*  
**by** (*metis IRNode.distinct(3695) IRNodes.inputs-of-ParameterNode IRN-*  
*odes.successors-of-ParameterNode empty-subsetI list.set(1)*)  
**next**  
**case** (*UnrepConditionalNode g ce g<sub>1</sub> c te g<sub>2</sub> t fe g<sub>3</sub> f s' g<sub>4</sub> n*)  
**then have** *c: wf-closed g<sub>3</sub>*  
**by** *fastforce*  
**have** *k: kind g<sub>4</sub> n = ConditionalNode c t f*

```

    using UnrepConditionalNode IRNode.distinct(965) unique-kind by presburger
    have  $\{c, t, f\} \subseteq \text{ids } g_4$  using unrep-contains
    by (metis UnrepConditionalNode.hyps(1) UnrepConditionalNode.hyps(3) Un-
    repConditionalNode.hyps(5) UnrepConditionalNode.hyps(8) empty-subsetI graph-refinement-def
    insert-subsetI subset-iff subset-refines unique-subset unrep-ids-subset)
    also have  $\text{inputs } g_4 \ n = \{c, t, f\} \wedge \text{succ } g_4 \ n = \{\}$ 
    using k by simp
    moreover have  $\text{inputs } g_4 \ n \subseteq \text{ids } g_4 \wedge \text{succ } g_4 \ n \subseteq \text{ids } g_4 \wedge \text{kind } g_4 \ n \neq$ 
    NoNode
    using k
    by (metis IRNode.distinct(965) calculation empty-subsetI)
    ultimately show ?case using c unique-preserves-closure UnrepConditionalNode
    by (metis empty-subsetI inputs.simps insert-subsetI k succ.simps unrep-contains
    unrep-preserves-contains)
  next
  case (UnrepUnaryNode g xe g1 x s' op g2 n)
  then have c: wf-closed g1
    by fastforce
  have k: kind g2 n = unary-node op x
    using UnrepUnaryNode unique-kind unary-node-nonode by blast
  have  $\{x\} \subseteq \text{ids } g_2$  using unrep-contains
  by (metis UnrepUnaryNode.hyps(1) UnrepUnaryNode.hyps(4) encodes-contains
  ids-some singletonD subsetI term-graph-reconstruction unique-eval)
  also have  $\text{inputs } g_2 \ n = \{x\} \wedge \text{succ } g_2 \ n = \{\}$ 
    using k
    by (meson unary-inputs unary-succ)
  moreover have  $\text{inputs } g_2 \ n \subseteq \text{ids } g_2 \wedge \text{succ } g_2 \ n \subseteq \text{ids } g_2 \wedge \text{kind } g_2 \ n \neq$ 
  NoNode
  using k
  by (metis calculation(1) calculation(2) empty-subsetI unary-node-nonode)
  ultimately show ?case using c unique-preserves-closure UnrepUnaryNode
  by (metis empty-subsetI inputs.simps insert-subsetI k succ.simps unrep-contains)
  next
  case (UnrepBinaryNode g xe g1 x ye g2 y s' op g3 n)
  then have c: wf-closed g2
    by fastforce
  have k: kind g3 n = bin-node op x y
    using UnrepBinaryNode unique-kind bin-node-nonode by blast
  have  $\{x, y\} \subseteq \text{ids } g_3$  using unrep-contains
  by (metis UnrepBinaryNode.hyps(1) UnrepBinaryNode.hyps(3) UnrepBina-
  ryNode.hyps(6) empty-subsetI graph-refinement-def insert-absorb insert-subset sub-
  set-refines unique-subset unrep-refines)
  also have  $\text{inputs } g_3 \ n = \{x, y\} \wedge \text{succ } g_3 \ n = \{\}$ 
    using k
    by (meson binary-inputs binary-succ)
  moreover have  $\text{inputs } g_3 \ n \subseteq \text{ids } g_3 \wedge \text{succ } g_3 \ n \subseteq \text{ids } g_3 \wedge \text{kind } g_3 \ n \neq$ 
  NoNode
  using k
  by (metis calculation(1) calculation(2) empty-subsetI bin-node-nonode)

```

```

ultimately show ?case using c unique-preserves-closure UnrepBinaryNode
  by (metis empty-subsetI inputs.simps insert-subsetI k succ.simps unrep-contains
unrep-preserves-contains)
next
  case (AllLeafNodes g n s)
  then show ?case
    by simp
qed

```

```

inductive-cases ConstUnrepE:  $g \oplus (\text{ConstantExpr } x) \rightsquigarrow (g', n)$ 

```

```

definition constant-value where

```

```

  constant-value = (IntVal 32 0)

```

```

definition bad-graph where

```

```

  bad-graph = irgraph [
    (0, AbsNode 1, constantAsStamp constant-value),
    (1, RefNode 2, constantAsStamp constant-value),
    (2, ConstantNode constant-value, constantAsStamp constant-value)
  ]

```

```

end

```

## 8 Control-flow Semantics

```

theory IRStepObj

```

```

  imports

```

```

    TreeToGraph

```

```

    Graph.Class

```

```

begin

```

### 8.1 Object Heap

The heap model we introduce maps field references to object instances to runtime values. We use the  $H[f][p]$  heap representation. See *\cite{heap-reps-2011}*.

We also introduce the DynamicHeap type which allocates new object references sequentially storing the next free object reference as 'Free'.

*heapdef*

```
type-synonym ('a, 'b) Heap = 'a ⇒ 'b ⇒ Value
type-synonym Free = nat
type-synonym ('a, 'b) DynamicHeap = ('a, 'b) Heap × Free

fun h-load-field :: 'a ⇒ 'b ⇒ ('a, 'b) DynamicHeap ⇒ Value where
  h-load-field f r (h, n) = h f r

fun h-store-field :: 'a ⇒ 'b ⇒ Value ⇒ ('a, 'b) DynamicHeap ⇒ ('a, 'b)
  DynamicHeap where
  h-store-field f r v (h, n) = (h(f := ((h f)(r := v))), n)

fun h-new-inst :: (string, objref) DynamicHeap ⇒ string ⇒ (string, objref)
  DynamicHeap × Value where
  h-new-inst (h, n) className = (h-store-field "class" (Some n) (ObjStr
  className) (h,n+1), (ObjRef (Some n)))

type-synonym FieldRefHeap = (string, objref) DynamicHeap
```

```
definition new-heap :: ('a, 'b) DynamicHeap where
  new-heap = ((λf. λp. UndefVal), 0)
```

## 8.2 Intraprocedural Semantics

```
fun find-index :: 'a ⇒ 'a list ⇒ nat where
  find-index - [] = 0 |
  find-index v (x # xs) = (if (x=v) then 0 else find-index v xs + 1)
```

```
inductive indexof :: 'a list ⇒ nat ⇒ 'a ⇒ bool where
  find-index x xs = i ⇒ indexof xs i x
```

```
lemma indexof-det:
  indexof xs i x ⇒ indexof xs i' x ⇒ i = i'
apply (induction rule: indexof.induct)
by (simp add: indexof.simps)
```

```
code-pred (modes: i ⇒ o ⇒ i ⇒ bool) indexof .
```

```
notation (latex output)
  indexof (-!- = -)
```

```
fun phi-list :: IRGraph ⇒ ID ⇒ ID list where
  phi-list g n =
    (filter (λx.(is-PhiNode (kind g x)))
     (sorted-list-of-set (usages g n)))
```

```

fun set-phis :: ID list ⇒ Value list ⇒ MapState ⇒ MapState where
  set-phis [] [] m = m |
  set-phis (n # ns) (v # vs) m = (set-phis ns vs (m(n := v))) |
  set-phis [] (v # vs) m = m |
  set-phis (x # ns) [] m = m

```

**definition**

```

fun-add :: ('a ⇒ 'b) ⇒ ('a → 'b) ⇒ ('a ⇒ 'b) (infixl ++f 100) where
f1 ++f f2 = (λx. case f2 x of None ⇒ f1 x | Some y ⇒ y)

```

**definition** upds :: ('a ⇒ 'b) ⇒ 'a list ⇒ 'b list ⇒ ('a ⇒ 'b) (-/'(- [→] -/' 900)  
**where**

```

upds m ns vs = m ++f (map-of (rev (zip ns vs)))

```

**lemma** fun-add-empty:

```

xs ++f (map-of []) = xs
unfolding fun-add-def by simp

```

**lemma** upds-inc:

```

m(a#as [→] b#bs) = (m(a:=b))(as[→]bs)
unfolding upds-def fun-add-def apply simp sorry

```

**lemma** upds-compose:

```

a ++f map-of (rev (zip (n # ns) (v # vs))) = a(n := v) ++f map-of (rev (zip
ns vs))
using upds-inc
by (metis upds-def)

```

**lemma** set-phis ns vs = (λm. upds m ns vs)

**proof** (induction rule: set-phis.induct)

**case** (1 m)

```

then show ?case unfolding set-phis.simps upds-def
by (metis Nil-eq-zip-iff Nil-is-rev-conv fun-add-empty)

```

**next**

**case** (2 n xs v vs m)

```

then show ?case unfolding set-phis.simps upds-def
by (metis upds-compose)

```

**next**

**case** (3 v vs m)

```

then show ?case
by (metis fun-add-empty rev.simps(1) upds-def set-phis.simps(3) zip-Nil)

```

**next**

**case** (4 x xs m)

```

then show ?case
by (metis Nil-eq-zip-iff fun-add-empty rev.simps(1) upds-def set-phis.simps(4))

```

**qed**

**fun** is-PhiKind :: IRGraph ⇒ ID ⇒ bool **where**



$is\text{-}PhiKind\ g\ nid = is\text{-}PhiNode\ (kind\ g\ nid)$

**definition**  $filter\text{-}phis :: IRGraph \Rightarrow ID \Rightarrow ID\ list$  **where**  
 $filter\text{-}phis\ g\ merge = (filter\ (is\text{-}PhiKind\ g)\ (sorted\text{-}list\text{-}of\text{-}set\ (usages\ g\ merge)))$

**definition**  $phi\text{-}inputs :: IRGraph \Rightarrow ID\ list \Rightarrow nat \Rightarrow ID\ list$  **where**  
 $phi\text{-}inputs\ g\ phis\ i = (map\ (\lambda n. (inputs\text{-}of\ (kind\ g\ n))!(i + 1))\ phis)$

Intraprocedural semantics are given as a small-step semantics.

Within the context of a graph, the configuration triple, (ID, MethodState, Heap), is related to the subsequent configuration.

**inductive**  $step :: IRGraph \Rightarrow Params \Rightarrow (ID \times MapState \times FieldRefHeap) \Rightarrow (ID \times MapState \times FieldRefHeap) \Rightarrow bool$   
 $(-, - \vdash - \rightarrow -\ 55)$  **for**  $g\ p$  **where**

*SequentialNode:*

$\llbracket is\text{-}sequential\text{-}node\ (kind\ g\ nid);$   
 $nid' = (successors\text{-}of\ (kind\ g\ nid))!0$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid$

*FixedGuardNode:*

$\llbracket (kind\ g\ nid) = (FixedGuardNode\ cond\ before\ next);$   
 $[g, m, p] \vdash cond \mapsto val;$   
 $\neg(val\text{-}to\text{-}bool\ val)$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (next, m, h) \mid$

*BytecodeExceptionNode:*

$\llbracket (kind\ g\ nid) = (BytecodeExceptionNode\ args\ st\ nid');$   
 $exceptionType = stp\text{-}type\ (stamp\ g\ nid);$   
 $(h', ref) = h\text{-}new\text{-}inst\ h\ exceptionType;$   
 $m' = m(nid := ref)$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid$

*IfNode:*

$\llbracket kind\ g\ nid = (IfNode\ cond\ tb\ fb);$   
 $[g, m, p] \vdash cond \mapsto val;$   
 $nid' = (if\ val\text{-}to\text{-}bool\ val\ then\ tb\ else\ fb)$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid$

*EndNodes:*

$\llbracket is\text{-}AbstractEndNode\ (kind\ g\ nid);$   
 $merge = any\text{-}usage\ g\ nid;$   
 $is\text{-}AbstractMergeNode\ (kind\ g\ merge);$   
 $indexof\ (inputs\text{-}of\ (kind\ g\ merge))\ i\ nid;$   
 $phis = filter\text{-}phis\ g\ merge;$

$inps = phi\text{-inputs } g \text{ phis } i;$   
 $[g, m, p] \vdash inps \mapsto vs;$

$m' = (m(\text{phis}[\rightarrow]vs))$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (merge, m', h) \mid$

*NewArrayNode:*

$\llbracket kind \ g \ nid = (NewArrayNode \ len \ st \ nid') \rrbracket;$   
 $[g, m, p] \vdash len \mapsto length';$

$arrayType = stp\text{-type } (stamp \ g \ nid);$   
 $(h', ref) = h\text{-new-inst } h \ arrayType;$   
 $ref = ObjRef \ refNo;$   
 $h'' = h\text{-store-field } '''' \ refNo \ (intval\text{-new-array } length' \ arrayType) \ h';$

$m' = m(nid := ref)$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h'') \mid$

*ArrayLengthNode:*

$\llbracket kind \ g \ nid = (ArrayLengthNode \ x \ nid') \rrbracket;$   
 $[g, m, p] \vdash x \mapsto ObjRef \ ref;$

$h\text{-load-field } '''' \ ref \ h = arrayVal;$   
 $length' = array\text{-length } (arrayVal);$

$m' = m(nid := length')$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \mid$

*LoadIndexedNode:*

$\llbracket kind \ g \ nid = (LoadIndexedNode \ index \ guard \ array \ nid') \rrbracket;$   
 $[g, m, p] \vdash index \mapsto indexVal;$   
 $[g, m, p] \vdash array \mapsto ObjRef \ ref;$

$h\text{-load-field } '''' \ ref \ h = arrayVal;$   
 $loaded = intval\text{-load-index } arrayVal \ indexVal;$

$m' = m(nid := loaded)$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \mid$

*StoreIndexedNode:*

$\llbracket kind \ g \ nid = (StoreIndexedNode \ check \ val \ st \ index \ guard \ array \ nid') \rrbracket;$   
 $[g, m, p] \vdash index \mapsto indexVal;$   
 $[g, m, p] \vdash array \mapsto ObjRef \ ref;$   
 $[g, m, p] \vdash val \mapsto value;$

$h\text{-load-field } '''' \ ref \ h = arrayVal;$   
 $updated = intval\text{-store-index } arrayVal \ indexVal \ value;$   
 $h' = h\text{-store-field } '''' \ ref \ updated \ h;$   
 $m' = m(nid := updated)$

$$\Longrightarrow g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid$$

*NewInstanceNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (NewInstanceNode\ nid\ cname\ obj\ nid'); \\ & \quad (h',\ ref) = h\text{-new-inst}\ h\ cname; \\ & \quad m' = m(nid := ref) \rrbracket \\ \Longrightarrow & g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid \end{aligned}$$

*LoadFieldNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (LoadFieldNode\ nid\ f\ (Some\ obj)\ nid'); \\ & \quad [g, m, p] \vdash obj \mapsto ObjRef\ ref; \\ & \quad m' = m(nid := h\text{-load-field}\ f\ ref\ h) \rrbracket \\ \Longrightarrow & g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid \end{aligned}$$

*SignedDivNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (SignedDivNode\ nid\ x\ y\ zero\ sb\ next); \\ & \quad [g, m, p] \vdash x \mapsto v1; \\ & \quad [g, m, p] \vdash y \mapsto v2; \\ & \quad m' = m(nid := intval\text{-div}\ v1\ v2) \rrbracket \\ \Longrightarrow & g, p \vdash (nid, m, h) \rightarrow (next, m', h') \mid \end{aligned}$$

*SignedRemNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (SignedRemNode\ nid\ x\ y\ zero\ sb\ next); \\ & \quad [g, m, p] \vdash x \mapsto v1; \\ & \quad [g, m, p] \vdash y \mapsto v2; \\ & \quad m' = m(nid := intval\text{-mod}\ v1\ v2) \rrbracket \\ \Longrightarrow & g, p \vdash (nid, m, h) \rightarrow (next, m', h') \mid \end{aligned}$$

*StaticLoadFieldNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (LoadFieldNode\ nid\ f\ None\ nid'); \\ & \quad m' = m(nid := h\text{-load-field}\ f\ None\ h) \rrbracket \\ \Longrightarrow & g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid \end{aligned}$$

*StoreFieldNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (StoreFieldNode\ nid\ f\ newval - (Some\ obj)\ nid'); \\ & \quad [g, m, p] \vdash newval \mapsto val; \\ & \quad [g, m, p] \vdash obj \mapsto ObjRef\ ref; \\ & \quad h' = h\text{-store-field}\ f\ ref\ val\ h; \\ & \quad m' = m(nid := val) \rrbracket \\ \Longrightarrow & g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid \end{aligned}$$

*StaticStoreFieldNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (StoreFieldNode\ nid\ f\ newval - None\ nid'); \\ & \quad [g, m, p] \vdash newval \mapsto val; \\ & \quad h' = h\text{-store-field}\ f\ None\ val\ h; \\ & \quad m' = m(nid := val) \rrbracket \\ \Longrightarrow & g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \end{aligned}$$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i * i * i \Rightarrow o * o * o \Rightarrow bool$ ) *step* .

### 8.3 Interprocedural Semantics

**type-synonym** *Signature* = *string*

**type-synonym** *Program* = *Signature*  $\rightarrow$  *IRGraph*

**type-synonym** *System* = *Program*  $\times$  *Classes*

**function** *dynamic-lookup* :: *System*  $\Rightarrow$  *string*  $\Rightarrow$  *string*  $\Rightarrow$  *string list*  $\Rightarrow$  *IRGraph option* **where**

```

dynamic-lookup (P,cl) cn mn path = (
  if (cn = "None"  $\vee$  cn  $\notin$  set (Class.mapJVMFunc class-name cl)  $\vee$  path = [])
  then (P mn)
  else (

    let method-index = (find-index (get-simple-signature mn) (CLsimple-signatures cn cl)) in
      let parent = hd path in

        if (method-index = length (CLsimple-signatures cn cl))
        then (dynamic-lookup (P, cl) parent mn (tl path))
        else (P (nth (map method-unique-name (CLget-Methods cn cl)
method-index))
          )
          )
  )

```

**by** *auto*

**termination** *dynamic-lookup* **apply** (*relation measure* ( $\lambda(S, cn, mn, path). (length path)$ )) **by** *auto*

**inductive** *step-top* :: *System*  $\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*  $\times$  *FieldRefHeap*  $\Rightarrow$

(*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*  $\times$

*FieldRefHeap*  $\Rightarrow$  *bool*

( $- \vdash - \longrightarrow -$  55)

**for** *S* **where**

*Lift*:

$\llbracket g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \rrbracket$   
 $\implies (S) \vdash ((g, nid, m, p) \# stk, h) \longrightarrow ((g, nid', m', p) \# stk, h') \mid$

*InvokeNodeStepStatic*:

$\llbracket is-Invoke (kind\ g\ nid) \rrbracket$ ;  
*callTarget* = *ir-callTarget* (*kind g nid*);  
*kind g callTarget* = (*MethodCallTargetNode targetMethod actuals invoke-kind*);  
 $\neg$ (*hasReceiver invoke-kind*);  
*Some targetGraph* = (*dynamic-lookup S "None" targetMethod []*);  
 $\llbracket g, m, p \vdash actuals \mapsto p \rrbracket$   
 $\implies (S) \vdash ((g, nid, m, p) \# stk, h) \longrightarrow ((targetGraph, 0, new-map-state, p') \# (g, nid, m, p) \# stk, h) \mid$

*InvokeNodeStep:*  
 $\llbracket is-Invoke (kind\ g\ nid);$   
 $\quad callTarget = ir-callTarget (kind\ g\ nid);$   
 $kind\ g\ callTarget = (MethodCallTargetNode\ targetMethod\ arguments\ invoke-kind);$   
 $hasReceiver\ invoke-kind;$   
 $\llbracket [g, m, p] \vdash arguments \mapsto p';$   
 $ObjRef\ self = hd\ p';$   
 $ObjStr\ cname = (h-load-field\ "class"\ self\ h);$   
 $S = (P, cl);$   
 $\quad Some\ targetGraph = dynamic-lookup\ S\ cname\ targetMethod\ (class-parents$   
 $(CLget-JVMClass\ cname\ cl)) \rrbracket$   
 $\implies (S) \vdash ((g, nid, m, p) \# stk, h) \longrightarrow ((targetGraph, 0, new-map-state, p') \# (g, nid, m, p) \# stk,$   
 $h) \mid$

*ReturnNode:*  
 $\llbracket kind\ g\ nid = (ReturnNode\ (Some\ expr)\ -);$   
 $\quad [g, m, p] \vdash expr \mapsto v;$   
 $m'_c = m_c(nid_c := v);$   
 $nid'_c = (successors-of\ (kind\ g_c\ nid_c))!0 \rrbracket$   
 $\implies (S) \vdash ((g, nid, m, p) \# (g_c, nid_c, m_c, p_c) \# stk, h) \longrightarrow ((g_c, nid'_c, m'_c, p_c) \# stk, h)$   
 $\mid$

*ReturnNodeVoid:*  
 $\llbracket kind\ g\ nid = (ReturnNode\ None\ -);$   
 $nid'_c = (successors-of\ (kind\ g_c\ nid_c))!0 \rrbracket$   
 $\implies (S) \vdash ((g, nid, m, p) \# (g_c, nid_c, m_c, p_c) \# stk, h) \longrightarrow ((g_c, nid'_c, m_c, p_c) \# stk, h) \mid$

*UnwindNode:*  
 $\llbracket kind\ g\ nid = (UnwindNode\ exception);$   
 $[g, m, p] \vdash exception \mapsto e;$   
 $kind\ g_c\ nid_c = (InvokeWithExceptionNode\ - - - - -\ exEdge);$   
 $m'_c = m_c(nid_c := e) \rrbracket$   
 $\implies (S) \vdash ((g, nid, m, p) \# (g_c, nid_c, m_c, p_c) \# stk, h) \longrightarrow ((g_c, exEdge, m'_c, p_c) \# stk, h)$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) *step-top* .

## 8.4 Big-step Execution

**type-synonym** *Trace* = (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*

**fun** *has-return* :: *MapState*  $\Rightarrow$  *bool* **where**  
*has-return* *m* = (*m* 0  $\neq$  *UndefVal*)

**inductive** *exec* :: *System*  
 $\Rightarrow (IRGraph \times ID \times MapState \times Params) list \times FieldRefHeap$   
 $\Rightarrow Trace$   
 $\Rightarrow (IRGraph \times ID \times MapState \times Params) list \times FieldRefHeap$   
 $\Rightarrow Trace$   
 $\Rightarrow bool$   
 $(- \vdash - \mid - \longrightarrow * - \mid -)$   
**for** *P* **where**  
 $\llbracket P \vdash (((g, nid, m, p) \# xs), h) \longrightarrow (((g', nid', m', p') \# ys), h');$   
 $\neg(has\text{-}return\ m');$   
 $l' = (l \ @ \ [(g, nid, m, p)]);$   
 $exec\ P\ (((g', nid', m', p') \# ys), h')\ l'\ next\text{-}state\ l'' \rrbracket$   
 $\implies exec\ P\ (((g, nid, m, p) \# xs), h)\ l\ next\text{-}state\ l''$   
 $\mid$   
 $\llbracket P \vdash (((g, nid, m, p) \# xs), h) \longrightarrow (((g', nid', m', p') \# ys), h');$   
 $has\text{-}return\ m';$   
 $l' = (l \ @ \ [(g, nid, m, p)]);$   
 $\implies exec\ P\ (((g, nid, m, p) \# xs), h)\ l\ (((g', nid', m', p') \# ys), h')\ l'$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow bool$  as *Exec*) *exec* .

**inductive** *exec-debug* :: *System*  
 $\Rightarrow (IRGraph \times ID \times MapState \times Params) list \times FieldRefHeap$   
 $\Rightarrow nat$   
 $\Rightarrow (IRGraph \times ID \times MapState \times Params) list \times FieldRefHeap$   
 $\Rightarrow bool$   
 $(\vdash \longrightarrow * - \mid -)$   
**where**  
 $\llbracket n > 0;$   
 $p \vdash s \longrightarrow s';$   
 $exec\text{-}debug\ p\ s'\ (n - 1)\ s'' \rrbracket$   
 $\implies exec\text{-}debug\ p\ s\ n\ s'' \mid$   
 $\llbracket n = 0 \rrbracket$   
 $\implies exec\text{-}debug\ p\ s\ n\ s$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) *exec-debug* .

### 8.4.1 Heap Testing

**definition** *p3*:: *Params* **where**

*p3* = [*IntVal* 32 3]

**fun** *graphToSystem* :: *IRGraph*  $\Rightarrow$  *System* **where**  
*graphToSystem* *graph* =  $((\lambda x. \text{Some } graph), JVMClasses \ [])$

**values**  $\{(prod.fst(prod.snd (prod.snd (hd (prod.fst res)))) 0$   
 $| res. (graphToSystem eg2-sq) \vdash [(eg2-sq, 0, new-map-state, p3), (eg2-sq, 0, new-map-state, p3)],$   
 $new-heap) \rightarrow^* 2^* res\}$

**definition** *field-sq* :: *string* **where**  
*field-sq* = "sq"

**definition** *eg3-sq* :: *IRGraph* **where**  
*eg3-sq* = *irgraph* [  
 (0, *StartNode* None 4, *VoidStamp*),  
 (1, *ParameterNode* 0, *default-stamp*),  
 (3, *MulNode* 1 1, *default-stamp*),  
 (4, *StoreFieldNode* 4 *field-sq* 3 None None 5, *VoidStamp*),  
 (5, *ReturnNode* (Some 3) None, *default-stamp*)  
 ]

**values**  $\{h-load-field field-sq None (prod.snd res)$   
 $| res. (graphToSystem eg3-sq) \vdash [(eg3-sq, 0, new-map-state, p3), (eg3-sq, 0,$   
 $new-map-state, p3)], new-heap) \rightarrow^* 3^* res\}$

**definition** *eg4-sq* :: *IRGraph* **where**  
*eg4-sq* = *irgraph* [  
 (0, *StartNode* None 4, *VoidStamp*),  
 (1, *ParameterNode* 0, *default-stamp*),  
 (3, *MulNode* 1 1, *default-stamp*),  
 (4, *NewInstanceNode* 4 "obj-class" None 5, *ObjectStamp* "obj-class" True True  
*False*),  
 (5, *StoreFieldNode* 5 *field-sq* 3 None (Some 4) 6, *VoidStamp*),  
 (6, *ReturnNode* (Some 3) None, *default-stamp*)  
 ]

**values**  $\{h-load-field field-sq (Some 0) (prod.snd res)$   
 $| res. (graphToSystem (eg4-sq)) \vdash [(eg4-sq, 0, new-map-state, p3), (eg4-sq,$   
 $0, new-map-state, p3)], new-heap) \rightarrow^* 3^* res\}$

**end**

## 8.5 Control-flow Semantics Theorems

**theory** *IRStepThms*

**imports**

*IRStepObj*

*TreeToGraphThms*

**begin**

We prove that within the same graph, a configuration triple will always transition to the same subsequent configuration. Therefore, our step semantics

is deterministic.

### 8.5.1 Control-flow Step is Deterministic

```

theorem stepDet':
  (g, p ⊢ state → next) ⇒
  (g, p ⊢ state → next') ⇒ next = next'
proof (induction arbitrary: next' rule: step.induct)
  case (SequentialNode nid nid' m h)
  have notend: ¬(is-AbstractEndNode (kind g nid))
  by (metis SequentialNode.hyps(1) is-AbstractEndNode.simps is-EndNode.elims(2))
is-LoopEndNode-def is-sequential-node.simps(18) is-sequential-node.simps(36))
  from SequentialNode show ?case apply (elim StepE) using is-sequential-node.simps
    apply blast
    apply force apply force apply force
    using notend
    apply (metis (no-types, lifting) Pair-inject is-AbstractEndNode.simps)
    by force+
next
  case (FixedGuardNode nid cond before next m val nid' h)
  then show ?case apply (elim StepE)
    by force+
next
  case (BytecodeExceptionNode nid args st nid' exceptionType h' ref h m' m)
  then show ?case apply (elim StepE)
    by force+
next
  case (IfNode nid cond tb fb m val nid' h)
  then show ?case apply (elim StepE)
    apply force+
    — IfNode rule uses expression evaluation
    using graphDet apply fastforce
    by force+
next
  case (EndNodes nid merge iphis inps m vs m' h)
  have notseq: ¬(is-sequential-node (kind g nid))
    using EndNodes
    by (metis is-AbstractEndNode.simps is-EndNode.elims(2) is-LoopEndNode-def
is-sequential-node.simps(18) is-sequential-node.simps(36))
  from EndNodes show ?case apply (elim StepE)
    using notseq apply force
    apply force apply force apply force
    using indexof-det
    unfolding is-AbstractEndNode.simps
is-AbstractMergeNode.simps any-usage.simps usages.simps inputs.simps ids-def
    apply (smt (verit, del-insts) Collect-cong encodeEvalAllDet ids-def)

```



```

ids-some old.prod.inject)
  by force+
next
case (NewArrayNode nid len st nid' m length' arrayType h' ref h refNo h'' m')
then show ?case apply (elim StepE) apply force+
— NewArrayNode rule uses expression evaluation
using graphDet apply fastforce
by force+
next
case (ArrayLengthNode nid x nid' m ref h arrayVal length' m')
then show ?case apply (elim StepE) apply force+
— ArrayLengthNode rule uses expression evaluation
using graphDet apply fastforce
by force+
next
case (LoadIndexedNode nid index guard array nid' m indexVal ref h arrayVal
loaded m')
then show ?case apply (elim StepE) apply force+
— LoadIndexedNode rule uses expression evaluation
using graphDet
apply (metis IRNode.inject(28) Pair-inject Value.inject(2))
by force+
next
case (StoreIndexedNode nid check val st index guard array nid' m indexVal ref
value h arrayVal updated h' m')
then show ?case apply (elim StepE) apply force+
— StoreIndexedNode rule uses expression evaluation
using graphDet
apply (metis IRNode.inject(55) Pair-inject Value.inject(2))
by force+
next
case (NewInstanceNode nid cname obj nid' h' ref h m' m)
then show ?case apply (elim StepE) by force+
next
case (LoadFieldNode nid f obj nid' m ref h v m')
then show ?case apply (elim StepE) apply force+
— LoadFieldNode rule uses expression evaluation
using graphDet apply fastforce
by force+
next
case (SignedDivNode nid x y zero sb nxt m v1 v2 v m' h)
then show ?case apply (elim StepE) apply force+
— SignedDivNode rule uses expression evaluation
using graphDet
apply (metis IRNode.inject(49) Pair-inject)
by force+
next
case (SignedRemNode nid x y zero sb nxt m v1 v2 v m' h)
then show ?case apply (elim StepE) apply force+

```

— SignedRemNode rule uses expression evaluation  
**using** *graphDet*  
**apply** (*metis IRNode.inject(52) Pair-inject*)  
**by** *force+*  
**next**  
**case** (*StaticLoadFieldNode nid f nid' h v m' m*)  
**then show** *?case apply (elim StepE) by force+*  
**next**  
**case** (*StoreFieldNode nid f newval uu obj nid' m val ref h' h m'*)  
**then show** *?case apply (elim StepE) apply force+*  
 — StoreFieldNode rule uses expression evaluation  
**using** *graphDet*  
**apply** (*metis IRNode.inject(54) Pair-inject Value.inject(2) option.inject*)  
**by** *force+*  
**next**  
**case** (*StaticStoreFieldNode nid f newval uv nid' m val h' h m'*)  
**then show** *?case apply (elim StepE) apply force+*  
 — StaticStoreFieldNode rule uses expression evaluation  
**using** *graphDet by fastforce*  
**qed**

**theorem** *stepDet*:

$(g, p \vdash (nid, m, h) \rightarrow next) \implies$   
 $(\forall next'. ((g, p \vdash (nid, m, h) \rightarrow next') \longrightarrow next = next'))$   
**using** *stepDet' by simp*

**lemma** *stepRefNode*:

$\llbracket kind\ g\ nid = RefNode\ nid' \rrbracket \implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h)$   
**by** (*metis IRNodes.successors-of-RefNode is-sequential-node.simps(7) nth-Cons-0 SequentialNode*)

**lemma** *IfNodeStepCases*:

**assumes** *kind g nid = IfNode cond tb fb*  
**assumes**  $g \vdash cond \simeq condE$   
**assumes**  $[m, p] \vdash condE \mapsto v$   
**assumes**  $g, p \vdash (nid, m, h) \rightarrow (nid', m, h)$   
**shows**  $nid' \in \{tb, fb\}$   
**by** (*metis insert-iff old.prod.inject step.IfNode stepDet assms encodeeval.simps*)

**lemma** *IfNodeSeq*:

**shows**  $kind\ g\ nid = IfNode\ cond\ tb\ fb \longrightarrow \neg(is-sequential-node\ (kind\ g\ nid))$   
**using** *is-sequential-node.simps(18,19) by simp*

**lemma** *IfNodeCond*:

**assumes** *kind g nid = IfNode cond tb fb*  
**assumes**  $g, p \vdash (nid, m, h) \rightarrow (nid', m, h)$   
**shows**  $\exists condE\ v. ((g \vdash cond \simeq condE) \wedge ([m, p] \vdash condE \mapsto v))$   
**using** *assms(2,1) encodeeval.simps by (induct (nid, m, h) (nid', m, h) rule: step.induct; auto)*

```

lemma step-in-ids:
  assumes  $g, p \vdash (nid, m, h) \rightarrow (nid', m', h')$ 
  shows  $nid \in ids\ g$ 
  using assms apply (induct ( $nid, m, h$ ) ( $nid', m', h'$ ) rule: step.induct) apply
fastforce
    prefer 4 prefer 14 defer defer
  using IRNode.distinct(1607) ids-some apply presburger
  using IRNode.distinct(851) ids-some apply presburger

  using IRNode.distinct(1805) ids-some apply presburger
    apply (metis IRNode.distinct(3507) not-in-g)
  apply (metis IRNode.distinct(497) not-in-g)
  apply (metis IRNode.distinct(2897) not-in-g)

  apply (metis IRNode.distinct(4085) not-in-g)
  using IRNode.distinct(3557) ids-some apply presburger
  apply (metis IRNode.distinct(2825) not-in-g)
  apply (metis IRNode.distinct(3947) not-in-g)
    apply (metis IRNode.distinct(4025) not-in-g)
  using IRNode.distinct(2825) ids-some apply presburger
  apply (metis IRNode.distinct(4067) not-in-g)
    apply (metis IRNode.distinct(4067) not-in-g)
  using IRNode.disc(1952) is-EndNode.simps(62) is-AbstractEndNode.simps not-in-g
  by (metis IRNode.disc(2014) is-EndNode.simps(64))

end

```

## 9 Proof Infrastructure

### 9.1 Bisimulation

```

theory Bisimulation
imports
  Stuttering
begin

```

```

inductive weak-bisimilar ::  $ID \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow bool$ 
  ( $- . - \sim -$ ) for nid where
   $\llbracket \forall P'. (g\ m\ p\ h \vdash\ nid \rightsquigarrow P') \longrightarrow (\exists Q'. (g'\ m\ p\ h \vdash\ nid \rightsquigarrow Q') \wedge P' = Q');$ 
   $\forall Q'. (g'\ m\ p\ h \vdash\ nid \rightsquigarrow Q') \longrightarrow (\exists P'. (g\ m\ p\ h \vdash\ nid \rightsquigarrow P') \wedge P' = Q') \rrbracket$ 
   $\Longrightarrow\ nid . g \sim g'$ 

```

A strong bisimulation between no-op transitions

```

inductive strong-noop-bisimilar ::  $ID \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow bool$ 
  ( $- | - \sim -$ ) for nid where

```

$$\llbracket \forall P'. (g, p \vdash (nid, m, h) \rightarrow P') \longrightarrow (\exists Q'. (g', p \vdash (nid, m, h) \rightarrow Q') \wedge P' = Q') \rrbracket;$$

$$\forall Q'. (g', p \vdash (nid, m, h) \rightarrow Q') \longrightarrow (\exists P'. (g, p \vdash (nid, m, h) \rightarrow P') \wedge P' = Q') \rrbracket$$

$$\implies nid \mid g \sim g'$$

**lemma** *lockstep-strong-bisimulation*:

**assumes**  $g' = \text{replace-node } nid \text{ node } g$   
**assumes**  $g, p \vdash (nid, m, h) \rightarrow (nid', m, h)$   
**assumes**  $g', p \vdash (nid, m, h) \rightarrow (nid', m, h)$   
**shows**  $nid \mid g \sim g'$   
**by** (*metis strong-noop-bisimilar.simps stepDet assms(2,3)*)

**lemma** *no-step-bisimulation*:

**assumes**  $\forall m p h nid' m' h'. \neg(g, p \vdash (nid, m, h) \rightarrow (nid', m', h'))$   
**assumes**  $\forall m p h nid' m' h'. \neg(g', p \vdash (nid, m, h) \rightarrow (nid', m', h'))$   
**shows**  $nid \mid g \sim g'$   
**by** (*simp add: assms(1,2) strong-noop-bisimilar.intros*)

**end**

## 9.2 Graph Rewriting

**theory**

*Rewrites*

**imports**

*Stuttering*

**begin**

**fun** *replace-usages* ::  $ID \Rightarrow ID \Rightarrow IRGraph \Rightarrow IRGraph$  **where**

*replace-usages*  $nid \ nid' \ g = \text{replace-node } nid \ (\text{RefNode } nid', \text{stamp } g \ nid')$   $g$

**lemma** *replace-usages-effect*:

**assumes**  $g' = \text{replace-usages } nid \ nid' \ g$   
**shows**  $\text{kind } g' \ nid = \text{RefNode } nid'$   
**using** *replace-usages.simps replace-node-lookup assms* **by** *blast*

**lemma** *replace-usages-changeonly*:

**assumes**  $nid \in \text{ids } g$   
**assumes**  $g' = \text{replace-usages } nid \ nid' \ g$   
**shows** *changeonly*  $\{nid\} \ g \ g'$   
**by** (*metis add-changed add-node-def replace-node-def replace-usages.simps assms(2)*)

**lemma** *replace-usages-unchanged*:

**assumes**  $nid \in \text{ids } g$   
**assumes**  $g' = \text{replace-usages } nid \ nid' \ g$   
**shows** *unchanged*  $(\text{ids } g - \{nid\}) \ g \ g'$   
**using** *assms disjoint-change replace-usages-changeonly* **by** *presburger*

**fun** *nextNid* :: *IRGraph*  $\Rightarrow$  *ID* **where**  
*nextNid* *g* = (*Max* (*ids* *g*)) + 1

**lemma** *max-plus-one*:  
**fixes** *c* :: *ID set*  
**shows**  $\llbracket \text{finite } c; c \neq \{\} \rrbracket \implies (\text{Max } c) + 1 \notin c$   
**by** (*meson Max-gr-iff less-add-one less-irrefl*)

**lemma** *ids-finite*:  
*finite* (*ids* *g*)  
**by** *simp*

**lemma** *nextNidNotIn*:  
*ids* *g*  $\neq \{\}$   $\longrightarrow$  *nextNid* *g*  $\notin$  *ids* *g*  
**unfolding** *nextNid.simps* **using** *ids-finite max-plus-one* **by** *blast*

**fun** *bool-to-val-width1* :: *bool*  $\Rightarrow$  *Value* **where**  
*bool-to-val-width1* *True* = (*IntVal* 1 1) |  
*bool-to-val-width1* *False* = (*IntVal* 1 0)

**fun** *constantCondition* :: *bool*  $\Rightarrow$  *ID*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph* **where**  
*constantCondition* *val* *nid* (*IfNode* *cond* *t* *f*) *g* =  
 (*let* (*g'*, *nid'*) = *Predicate.the* (*unrepE* *g* (*ConstantExpr* (*bool-to-val-width1* *val*)))  
 in  
   *replace-node* *nid* (*IfNode* *nid'* *t* *f*, *stamp* *g* *nid*) *g'*) |  
*constantCondition* *cond* *nid* - *g* = *g*

**inductive-cases** *unrepUnaryE*:  
*unrep* *g* (*UnaryExpr* *op* *e*) (*g'*, *nid*)  
**inductive-cases** *unrepBinaryE*:  
*unrep* *g* (*BinaryExpr* *op* *e1* *e2*) (*g'*, *nid*)  
**inductive-cases** *unrepConditionalE*:  
*unrep* *g* (*ConditionalExpr* *c* *t* *f*) (*g'*, *nid*)  
**inductive-cases** *unrepParamE*:  
*unrep* *g* (*ParameterExpr* *i* *s*) (*g'*, *nid*)  
**inductive-cases** *unrepConstE*:  
*unrep* *g* (*ConstantExpr* *c*) (*g'*, *nid*)  
**inductive-cases** *unrepLeafE*:  
*unrep* *g* (*LeafExpr* *n* *s*) (*g'*, *nid*)  
**inductive-cases** *unrepVariableE*:  
*unrep* *g* (*VariableExpr* *v* *s*) (*g'*, *nid*)  
**inductive-cases** *unrepConstVarE*:  
*unrep* *g* (*ConstantVar* *c*) (*g'*, *nid*)

**lemma** *uniqueDet*:  
**assumes** *unique* *g* *e* (*g'*<sub>1</sub>, *nid*<sub>1</sub>)  
**assumes** *unique* *g* *e* (*g'*<sub>2</sub>, *nid*<sub>2</sub>)  
**shows** *g'*<sub>1</sub> = *g'*<sub>2</sub>  $\wedge$  *nid*<sub>1</sub> = *nid*<sub>2</sub>  
**using** *assms* **apply** (*induction*)

**apply** (*metis Pair-inject* *assms(1)* *assms(2)* *option.distinct(1)* *option.inject unique.cases*)

**by** (*metis Pair-inject* *assms(1)* *assms(2)* *option.discI* *option.inject unique.cases*)

**lemma** *unrepDet*:

**assumes** *unrep g e (g'₁, nid₁)*

**assumes** *unrep g e (g'₂, nid₂)*

**shows**  $g'_1 = g'_2 \wedge nid_1 = nid_2$

**using** *assms* **proof** (*induction e arbitrary: g g'₁ nid₁ g'₂ nid₂*)

**case** (*UnaryExpr op e*)

**then show** *?case*

**by** (*smt (verit, best) uniqueDet unrepUnaryE*)

**next**

**case** (*BinaryExpr x1 e1 e2*)

**then show** *?case*

**by** (*smt (verit, best) uniqueDet unrepBinaryE*)

**next**

**case** (*ConditionalExpr e1 e2 e3*)

**then show** *?case*

**by** (*smt (verit, best) uniqueDet unrepConditionalE*)

**next**

**case** (*ParameterExpr x1 x2*)

**then show** *?case*

**by** (*smt (verit, best) uniqueDet unrepParamE*)

**next**

**case** (*LeafExpr x1 x2*)

**then show** *?case*

**by** (*smt (verit, best) uniqueDet unrepLeafE*)

**next**

**case** (*ConstantExpr x*)

**then show** *?case*

**by** (*smt (verit, best) uniqueDet unrepConstE*)

**next**

**case** (*ConstantVar x*)

**then show** *?case*

**by** (*smt (verit, best) uniqueDet unrepConstVarE*)

**next**

**case** (*VariableExpr x1 x2*)

**then show** *?case*

**by** (*smt (verit, best) uniqueDet unrepVariableE*)

**qed**

**lemma** *unwrapUnrepE*:

**assumes** *unrep g e (g', nid')*

**shows**  $(g', nid') = \text{Predicate.the } (\text{unrepE } g \ e)$

**using** *assms unrepEI unrepDet unfolding Predicate.the-def*

**by** (*metis eval-usages.cases pred.sel the-equality unrepE-def*)

```

lemma constantCondition-sem:
  assumes (unrep g (ConstantExpr (bool-to-val-width1 val))) (g', nid')
  shows constantCondition val nid (IfNode cond t f) g =
    replace-node nid (IfNode nid' t f, stamp g nid) g'
  using assms unfolding constantCondition.simps
  using unwrapUnrepE by auto

fun wf-insert :: IRGraph  $\Rightarrow$  IRExpr  $\Rightarrow$  bool where
  wf-insert g (LeafExpr n s) = is-preevaluated (kind g n) |
  wf-insert g (VariableExpr v s) = False |
  wf-insert g (ConstantVar v) = False |
  wf-insert g - = True

lemma insertConstUnique:
   $\exists$  g' nid'. unique g (ConstantNode c, s) (g', nid')
  by (meson not-None-eq unique.simps)

lemma insertConst:
   $\exists$  g' nid'. unrep g (ConstantExpr c) (g', nid')
  using UnrepConstantNode insertConstUnique by blast

lemma constantConditionTrue:
  assumes kind g ifcond = IfNode cond t f
  assumes g' = constantCondition True ifcond (kind g ifcond) g
  shows g', p  $\vdash$  (ifcond, m, h)  $\rightarrow$  (t, m, h)
proof -
  have ifn:  $\bigwedge$  c t f. IfNode c t f  $\neq$  NoNode
    by simp
  obtain g'' nid' where unrep: unrep g (ConstantExpr (bool-to-val-width1 True))
    (g'', nid')
    using insertConst by blast
  then have kind g'' nid' = ConstantNode (bool-to-val-width1 True)
    by (meson ConstUnrepE IRNode.distinct(1077) unique-kind)
  also have nid'  $\neq$  ifcond
    by (metis ConstUnrepE IRNode.distinct(981) assms(1) calculation fresh-ids
ids-some ifn unique.cases unrep unrepDet)
  moreover have g' = replace-node ifcond (IfNode nid' t f, stamp g ifcond) g''
    using assms constantCondition-sem unrep by presburger
  moreover have kind g' nid' = ConstantNode (bool-to-val-width1 True)
    using assms constantCondition.simps(1) replace-node-unchanged
    by (metis DiffI calculation(1) calculation(2) calculation(3) emptyE insert-iff
unrep unrep-contains)
  moreover have if': kind g' ifcond = IfNode nid' t f
    using ifn assms constantCondition.simps(1) replace-node-lookup
    using calculation(3) by blast
  have truedef: bool-to-val True = (IntVal 32 1)
    by auto

```

```

from ifn have ifcond  $\neq$  (nextNid g)
  by (metis assms(1) emptyE ids-some nextNidNotIn)
moreover have  $\bigwedge c. \text{ConstantNode } c \neq \text{NoNode}$ 
  by simp
ultimately have kind g' nid' = ConstantNode (bool-to-val-width1 True)
  using add-changed
  by fastforce
then have c': kind g' nid' = ConstantNode (IntVal 1 1)
  by simp
have valid-value (IntVal 1 1) (constantAsStamp (IntVal 1 1))
  by fastforce
then have [g', m, p]  $\vdash$  nid'  $\mapsto$  IntVal 1 1
  using Value.distinct(1)  $\langle$ kind g' nid' = ConstantNode (bool-to-val-width1 True) $\rangle$ 
  by (metis bool-to-val-width1.simps(1) wf-value-def encodeeval.simps Constant-Expr ConstantNode)
from if' c' show ?thesis
  by (metis (no-types, opaque-lifting) val-to-bool.simps(1)  $\langle$ [g',m,p]  $\vdash$  nid'  $\mapsto$  IntVal 1 1 $\rangle$ 
    zero-neq-one IfNode)
qed

```

**lemma** *constantConditionFalse*:

```

assumes kind g ifcond = IfNode cond t f
assumes g' = constantCondition False ifcond (kind g ifcond) g
shows g', p  $\vdash$  (ifcond, m, h)  $\rightarrow$  (f, m, h)
proof –
have ifn:  $\bigwedge c$  t f. IfNode c t f  $\neq$  NoNode
  by simp
obtain g'' nid' where unrep: unrep g (ConstantExpr (bool-to-val-width1 False))
(g'', nid')
  using insertConst by blast
also have kind g'' nid' = ConstantNode (bool-to-val-width1 False)
  by (meson ConstUnrepE IRNode.distinct(1077) unique-kind unrep)
moreover have nid'  $\neq$  ifcond
  by (metis ConstUnrepE IRNode.distinct(981) assms(1) calculation(2) fresh-ids
ids-some ifn unique.cases unrep unrepDet)
moreover have g' = replace-node ifcond (IfNode nid' t f, stamp g ifcond) g''
  using assms(1) assms(2) constantCondition-sem unrep by presburger
moreover have kind g' nid' = ConstantNode (bool-to-val-width1 False)
  using assms constantCondition.simps(1) replace-node-unchanged
  by (metis DiffI calculation(2) calculation(3) calculation(4) emptyE insert-iff
unrep unrep-contains)
moreover have if': kind g' ifcond = IfNode nid' t f
  using ifn assms constantCondition.simps(1) replace-node-lookup
  using calculation(4) by blast
have falsedef: bool-to-val False = (IntVal 32 0)
  by auto
then have c': kind g' nid' = ConstantNode (IntVal 1 0)
  by (simp add: calculation(5))

```



```

have valid-value (IntVal 1 0) (constantAsStamp (IntVal 1 0))
  by auto
then have [g', m, p] ⊢ nid' ↦ IntVal 1 0
  by (meson ConstantExpr ConstantNode c' encodeeval.simps wf-value-def)
from if' c' show ?thesis
  by (meson IfNode ⟨[g'::IRGraph,m::nat ⇒ Value,p::Value list] ⊢ nid'::nat ↦
IntVal (1::nat) (0::64 word)⟩ encodeeval.simps val-to-bool.simps(1))
qed

```

```

lemma diff-forall:
  assumes ∀ n ∈ ids g - {nid}. cond n
  shows ∀ n. n ∈ ids g ∧ n ∉ {nid} ⟶ cond n
  by (meson Diff-iff assms)

```

```

lemma replace-node-changeonly:
  assumes g' = replace-node nid node g
  shows changeonly {nid} g g'
  by (metis add-changed add-node-def replace-node-def assms)

```

```

lemma add-node-changeonly:
  assumes g' = add-node nid node g
  shows changeonly {nid} g g'
  by (metis Rep-IRGraph-inverse add-node.rep-eq assms replace-node.rep-eq re-
place-node-changeonly)

```

```

lemma constantConditionNoEffect:
  assumes ¬(is-IfNode (kind g nid))
  shows g = constantCondition b nid (kind g nid) g
  using assms constantCondition.simps
  apply (cases kind g nid)
  prefer 15 prefer 16
  apply (metis is-IfNode-def)
  apply (metis)
  by presburger+

```

```

lemma changeonly-ConstantExpr:
  assumes unrep g (ConstantExpr c) (g', nid)
  shows changeonly {} g g'
  using assms
  apply (cases find-node-and-stamp g (ConstantNode c, constantAsStamp c) =
None)
  apply (smt (verit, cfv-threshold) New add-node-as-set-eq changeonly.simps fresh-ids
new-def not-excluded-keep-type order.refl uniqueDet unrepConstE unrep-preserves-contains)
  by (metis changeonly.simps unique.cases unrepConstE unrepDet)

```

```

lemma constantCondition-changeonly:

```

**assumes**  $nid \in ids\ g$   
**assumes**  $g' = constantCondition\ b\ nid\ (kind\ g\ nid)\ g$   
**shows**  $changeonly\ \{nid\}\ g\ g'$   
**proof** (*cases is-IfNode (kind g nid)*)  
  **case** *True*  
    **obtain**  $g''\ nid'$  **where**  $unrep: unrep\ g\ (ConstantExpr\ (bool-to-val-width1\ b))\ (g'',\ nid')$   
    **using** *insertConst by blast*  
    **also have**  $changeonly\ \{\}\ g\ g''$   
    **using** *changeonly-ConstantExpr unrep by blast*  
    **moreover have**  $\exists\ t\ f\ ifcond.\ g' = replace-node\ nid\ (IfNode\ nid'\ t\ f,\ stamp\ g\ ifcond)\ g''$   
    **using** *assms constantCondition-sem unrep*  
    **by** (*metis True is-IfNode-def*)  
    **then show** *?thesis*  
    **using** *assms replace-node-changeonly add-node-changeonly unfolding changeonly.simps*  
    **by** (*metis calculation(2) changeonly.elims(2) empty-iff*)  
  **next**  
  **case** *False*  
  **have**  $g = g'$   
  **using** *constantConditionNoEffect False assms(2) by presburger*  
  **then show** *?thesis*  
  **by** *simp*  
**qed**

**lemma** *constantConditionNoIf*:  
**assumes**  $\forall\ cond\ t\ f.\ kind\ g\ ifcond \neq\ IfNode\ cond\ t\ f$   
**assumes**  $g' = constantCondition\ val\ ifcond\ (kind\ g\ ifcond)\ g$   
**shows**  $\exists\ nid'. (g\ m\ p\ h \vdash ifcond \rightsquigarrow nid') \longleftrightarrow (g'\ m\ p\ h \vdash ifcond \rightsquigarrow nid')$   
**proof** –  
  **have**  $g' = g$   
  **using** *constantConditionNoEffect assms is-IfNode-def by presburger*  
  **then show** *?thesis*  
  **by** *simp*  
**qed**

**lemma** *constantConditionValid*:  
**assumes**  $kind\ g\ ifcond = IfNode\ cond\ t\ f$   
**assumes**  $[g,\ m,\ p] \vdash cond \mapsto v$   
**assumes**  $const = val-to-bool\ v$   
**assumes**  $g' = constantCondition\ const\ ifcond\ (kind\ g\ ifcond)\ g$   
**shows**  $\exists\ nid'. (g\ m\ p\ h \vdash ifcond \rightsquigarrow nid') \longleftrightarrow (g'\ m\ p\ h \vdash ifcond \rightsquigarrow nid')$   
**proof** (*cases const*)  
  **case** *True*  
  **have** *ifstep*:  $g,\ p \vdash (ifcond,\ m,\ h) \rightarrow (t,\ m,\ h)$   
  **by** (*meson IfNode True assms(1,2,3) encodeval.simps*)  
  **have** *ifstep'*:  $g',\ p \vdash (ifcond,\ m,\ h) \rightarrow (t,\ m,\ h)$   
  **using** *constantConditionTrue True assms(1,4) by presburger*  
  **from** *ifstep ifstep' show ?thesis*

```

    using StutterStep by blast
next
case False
have ifstep:  $g, p \vdash (ifcond, m, h) \rightarrow (f, m, h)$ 
  by (meson IfNode False assms(1,2,3) encodeeval.simps)
have ifstep':  $g', p \vdash (ifcond, m, h) \rightarrow (f, m, h)$ 
  using constantConditionFalse False assms(1,4) by presburger
from ifstep ifstep' show ?thesis
  using StutterStep by blast
qed

end

```

### 9.3 Stuttering

**theory** *Stuttering*

**imports**

*Semantics.IRStepThms*

**begin**

**inductive** *stutter*:: *IRGraph*  $\Rightarrow$  *MapState*  $\Rightarrow$  *Params*  $\Rightarrow$  *FieldRefHeap*  $\Rightarrow$  *ID*  $\Rightarrow$   
*ID*  $\Rightarrow$  *bool* (- - - -  $\vdash$  -  $\rightsquigarrow$  - 55)

**for**  $g\ m\ p\ h$  **where**

*StutterStep*:

$\llbracket g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \rrbracket$   
 $\implies g\ m\ p\ h \vdash nid \rightsquigarrow nid' \mid$

*Transitive*:

$\llbracket g, p \vdash (nid, m, h) \rightarrow (nid'', m, h);$   
 $g\ m\ p\ h \vdash nid'' \rightsquigarrow nid' \rrbracket$   
 $\implies g\ m\ p\ h \vdash nid \rightsquigarrow nid'$

**lemma** *stuttering-successor*:

**assumes**  $(g, p \vdash (nid, m, h) \rightarrow (nid', m, h))$

**shows**  $\{P'. (g\ m\ p\ h \vdash nid \rightsquigarrow P')\} = \{nid'\} \cup \{nid''. (g\ m\ p\ h \vdash nid' \rightsquigarrow nid'')\}$

**proof** –

**have** *nextin*:  $nid' \in \{P'. (g\ m\ p\ h \vdash nid \rightsquigarrow P')\}$

**using** *assms StutterStep* **by** *fast*

**have** *nextsubset*:  $\{nid''. (g\ m\ p\ h \vdash nid' \rightsquigarrow nid'')\} \subseteq \{P'. (g\ m\ p\ h \vdash nid \rightsquigarrow P')\}$

**by** (*metis Collect-mono assms stutter.Transitive*)

**have**  $\forall n \in \{P'. (g\ m\ p\ h \vdash nid \rightsquigarrow P')\} . n = nid' \vee n \in \{nid''. (g\ m\ p\ h \vdash nid' \rightsquigarrow nid'')\}$

**by** (*metis (no-types, lifting) Pair-inject assms mem-Collect-eq stutter.simps stepDet*)

**then show** *?thesis*

**using** *nextin nextsubset* **by** (*auto simp add: mk-disjoint-insert*)

**qed**

end

## 9.4 Evaluation Stamp Theorems

**theory** *StampEvalThms*

**imports** *Graph.ValueThms*  
*Semantics.IRTreeEvalThms*

**begin**

**lemma**

**assumes** *take-bit b v = v*  
**shows** *signed-take-bit b v = v*  
**by** (*metis(full-types) eq-imp-le signed-take-bit-take-bit assms*)

**lemma** *unwrap-signed-take-bit*:

**fixes** *v :: int64*  
**assumes**  $0 < b \wedge b \leq 64$   
**assumes** *signed-take-bit (b - 1) v = v*  
**shows** *signed-take-bit 63 (Word.rep (signed-take-bit (b - Suc 0) v)) = sint v*  
**using** *assms* **by** (*simp add: signed-def*)

**lemma** *unrestricted-new-int-always-valid* [*simp*]:

**assumes**  $0 < b \wedge b \leq 64$   
**shows** *valid-value (new-int b v) (unrestricted-stamp (IntegerStamp b lo hi))*  
**by** (*simp; metis One-nat-def assms int-power-div-base int-signed-value.simps*  
*int-signed-value-range*  
*linorder-not-le not-exp-less-eq-0-int zero-less-numeral*)

**lemma** *unary-undef*: *val = UndefinedVal  $\implies$  unary-eval op val = UndefinedVal*

**by** (*cases op; auto*)

**lemma** *unary-obj*:

*val = ObjRef x  $\implies$  (if (op = UnaryIsNull) then*  
*unary-eval op val  $\neq$  UndefinedVal else*  
*unary-eval op val = UndefinedVal)*  
**by** (*cases op; auto*)

**lemma** *unrestricted-stamp-valid*:

**assumes** *s = unrestricted-stamp (IntegerStamp b lo hi)*  
**assumes**  $0 < b \wedge b \leq 64$   
**shows** *valid-stamp s*  
**using** *assms* **apply** *auto* **by** (*simp add: pos-imp-zdiv-pos-iff self-le-power*)

**lemma** *unrestricted-stamp-valid-value* [*simp*]:

**assumes** *1: result = IntVal b ival*  
**assumes** *take-bit b ival = ival*  
**assumes**  $0 < b \wedge b \leq 64$   
**shows** *valid-value result (unrestricted-stamp (IntegerStamp b lo hi))*

**proof** –

```

have valid-stamp (unrestricted-stamp (IntegerStamp b lo hi))
  using assms unrestricted-stamp-valid by blast
then show ?thesis
  unfolding unrestricted-stamp.simps using assms int-signed-value-bounds valid-value.simps
  by presburger
qed

```

### 9.4.1 Support Lemmas for Integer Stamps and Associated IntVal values

Valid int implies some useful facts.

**lemma** *valid-int-gives*:

```

assumes valid-value (IntVal b val) stamp
obtains lo hi where stamp = IntegerStamp b lo hi  $\wedge$ 
  valid-stamp (IntegerStamp b lo hi)  $\wedge$ 
  take-bit b val = val  $\wedge$ 
  lo  $\leq$  int-signed-value b val  $\wedge$  int-signed-value b val  $\leq$  hi
using assms apply (cases stamp; auto) by (metis that)

```

And the corresponding lemma where we know the stamp rather than the value.

**lemma** *valid-int-stamp-gives*:

```

assumes valid-value val (IntegerStamp b lo hi)
obtains ival where val = IntVal b ival  $\wedge$ 
  valid-stamp (IntegerStamp b lo hi)  $\wedge$ 
  take-bit b ival = ival  $\wedge$ 
  lo  $\leq$  int-signed-value b ival  $\wedge$  int-signed-value b ival  $\leq$  hi
by (metis assms valid-int valid-value.simps(1))

```

A valid int must have the expected number of bits.

**lemma** *valid-int-same-bits*:

```

assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
shows b = bits
by (meson assms valid-value.simps(1))

```

A valid value means a valid stamp.

**lemma** *valid-int-valid-stamp*:

```

assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
shows valid-stamp (IntegerStamp bits lo hi)
by (metis assms valid-value.simps(1))

```

A valid int means a valid non-empty stamp.

**lemma** *valid-int-not-empty*:

```

assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
shows lo  $\leq$  hi
by (metis assms order.trans valid-value.simps(1))

```

A valid int fits into the given number of bits (and other bits are zero).

**lemma** *valid-int-fits*:

**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)

**shows** *take-bit* *bits val* = *val*

**by** (*metis* *assms* *valid-value.simps*(1))

**lemma** *valid-int-is-zero-masked*:

**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)

**shows** *and* *val* (*not* (*mask* *bits*)) = 0

**by** (*metis* (*no-types*, *lifting*) *assms* *bit.conj-cancel-right* *take-bit-eq-mask* *valid-int-fits*

*word-bw-assocs*(1) *word-log-esimps*(1))

Unsigned ints have bounds 0 up to  $2^{\text{bits}}$ .

**lemma** *valid-int-unsigned-bounds*:

**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)

**shows** *uint* *val* <  $2^{\text{bits}}$

**by** (*metis* *assms*(1) *mask-eq-iff* *take-bit-eq-mask* *valid-value.simps*(1))

Signed ints have the usual two-complement bounds.

**lemma** *valid-int-signed-upper-bound*:

**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)

**shows** *int-signed-value* *bits val* <  $2^{(\text{bits} - 1)}$

**by** (*metis* (*mono-tags*, *opaque-lifting*) *diff-le-mono* *int-signed-value.simps* *less-imp-diff-less* *linorder-not-le* *one-le-numeral* *order-less-le-trans* *signed-take-bit-int-less-exp-word* *sint-lt*

*power-increasing*)

**lemma** *valid-int-signed-lower-bound*:

**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)

**shows**  $-(2^{(\text{bits} - 1)}) \leq \text{int-signed-value } \text{bits } \text{val}$

**using** *assms* *One-nat-def* *ValueThms.int-signed-value-range* **by** *auto*

and *bit\_bounds* versions of the above bounds.

**lemma** *valid-int-signed-upper-bit-bound*:

**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)

**shows** *int-signed-value* *bits val*  $\leq \text{snd}$  (*bit-bounds* *bits*)

**proof** –

**have** *b* = *bits*

**using** *assms* *valid-int-same-bits* **by** *blast*

**then show** *?thesis*

**using** *assms* **by** *auto*

**qed**

**lemma** *valid-int-signed-lower-bit-bound*:

**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)

**shows** *fst* (*bit-bounds* *bits*)  $\leq \text{int-signed-value } \text{bits } \text{val}$

**proof** –

**have** *b* = *bits*

```

    using assms valid-int-same-bits by blast
  then show ?thesis
    using assms by auto
qed

```

Valid values satisfy their stamp bounds.

```

lemma valid-int-signed-range:
  assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
  shows  $lo \leq \text{int-signed-value } bits \text{ } val \wedge \text{int-signed-value } bits \text{ } val \leq hi$ 
  by (metis assms valid-value.simps(1))

```

## 9.4.2 Validity of all Unary Operators

We split the validity proof for unary operators into two lemmas, one for normal unary operators whose output bits equals their input bits, and the other case for the widen and narrow operators.

```

lemma eval-normal-unary-implies-valid-value:
  assumes  $[m,p] \vdash \text{expr} \mapsto \text{val}$ 
  assumes  $\text{result} = \text{unary-eval } op \text{ } val$ 
  assumes  $op \in \text{normal-unary}$ 
  assumes notbool:  $op \notin \text{boolean-unary}$ 
  assumes notfixed32:  $op \notin \text{unary-fixed-32-ops}$ 
  assumes  $\text{result} \neq \text{UndefVal}$ 
  assumes valid-value val (stamp-expr expr)
  shows valid-value result (stamp-expr (UnaryExpr op expr))
proof –
  obtain b1 v1 where  $v1: \text{val} = \text{IntVal } b1 \text{ } v1$ 
    using assms by (meson is-IntVal-def unary-eval-int unary-normal-bitsize)
  then obtain b2 v2 where  $v2: \text{result} = \text{IntVal } b2 \text{ } v2$ 
    by (metis Value.collapse(1) assms(2,6) unary-eval-int)
  then have  $\text{result} = \text{unary-eval } op \text{ } (\text{IntVal } b1 \text{ } v1)$ 
    using assms(2) v1 by blast
  then obtain vtmp where  $\text{vtmp}: \text{result} = \text{new-int } b2 \text{ } \text{vtmp}$ 
    using assms(3) by (auto simp add: v2)
  obtain b' lo' hi' where  $\text{stamp-expr } \text{expr} = \text{IntegerStamp } b' \text{ } lo' \text{ } hi'$ 
    by (metis assms(7) v1 valid-int-gives)
  then have  $\text{stamp-unary } op \text{ } (\text{stamp-expr } \text{expr}) =$ 
    unrestricted-stamp
    (IntegerStamp (if  $op \in \text{normal-unary}$  then b' else ir-resultBits op) lo' hi')
    using op by force
  then obtain lo2 hi2 where  $s: (\text{stamp-expr } (\text{UnaryExpr } op \text{ } \text{expr})) =$ 
    unrestricted-stamp (IntegerStamp b2 lo2 hi2)
    unfolding stamp-expr.simps
    by (metis (full-types) assms(2,7) unary-normal-bitsize v2 valid-int-same-bits op
       $\langle \text{stamp-expr } \text{expr} = \text{IntegerStamp } b' \text{ } lo' \text{ } hi' \rangle$ )
  then have bitRange:  $0 < b1 \wedge b1 \leq 64$ 
    using assms(1) eval-bits-1-64 v1 by blast
  then have fst (bit-bounds b2)  $\leq \text{int-signed-value } b2 \text{ } v2 \wedge$ 

```

```

      int-signed-value b2 v2 ≤ snd (bit-bounds b2)
    using assms(2) int-signed-value-bounds unary-eval-bitsize v1 v2 by blast
  then show ?thesis
    apply auto
    by (metis stamp-expr.simps(1) unrestricted-new-int-always-valid bitRange assms(2)
s v1 vtmp v2
      unary-eval-bitsize)
qed

```

```

lemma narrow-widen-output-bits:
  assumes unary-eval op val ≠ UndefVal
  assumes op ∉ normal-unary
  assumes op ∉ boolean-unary
  assumes op ∉ unary-fixed-32-ops
  shows 0 < (ir-resultBits op) ∧ (ir-resultBits op) ≤ 64
proof -
  consider ib ob where op = UnaryNarrow ib ob
    | ib ob where op = UnarySignExtend ib ob
    | ib ob where op = UnaryZeroExtend ib ob
  using IRUnaryOp.exhaust-sel assms(2,3,4) by blast
  then show ?thesis
  proof (cases)
    case 1
    then show ?thesis
      using assms intval-narrow-ok by force
  next
    case 2
    then show ?thesis
      using assms intval-sign-extend-ok by force
  next
    case 3
    then show ?thesis
      using assms intval-zero-extend-ok by force
  qed
qed

```

```

lemma eval-widen-narrow-unary-implies-valid-value:
  assumes [m,p] ⊢ expr ↦ val
  assumes result = unary-eval op val
  assumes op: op ∉ normal-unary
  and notbool: op ∉ boolean-unary
  and notfixed: op ∉ unary-fixed-32-ops
  assumes result ≠ UndefVal
  assumes valid-value val (stamp-expr expr)
  shows valid-value result (stamp-expr (UnaryExpr op expr))
proof -
  obtain b1 v1 where v1: val = IntVal b1 v1
  by (metis Value.exhaust-disc insertCI is-ArrayVal-def is-IntVal-def is-ObjRef-def
is-ObjStr-def)

```



```

    unary-obj valid-value.simps(3,11,12) assms(2,4,6,7))
  then have result = unary-eval op (IntVal b1 v1)
    using assms(2) by blast
  then obtain v2 where v2: result = new-int (ir-resultBits op) v2
    using assms unary-eval-new-int by presburger
  then obtain v3 where v3: result = IntVal (ir-resultBits op) v3
    using assms by (cases op; simp; (meson new-int.simps)+)
  then obtain b lo2 hi2 where eval: stamp-expr expr = IntegerStamp b lo2 hi2
    by (metis assms(7) v1 valid-int-gives)
  then have s: (stamp-expr (UnaryExpr op expr)) =
    unrestricted-stamp (IntegerStamp (ir-resultBits op) lo2 hi2)
    using op notbool notfixed by (cases op; auto)
  then have outBits: 0 < (ir-resultBits op) ∧ (ir-resultBits op) ≤ 64
    using assms narrow-widen-output-bits by blast
  then have fst (bit-bounds (ir-resultBits op)) ≤ int-signed-value (ir-resultBits op)
    v3 ∧
    int-signed-value (ir-resultBits op) v3 ≤ snd (bit-bounds (ir-resultBits op))
    using ValueThms.int-signed-value-bounds outBits by blast
  then show ?thesis
    using v2 s by (simp add: v3 outBits)
qed

```

**lemma** *eval-boolean-unary-implies-valid-value:*

```

  assumes [m,p] ⊢ expr ↦ val
  assumes result = unary-eval op val
  assumes op: op ∈ boolean-unary
  assumes notnorm: op ∉ normal-unary
  assumes result ≠ UndefVal
  assumes valid-value val (stamp-expr expr)
  shows valid-value result (stamp-expr (UnaryExpr op expr))
  proof –
    obtain b1 where v1: val = ObjRef (b1)
      by (metis singletonD unary-eval.simps(8) intval-is-null.elims assms(2,3,5))
    then have eval: result = unary-eval op (ObjRef (b1))
      using assms(2) by blast
    then obtain v2 where v2: result = IntVal 32 v2
      by (metis op singleton-iff unary-eval.simps(8) intval-is-null.simps(1) bool-to-val.simps(1,2))
    have vBounds: result ∈ {bool-to-val True, bool-to-val False}
      by (metis insertI1 insertI2 intval-is-null.simps(1) op singleton-iff unary-eval.simps(8)
    eval)
    then have boolstamp: (stamp-expr (UnaryExpr op expr)) = (IntegerStamp 32 0
    1)
      using op by (cases op; auto)
    then show ?thesis
      using vBounds by (cases result; auto)
  qed

```

**lemma** *eval-fixed-unary-32-implies-valid-value:*

```

  assumes [m,p] ⊢ expr ↦ val

```

```

assumes result = unary-eval op val
assumes op: op ∈ unary-fixed-32-ops
assumes notnorm: op ∉ normal-unary
assumes notbool: op ∉ boolean-unary
assumes result ≠ UndefVal
assumes valid-value val (stamp-expr expr)
shows valid-value result (stamp-expr (UnaryExpr op expr))
proof –
obtain b1 v1 where v1: val = IntVal b1 v1
by (metis Value.exhaust-sel insert-iff intval-bit-count.simps(3,4,5) unary-eval.simps(10)
valid-value.simps(3) assms(2,3,5,6,7))
then obtain v2 where v2: result = new-int 32 v2
using assms unary-eval-new-int by presburger
then obtain v3 where v3: result = IntVal 32 v3
using assms by (cases op; simp; (meson new-int.simps)+)
then obtain b lo2 hi2 where eval: stamp-expr expr = IntegerStamp b lo2 hi2
by (metis assms(7) v1 valid-int-gives)
then have s: (stamp-expr (UnaryExpr op expr)) = unrestricted-stamp (IntegerStamp
32 lo2 hi2)
using op notbool by (cases op; auto)
then have fst (bit-bounds 32) ≤ int-signed-value 32 v3 ∧
int-signed-value 32 v3 ≤ snd (bit-bounds 32)
by (metis ValueThms.int-signed-value-bounds leI not-numeral-le-zero semir-
ing-norm(68,71)
numeral-le-iff)
then show ?thesis
using s v2 v3 by force
qed

```

```

lemma eval-unary-implies-valid-value:
assumes [m,p] ⊢ expr ⇔ val
assumes result = unary-eval op val
assumes result ≠ UndefVal
assumes valid-value val (stamp-expr expr)
shows valid-value result (stamp-expr (UnaryExpr op expr))
proof (cases op ∈ normal-unary)
case True
then show ?thesis
using assms eval-normal-unary-implies-valid-value by blast
next
case False
then show ?thesis
proof (cases op ∈ boolean-unary)
case True
then show ?thesis
using assms eval-boolean-unary-implies-valid-value by blast
next
case False
then show ?thesis

```

```

proof (cases op ∈ unary-fixed-32-ops)
  case True
  then show ?thesis
    using assms eval-fixed-unary-32-implies-valid-value by auto
next
  case False
  then show ?thesis
    using assms
  by (meson eval-boolean-unary-implies-valid-value eval-normal-unary-implies-valid-value
    eval-widen-narrow-unary-implies-valid-value unary-ops-distinct(2))
qed
qed
qed

```

### 9.4.3 Support Lemmas for Binary Operators

```

lemma binary-undef: v1 = UndefVal ∨ v2 = UndefVal ⇒ bin-eval op v1 v2 =
  UndefVal
  by (cases op; auto)

```

```

lemma binary-obj: v1 = ObjRef x ∨ v2 = ObjRef y ⇒ bin-eval op v1 v2 =
  UndefVal
  by (cases op; auto)

```

Some lemmas about the three different output sizes for binary operators.

```

lemma bin-eval-bits-binary-shift-ops:
  assumes result = bin-eval op (IntVal b1 v1) (IntVal b2 v2)
  assumes result ≠ UndefVal
  assumes op ∈ binary-shift-ops
  shows ∃ v. result = new-int b1 v
  using assms by (cases op; simp; smt (verit, best) new-int.simps)+

```

```

lemma bin-eval-bits-fixed-32-ops:
  assumes result = bin-eval op (IntVal b1 v1) (IntVal b2 v2)
  assumes result ≠ UndefVal
  assumes op ∈ binary-fixed-32-ops
  shows ∃ v. result = new-int 32 v
  apply (cases op; simp)
  using assms by (metis new-int.simps bin-eval-new-int)+

```

```

lemma bin-eval-bits-normal-ops:
  assumes result = bin-eval op (IntVal b1 v1) (IntVal b2 v2)
  assumes result ≠ UndefVal
  assumes op ∉ binary-shift-ops
  assumes op ∉ binary-fixed-32-ops
  shows ∃ v. result = new-int b1 v
  using assms apply (cases op; simp)
  apply metis+
  apply (metis new-int-bin.simps)+

```

by (metis take-bit-xor take-bit-and take-bit-or)+

**lemma** *bin-eval-input-bits-equal*:

**assumes**  $result = bin\text{-}eval\ op\ (IntVal\ b1\ v1)\ (IntVal\ b2\ v2)$

**assumes**  $result \neq UndefVal$

**assumes**  $op \notin binary\text{-}shift\text{-}ops$

**shows**  $b1 = b2$

**using** *assms* **apply** (cases *op*; *simp*) **by** (meson *new-int-bin.simps*)+

**lemma** *bin-eval-implies-valid-value*:

**assumes**  $[m,p] \vdash expr1 \mapsto val1$

**assumes**  $[m,p] \vdash expr2 \mapsto val2$

**assumes**  $result = bin\text{-}eval\ op\ val1\ val2$

**assumes**  $result \neq UndefVal$

**assumes** *valid-value*  $val1$  (*stamp-expr*  $expr1$ )

**assumes** *valid-value*  $val2$  (*stamp-expr*  $expr2$ )

**shows** *valid-value*  $result$  (*stamp-expr* (*BinaryExpr*  $op\ expr1\ expr2$ ))

**proof** –

**obtain**  $b1\ v1$  **where**  $v1: val1 = IntVal\ b1\ v1$

by (metis *Value.collapse*(1) *assms*(3,4) *bin-eval-inputs-are-ints* *bin-eval-int*)

**obtain**  $b2\ v2$  **where**  $v2: val2 = IntVal\ b2\ v2$

by (metis *Value.collapse*(1) *assms*(3,4) *bin-eval-inputs-are-ints* *bin-eval-int*)

**then obtain**  $lo1\ hi1$  **where**  $s1: stamp\text{-}expr\ expr1 = IntegerStamp\ b1\ lo1\ hi1$

by (metis *assms*(5)  $v1$  *valid-int-gives*)

**then obtain**  $lo2\ hi2$  **where**  $s2: stamp\text{-}expr\ expr2 = IntegerStamp\ b2\ lo2\ hi2$

by (metis *assms*(6)  $v2$  *valid-int-gives*)

**then have**  $r: result = bin\text{-}eval\ op\ (IntVal\ b1\ v1)\ (IntVal\ b2\ v2)$

**using** *assms*(3)  $v1\ v2$  **by** *presburger*

**then obtain**  $bres\ vtmp$  **where**  $vtmp: result = new\text{-}int\ bres\ vtmp$

**using** *assms* **by** (meson *bin-eval-new-int*)

**then obtain**  $vres$  **where**  $vres: result = IntVal\ bres\ vres$

**by** *force*

**then have**  $sres: stamp\text{-}expr\ (BinaryExpr\ op\ expr1\ expr2) =$

$unrestricted\text{-}stamp\ (IntegerStamp\ bres\ lo1\ hi1)$

$\wedge 0 < bres \wedge bres \leq 64$

**proof** (cases  $op \in binary\text{-}shift\text{-}ops$ )

**case** *True*

**then show** *?thesis*

**unfolding** *stamp-expr.simps*

**by** (metis *Value.inject*(1) *eval-bits-1-64* *new-int.simps*  $r$  *assms*(1,4) *stamp-binary.simps*(1)

*bin-eval-bits-binary-shift-ops*  $s2\ s1\ v1\ vres$ )

**next**

**case** *False*

**then have**  $op \notin binary\text{-}shift\text{-}ops$

**by** *blast*

**then have**  $beq: b1 = b2$

**using**  $v1\ v2$  *assms* *bin-eval-input-bits-equal* **by** *blast*

**then show** *?thesis*

```

proof (cases op ∈ binary-fixed-32-ops)
  case True
  then show ?thesis
  unfolding stamp-expr.simps
    by (metis False Value.inject(1) beq bin-eval-new-int le-add-same-cancel1
new-int.simps s2 s1
numeral-Bit0 vres zero-le-numeral zero-less-numeral assms(3,4) stamp-binary.simps(1))
  next
  case False
  then show ?thesis
  unfolding s1 s2 stamp-binary.simps stamp-expr.simps
    by (metis beq bin-eval-new-int eval-bits-1-64 intval-bits.simps assms(1,3,4)
vres v1
unrestricted-new-int-always-valid unrestricted-stamp.simps(2) valid-int-same-bits)
  qed
qed
then show ?thesis
  using unrestricted-new-int-always-valid vres vtmp by presburger
qed

```

#### 9.4.4 Validity of Stamp Meet and Join Operators

**lemma** *stamp-meet-integer-is-valid-stamp*:

```

assumes valid-stamp stamp1
assumes valid-stamp stamp2
assumes is-IntegerStamp stamp1
assumes is-IntegerStamp stamp2
shows valid-stamp (meet stamp1 stamp2)
using assms apply (cases stamp1; cases stamp2; auto)
using meet.simps(2) valid-stamp.simps(1,8) is-IntegerStamp-def assms by linar-
ith+

```

**lemma** *stamp-meet-is-valid-stamp*:

```

assumes 1: valid-stamp stamp1
assumes 2: valid-stamp stamp2
shows valid-stamp (meet stamp1 stamp2)
by (cases stamp1; cases stamp2; insert stamp-meet-integer-is-valid-stamp[OF 1
2]; auto)

```

**lemma** *stamp-meet-commutes*:  $\text{meet stamp1 stamp2} = \text{meet stamp2 stamp1}$

```

by (cases stamp1; cases stamp2; auto)

```

**lemma** *stamp-meet-is-valid-value1*:

```

assumes valid-value val stamp1
assumes valid-stamp stamp2
assumes stamp1 = IntegerStamp b1 lo1 hi1
assumes stamp2 = IntegerStamp b2 lo2 hi2
assumes meet stamp1 stamp2 ≠ IllegalStamp
shows valid-value val (meet stamp1 stamp2)

```

**proof** –  
**have**  $m$ : *meet stamp1 stamp2 = IntegerStamp b1 (min lo1 lo2) (max hi1 hi2)*  
**by** (*metis assms(3,4,5) meet.simps(2)*)  
**obtain**  $ival$  **where**  $val$ :  $val = IntVal b1 ival$   
**using** *assms valid-int by blast*  
**then have**  $v$ : *valid-stamp (IntegerStamp b1 lo1 hi1)  $\wedge$*   
*take-bit b1 ival = ival  $\wedge$*   
*lo1  $\leq$  int-signed-value b1 ival  $\wedge$  int-signed-value b1 ival  $\leq$  hi1*  
**by** (*metis assms(1,3) valid-value.simps(1)*)  
**then have**  $mm$ : *min lo1 lo2  $\leq$  int-signed-value b1 ival  $\wedge$  int-signed-value b1 ival*  
 $\leq$  *max hi1 hi2*  
**by** *linarith*  
**then have** *valid-stamp (IntegerStamp b1 (min lo1 lo2) (max hi1 hi2))*  
**by** (*metis meet.simps(2) stamp-meet-is-valid-stamp v assms(2,3,4,5)*)  
**then show** *?thesis*  
**using**  $mm$   $v$  *valid-value.simps val m by presburger*  
**qed**

and the symmetric lemma follows by the commutativity of meet.

**lemma** *stamp-meet-is-valid-value*:  
**assumes** *valid-value val stamp2*  
**assumes** *valid-stamp stamp1*  
**assumes** *stamp1 = IntegerStamp b1 lo1 hi1*  
**assumes** *stamp2 = IntegerStamp b2 lo2 hi2*  
**assumes** *meet stamp1 stamp2  $\neq$  IllegalStamp*  
**shows** *valid-value val (meet stamp1 stamp2)*  
**by** (*metis stamp-meet-is-valid-value1 stamp-meet-commutes assms*)

#### 9.4.5 Validity of conditional expressions

**lemma** *conditional-eval-implies-valid-value*:  
**assumes**  $[m,p] \vdash cond \mapsto condv$   
**assumes**  $expr = (if\ val\ to\ bool\ condv\ then\ expr1\ else\ expr2)$   
**assumes**  $[m,p] \vdash expr \mapsto val$   
**assumes**  $val \neq UndefinedVal$   
**assumes** *valid-value condv (stamp-expr cond)*  
**assumes** *valid-value val (stamp-expr expr)*  
**assumes** *compatible (stamp-expr expr1) (stamp-expr expr2)*  
**shows** *valid-value val (stamp-expr (ConditionalExpr cond expr1 expr2))*  
**proof** –  
**have**  $def$ : *meet (stamp-expr expr1) (stamp-expr expr2)  $\neq$  IllegalStamp*  
**using** *assms apply auto*  
**by** (*smt (verit, ccfv-threshold) Stamp.distinct(13,25) compatible.elims(2) meet.simps(1,2)*)  
**then have** *valid-stamp (meet (stamp-expr expr1) (stamp-expr expr2))*  
**using** *assms apply auto*  
**by** (*metis compatible-refl compatible.elims(2) stamp-meet-is-valid-stamp valid-stamp.simps(2)*  
*assms(7)*)  
**then show** *?thesis*  
**using** *assms apply auto*

by (smt (verit, ccfv-SIG) Stamp.distinct(1) assms(6,7) compatible.elims(2)  
 compatible.simps(1)  
 def compatible-refl stamp-meet-commutes stamp-meet-is-valid-value1 valid-value.simps(13))  
 qed

#### 9.4.6 Validity of Whole Expression Tree Evaluation

TODO: find a way to encode that conditional expressions must have compatible (and valid) stamps? One approach would be for all the stamp\_expr operators to require that all input stamps are valid.

**definition** *wf-stamp* :: *IRExpr*  $\Rightarrow$  *bool* **where**  
*wf-stamp* e = ( $\forall$  m p v. ([m, p]  $\vdash$  e  $\mapsto$  v)  $\longrightarrow$  valid-value v (stamp-expr e))

**lemma** *stamp-under-defn*:

**assumes** stamp-under (stamp-expr x) (stamp-expr y)  
**assumes** wf-stamp x  $\wedge$  wf-stamp y  
**assumes** ([m, p]  $\vdash$  x  $\mapsto$  xv)  $\wedge$  ([m, p]  $\vdash$  y  $\mapsto$  yv)  
**shows** val-to-bool (bin-eval BinIntegerLessThan xv yv)  $\vee$   
 (bin-eval BinIntegerLessThan xv yv) = UndefVal

**proof** –

**have** yval: valid-value yv (stamp-expr y)  
**using** assms wf-stamp-def **by** blast  
**obtain** b lx hi **where** xstamp: stamp-expr x = IntegerStamp b lx hi  
**by** (metis stamp-under.elims(2) assms(1))  
**then obtain** b' lo hy **where** ystamp: stamp-expr y = IntegerStamp b' lo hy  
**by** (meson stamp-under.elims(2) assms(1))  
**obtain** xv **where** xv: xv = IntVal b xv  
**by** (metis assms(2,3) valid-int wf-stamp-def xstamp)  
**then have** xval: valid-value (IntVal b xv) (stamp-expr x)  
**using** assms(2,3) wf-stamp-def **by** blast  
**obtain** yv **where** yv: yv = IntVal b' yv  
**by** (metis valid-int ystamp yval)  
**then have** xval: valid-value (IntVal b' yv) (stamp-expr y)  
**using** yval **by** blast  
**have** xunder: int-signed-value b xv  $\leq$  hi  
**by** (metis assms(2,3) wf-stamp-def xstamp valid-value.simps(1) xv)  
**have** yunder: lo  $\leq$  int-signed-value b' yv  
**by** (metis ystamp valid-value.simps(1) yval yv)  
**have** unwrap:  $\forall$  cond. bool-to-val-bin b b cond = bool-to-val cond  
**by** simp  
**from** xunder yunder **have** int-signed-value b xv < int-signed-value b' yv  
**using** assms(1) xstamp ystamp **by** force  
**then have** (intval-less-than xv yv) = IntVal 32 1  $\vee$  (intval-less-than xv yv) =  
 UndefVal  
**by** (simp add: yv xv)  
**then show** ?thesis  
**by** force  
 qed

```

lemma stamp-under-defn-inverse:
  assumes stamp-under (stamp-expr y) (stamp-expr x)
  assumes wf-stamp x  $\wedge$  wf-stamp y
  assumes ( $[m, p] \vdash x \mapsto xv$ )  $\wedge$  ( $[m, p] \vdash y \mapsto yv$ )
  shows  $\neg(\text{val-to-bool } (\text{bin-eval BinIntegerLessThan } xv\ yv)) \vee (\text{bin-eval BinIntegerLessThan } xv\ yv) = \text{UndefVal}$ 
proof -
  have yval: valid-value yv (stamp-expr y)
    using assms wf-stamp-def by blast
  obtain b lo hx where xstamp: stamp-expr x = IntegerStamp b lo hx
    by (metis stamp-under.elims(2) assms(1))
  then obtain b' ly hi where ystamp: stamp-expr y = IntegerStamp b' ly hi
    by (meson stamp-under.elims(2) assms(1))
  obtain xv where xv: xv = IntVal b xv
    by (metis assms(2,3) valid-int wf-stamp-def xstamp)
  then have xval: valid-value (IntVal b xv) (stamp-expr x)
    using assms(2,3) wf-stamp-def by blast
  obtain yv where yv: yv = IntVal b' yv
    by (metis valid-int ystamp yval)
  then have yval: valid-value (IntVal b' yv) (stamp-expr y)
    using yval by simp
  have yunder: int-signed-value b' yv  $\leq$  hi
    by (metis ystamp valid-value.simps(1) yval yv)
  have xover: lo  $\leq$  int-signed-value b xv
    by (metis assms(2,3) wf-stamp-def xstamp valid-value.simps(1) xv)
  have unwrap:  $\forall$  cond. bool-to-val-bin b b cond = bool-to-val cond
    by simp
  from xover yunder have int-signed-value b' yv  $<$  int-signed-value b xv
    using assms(1) xstamp ystamp by force
  then have (intval-less-than xv yv) = IntVal 32 0  $\vee$  (intval-less-than xv yv) =
  UndefVal
    by (auto simp add: yv xv)
  then show ?thesis
    by force
qed

end

```

## 10 Optimization DSL

### 10.1 Markup

```

theory Markup
  imports Semantics.IRTreeEval Snippets.Snipping
begin

datatype 'a Rewrite =
  Transform 'a 'a (-  $\mapsto$  - 10) |
  Conditional 'a 'a bool (-  $\mapsto$  - when - 11) |

```



*Sequential 'a Rewrite 'a Rewrite |*  
*Transitive 'a Rewrite*

```
datatype 'a ExtraNotation =
  ConditionalNotation 'a 'a 'a (- ? - : - 50) |
  EqualsNotation 'a 'a (- eq -) |
  ConstantNotation 'a (const - 120) |
  TrueNotation (true) |
  FalseNotation (false) |
  ExclusiveOr 'a 'a (- ⊕ -) |
  LogicNegationNotation 'a (!-) |
  ShortCircuitOr 'a 'a (- || -) |
  Remainder 'a 'a (- % -)
```

**definition** *word* :: ('a::len) *word* ⇒ 'a *word* **where**  
*word* *x* = *x*

**ML-val** @{term <*x* % *x*>}  
**ML-file** <*markup.ML*>

### 10.1.1 Expression Markup

```
ML <
  structure IRExprTranslator : DSL-TRANSLATION =
  struct
  fun markup DSL-Tokens.Add = @ {term BinaryExpr} $ @ {term BinAdd}
    | markup DSL-Tokens.Sub = @ {term BinaryExpr} $ @ {term BinSub}
    | markup DSL-Tokens.Mul = @ {term BinaryExpr} $ @ {term BinMul}
    | markup DSL-Tokens.Div = @ {term BinaryExpr} $ @ {term BinDiv}
    | markup DSL-Tokens.Rem = @ {term BinaryExpr} $ @ {term BinMod}
    | markup DSL-Tokens.And = @ {term BinaryExpr} $ @ {term BinAnd}
    | markup DSL-Tokens.Or = @ {term BinaryExpr} $ @ {term BinOr}
    | markup DSL-Tokens.Xor = @ {term BinaryExpr} $ @ {term BinXor}
    | markup DSL-Tokens.ShortCircuitOr = @ {term BinaryExpr} $ @ {term Bin-
ShortCircuitOr}
    | markup DSL-Tokens.Abs = @ {term UnaryExpr} $ @ {term UnaryAbs}
    | markup DSL-Tokens.Less = @ {term BinaryExpr} $ @ {term BinIntegerLessThan}
    | markup DSL-Tokens.Equals = @ {term BinaryExpr} $ @ {term BinIntegerEquals}
    | markup DSL-Tokens.Not = @ {term UnaryExpr} $ @ {term UnaryNot}
    | markup DSL-Tokens.Negate = @ {term UnaryExpr} $ @ {term UnaryNeg}
    | markup DSL-Tokens.LogicNegate = @ {term UnaryExpr} $ @ {term UnaryLog-
icNegation}
    | markup DSL-Tokens.LeftShift = @ {term BinaryExpr} $ @ {term BinLeftShift}
    | markup DSL-Tokens.RightShift = @ {term BinaryExpr} $ @ {term BinRightShift}
    | markup DSL-Tokens.UnsignedRightShift = @ {term BinaryExpr} $ @ {term Bin-
URightShift}
    | markup DSL-Tokens.Conditional = @ {term ConditionalExpr}
    | markup DSL-Tokens.Constant = @ {term ConstantExpr}
    | markup DSL-Tokens.TrueConstant = @ {term ConstantExpr (IntVal 32 1)}
```

```

| markup DSL-Tokens.FalseConstant = @{term ConstantExpr (IntVal 32 0)}
end
structure IRExpMarkup = DSL-Markup(IRExpTranslator);
>

```

*ir expression translation*

```

syntax -expandExpr :: term ⇒ term (exp[-])
parse-translation < [( @{syntax-const -expandExpr} , IRExpMarkup.markup-expr []) ] >

```

*ir expression example*

```

value exp[(e1 < e2) ? e1 : e2]

ConditionalExpr (BinaryExpr BinIntegerLessThan (e1::IRExp)
(e2::IRExp)) e1 e2

```

### 10.1.2 Value Markup

```

ML <
structure IntValTranslator : DSL-TRANSLATION =
struct
fun markup DSL-Tokens.Add = @{term intval-add}
| markup DSL-Tokens.Sub = @{term intval-sub}
| markup DSL-Tokens.Mul = @{term intval-mul}
| markup DSL-Tokens.Div = @{term intval-div}
| markup DSL-Tokens.Rem = @{term intval-mod}
| markup DSL-Tokens.And = @{term intval-and}
| markup DSL-Tokens.Or = @{term intval-or}
| markup DSL-Tokens.ShortCircuitOr = @{term intval-short-circuit-or}
| markup DSL-Tokens.Xor = @{term intval-xor}
| markup DSL-Tokens.Abs = @{term intval-abs}
| markup DSL-Tokens.Less = @{term intval-less-than}
| markup DSL-Tokens.Equals = @{term intval-equals}
| markup DSL-Tokens.Not = @{term intval-not}
| markup DSL-Tokens.Negate = @{term intval-negate}
| markup DSL-Tokens.LogicNegate = @{term intval-logic-negation}
| markup DSL-Tokens.LeftShift = @{term intval-left-shift}
| markup DSL-Tokens.RightShift = @{term intval-right-shift}
| markup DSL-Tokens.UnsignedRightShift = @{term intval-uright-shift}
| markup DSL-Tokens.Conditional = @{term intval-conditional}
| markup DSL-Tokens.Constant = @{term IntVal 32}
| markup DSL-Tokens.TrueConstant = @{term IntVal 32 1}
| markup DSL-Tokens.FalseConstant = @{term IntVal 32 0}
end
structure IntValMarkup = DSL-Markup(IntValTranslator);
>

```

*value expression translation*

```
syntax -expandIntVal :: term ⇒ term (val[-])  
parse-translation < [( @{syntax-const -expandIntVal} , IntVal-  
Markup.markup-expr []) ] >
```

*value expression example*

```
value val[(e1 < e2) ? e1 : e2]  
  
intval-conditional (intval-less-than (e1::Value) (e2::Value)) e1 e2
```

### 10.1.3 Word Markup

**ML** <

```
structure WordTranslator : DSL-TRANSLATION =  
struct  
fun markup DSL-Tokens.Add = @{term plus}  
| markup DSL-Tokens.Sub = @{term minus}  
| markup DSL-Tokens.Mul = @{term times}  
| markup DSL-Tokens.Div = @{term signed-divide}  
| markup DSL-Tokens.Rem = @{term signed-modulo}  
| markup DSL-Tokens.And = @{term Bit-Operations.semiring-bit-operations-class.and}  
| markup DSL-Tokens.Or = @{term or}  
| markup DSL-Tokens.Xor = @{term xor}  
| markup DSL-Tokens.Abs = @{term abs}  
| markup DSL-Tokens.Less = @{term less}  
| markup DSL-Tokens.Equals = @{term HOL.eq}  
| markup DSL-Tokens.Not = @{term not}  
| markup DSL-Tokens.Negate = @{term uminus}  
| markup DSL-Tokens.LogicNegate = @{term logic-negate}  
| markup DSL-Tokens.LeftShift = @{term shiftl}  
| markup DSL-Tokens.RightShift = @{term signed-shiftr}  
| markup DSL-Tokens.UnsignedRightShift = @{term shiftr}  
| markup DSL-Tokens.Constant = @{term word}  
| markup DSL-Tokens.TrueConstant = @{term 1}  
| markup DSL-Tokens.FalseConstant = @{term 0}  
end  
structure WordMarkup = DSL-Markup(WordTranslator);  
>
```

*word expression translation*

```
syntax -expandWord :: term ⇒ term (bin[-])  
parse-translation < [( @{syntax-const -expandWord} , Word-  
Markup.markup-expr []) ] >
```

*word expression example*

```
value bin[x & y | z]
```

```
intval-conditional (intval-less-than (e1::Value) (e2::Value)) e1 e2
```

```
value bin[-x]  
value val[-x]  
value exp[-x]
```

```
value bin[!x]  
value val[!x]  
value exp[!x]
```

```
value bin[¬x]  
value val[¬x]  
value exp[¬x]
```

```
value bin[~x]  
value val[~x]  
value exp[~x]
```

```
value ~x
```

```
end
```

## 10.2 Optimization Phases

```
theory Phase  
  imports Main  
begin
```

```
ML-file map.ML  
ML-file phase.ML
```

```
end
```

## 10.3 Canonicalization DSL

```
theory Canonicalization  
  imports  
    Markup  
    Phase  
    HOL-Eisbach.Eisbach  
  keywords  
    phase :: thy-decl and  
    terminating :: quasi-command and  
    print-phases :: diag and  
    export-phases :: thy-decl and
```

```

    optimization :: thy-goal-defn
begin

print-methods

ML <
datatype 'a Rewrite =
  Transform of 'a * 'a |
  Conditional of 'a * 'a * term |
  Sequential of 'a Rewrite * 'a Rewrite |
  Transitive of 'a Rewrite

type rewrite = {
  name: binding,
  rewrite: term Rewrite,
  proofs: thm list,
  code: thm list,
  source: term
}

structure RewriteRule : Rule =
struct
type T = rewrite;

(*
fun pretty-rewrite ctxt (Transform (from, to)) =
  Pretty.block [
    Syntax.pretty-term ctxt from,
    Pretty.str  $\mapsto$  ,
    Syntax.pretty-term ctxt to
  ]
| pretty-rewrite ctxt (Conditional (from, to, cond)) =
  Pretty.block [
    Syntax.pretty-term ctxt from,
    Pretty.str  $\mapsto$  ,
    Syntax.pretty-term ctxt to,
    Pretty.str when ,
    Syntax.pretty-term ctxt cond
  ]
| pretty-rewrite - - = Pretty.str not implemented*)

fun pretty-thm ctxt thm =
  (Proof-Context.pretty-fact ctxt (, [thm]))

fun pretty ctxt obligations t =
  let
    val is-skipped = Thm-Deps.has-skip-proof (#proofs t);

    val warning = (if is-skipped

```

```

then [Pretty.str (proof skipped), Pretty.brk 0]
else []];

val obligations = (if obligations
then [Pretty.big-list
obligations:
(map (pretty-thm ctxt) (#proofs t)),
Pretty.brk 0]
else []]);

fun pretty-bind binding =
Pretty.markup
(Position.markup (Binding.pos-of binding) Markup.position)
[Pretty.str (Binding.name-of binding)];

in
Pretty.block ([
pretty-bind (#name t), Pretty.str : ,
Syntax.pretty-term ctxt (#source t), Pretty.fbrk
] @ obligations @ warning)
end
end

structure RewritePhase = DSL-Phase(RewriteRule);

val - =
Outer-Syntax.command command-keyword⟨phase⟩ enter an optimization phase
(Parse.binding --| Parse.*** terminating -- Parse.const --| Parse.begin
>> (Toplevel.begin-main-target true o RewritePhase.setup));

fun print-phases print-obligations ctxt =
let
val thy = Proof-Context.theory-of ctxt;
fun print phase = RewritePhase.pretty print-obligations phase ctxt
in
map print (RewritePhase.phases thy)
end

fun print-optimizations print-obligations thy =
print-phases print-obligations thy |> Pretty.writeln-chunks

val - =
Outer-Syntax.command command-keyword⟨print-phases⟩
print debug information for optimizations
(Parse.opt-bang >>
(fn b => Toplevel.keep ((print-optimizations b) o Toplevel.context-of)));

fun export-phases thy name =
let

```

```

val state = Toplevel.make-state (SOME thy);
val ctxt = Toplevel.context-of state;
val content = Pretty.string-of (Pretty.chunks (print-phases false ctxt));
val cleaned = YXML.content-of content;

val filename = Path.explode (name ^ ".rules");
val directory = Path.explode optimizations;
val path = Path.binding (
    Path.append directory filename,
    Position.none);
val thy' = thy |> Generated-Files.add-files (path, (Bytes.string content));

val - = Export.export thy' path [YXML.parse cleaned];

val - = writeln (Export.message thy' (Path.basic optimizations));
in
  thy'
end

val - =
  Outer-Syntax.command command-keyword ⟨export-phases⟩
  export information about encoded optimizations
  (Parse.path >>
    (fn name => Toplevel.theory (fn state => export-phases state name)))
  >

```

**ML-file** *rewrites.ML*

### 10.3.1 Semantic Preservation Obligation

```

fun rewrite-preservation :: IRExp Rewrite ⇒ bool where
  rewrite-preservation (Transform x y) = (y ≤ x) |
  rewrite-preservation (Conditional x y cond) = (cond ⟶ (y ≤ x)) |
  rewrite-preservation (Sequential x y) = (rewrite-preservation x ∧ rewrite-preservation
y) |
  rewrite-preservation (Transitive x) = rewrite-preservation x

```

### 10.3.2 Termination Obligation

```

fun rewrite-termination :: IRExp Rewrite ⇒ (IRExp ⇒ nat) ⇒ bool where
  rewrite-termination (Transform x y) trm = (trm x > trm y) |
  rewrite-termination (Conditional x y cond) trm = (cond ⟶ (trm x > trm y)) |
  rewrite-termination (Sequential x y) trm = (rewrite-termination x trm ∧ rewrite-termination
y trm) |
  rewrite-termination (Transitive x) trm = rewrite-termination x trm

```

```

fun intval :: Value Rewrite ⇒ bool where
  intval (Transform x y) = (x ≠ UndefVal ∧ y ≠ UndefVal ⟶ x = y) |
  intval (Conditional x y cond) = (cond ⟶ (x = y)) |

```

$intval (Sequential\ x\ y) = (intval\ x \wedge intval\ y) \mid$   
 $intval (Transitive\ x) = intval\ x$

### 10.3.3 Standard Termination Measure

**fun** *size* :: *IRExpr*  $\Rightarrow$  *nat* **where**

*unary-size*:

$size (UnaryExpr\ op\ x) = (size\ x) + 2 \mid$

*bin-const-size*:

$size (BinaryExpr\ op\ x\ (ConstantExpr\ cy)) = (size\ x) + 2 \mid$

*bin-size*:

$size (BinaryExpr\ op\ x\ y) = (size\ x) + (size\ y) + 2 \mid$

*cond-size*:

$size (ConditionalExpr\ c\ t\ f) = (size\ c) + (size\ t) + (size\ f) + 2 \mid$

*const-size*:

$size (ConstantExpr\ c) = 1 \mid$

*param-size*:

$size (ParameterExpr\ ind\ s) = 2 \mid$

*leaf-size*:

$size (LeafExpr\ nid\ s) = 2 \mid$

$size (ConstantVar\ c) = 2 \mid$

$size (VariableExpr\ x\ s) = 2$

### 10.3.4 Automated Tactics

**named-theorems** *size-simps size simplification rules*

**method** *unfold-optimization* =

(*unfold rewrite-preservation.simps, unfold rewrite-termination.simps,*  
*unfold intval.simps,*

*rule conjE, simp, simp del: le-expr-def, force?*)

$\mid$  (*unfold reurite-preservation.simps, unfold rewrite-termination.simps,*  
*rule conjE, simp, simp del: le-expr-def, force?*)

**method** *unfold-size* =

((*unfold size.simps, simp add: size-simps del: le-expr-def*)?)

; (*simp add: size-simps del: le-expr-def*)?

; (*auto simp: size-simps*)?

; (*unfold size.simps*)?[1])

**print-methods**

**ML**  $\langle$

*structure System : RewriteSystem =*

*struct*

*val preservation = @{const rewrite-preservation};*

*val termination = @{const rewrite-termination};*

*val intval = @{const intval};*



```

end

structure DSL = DSL-Rewrites(System);

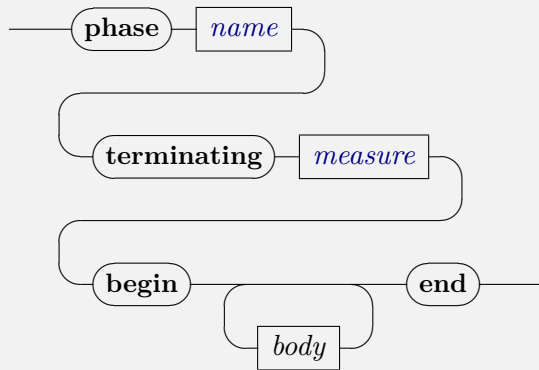
val - =
  Outer-Syntax.local-theory-to-proof command-keyword <optimization>
  define an optimization and open proof obligation
  (Parse-Spec.thm-name : -- Parse.term
   >> DSL.rewrite-cmd);
>

ML-file ~/src/Doc/antiquote-setup.ML

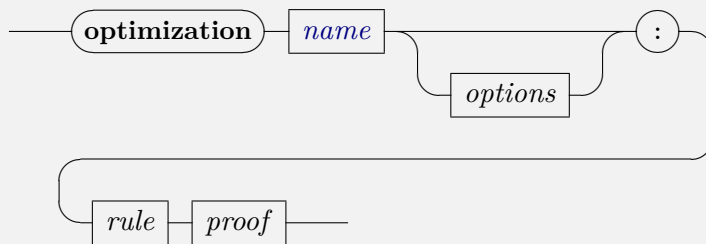
```

PhaseRail

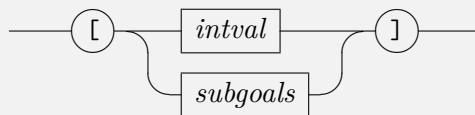
*phase*



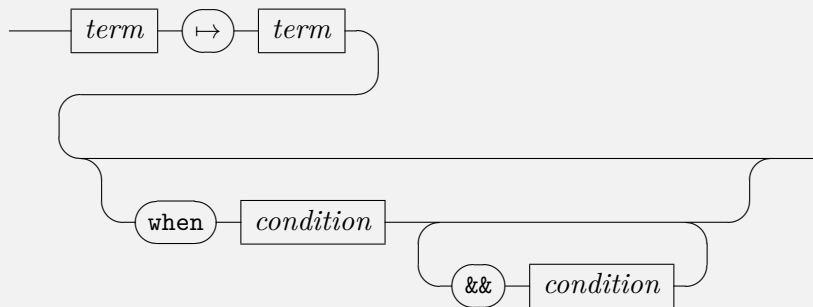
*optimization*



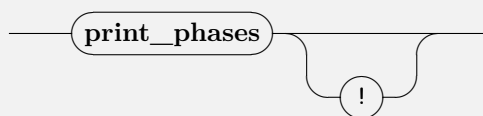
*options*



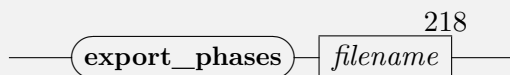
*rule*



*print-phases*



*export-phases*



*gencode*



**phase** *name* *terminating* *measure* opens a new optimization phase

**print-syntax**

**end**

## 11 Canonicalization Optimizations

**theory** *Common*

**imports**

*OptimizationDSL.Canonicalization*

*Semantics.IRTreeEvalThms*

**begin**

**lemma** *size-pos*[*size-simps*]:  $0 < \text{size } y$

**apply** (*induction*  $y$ ; *auto*?)

**subgoal for** *op*

**apply** (*cases* *op*)

**by** (*smt* ( $z3$ ) *gr0I one-neq-zero pos2 size.elims trans-less-add2*)+

**done**

**lemma** *size-non-add*[*size-simps*]:  $\text{size } (\text{BinaryExpr } op \ a \ b) = \text{size } a + \text{size } b + 2$

$\longleftrightarrow \neg(\text{is-ConstantExpr } b)$

**by** (*induction*  $b$ ; *induction* *op*; *auto simp: is-ConstantExpr-def*)

**lemma** *size-non-const*[*size-simps*]:

$\neg \text{is-ConstantExpr } y \implies 1 < \text{size } y$

**using** *size-pos* **apply** (*induction*  $y$ ; *auto*)

**by** (*metis* *Suc-lessI add-is-1 is-ConstantExpr-def le-less linorder-not-le n-not-Suc-n numeral-2-eq-2 pos2 size.simps(2) size-non-add*)

**lemma** *size-binary-const*[*size-simps*]:

$\text{size } (\text{BinaryExpr } op \ a \ b) = \text{size } a + 2 \longleftrightarrow (\text{is-ConstantExpr } b)$

**by** (*induction*  $b$ ; *auto simp: is-ConstantExpr-def size-pos*)

**lemma** *size-flip-binary*[*size-simps*]:

$\neg(\text{is-ConstantExpr } y) \longrightarrow \text{size } (\text{BinaryExpr } op \ (\text{ConstantExpr } x) \ y) > \text{size } (\text{BinaryExpr } op \ y \ (\text{ConstantExpr } x))$

**by** (*metis* *add-Suc not-less-eq order-less-asym plus-1-eq-Suc size.simps(2,11) size-non-add*)

**lemma** *size-binary-lhs-a*[*size-simps*]:

$\text{size } (\text{BinaryExpr } op \ (\text{BinaryExpr } op' \ a \ b) \ c) > \text{size } a$

**by** (*metis* *add-lessD1 less-add-same-cancel1 pos2 size-binary-const size-non-add*)

**lemma** *size-binary-lhs-b*[*size-simps*]:

$\text{size } (\text{BinaryExpr } op \ (\text{BinaryExpr } op' \ a \ b) \ c) > \text{size } b$

**by** (*metis* *IRExpr.disc(42) One-nat-def add.left-commute add.right-neutral is-ConstantExpr-def less-add-Suc2 numeral-2-eq-2 plus-1-eq-Suc size.simps(11) size-binary-const size-non-add size-non-const trans-less-add1*)

**lemma** *size-binary-lhs-c*[*size-simps*]:  
 $size (BinaryExpr\ op\ (BinaryExpr\ op'\ a\ b)\ c) > size\ c$   
**by** (*metis* *IRExpr.disc*(42) *add.left-commute* *add.right-neutral* *is-ConstantExpr-def* *less-Suc-eq* *numeral-2-eq-2* *plus-1-eq-Suc* *size.simps*(11) *size-non-add* *size-non-const* *trans-less-add2*)

**lemma** *size-binary-rhs-a*[*size-simps*]:  
 $size (BinaryExpr\ op\ c\ (BinaryExpr\ op'\ a\ b)) > size\ a$   
**apply** *auto*  
**by** (*metis* *trans-less-add2* *less-Suc-eq* *less-add-same-cancel1* *linorder-neqE-nat* *not-add-less1* *pos2*  
*order-less-trans* *size-binary-const* *size-non-add*)

**lemma** *size-binary-rhs-b*[*size-simps*]:  
 $size (BinaryExpr\ op\ c\ (BinaryExpr\ op'\ a\ b)) > size\ b$   
**by** (*metis* *add.left-commute* *add.right-neutral* *is-ConstantExpr-def* *lessI* *numeral-2-eq-2* *plus-1-eq-Suc* *size.simps*(4,11) *size-non-add* *trans-less-add2*)

**lemma** *size-binary-rhs-c*[*size-simps*]:  
 $size (BinaryExpr\ op\ c\ (BinaryExpr\ op'\ a\ b)) > size\ c$   
**by** *simp*

**lemma** *size-binary-lhs*[*size-simps*]:  
 $size (BinaryExpr\ op\ x\ y) > size\ x$   
**by** (*metis* *One-nat-def* *Suc-eq-plus1* *add-Suc-right* *less-add-Suc1* *numeral-2-eq-2* *size-binary-const* *size-non-add*)

**lemma** *size-binary-rhs*[*size-simps*]:  
 $size (BinaryExpr\ op\ x\ y) > size\ y$   
**by** (*metis* *IRExpr.disc*(42) *add-strict-increasing* *is-ConstantExpr-def* *linorder-not-le* *not-add-less1* *size.simps*(11) *size-non-add* *size-non-const* *size-pos*)

**lemmas** *arith*[*size-simps*] = *Suc-leI* *add-strict-increasing* *order-less-trans* *trans-less-add2*

**definition** *well-formed-equal* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *bool*  
(*infix*  $\approx$  50) **where**  
*well-formed-equal*  $v_1\ v_2 = (v_1 \neq\ UndefinedVal \longrightarrow v_1 = v_2)$

**lemma** *well-formed-equal-defn* [*simp*]:  
*well-formed-equal*  $v_1\ v_2 = (v_1 \neq\ UndefinedVal \longrightarrow v_1 = v_2)$   
**unfolding** *well-formed-equal-def* **by** *simp*

**end**

## 11.1 AbsNode Phase

**theory** *AbsPhase*  
**imports**  
*Common Proofs.StampEvalThms*

**begin**

**phase** *AbsNode*  
**terminating** *size*  
**begin**

Note:

We can't use  $(<s)$  for reasoning about *intval-less-than*.  $(<s)$  will always treat the  $64^{\text{th}}$  bit as the sign flag while *intval-less-than* uses the  $b^{\text{th}}$  bit depending on the size of the word.

**value**  $\text{val}[\text{new-int } 32 \ 0 < \text{new-int } 32 \ 4294967286] - 0 < -10 = \text{False}$   
**value**  $(0::\text{int}64) <_s 4294967286 - 0 < 4294967286 = \text{True}$

**lemma** *signed-equiv*:

**assumes**  $b > 0 \wedge b \leq 64$   
**shows**  $\text{val-to-bool}(\text{val}[\text{new-int } b \ v < \text{new-int } b \ v']) = (\text{int-signed-value } b \ v < \text{int-signed-value } b \ v')$   
**using** *assms*  
**by** (*metis* (*no-types*, *lifting*) *ValueThms.signed-take-take-bit* *bool-to-val.elims* *bool-to-val-bin.elims* *int-signed-value.simps* *intval-less-than.simps(1)* *new-int.simps* *one-neq-zero* *val-to-bool.simps(1)*)

**lemma** *val-abs-pos*:

**assumes**  $\text{val-to-bool}(\text{val}[(\text{new-int } b \ 0) < (\text{new-int } b \ v)])$   
**shows**  $\text{intval-abs}(\text{new-int } b \ v) = (\text{new-int } b \ v)$   
**using** *assms* **by** *force*

**lemma** *val-abs-neg*:

**assumes**  $\text{val-to-bool}(\text{val}[(\text{new-int } b \ v) < (\text{new-int } b \ 0)])$   
**shows**  $\text{intval-abs}(\text{new-int } b \ v) = \text{intval-negate}(\text{new-int } b \ v)$   
**using** *assms* **by** *force*

**lemma** *val-bool-unwrap*:

$\text{val-to-bool}(\text{bool-to-val } v) = v$   
**by** (*metis* *bool-to-val.elims* *one-neq-zero* *val-to-bool.simps(1)*)

**lemma** *take-bit-64*:

**assumes**  $0 < b \wedge b \leq 64$   
**assumes**  $\text{take-bit } b \ v = v$   
**shows**  $\text{take-bit } 64 \ v = \text{take-bit } b \ v$   
**using** *assms*  
**by** (*metis* *min-def* *nle-le* *take-bit-take-bit*)

A special value exists for the maximum negative integer as its negation is itself. We can define the value as  $\text{set-bit } ((b::\text{nat}) - (1::\text{nat})) (0::64 \ \text{word})$  for any bit-width,  $b$ .

**value**  $(\text{set-bit } 1 \ 0)::2 \ \text{word} - 2$

**value**  $-(\text{set-bit } 1 \ 0)::2 \ \text{word} - 2$   
**value**  $(\text{set-bit } 31 \ 0)::32 \ \text{word} - 2147483648$   
**value**  $-(\text{set-bit } 31 \ 0)::32 \ \text{word} - 2147483648$

**lemma** *negative-def*:  
**fixes**  $v :: 'a::\text{len} \ \text{word}$   
**assumes**  $v <_s 0$   
**shows**  $\text{bit } v \ (\text{LENGTH}('a) - 1)$   
**using** *assms*  
**by** (*simp add: bit-last-iff word-sless-alt*)

**lemma** *positive-def*:  
**fixes**  $v :: 'a::\text{len} \ \text{word}$   
**assumes**  $0 <_s v$   
**shows**  $\neg(\text{bit } v \ (\text{LENGTH}('a) - 1))$   
**using** *assms*  
**by** (*simp add: bit-last-iff word-sless-alt*)

**lemma** *negative-lower-bound*:  
**fixes**  $v :: 'a::\text{len} \ \text{word}$   
**assumes**  $(2^\wedge(\text{LENGTH}('a) - 1)) <_s v$   
**assumes**  $v <_s 0$   
**shows**  $0 <_s (-v)$   
**using** *assms*  
**by** (*smt (verit) signed-0 signed-take-bit-int-less-self-iff sint-ge sint-word-ariths(4) word-sless-alt*)

**lemma** *min-int*:  
**fixes**  $x :: 'a::\text{len} \ \text{word}$   
**assumes**  $x <_s 0$   
**assumes**  $x \neq (2^\wedge(\text{LENGTH}('a) - 1))$   
**shows**  $2^\wedge(\text{LENGTH}('a) - 1) <_s x$   
**using** *assms sorry*

**lemma** *negate-min-int*:  
**fixes**  $v :: 'a::\text{len} \ \text{word}$   
**assumes**  $v = (2^\wedge(\text{LENGTH}('a) - 1))$   
**shows**  $v = (-v)$   
**using** *assms*  
**by** (*metis One-nat-def add.inverse-neutral double-eq-zero-iff mult-minus-right verit-minus-simplify(4)*)

**fun** *abs*  $:: 'a::\text{len} \ \text{word} \Rightarrow 'a \ \text{word}$  **where**  
 $\text{abs } x = (\text{if } x <_s 0 \ \text{then } (-x) \ \text{else } x)$

```

lemma
  abs(abs(x)) = abs(x)
for x :: 'a::len word
proof (cases 0 ≤ s x)
  case True
  then show ?thesis
    by force
next
  case neg: False
  then show ?thesis
proof (cases x = (2LENGTH('a) - 1))
  case True
  then show ?thesis
    using negate-min-int
    by (simp add: word-sless-alt)
next
  case False
  then show ?thesis using min-int negative-lower-bound
    using negate-min-int by force
qed
qed

```

We need to do the same proof at the value level.

```

lemma invert-intval:
  assumes int-signed-value b v < 0
  assumes b > 0 ∧ b ≤ 64
  assumes take-bit b v = v
  assumes v ≠ (2(b - 1))
  shows 0 < int-signed-value b (-v)
  using assms apply simp sorry

```

```

lemma negate-max-negative:
  assumes b > 0 ∧ b ≤ 64
  assumes take-bit b v = v
  assumes v = (2(b - 1))
  shows new-int b v = intval-negate (new-int b v)
  using assms apply simp using negate-min-int sorry

```

```

lemma val-abs-always-pos:
  assumes b > 0 ∧ b ≤ 64
  assumes take-bit b v = v
  assumes v ≠ (2(b - 1))
  assumes intval-abs (new-int b v) = (new-int b v')
  shows val-to-bool (val[(new-int b 0) < (new-int b v')]) ∨ val-to-bool (val[(new-int b 0) eq (new-int b v')])
proof (cases v = 0)
  case True
  then have isZero: intval-abs (new-int b 0) = new-int b 0

```

```

    by auto
  then have IntVal b 0 = new-int b v'
    using True assms by auto
  then have val-to-bool (val[(new-int b 0) eq (new-int b v')])
    by simp
  then show ?thesis by simp
next
case neq0: False
have zero: int-signed-value b 0 = 0
  by simp
then show ?thesis
proof (cases int-signed-value b v > 0)
case True
  then have val-to-bool(val[(new-int b 0) < (new-int b v)])
    using zero apply simp
  by (metis One-nat-def ValueThms.signed-take-take-bit assms(1) val-bool-unwrap)
  then have val-to-bool (val[new-int b 0 < new-int b v'])
    by (metis assms(4) val-abs-pos)
  then show ?thesis
    by blast
next
case neg: False
then have val-to-bool (val[new-int b 0 < new-int b v'])
proof -
  have int-signed-value b v ≤ 0
    using assms neg neq0 by simp
  then show ?thesis
proof (cases int-signed-value b v = 0)
case True
  then have v = 0
    by (metis One-nat-def Suc-pred assms(1) assms(2) dual-order.refl
int-signed-value.simps signed-eq-0-iff take-bit-of-0 take-bit-signed-take-bit)
  then show ?thesis
    using neq0 by simp
next
case False
  then have int-signed-value b v < 0
    using ⟨int-signed-value (b::nat) (v::64 word) ⊆ (0::int)⟩ by linarith
  then have new-int b v' = new-int b (-v)
    using assms using intval-abs.elims
    by simp
  then have 0 < int-signed-value b (-v)
    using assms(3) invert-intval
    using ⟨int-signed-value (b::nat) (v::64 word) < (0::int)⟩ assms(1) assms(2)
by blast
  then show ?thesis
    using ⟨new-int (b::nat) (v'::64 word) = new-int b (- (v::64 word))⟩ assms(1)
signed-equiv zero by presburger
qed

```



```

    qed
  then show ?thesis
    by simp
  qed
qed

```

```

lemma intval-abs-elim:
  assumes intval-abs x ≠ UndefVal
  shows ∃ t v . x = IntVal t v ∧
        intval-abs x = new-int t (if int-signed-value t v < 0 then - v else v)
  by (meson intval-abs.elims assms)

```

```

lemma wf-abs-new-int:
  assumes intval-abs (IntVal t v) ≠ UndefVal
  shows intval-abs (IntVal t v) = new-int t v ∨ intval-abs (IntVal t v) = new-int t
  (-v)
  by simp

```

```

lemma mono-undef-abs:
  assumes intval-abs (intval-abs x) ≠ UndefVal
  shows intval-abs x ≠ UndefVal
  using assms by force

```

```

lemma val-abs-idem:
  assumes valid-value x (IntegerStamp b l h)
  assumes val[abs(abs(x))] ≠ UndefVal
  shows val[abs(abs(x))] = val[abs x]
proof -
  obtain b v where in-def: x = IntVal b v
    using assms intval-abs-elim mono-undef-abs by blast
  then have bInRange: b > 0 ∧ b ≤ 64
    using assms(1)
    by (metis valid-stamp.simps(1) valid-value.simps(1))
  then show ?thesis
  proof (cases int-signed-value b v < 0)
    case neg: True
      then show ?thesis
      proof (cases v = (2^(b - 1)))
        case min: True
          then show ?thesis
          by (smt (z3) assms(1) bInRange in-def intval-abs.simps(1) intval-negate.simps(1)
            negate-max-negative new-int.simps valid-value.simps(1))
        next
          case notMin: False
            then have nested: (intval-abs x) = new-int b (-v)
              using neg val-abs-neg in-def by simp
            also have int-signed-value b (-v) > 0
              using neg notMin invert-intval bInRange

```

```

    by (metis assms(1) in-def valid-value.simps(1))
  then have (intval-abs (new-int b (-v))) = new-int b (-v)
  by (smt (verit, best) ValueThms.signed-take-take-bit bInRange int-signed-value.simps
intval-abs.simps(1) new-int.simps new-int-unused-bits-zero)
  then show ?thesis
    using nested by presburger
qed
next
case False
then show ?thesis
  by (metis (mono-tags, lifting) assms(1) in-def intval-abs.simps(1) new-int.simps
valid-value.simps(1))
qed
qed

```

**Optimisations end**

**end**

## 11.2 AddNode Phase

**theory AddPhase**

**imports**

*Common*

**begin**

**phase AddNode**

**terminating** *size*

**begin**

**lemma binadd-commute:**

**assumes** *bin-eval BinAdd x y ≠ UndefinedVal*

**shows** *bin-eval BinAdd x y = bin-eval BinAdd y x*

**by** (*simp add: intval-add-sym*)

**optimization AddShiftConstantRight:**  $((const\ v) + y) \mapsto y + (const\ v)$  when  $\neg(is-ConstantExpr\ y)$

**apply** (*metis add-2-eq-Suc' less-Suc-eq plus-1-eq-Suc size.simps(11) size-non-add*)

**using** *le-expr-def binadd-commute* **by** *blast*

**optimization AddShiftConstantRight2:**  $((const\ v) + y) \mapsto y + (const\ v)$  when  $\neg(is-ConstantExpr\ y)$

**using** *AddShiftConstantRight* **by** *auto*

**lemma is-neutral-0 [simp]:**

**assumes**  $val[(IntVal\ b\ x) + (IntVal\ b\ 0)] \neq UndefVal$   
**shows**  $val[(IntVal\ b\ x) + (IntVal\ b\ 0)] = (new-int\ b\ x)$   
**by** *simp*

**lemma** *AddNeutral-Exp*:

**shows**  $exp[(e + (const\ (IntVal\ 32\ 0)))] \geq exp[e]$

**apply** *auto*

**subgoal** **premises**  $p$  **for**  $m\ p\ x$

**proof** –

**obtain**  $ev$  **where**  $ev: [m,p] \vdash e \mapsto ev$

**using**  $p$  **by** *auto*

**then obtain**  $b\ evx$  **where**  $evx: ev = IntVal\ b\ evx$

**by** (*metis evalDet evaltree-not-undef intval-add.simps(3,4,5) intval-logic-negation.cases*  
 $p(1,2)$ )

**then have** *additionNotUndef*:  $val[ev + (IntVal\ 32\ 0)] \neq UndefVal$

**using**  $p\ evalDet\ ev$  **by** *blast*

**then have** *sameWidth*:  $b = 32$

**by** (*metis evx additionNotUndef intval-add.simps(1)*)

**then have** *unfolded*:  $val[ev + (IntVal\ 32\ 0)] = IntVal\ 32\ (take-bit\ 32\ (evx+0))$

**by** (*simp add: evx*)

**then have** *eqE*:  $IntVal\ 32\ (take-bit\ 32\ (evx+0)) = IntVal\ 32\ (take-bit\ 32\ (evx))$

**by** *auto*

**then show** *?thesis*

**by** (*metis ev evalDet eval-unused-bits-zero evx p(1) sameWidth unfolded*)

**qed**

**done**

**optimization** *AddNeutral*:  $(e + (const\ (IntVal\ 32\ 0))) \mapsto e$

**using** *AddNeutral-Exp* **by** *presburger*

**ML-val**  $\langle @\{term\ \langle x = y \rangle\} \rangle$

**lemma** *NeutralLeftSubVal*:

**assumes**  $e1 = new-int\ b\ ival$

**shows**  $val[(e1 - e2) + e2] \approx e1$

**using** *assms* **by** (*cases e1; cases e2; auto*)

**lemma** *RedundantSubAdd-Exp*:

**shows**  $exp[((a - b) + b)] \geq a$

**apply** *auto*

**subgoal** **premises**  $p$  **for**  $m\ p\ y\ xa\ ya$

**proof** –

**obtain**  $bv$  **where**  $bv: [m,p] \vdash b \mapsto bv$

**using**  $p(1)$  **by** *auto*

**obtain**  $av$  **where**  $av: [m,p] \vdash a \mapsto av$

**using**  $p(3)$  **by** *auto*

**then have** *subNotUndef*:  $val[av - bv] \neq UndefVal$

**by** (*metis bv evalDet p(3,4,5)*)

**then obtain**  $bb\ bvv$  **where**  $bInt: bv = IntVal\ bb\ bvv$

```

by (metis bv evaltree-not-undef intval-logic-negation.cases intval-sub.simps(7,8,9))
then obtain ba avv where aInt: av = IntVal ba avv
by (metis av evaltree-not-undef intval-logic-negation.cases intval-sub.simps(3,4,5)
subNotUndef)
then have widthSame: bb=ba
  by (metis av bInt bv evalDet intval-sub.simps(1) new-int-bin.simps p(3,4,5))
then have valEval: val[((av-bv)+bv)] = val[av]
  using aInt av eval-unused-bits-zero widthSame bInt by simp
then show ?thesis
  by (metis av bv evalDet p(1,3,4))
qed
done

```

```

optimization RedundantSubAdd: ((e1 - e2) + e2)  $\mapsto$  e1
  using RedundantSubAdd-Exp by blast

```

```

lemma allE2: ( $\forall x y. P x y$ )  $\implies$  (P a b  $\implies$  R)  $\implies$  R
  by simp

```

```

lemma just-goal2:
  assumes ( $\forall a b. (val[(a - b) + b] \neq \text{UndefVal} \wedge a \neq \text{UndefVal} \longrightarrow$ 
     $val[(a - b) + b] = a)$ )
  shows (exp[(e1 - e2) + e2]  $\geq$  e1)
  unfolding le-expr-def unfold-binary bin-eval.simps by (metis assms evalDet eval-
tree-not-undef)

```

```

optimization RedundantSubAdd2: e2 + (e1 - e2)  $\mapsto$  e1
  using size-binary-rhs-a apply simp apply auto
  by (smt (z3) NeutralLeftSubVal evalDet eval-unused-bits-zero intval-add-sym int-
val-sub.elims new-int.simps well-formed-equal-defn)

```

```

lemma AddToSubHelperLowLevel:
  shows val[-e + y] = val[y - e] (is ?x = ?y)
  by (induction y; induction e; auto)

```

```

print-phases

```

```

lemma val-redundant-add-sub:

```

```

assumes  $a = \text{new-int } bb \text{ ival}$ 
assumes  $\text{val}[b + a] \neq \text{UndefVal}$ 
shows  $\text{val}[(b + a) - b] = a$ 
using assms apply (cases a; cases b; auto) by presburger

```

```

lemma val-add-right-negate-to-sub:
assumes  $\text{val}[x + e] \neq \text{UndefVal}$ 
shows  $\text{val}[x + (-e)] = \text{val}[x - e]$ 
by (cases x; cases e; auto simp: assms)

```

```

lemma exp-add-left-negate-to-sub:
 $\text{exp}[-e + y] \geq \text{exp}[y - e]$ 
by (cases e; cases y; auto simp: AddToSubHelperLowLevel)

```

```

lemma RedundantAddSub-Exp:
shows  $\text{exp}[(b + a) - b] \geq a$ 
apply auto
  subgoal premises  $p$  for  $m \ p \ y \ x_a \ y_a$ 
proof -
  obtain  $bv$  where  $bv: [m,p] \vdash b \mapsto bv$ 
    using  $p(1)$  by auto
  obtain  $av$  where  $av: [m,p] \vdash a \mapsto av$ 
    using  $p(4)$  by auto
  then have addNotUndef:  $\text{val}[av + bv] \neq \text{UndefVal}$ 
    by (metis bv evalDet intval-add-sym intval-sub.simps(2) p(2,3,4))
  then obtain  $bb \ bvv$  where  $bInt: bv = \text{IntVal } bb \ bvv$ 
by (metis bv evalDet evaltree-not-undef intval-add.simps(3,5) intval-logic-negation.cases
  intval-sub.simps(8) p(1,2,3,5))
  then obtain  $ba \ avv$  where  $aInt: av = \text{IntVal } ba \ avv$ 
    by (metis addNotUndef intval-add.simps(2,3,4,5) intval-logic-negation.cases)
  then have widthSame:  $bb = ba$ 
    by (metis addNotUndef bInt intval-add.simps(1))
  then have valEval:  $\text{val}[(bv + av) - bv] = \text{val}[av]$ 
    using  $aInt \ av \ \text{eval-unused-bits-zero} \ \text{widthSame} \ bInt$  by simp
  then show ?thesis
    by (metis av bv evalDet p(1,3,4))
qed
done

```

Optimisations

```

optimization RedundantAddSub:  $(b + a) - b \mapsto a$ 
using RedundantAddSub-Exp by blast

```

```

optimization AddRightNegateToSub:  $x + -e \mapsto x - e$ 
apply (metis Nat.add-0-right add-2-eq-Suc' add-less-mono1 add-mono-thms-linordered-field(2)
  less-SucI not-less-less-Suc-eq size-binary-const size-non-add size-pos)
using AddToSubHelperLowLevel intval-add-sym by auto

```

```

optimization AddLeftNegateToSub:  $-e + y \mapsto y - e$ 
  apply (smt (verit, best) One-nat-def add.commute add-Suc-right is-ConstantExpr-def
less-add-Suc2
    numeral-2-eq-2 plus-1-eq-Suc size.simps(1) size.simps(11) size-binary-const
size-non-add)
  using exp-add-left-negate-to-sub by simp

```

**end**

**end**

### 11.3 AndNode Phase

```

theory AndPhase

```

```

  imports

```

```

    Common

```

```

    Proofs.StampEvalThms

```

```

begin

```

```

context stamp-mask

```

```

begin

```

```

lemma AndCommute-Val:

```

```

  assumes val[x & y]  $\neq$  UndefVal

```

```

  shows val[x & y] = val[y & x]

```

```

  using assms apply (cases x; cases y; auto) by (simp add: and.commute)

```

```

lemma AndCommute-Exp:

```

```

  shows exp[x & y]  $\geq$  exp[y & x]

```

```

  using AndCommute-Val unfold-binary by auto

```

```

lemma AndRightFallthrough: (((and (not ( $\downarrow$  x)) ( $\uparrow$  y)) = 0))  $\longrightarrow$  exp[x & y]  $\geq$ 
exp[y]

```

```

  apply simp apply (rule impI; (rule allI)+; rule impI)

```

```

  subgoal premises p for m p v

```

```

  proof –

```

```

    obtain xv where xv: [m, p]  $\vdash$  x  $\mapsto$  xv

```

```

    using p(2) by blast

```

```

    obtain yv where yv: [m, p]  $\vdash$  y  $\mapsto$  yv

```

```

    using p(2) by blast

```

```

    obtain xb xvv where xvv: xv = IntVal xb xvv

```

```

    by (metis bin-eval-inputs-are-ints bin-eval-int evalDet is-IntVal-def p(2))

```

```

unfold-binary xv)

```

```

    obtain yb yvv where yvv: yv = IntVal yb yvv

```

```

    by (metis bin-eval-inputs-are-ints bin-eval-int evalDet is-IntVal-def p(2))

```

```

unfold-binary yv)
  have equalAnd: v = val[xv & yv]
    by (metis BinaryExprE bin-eval.simps(6) evalDet p(2) xv yv)
  then have andUnfold: val[xv & yv] = (if xb=yb then new-int xb (and xv yv)
else UndefVal)
    by (simp add: xv yv)
  have v = yv
    apply (cases v; cases yv; auto)
    using p(2) apply auto[1] using yv apply simp-all
  by (metis Value.distinct(1,3,5,7,9,11,13) Value.inject(1) andUnfold equalAnd
new-int.simps
xv xv yv eval-unused-bits-zero new-int.simps not-down-up-mask-and-zero-implies-zero
equalAnd p(1))+
  then show ?thesis
    by (simp add: yv)
qed
done

```

```

lemma AndLeftFallthrough: (((and (not (↓ y)) (↑ x)) = 0)) → exp[x & y] ≥
exp[x]
using AndRightFallthrough AndCommute-Exp by simp

```

end

```

phase AndNode
  terminating size
begin

```

```

lemma bin-and-nots:
  (~x & ~y) = ~(x | y)
  by simp

```

```

lemma bin-and-neutral:
  (x & ~False) = x
  by simp

```

```

lemma val-and-equal:
  assumes x = new-int b v
  and val[x & x] ≠ UndefVal
  shows val[x & x] = x
  by (auto simp: assms)

```

```

lemma val-and-nots:
  val[~x & ~y] = val[~(x | y)]
  by (cases x; cases y; auto simp: take-bit-not-take-bit)

```

```

lemma val-and-neutral:

```

```

assumes  $x = \text{new-int } b \ v$ 
and  $\text{val}[x \ \& \ \sim(\text{new-int } b' \ 0)] \neq \text{UndefVal}$ 
shows  $\text{val}[x \ \& \ \sim(\text{new-int } b' \ 0)] = x$ 
using assms apply (simp add: take-bit-eq-mask) by presburger

```

```

lemma val-and-zero:
assumes  $x = \text{new-int } b \ v$ 
shows  $\text{val}[x \ \& \ (\text{IntVal } b \ 0)] = \text{IntVal } b \ 0$ 
by (auto simp: assms)

```

```

lemma exp-and-equal:
 $\text{exp}[x \ \& \ x] \geq \text{exp}[x]$ 
apply auto
subgoal premises  $p$  for  $m \ p \ xv \ yv$ 
proof-
  obtain  $xv$  where  $xv: [m,p] \vdash x \mapsto xv$ 
    using  $p(1)$  by auto
  obtain  $yv$  where  $yv: [m,p] \vdash x \mapsto yv$ 
    using  $p(1)$  by auto
  then have evalSame:  $xv = yv$ 
    using evalDet xv by auto
  then have notUndef:  $xv \neq \text{UndefVal} \wedge yv \neq \text{UndefVal}$ 
    using evaltree-not-undef xv by blast
  then have andNotUndef:  $\text{val}[xv \ \& \ yv] \neq \text{UndefVal}$ 
    by (metis evalDet evalSame p(1,2,3) xv)
  obtain  $xb \ xv$  where  $xv: xv = \text{IntVal } xb \ xv$ 
    by (metis Value.exhaust-sel andNotUndef evalSame intval-and.simps(3,4,9))
  notUndef
  obtain  $yv$   $yv$  where  $yv: yv = \text{IntVal } yv \ yv$ 
    using evalSame xv by auto
  then have widthSame:  $xb=yv$ 
    using evalSame xv by auto
  then have valSame:  $yv=xv$ 
    using evalSame xv yv by blast
  then have evalSame0:  $\text{val}[xv \ \& \ yv] = \text{new-int } xb \ (xv)$ 
    using evalSame xv by auto
  then show ?thesis
    by (metis eval-unused-bits-zero new-int.simps evalDet p(1,2) valSame width-
Same xv xv yv)
qed
done

```

```

lemma exp-and-nots:
 $\text{exp}[\sim x \ \& \ \sim y] \geq \text{exp}[\sim(x \ | \ y)]$ 

```



**using** *val-and-nots* **by** *force*

**lemma** *exp-sign-extend*:

**assumes**  $e = (1 \ll In) - 1$

**shows**  $BinaryExpr\ BinAnd\ (UnaryExpr\ (UnarySignExtend\ In\ Out)\ x)$   
 $(ConstantExpr\ (new-int\ b\ e))$   
 $\geq (UnaryExpr\ (UnaryZeroExtend\ In\ Out)\ x)$

**apply** *auto*

**subgoal** **premises**  $p$  **for**  $m\ p\ va$

**proof** –

**obtain**  $va$  **where**  $va: [m,p] \vdash x \mapsto va$

**using**  $p(2)$  **by** *auto*

**then** **have** *notUndef*:  $va \neq UndefinedVal$

**by** (*simp add: evaltree-not-undef*)

**then** **have**  $1: intval-and\ (intval-sign-extend\ In\ Out\ va)\ (IntVal\ b\ (take-bit\ b\ e)) \neq UndefinedVal$

**using** *evalDet*  $p(1)\ p(2)\ va$  **by** *blast*

**then** **have**  $2: intval-sign-extend\ In\ Out\ va \neq UndefinedVal$

**by** *auto*

**then** **have**  $21: (0::nat) < b$

**using** *eval-bits-1-64*  $p(4)$  **by** *blast*

**then** **have**  $3: b \sqsubseteq (64::nat)$

**using** *eval-bits-1-64*  $p(4)$  **by** *blast*

**then** **have**  $4: -\ ((2::int) \wedge^b\ div\ (2::int)) \sqsubseteq sint\ (signed-take-bit\ (b - Suc\ (0::nat))\ (take-bit\ b\ e))$

**by** (*simp add: 21 int-power-div-base signed-take-bit-int-greater-eq-minus-exp-word*)

**then** **have**  $5: sint\ (signed-take-bit\ (b - Suc\ (0::nat))\ (take-bit\ b\ e)) < (2::int) \wedge^b\ div\ (2::int)$

**by** (*simp add: 21 3 Suc-le-lessD int-power-div-base signed-take-bit-int-less-exp-word*)

**then** **have**  $6: [m,p] \vdash UnaryExpr\ (UnaryZeroExtend\ In\ Out)$

$x \mapsto intval-and\ (intval-sign-extend\ In\ Out\ va)\ (IntVal\ b\ (take-bit\ b\ e))$

**apply** (*cases*  $va$ ; *simp*)

**apply** (*simp add: notUndef*) **defer**

**using**  $2$  **apply** *fastforce+*

**sorry**

**then** **show** *?thesis*

**by** (*metis* *evalDet*  $p(2)\ va$ )

**qed**

**done**

**lemma** *exp-and-neutral*:

**assumes** *wf-stamp*  $x$

**assumes** *stamp-expr*  $x = IntegerStamp\ b\ lo\ hi$

**shows**  $exp[(x \& \sim(const\ (IntVal\ b\ 0)))] \geq x$

**using** *assms* **apply** *auto*

**subgoal** **premises**  $p$  **for**  $m\ p\ xa$

**proof**–

**obtain**  $xv$  **where**  $xv: [m,p] \vdash x \mapsto xv$

**using**  $p(3)$  **by** *auto*

**obtain**  $xb\ xv$  **where**  $xv: xv = IntVal\ xb\ xv$   
**by** (*metis* *assms* *valid-int* *wf-stamp-def*  $xv$ )  
**then have**  $widthSame: xb=b$   
**by** (*metis*  $p(1,2)$  *valid-int-same-bits* *wf-stamp-def*  $xv$ )  
**then show**  $?thesis$   
**by** (*metis* *evalDet* *eval-unused-bits-zero* *intval-and.simps(1)* *new-int.elims*  
*new-int-bin.elims*  
 $p(3)$  *take-bit-eq-mask*  $xv\ xv$ )  
**qed**  
**done**

**lemma** *val-and-commute[simp]*:  
 $val[x\ \&\ y] = val[y\ \&\ x]$   
**by** (*cases*  $x$ ; *cases*  $y$ ; *auto* *simp*: *word-bw-comms(1)*)

Optimisations

**optimization** *AndEqual*:  $x\ \&\ x \mapsto x$   
**using** *exp-and-equal* **by** *blast*

**optimization** *AndShiftConstantRight*:  $((const\ x)\ \&\ y) \mapsto y\ \&\ (const\ x)$   
*when*  $\neg(is\ ConstantExpr\ y)$   
**using** *size-flip-binary* **by** *auto*

**optimization** *AndNots*:  $(\sim x)\ \&\ (\sim y) \mapsto \sim(x\ | \ y)$   
**by** (*metis* *add-2-eq-Suc'* *less-SucI* *less-add-Suc1* *not-less-eq* *size-binary-const* *size-non-add*  
*exp-and-nots*)**+**

**optimization** *AndSignExtend*: *BinaryExpr* *BinAnd* (*UnaryExpr* (*UnarySignExtend*  
*In* *Out*) ( $x$ ))

$(const\ (new-int\ b\ e))$   
 $\mapsto (UnaryExpr\ (UnaryZeroExtend\ In\ Out)\ (x))$   
*when*  $(e = (1 \ll In) - 1)$

**using** *exp-sign-extend* **by** *simp*

**optimization** *AndNeutral*:  $(x\ \&\ \sim(const\ (IntVal\ b\ 0))) \mapsto x$   
*when*  $(wf-stamp\ x \wedge stamp-expr\ x = IntegerStamp\ b\ lo\ hi)$   
**using** *exp-and-neutral* **by** *fast*

**optimization** *AndRightFallThrough*:  $(x\ \&\ y) \mapsto y$   
*when*  $((and\ (not\ (IRExpr-down\ x))\ (IRExpr-up\ y)) = 0)$   
**by** (*simp* *add*: *IRExpr-down-def* *IRExpr-up-def*)

**optimization** *AndLeftFallThrough*:  $(x\ \&\ y) \mapsto x$   
*when*  $((and\ (not\ (IRExpr-down\ y))\ (IRExpr-up\ x)) = 0)$   
**by** (*simp* *add*: *IRExpr-down-def* *IRExpr-up-def*)

end

end

## 11.4 BinaryNode Phase

**theory** *BinaryNode*

**imports**

*Common*

**begin**

**phase** *BinaryNode*

**terminating** *size*

**begin**

**optimization** *BinaryFoldConstant*:  $BinaryExpr\ op\ (const\ v1)\ (const\ v2) \mapsto ConstantExpr\ (bin\ eval\ op\ v1\ v2)$

**unfolding** *le-expr-def*

**apply** (*rule allI impI*)<sup>+</sup>

**subgoal premises** *bin* **for** *m p v*

**apply** (*rule BinaryExprE[OF bin]*)

**subgoal premises** *prems* **for** *x y*

**proof** –

**have** *x*:  $x = v1$

**using** *prems* **by** *auto*

**have** *y*:  $y = v2$

**using** *prems* **by** *auto*

**have** *xy*:  $v = bin\ eval\ op\ x\ y$

**by** (*simp add: prems x y*)

**have** *int*:  $\exists\ b\ vv.\ v = new\ int\ b\ vv$

**using** *bin-eval-new-int prems* **by** *fast*

**show** *?thesis*

**by** (*metis ConstantExpr prems(1) x y int bin eval-bits-1-64 new-int.simps new-int-take-bits*

*wf-value-def validDefIntConst*)

**qed**

**done**

**done**

end

end

## 11.5 ConditionalNode Phase

**theory** *ConditionalPhase*

**imports**

*Common*

*Proofs.StampEvalThms*

```

begin

phase ConditionalNode
  terminating size
begin

lemma negates:  $\exists v b. e = \text{IntVal } b \ v \wedge b > 0 \implies \text{val-to-bool } (\text{val}[e]) \longleftrightarrow$ 
 $\neg(\text{val-to-bool } (\text{val}[\neg e]))$ 
  by (metis (mono-tags, lifting) intval-logic-negation.simps(1) logic-negate-def new-int.simps

      of-bool-eq(2) one-neq-zero take-bit-of-0 take-bit-of-1 val-to-bool.simps(1))

lemma negation-condition-intval:
  assumes  $e = \text{IntVal } b \ ie$ 
  assumes  $0 < b$ 
  shows  $\text{val}[(\neg e) \ ? \ x : y] = \text{val}[e \ ? \ y : x]$ 
  by (metis assms intval-conditional.simps negates)

lemma negation-preserve-eval:
  assumes  $[m, p] \vdash \text{exp}[\neg e] \mapsto v$ 
  shows  $\exists v'. ([m, p] \vdash \text{exp}[e] \mapsto v') \wedge v = \text{val}[\neg v']$ 
  using assms by auto

lemma negation-preserve-eval-intval:
  assumes  $[m, p] \vdash \text{exp}[\neg e] \mapsto v$ 
  shows  $\exists v' b vv. ([m, p] \vdash \text{exp}[e] \mapsto v') \wedge v' = \text{IntVal } b \ vv \wedge b > 0$ 
  by (metis assms eval-bits-1-64 intval-logic-negation.elims negation-preserve-eval
      unfold-unary)

optimization NegateConditionFlipBranches:  $((\neg e) \ ? \ x : y) \mapsto (e \ ? \ y : x)$ 
  apply simp apply (rule allI; rule allI; rule allI; rule impI)
  subgoal premises p for m p v
  proof -
    obtain ev where ev:  $[m, p] \vdash e \mapsto ev$ 
      using p by blast
    obtain notEv where notEv:  $\text{notEv} = \text{intval-logic-negation } ev$ 
      by simp
    obtain lhs where lhs:  $[m, p] \vdash \text{ConditionalExpr } (\text{UnaryExpr } \text{UnaryLogicNegation }
      e) \ x \ y \mapsto \text{lhs}$ 
      using p by auto
    obtain xv where xv:  $[m, p] \vdash x \mapsto xv$ 
      using lhs by blast
    obtain yv where yv:  $[m, p] \vdash y \mapsto yv$ 
      using lhs by blast
    then show ?thesis
      by (smt (z3) le-expr-def ConditionalExpr ConditionalExprE Value.distinct(1)
          evalDet negates p
              negation-preserve-eval negation-preserve-eval-intval)
  qed

```

**done**

**optimization** *DefaultTrueBranch*:  $(\text{true} \ ? \ x : y) \mapsto x$  .

**optimization** *DefaultFalseBranch*:  $(\text{false} \ ? \ x : y) \mapsto y$  .

**optimization** *ConditionalEqualBranches*:  $(e \ ? \ x : x) \mapsto x$  .

**optimization** *condition-bounds-x*:  $((u < v) \ ? \ x : y) \mapsto x$   
when  $(\text{stamp-under} \ (\text{stamp-expr} \ u) \ (\text{stamp-expr} \ v) \wedge \text{wf-stamp} \ u \wedge \text{wf-stamp} \ v)$   
using *stamp-under-defn* by *fastforce*

**optimization** *condition-bounds-y*:  $((u < v) \ ? \ x : y) \mapsto y$   
when  $(\text{stamp-under} \ (\text{stamp-expr} \ v) \ (\text{stamp-expr} \ u) \wedge \text{wf-stamp} \ u \wedge \text{wf-stamp} \ v)$   
using *stamp-under-defn-inverse* by *fastforce*

**lemma** *val-optimise-integer-test*:

**assumes**  $\exists v. x = \text{IntVal } 32 \ v$

**shows**  $\text{val}[(x \ \& \ (\text{IntVal } 32 \ 1)) \ \text{eq} \ (\text{IntVal } 32 \ 0)] \ ? \ (\text{IntVal } 32 \ 0) : (\text{IntVal } 32 \ 1)$

=

$\text{val}[x \ \& \ \text{IntVal } 32 \ 1]$

**using** *assms* **apply** *auto*

**apply**  $(\text{metis} \ (\text{full-types}) \ \text{bool-to-val.simps}(2) \ \text{val-to-bool.simps}(1))$

**by**  $(\text{metis} \ (\text{mono-tags}, \ \text{lifting}) \ \text{bool-to-val.simps}(1) \ \text{val-to-bool.simps}(1) \ \text{even-iff-mod-2-eq-zero} \ \text{odd-iff-mod-2-eq-one} \ \text{and-one-eq})$

**optimization** *ConditionalEliminateKnownLess*:  $((x < y) \ ? \ x : y) \mapsto x$   
when  $(\text{stamp-under} \ (\text{stamp-expr} \ x) \ (\text{stamp-expr} \ y) \wedge \text{wf-stamp} \ x \wedge \text{wf-stamp} \ y)$   
**using** *stamp-under-defn* by *fastforce*

**lemma** *ExpIntBecomesIntVal*:

**assumes**  $\text{stamp-expr} \ x = \text{IntegerStamp} \ b \ xl \ xh$

**assumes**  $\text{wf-stamp} \ x$

**assumes**  $\text{valid-value} \ v \ (\text{IntegerStamp} \ b \ xl \ xh)$

**assumes**  $[m,p] \vdash x \mapsto v$

**shows**  $\exists xv. v = \text{IntVal} \ b \ xv$

**using** *assms* **by**  $(\text{simp} \ \text{add:} \ \text{IRTreeEvalThms.valid-value-elim}(3))$

**lemma** *intval-self-is-true*:

**assumes**  $yv \neq \text{UndefVal}$

**assumes**  $yv = \text{IntVal} \ b \ yvv$

**shows**  $\text{intval-equals} \ yv \ yv = \text{IntVal } 32 \ 1$

**using** *assms* **by**  $(\text{cases} \ yv; \ \text{auto})$

**lemma** *intval-commute*:

**assumes** *intval-equals yv xv*  $\neq$  *UndefVal*  
**assumes** *intval-equals xv yv*  $\neq$  *UndefVal*  
**shows** *intval-equals yv xv* = *intval-equals xv yv*  
**using** *assms* **apply** (*cases yv*; *cases xv*; *auto*) **by** (*smt (verit, best)*)

**definition** *isBoolean* :: *IRExpr*  $\Rightarrow$  *bool* **where**

*isBoolean* *e* = ( $\forall$  *m p cond*. ( $([m,p] \vdash e \mapsto cond) \longrightarrow (cond \in \{IntVal\ 32\ 0, IntVal\ 32\ 1\})$ ))

**lemma** *preserveBoolean*:

**assumes** *isBoolean c*  
**shows** *isBoolean* *exp[!c]*  
**using** *assms isBoolean-def* **apply** *auto*  
**by** (*metis (no-types, lifting) IntVal0 IntVal1 intval-logic-negation.simps(1) logic-negate-def*)

**optimization** *ConditionalIntegerEquals-1*: *exp[BinaryExpr BinIntegerEquals (c ? x : y) (x)]*  $\mapsto$  *c*

*when stamp-expr x = IntegerStamp b xl xh*  $\wedge$   
*wf-stamp x*  $\wedge$   
*stamp-expr y = IntegerStamp b yl yh*  $\wedge$   
*wf-stamp y*  $\wedge$   
*(alwaysDistinct (stamp-expr x) (stamp-expr y))*  $\wedge$   
*isBoolean c*

**apply** (*metis Canonicalization.cond-size add-lessD1 size-binary-lhs*) **apply** *auto*  
**subgoal premises** *p* **for** *m p cExpr xv cond*

**proof** –

**obtain** *cond* **where** *cond*:  $[m,p] \vdash c \mapsto cond$

**using** *p* **by** *blast*

**have** *cRange*: *cond* = *IntVal 32 0*  $\vee$  *cond* = *IntVal 32 1*

**using** *p cond isBoolean-def* **by** *blast*

**then obtain** *yv* **where** *yVal*:  $[m,p] \vdash y \mapsto yv$

**using** *p(15)* **by** *auto*

**obtain** *xv* **where** *xv*: *xv* = *IntVal b xv*

**by** (*metis p(1,2,7) valid-int wf-stamp-def*)

**obtain** *yv* **where** *yv*: *yv* = *IntVal b yv*

**by** (*metis ExpIntBecomesIntVal p(3,4) wf-stamp-def yVal*)

**have** *yxDiff*: *xv*  $\neq$  *yv*

**by** (*smt (verit, del-insts) yVal xv wf-stamp-def valid-int-signed-range p yv*)

**have** *eqEvalFalse*: *intval-equals yv xv* = (*IntVal 32 0*)

**unfolding** *xv yv* **apply** *auto* **by** (*metis (mono-tags) bool-to-val.simps(2) yxDiff*)

**then have** *valEvalSame*: *cond* = *intval-equals val[cond ? xv : yv] xv*

**apply** (*cases cond = IntVal 32 0; simp*) **using** *cRange xv* **by** *auto*

**then have** *condTrue*: *val-to-bool cond*  $\Longrightarrow$  *cExpr = xv*

**by** (*metis (mono-tags, lifting) cond evalDet p(11) p(7) p(9)*)

**then have** *condFalse*:  $\neg(\text{val-to-bool } cond) \Longrightarrow cExpr = yv$

```

    by (metis (full-types) cond evalDet p(11) p(9) yVal)
  then have [m,p] ⊢ c ↦ intval-equals cExpr xv
    using cond condTrue valEvalSame by fastforce
  then show ?thesis
    by blast
qed
done

```

```

lemma negation-preserve-eval0:
  assumes [m, p] ⊢ exp[e] ↦ v
  assumes isBoolean e
  shows ∃ v'. ([m, p] ⊢ exp[!e] ↦ v')
  using assms
proof -
  obtain b vv where vIntVal: v = IntVal b vv
    using isBoolean-def assms by blast
  then have negationDefined: intval-logic-negation v ≠ UndefVal
    by simp
  show ?thesis
    using assms(1) negationDefined by fastforce
qed

```

```

lemma negation-preserve-eval2:
  assumes ([m, p] ⊢ exp[e] ↦ v)
  assumes (isBoolean e)
  shows ∃ v'. ([m, p] ⊢ exp[!e] ↦ v') ∧ v = val[!v']
  using assms
proof -
  obtain notEval where notEval: ([m, p] ⊢ exp[!e] ↦ notEval)
    by (metis assms negation-preserve-eval0)
  then have logicNegateEquiv: notEval = intval-logic-negation v
    using evalDet assms(1) unary-eval.simps(4) by blast
  then have vRange: v = IntVal 32 0 ∨ v = IntVal 32 1
    using assms by (auto simp add: isBoolean-def)
  have evaluateNot: v = intval-logic-negation notEval
    by (metis IntVal0 IntVal1 intval-logic-negation.simps(1) logicNegateEquiv logic-negate-def
      vRange)
  then show ?thesis
    using notEval by auto
qed

```

```

optimization ConditionalIntegerEquals-2: exp[BinaryExpr BinIntegerEquals (c ?
x : y) (y)] ⟶ (!c)

```

when stamp-expr x = IntegerStamp b xl xh ∧

stamp-expr y = IntegerStamp b yl yh ∧

(alwaysDistinct (stamp-expr x) (stamp-expr

```

y)) ∧
                                     isBoolean c
apply (smt (verit) not-add-less1 max-less-iff-conj max.absorb3 linorder-less-linear
add-2-eq-Suc'
      add-less-cancel-right size-binary-lhs add-lessD1 Canonicalization.cond-size)
apply auto
subgoal premises p for m p cExpr yv cond trE faE
proof –
  obtain cond where cond: [m,p] ⊢ c ↔ cond
  using p by blast
  then have condNotUndef: cond ≠ UndefVal
  by (simp add: evaltree-not-undef)
  then obtain notCond where notCond: [m,p] ⊢ exp![c] ↔ notCond
  by (meson p(6) negation-preserve-eval2 cond)
  have cRange: cond = IntVal 32 0 ∨ cond = IntVal 32 1
  using p cond by (simp add: isBoolean-def)
  then have cNotRange: notCond = IntVal 32 0 ∨ notCond = IntVal 32 1
  by (metis (no-types, lifting) IntVal0 IntVal1 cond evalDet intval-logic-negation.simps(1)
      logic-negate-def negation-preserve-eval notCond)
  then obtain xv where xv: [m,p] ⊢ x ↔ xv
  using p by auto
  then have trueCond: (notCond = IntVal 32 1) ⇒ [m,p] ⊢ (ConditionalExpr
c x y) ↔ yv
  by (smt (verit, best) cRange evalDet negates negation-preserve-eval notCond
p(7) cond
      zero-less-numeral val-to-bool.simps(1) evaltree-not-undef ConditionalExpr
      ConditionalExprE)
  obtain xv where xv: xv = IntVal b xv
  by (metis p(1,2) valid-int wf-stamp-def xv)
  then have opposites: notCond = intval-logic-negation cond
  by (metis cond evalDet negation-preserve-eval notCond)
  then have negate: (intval-logic-negation cond = IntVal 32 0) ⇒ (cond =
IntVal 32 1)
  using cRange intval-logic-negation.simps negates by fastforce
  have falseCond: (notCond = IntVal 32 0) ⇒ [m,p] ⊢ (ConditionalExpr c x y)
↔ xv
  unfolding opposites using negate cond evalDet p(13,14,15,16) xv by auto
  obtain yvv where yvv: yv = IntVal b yvv
  by (metis p(3,4,7) wf-stamp-def ExpIntBecomesIntVal)
  have yxDiff: xv ≠ yv
  by (metis linorder-not-less max.absorb1 max.absorb4 max-less-iff-conj min-def
xv yvv
      wf-stamp-def valid-int-signed-range p(1,2,3,4,5,7))
  then have trueEvalCond: (cond = IntVal 32 0) ⇒
      [m,p] ⊢ exp[BinaryExpr BinIntegerEquals (c ? x : y) (y)]
      ↔ intval-equals yv yv
  by (smt (verit) cNotRange trueCond ConditionalExprE cond bin-eval.simps(13)
evalDet p
      falseCond unfold-binary val-to-bool.simps(1))

```



```

then have falseEval: (notCond = IntVal 32 0) ==>
  [m,p] ⊢ exp[BinaryExpr BinIntegerEquals (c ? x : y) (y)]
  ↪ intval-equals xv yv
  using p by (metis ConditionalExprE bin-eval.simps(13) evalDet falseCond
  unfold-binary)
  have eqEvalFalse: intval-equals yv xv = (IntVal 32 0)
  unfolding xv yv apply auto by (metis (mono-tags) bool-to-val.simps(2)
  yxDiff yv xv)
  have trueEvalEquiv: [m,p] ⊢ exp[BinaryExpr BinIntegerEquals (c ? x : y) (y)]
  ↪ notCond
  apply (cases notCond) prefer 2
  apply (metis IntVal0 Value.distinct(1) eqEvalFalse evalDet evaltree-not-undef
  falseEval p(6)
  intval-commute intval-logic-negation.simps(1) intval-self-is-true logic-negate-def
  negation-preserve-eval2 notCond trueEvalCond yv cNotRange cond)
  using notCond cNotRange by auto
  show ?thesis
  using ConditionalExprE
  by (metis cNotRange falseEval notCond trueEvalEquiv trueCond falseCond
  intval-self-is-true
  yv p(9,11) evalDet)
qed
done

```

```

optimization ConditionalExtractCondition: exp[(c ? true : false)] ↪ c
  when isBoolean c
  using isBoolean-def by fastforce

```

```

optimization ConditionalExtractCondition2: exp[(c ? false : true)] ↪ !c
  when isBoolean c

```

```

apply auto
subgoal premises p for m p cExpr cond
proof-
  obtain cond where cond: [m,p] ⊢ c ↪ cond
  using p(2) by auto
  obtain notCond where notCond: [m,p] ⊢ exp[!c] ↪ notCond
  by (metis cond negation-preserve-eval2 p(1))
  then have cRange: cond = IntVal 32 0 ∨ cond = IntVal 32 1
  using isBoolean-def cond p(1) by auto
  then have cExprRange: cExpr = IntVal 32 0 ∨ cExpr = IntVal 32 1
  by (metis (full-types) ConstantExprE p(4))
  then have condTrue: cond = IntVal 32 1 ==> cExpr = IntVal 32 0
  using cond evalDet p(2) p(4) by fastforce
  then have condFalse: cond = IntVal 32 0 ==> cExpr = IntVal 32 1
  using p cond evalDet by fastforce
  then have opposite: cond = intval-logic-negation cExpr
  by (metis (full-types) IntVal0 IntVal1 cRange condTrue intval-logic-negation.simps(1)
  logic-negate-def)
  then have eq: notCond = cExpr

```

```

    by (metis (no-types, lifting) IntVal0 IntVal1 cExprRange cond evalDet nega-
tion-preserve-eval
        intval-logic-negation.simps(1) logic-negate-def notCond)
  then show ?thesis
    using notCond by auto
qed
done

```

**optimization** *ConditionalEqualIsRHS*:  $((x \text{ eq } y) \text{ ? } x : y) \mapsto y$

```

apply auto
subgoal premises p for m p v true false xa ya
proof-
  obtain xv where xv: [m,p] ⊢ x ↦ xv
    using p(8) by auto
  obtain yv where yv: [m,p] ⊢ y ↦ yv
    using p(9) by auto
  have notUndef: xv ≠ UndefVal ∧ yv ≠ UndefVal
    using evaltree-not-undef xv yv by blast
  have evalNotUndef: intval-equals xv yv ≠ UndefVal
    by (metis evalDet p(1,8,9) xv yv)
  obtain xb xv where xv: xv = IntVal xb xv
    by (metis Value.exhaust evalNotUndef intval-equals.simps(3,4,5) notUndef)
  obtain yb yv where yv: yv = IntVal yb yv
    by (metis evalNotUndef intval-equals.simps(7,8,9) intval-logic-negation.cases
notUndef)
  obtain vv where evalLHS: [m,p] ⊢ if val-to-bool (intval-equals xv yv) then x
else y ↦ vv
    by (metis (full-types) p(4) yv)
  obtain equ where equ: equ = intval-equals xv yv
    by fastforce
  have trueEval: equ = IntVal 32 1 ⟹ vv = xv
    using evalLHS by (simp add: evalDet xv equ)
  have falseEval: equ = IntVal 32 0 ⟹ vv = yv
    using evalLHS by (simp add: evalDet yv equ)
  then have vv = v
    by (metis evalDet evalLHS p(2,8,9) xv yv)
  then show ?thesis
    by (metis (full-types) bool-to-val.simps(1,2) bool-to-val-bin.simps equ evalNo-
tUndef falseEval
        intval-equals.simps(1) trueEval xv yv yv)
qed
done

```

**optimization** *normalizeX*:  $((x \text{ eq } \text{const } (\text{IntVal } 32 \ 0)) \text{ ? } x$

$(\text{const } (\text{IntVal } 32 \ 0)) : (\text{const } (\text{IntVal } 32 \ 1))) \mapsto x$   
*when stamp-expr x = IntegerStamp 32 0 1 ∧ wf-stamp x ∧ isBoolean x*

```

apply auto

```

**subgoal premises  $p$  for  $m p v$**   
**proof** –  
**obtain**  $xa$  **where**  $xa: [m,p] \vdash x \mapsto xa$   
**using**  $p$  **by** *blast*  
**have**  $eval: [m,p] \vdash$  *if val-to-bool (intval-equals xa (IntVal 32 0))*  
*then ConstantExpr (IntVal 32 0)*  
*else ConstantExpr (IntVal 32 1)  $\mapsto v$*   
**using**  $evalDet p(3,4,5,6,7) xa$  **by** *blast*  
**then have**  $xaRange: xa = IntVal 32 0 \vee xa = IntVal 32 1$   
**using** *isBoolean-def p(3) xa* **by** *blast*  
**then have**  $6: v = xa$   
**using**  $eval xaRange$  **by** *auto*  
**then show** *?thesis*  
**by** (*auto simp: xa*)  
**qed**  
**done**

**optimization** *normalizeX2: ((x eq (const (IntVal 32 1))) ?*  
*(const (IntVal 32 1)) : (const (IntVal 32 0)))  $\mapsto x$*   
*when (x = ConstantExpr (IntVal 32 0) |*  
*(x = ConstantExpr (IntVal 32 1))) .*

**optimization** *flipX: ((x eq (const (IntVal 32 0))) ?*  
*(const (IntVal 32 1)) : (const (IntVal 32 0)))  $\mapsto x \oplus$  (const*  
*(IntVal 32 1))*  
*when (x = ConstantExpr (IntVal 32 0) |*  
*(x = ConstantExpr (IntVal 32 1))) .*

**optimization** *flipX2: ((x eq (const (IntVal 32 1))) ?*  
*(const (IntVal 32 0)) : (const (IntVal 32 1)))  $\mapsto x \oplus$  (const*  
*(IntVal 32 1))*  
*when (x = ConstantExpr (IntVal 32 0) |*  
*(x = ConstantExpr (IntVal 32 1))) .*

**lemma** *stamp-of-default:*  
**assumes** *stamp-expr x = default-stamp*  
**assumes** *wf-stamp x*  
**shows** ( $[m, p] \vdash x \mapsto v$ )  $\longrightarrow$  ( $\exists vv. v = IntVal 32 vv$ )  
**by** (*metis assms default-stamp valid-value-elim(3) wf-stamp-def*)

**optimization** *OptimiseIntegerTest:*  
*((x & (const (IntVal 32 1))) eq (const (IntVal 32 0))) ?*  
*(const (IntVal 32 0)) : (const (IntVal 32 1))  $\mapsto$*   
*x & (const (IntVal 32 1))*  
*when (stamp-expr x = default-stamp  $\wedge$  wf-stamp x)*  
**apply** (*simp; rule impI; (rule allI)+; rule impI*)

```

subgoal premises eval for m p v
proof –
  obtain xv where xv:  $[m, p] \vdash x \mapsto xv$ 
    using eval by fast
  then have x32:  $\exists v. xv = \text{IntVal } 32 \ v$ 
    using stamp-of-default eval by auto
  obtain lhs where lhs:  $[m, p] \vdash \text{exp}[\text{(((x \& (const (IntVal 32 1))) eq (const (IntVal 32 0))))}] ?$ 
     $(\text{const (IntVal 32 0)}) : (\text{const (IntVal 32 1)})] \mapsto lhs$ 
    using eval(2) by auto
  then have lhsV:  $lhs = \text{val}[\text{((xv \& (IntVal 32 1)) eq (IntVal 32 0))}] ?$ 
     $(\text{IntVal 32 0}) : (\text{IntVal 32 1})]$ 
    using ConditionalExprE ConstantExprE bin-eval.simps(4,11) evalDet xv unfold-binary
    intval-conditional.simps
    by fastforce
  obtain rhs where rhs:  $[m, p] \vdash \text{exp}[x \& (\text{const (IntVal 32 1)})] \mapsto rhs$ 
    using eval(2) by blast
  then have rhsV:  $rhs = \text{val}[xv \& \text{IntVal } 32 \ 1]$ 
    by (metis BinaryExprE ConstantExprE bin-eval.simps(6) evalDet xv)
  have lhs = rhs
    using val-optimise-integer-test x32 lhsV rhsV by presburger
  then show ?thesis
    by (metis eval(2) evalDet lhs rhs)
qed
done

```

```

optimization opt-optimise-integer-test-2:
   $\text{(((x \& (const (IntVal 32 1))) eq (const (IntVal 32 0))))} ?$ 
   $(\text{const (IntVal 32 0)}) : (\text{const (IntVal 32 1)}) \mapsto x$ 
  when  $(x = \text{ConstantExpr (IntVal 32 0)} \mid (x = \text{ConstantExpr (IntVal 32 1)})) .$ 

```

**end**

**end**

## 11.6 MulNode Phase

```

theory MulPhase
  imports
    Common

```

```

    Proofs.StampEvalThms
begin

fun mul-size :: IRExpr ⇒ nat where
  mul-size (UnaryExpr op e) = (mul-size e) + 2 |
  mul-size (BinaryExpr BinMul x y) = ((mul-size x) + (mul-size y) + 2) * 2 |
  mul-size (BinaryExpr op x y) = (mul-size x) + (mul-size y) + 2 |
  mul-size (ConditionalExpr cond t f) = (mul-size cond) + (mul-size t) + (mul-size
f) + 2 |
  mul-size (ConstantExpr c) = 1 |
  mul-size (ParameterExpr ind s) = 2 |
  mul-size (LeafExpr nid s) = 2 |
  mul-size (ConstantVar c) = 2 |
  mul-size (VariableExpr x s) = 2

phase MulNode
  terminating mul-size
begin

lemma bin-eliminate-redundant-negative:
  uminus (x :: 'a::len word) * uminus (y :: 'a::len word) = x * y
  by simp

lemma bin-multiply-identity:
  (x :: 'a::len word) * 1 = x
  by simp

lemma bin-multiply-eliminate:
  (x :: 'a::len word) * 0 = 0
  by simp

lemma bin-multiply-negative:
  (x :: 'a::len word) * uminus 1 = uminus x
  by simp

lemma bin-multiply-power-2:
  (x :: 'a::len word) * (2^j) = x << j
  by simp

lemma take-bit64[simp]:
  fixes w :: int64
  shows take-bit 64 w = w
proof -
  have Nat.size w = 64
  by (simp add: size64)
  then show ?thesis
  by (metis lt2p-lem mask-eq-iff take-bit-eq-mask verit-comp-simplify1(2) wsst-TYs(3))

```

qed

**lemma** *mergeTakeBit*:

**fixes**  $a :: \text{nat}$

**fixes**  $b\ c :: 64\ \text{word}$

**shows**  $\text{take-bit } a\ (\text{take-bit } a\ b) * \text{take-bit } a\ c) =$   
 $\text{take-bit } a\ (b * c)$

**by** (*smt (verit, ccfv-SIG) take-bit-mult take-bit-of-int unsigned-take-bit-eq word-mult-def*)

**lemma** *val-eliminate-redundant-negative*:

**assumes**  $\text{val}[-x * -y] \neq \text{UndefVal}$

**shows**  $\text{val}[-x * -y] = \text{val}[x * y]$

**by** (*cases x; cases y; auto simp: mergeTakeBit*)

**lemma** *val-multiply-neutral*:

**assumes**  $x = \text{new-int } b\ v$

**shows**  $\text{val}[x * (\text{IntVal } b\ 1)] = x$

**by** (*auto simp: assms*)

**lemma** *val-multiply-zero*:

**assumes**  $x = \text{new-int } b\ v$

**shows**  $\text{val}[x * (\text{IntVal } b\ 0)] = \text{IntVal } b\ 0$

**by** (*simp add: assms*)

**lemma** *val-multiply-negative*:

**assumes**  $x = \text{new-int } b\ v$

**shows**  $\text{val}[x * -(\text{IntVal } b\ 1)] = \text{val}[-x]$

**unfolding** *assms(1)* **apply** *auto*

**by** (*metis bin-multiply-negative mergeTakeBit take-bit-minus-one-eq-mask*)

**lemma** *val-MulPower2*:

**fixes**  $i :: 64\ \text{word}$

**assumes**  $y = \text{IntVal } 64\ (2 \wedge \text{unat}(i))$

**and**  $0 < i$

**and**  $i < 64$

**and**  $\text{val}[x * y] \neq \text{UndefVal}$

**shows**  $\text{val}[x * y] = \text{val}[x \ll \text{IntVal } 64\ i]$

**using** *assms* **apply** (*cases x; cases y; auto*)

**subgoal** **premises**  $p$  **for**  $x2$

**proof** –

**have**  $63 :: \text{int}64) = \text{mask } 6$

**by** *eval*

**then** **have**  $(2 :: \text{int}) \wedge 6 = 64$

**by** *eval*

**then** **have**  $\text{uint } i < (2 :: \text{int}) \wedge 6$

**by** (*metis linorder-not-less lt2p-lem of-int-numeral p(4) word-2p-lem*)

```

word-of-int-2p
  wsst-TYs(3)
  then have and i (mask 6) = i
  using mask-eq-iff by blast
  then show  $x2 \ll \text{unat } i = x2 \ll \text{unat } (\text{and } i \text{ (63::64 word)})$ 
  by (auto simp: 63)
qed
by presburger

```

```

lemma val-MulPower2Add1:
  fixes i :: 64 word
  assumes  $y = \text{IntVal } 64 ((2 \wedge \text{unat}(i)) + 1)$ 
  and  $0 < i$ 
  and  $i < 64$ 
  and  $\text{val-to-bool}(\text{val}[\text{IntVal } 64 \ 0 < x])$ 
  and  $\text{val-to-bool}(\text{val}[\text{IntVal } 64 \ 0 < y])$ 
  shows  $\text{val}[x * y] = \text{val}[(x \ll \text{IntVal } 64 \ i) + x]$ 
  using assms apply (cases x; cases y; auto)
  subgoal premises p for x2
  proof -
    have 63:  $(63 :: \text{int64}) = \text{mask } 6$ 
    by eval
    then have  $(2 :: \text{int}) \wedge 6 = 64$ 
    by eval
    then have and i (mask 6) = i
    by (simp add: less-mask-eq p(6))
    then have  $x2 * (2 \wedge \text{unat } i + 1) = (x2 * (2 \wedge \text{unat } i)) + x2$ 
    by (simp add: distrib-left)
    then show  $x2 * (2 \wedge \text{unat } i + 1) = x2 \ll \text{unat } (\text{and } i \ 63) + x2$ 
    by (simp add: 63 and i (mask 6) = i)
  qed
using val-to-bool.simps(2) by presburger

```

```

lemma val-MulPower2Sub1:
  fixes i :: 64 word
  assumes  $y = \text{IntVal } 64 ((2 \wedge \text{unat}(i)) - 1)$ 
  and  $0 < i$ 
  and  $i < 64$ 
  and  $\text{val-to-bool}(\text{val}[\text{IntVal } 64 \ 0 < x])$ 
  and  $\text{val-to-bool}(\text{val}[\text{IntVal } 64 \ 0 < y])$ 
  shows  $\text{val}[x * y] = \text{val}[(x \ll \text{IntVal } 64 \ i) - x]$ 
  using assms apply (cases x; cases y; auto)
  subgoal premises p for x2
  proof -
    have 63:  $(63 :: \text{int64}) = \text{mask } 6$ 
    by eval
    then have  $(2 :: \text{int}) \wedge 6 = 64$ 

```

```

    by eval
  then have and i (mask 6) = i
    by (simp add: less-mask-eq p(6))
  then have  $x2 * (2^{\text{unat } i} - 1) = (x2 * (2^{\text{unat } i})) - x2$ 
    by (simp add: right-diff-distrib')
  then show  $x2 * (2^{\text{unat } i} - 1) = x2 \ll \text{unat } (\text{and } i \text{ } 63) - x2$ 
    by (simp add: 63 (and i (mask 6) = i))
  qed
using val-to-bool.simps(2) by presburger

```

**lemma** *val-distribute-multiplication*:

```

assumes  $x = \text{IntVal } b \text{ } xx \wedge q = \text{IntVal } b \text{ } qq \wedge a = \text{IntVal } b \text{ } aa$ 
assumes  $\text{val}[x * (q + a)] \neq \text{UndefVal}$ 
assumes  $\text{val}[(x * q) + (x * a)] \neq \text{UndefVal}$ 
shows  $\text{val}[x * (q + a)] = \text{val}[(x * q) + (x * a)]$ 
using assms apply (cases x; cases q; cases a; auto)
by (metis (no-types, opaque-lifting) distrib-left new-int.elims new-int-unused-bits-zero
    mergeTakeBit)

```

**lemma** *val-distribute-multiplication64*:

```

assumes  $x = \text{new-int } 64 \text{ } xx \wedge q = \text{new-int } 64 \text{ } qq \wedge a = \text{new-int } 64 \text{ } aa$ 
shows  $\text{val}[x * (q + a)] = \text{val}[(x * q) + (x * a)]$ 
using assms apply (cases x; cases q; cases a; auto)
using distrib-left by blast

```

**lemma** *val-MulPower2AddPower2*:

```

fixes  $i \ j :: 64 \text{ word}$ 
assumes  $y = \text{IntVal } 64 \text{ } ((2^{\text{unat}(i)} + (2^{\text{unat}(j)})))$ 
and  $0 < i$ 
and  $0 < j$ 
and  $i < 64$ 
and  $j < 64$ 
and  $x = \text{new-int } 64 \text{ } xx$ 
shows  $\text{val}[x * y] = \text{val}[(x \ll \text{IntVal } 64 \text{ } i) + (x \ll \text{IntVal } 64 \text{ } j)]$ 
proof -
  have 63:  $(63 :: \text{int64}) = \text{mask } 6$ 
  by eval
  then have  $(2 :: \text{int})^6 = 64$ 
  by eval
  then have  $n: \text{IntVal } 64 \text{ } ((2^{\text{unat}(i)} + (2^{\text{unat}(j)}))) =$ 
     $\text{val}[(\text{IntVal } 64 \text{ } (2^{\text{unat}(i)})) + (\text{IntVal } 64 \text{ } (2^{\text{unat}(j)})])]$ 

  by auto
  then have 1:  $\text{val}[x * ((\text{IntVal } 64 \text{ } (2^{\text{unat}(i)})) + (\text{IntVal } 64 \text{ } (2^{\text{unat}(j)})))] =$ 
     $\text{val}[(x * \text{IntVal } 64 \text{ } (2^{\text{unat}(i)})) + (x * \text{IntVal } 64 \text{ } (2^{\text{unat}(j)}))]$ 

  using assms val-distribute-multiplication64 by simp

```



```

then have 2: val[(x * IntVal 64 (2 ^ unat(i)))] = val[x << IntVal 64 i]
  by (metis (no-types, opaque-lifting) Value.distinct(1) intval-mul.simps(1)
new-int.simps
    new-int-bin.simps assms(2,4,6) val-MulPower2)
then show ?thesis
by (metis (no-types, lifting) 1 Value.distinct(1) n intval-mul.simps(1) new-int-bin.elims
    new-int.simps val-MulPower2 assms(1,3,5,6))
qed

```

**thm-oracles** val-MulPower2AddPower2

**lemma** exp-multiply-zero-64:

```

shows exp[x * (const (IntVal b 0))] ≥ ConstantExpr (IntVal b 0)
apply auto
subgoal premises p for m p xa
proof –
  obtain xv where xv: [m,p] ⊢ x ↦ xv
    using p(1) by auto
  obtain xb xv where xv: xv = IntVal xb xv
  by (metis evalDet p(1,2) xv evaltree-not-undef intval-is-null.cases intval-mul.simps(3,4,5))
  then have evalNotUndef: val[xv * (IntVal b 0)] ≠ UndefVal
    using p evalDet xv by blast
  then have mulUnfold: val[xv * (IntVal b 0)] = IntVal xb (take-bit xb (xv*0))
    by (metis new-int.simps xv new-int-bin.simps intval-mul.simps(1))
  then have isZero: val[xv * (IntVal b 0)] = (new-int xb (0))
    by (simp add: mulUnfold)
  then have eq: (IntVal b 0) = (IntVal xb (0))
    by (metis Value.distinct(1) intval-mul.simps(1) mulUnfold new-int-bin.elims
xv)
  then show ?thesis
    using evalDet isZero p(1,3) xv by fastforce
qed
done

```

**lemma** exp-multiply-neutral:

```

exp[x * (const (IntVal b 1))] ≥ x
apply auto
subgoal premises p for m p xa
proof –
  obtain xv where xv: [m,p] ⊢ x ↦ xv
    using p(1) by auto
  obtain xb xv where xv: xv = IntVal xb xv
    by (smt (z3) evalDet intval-mul.elims p(1,2) xv)
  then have evalNotUndef: val[xv * (IntVal b 1)] ≠ UndefVal
    using p evalDet xv by blast
  then have mulUnfold: val[xv * (IntVal b 1)] = IntVal xb (take-bit xb (xv*1))
    by (metis new-int.simps xv new-int-bin.simps intval-mul.simps(1))
  then show ?thesis

```

```

    by (metis bin-multiply-identity evalDet eval-unused-bits-zero p(1) xv xv)
  qed
done

thm-oracles exp-multiply-neutral

lemma exp-multiply-negative:
  exp[x * -(const (IntVal b 1))] ≥ exp[-x]
  apply auto
  subgoal premises p for m p xa
  proof -
    obtain xv where xv: [m,p] ⊢ x ↦ xv
    using p(1) by auto
    obtain xb xv where xv: xv = IntVal xb xv
    by (metis array-length.cases evalDet evaltree-not-undef intval-mul.simps(3,4,5)
  p(1,2) xv)
    then have rewrite: val[-(IntVal b 1)] = IntVal b (mask b)
    by simp
    then have evalNotUndef: val[xv * -(IntVal b 1)] ≠ UndefVal
    unfolding rewrite using evalDet p(1,2) xv by blast
    then have mulUnfold: val[xv * (IntVal b (mask b))] =
      (if xb=b then (IntVal xb (take-bit xb (xv*(mask xb)))) else
UndefVal)
    by (metis new-int.simps xv new-int-bin.simps intval-mul.simps(1))
    then have sameWidth: xb=b
    by (metis evalNotUndef rewrite)
    then show ?thesis
    by (metis evalDet eval-unused-bits-zero new-int.elims p(1,2) rewrite unary-eval.simps(2)
xv
      unfold-unary val-multiply-negative xv)
  qed
done

lemma exp-MulPower2:
  fixes i :: 64 word
  assumes y = ConstantExpr (IntVal 64 (2 ^ unat(i)))
  and 0 < i
  and i < 64
  and exp[x > (const IntVal b 0)]
  and exp[y > (const IntVal b 0)]
  shows exp[x * y] ≥ exp[x << ConstantExpr (IntVal 64 i)]
  using ConstantExprE equiv-exprs-def unfold-binary assms by fastforce

lemma exp-MulPower2Add1:
  fixes i :: 64 word
  assumes y = ConstantExpr (IntVal 64 ((2 ^ unat(i)) + 1))
  and 0 < i
  and i < 64
  and exp[x > (const IntVal b 0)]

```

```

and    exp[y > (const IntVal b 0)]
shows  exp[x * y] ≥ exp[(x << ConstantExpr (IntVal 64 i)) + x]
using  ConstantExprE equiv-exprs-def unfold-binary assms by fastforce

```

**lemma** *exp-MulPower2Sub1*:

```

fixes i :: 64 word
assumes y = ConstantExpr (IntVal 64 ((2 ^ unat(i)) - 1))
and    0 < i
and    i < 64
and    exp[x > (const IntVal b 0)]
and    exp[y > (const IntVal b 0)]
shows  exp[x * y] ≥ exp[(x << ConstantExpr (IntVal 64 i)) - x]
using  ConstantExprE equiv-exprs-def unfold-binary assms by fastforce

```

**lemma** *exp-MulPower2AddPower2*:

```

fixes i j :: 64 word
assumes y = ConstantExpr (IntVal 64 ((2 ^ unat(i)) + (2 ^ unat(j))))
and    0 < i
and    0 < j
and    i < 64
and    j < 64
and    exp[x > (const IntVal b 0)]
and    exp[y > (const IntVal b 0)]
shows  exp[x * y] ≥ exp[(x << ConstantExpr (IntVal 64 i)) + (x << ConstantExpr (IntVal 64 j))]
using  ConstantExprE equiv-exprs-def unfold-binary assms by fastforce

```

**lemma** *greaterConstant*:

```

fixes a b :: 64 word
assumes a > b
and    y = ConstantExpr (IntVal 32 a)
and    x = ConstantExpr (IntVal 32 b)
shows  exp[BinaryExpr BinIntegerLessThan y x] ≥ exp[const (new-int 32 0)]
using  assms
apply  simp unfolding equiv-exprs-def apply auto
sorry

```

**lemma** *exp-distribute-multiplication*:

```

assumes stamp-expr x = IntegerStamp b xl xh
assumes stamp-expr q = IntegerStamp b ql qh
assumes stamp-expr y = IntegerStamp b yl yh
assumes wf-stamp x
assumes wf-stamp q
assumes wf-stamp y
shows  exp[(x * q) + (x * y)] ≥ exp[x * (q + y)]
apply  auto
subgoal premises p for m p xa qa xb aa

```

```

proof –
  obtain xv where xv: [m,p] ⊢ x ↦ xv
    using p by simp
  obtain qv where qv: [m,p] ⊢ q ↦ qv
    using p by simp
  obtain yv where yv: [m,p] ⊢ y ↦ yv
    using p by simp
  then obtain xvv where xvv: xv = IntVal b xvv
    by (metis assms(1,4) valid-int wf-stamp-def xv)
  then obtain qvv where qvv: qv = IntVal b qvv
    by (metis qv valid-int assms(2,5) wf-stamp-def)
  then obtain yvv where yvv: yv = IntVal b yvv
    by (metis yv valid-int assms(3,6) wf-stamp-def)
  then have rhsDefined: val[xv * (qv + yv)] ≠ UndefVal
    by (simp add: xvv qvv)
  have val[xv * (qv + yv)] = val[(xv * qv) + (xv * yv)]
    using val-distribute-multiplication by (simp add: yvv qvv xvv)
  then show ?thesis
    by (metis bin-eval.simps(1,3) BinaryExpr p(1,2,3,5,6) qv xv evalDet yv qvv
      Value.distinct(1)
      yvv intval-add.simps(1))
  qed
done

```

Optimisations

```

optimization EliminateRedundantNegative:  $-x * -y \mapsto x * y$ 
  apply auto
  by (metis BinaryExpr val-eliminate-redundant-negative bin-eval.simps(3))

```

```

optimization MulNeutral:  $x * \text{ConstantExpr} (\text{IntVal } b \ 1) \mapsto x$ 
  using exp-multiply-neutral by blast

```

```

optimization MulEliminator:  $x * \text{ConstantExpr} (\text{IntVal } b \ 0) \mapsto \text{const} (\text{IntVal } b \ 0)$ 
  using exp-multiply-zero-64 by fast

```

```

optimization MulNegate:  $x * -(\text{const} (\text{IntVal } b \ 1)) \mapsto -x$ 
  using exp-multiply-negative by presburger

```

```

fun isNonZero :: Stamp ⇒ bool where
  isNonZero (IntegerStamp b lo hi) = (lo > 0) |
  isNonZero - = False

```

```

lemma isNonZero-defn:
  assumes isNonZero (stamp-expr x)
  assumes wf-stamp x
  shows ( $[m, p] \vdash x \mapsto v \longrightarrow (\exists vv \ b. (v = \text{IntVal } b \ vv \wedge \text{val-to-bool } \text{val}[(\text{IntVal } b \ 0) < v]))$ )
  apply (rule impI) subgoal premises eval

```

**proof** –  
**obtain**  $b$   $lo$   $hi$  **where**  $xstamp$ :  $stamp\text{-}expr$   $x = IntegerStamp$   $b$   $lo$   $hi$   
 by (*meson isNonZero.elims*(2) *assms*)  
**then obtain**  $vv$  **where**  $vdef$ :  $v = IntVal$   $b$   $vv$   
 by (*metis assms*(2) *eval valid-int wf-stamp-def*)  
**have**  $lo > 0$   
 using *assms*(1)  $xstamp$  **by** *force*  
**then have** *signed-above*: *int-signed-value*  $b$   $vv > 0$   
 using *assms eval vdef xstamp wf-stamp-def* **by** *fastforce*  
**have** *take-bit*  $b$   $vv = vv$   
 using *eval eval-unused-bits-zero vdef* **by** *auto*  
**then have**  $vv > 0$   
 by (*metis bit-take-bit-iff int-signed-value.simps signed-eq-0-iff take-bit-of-0 signed-above*  
*verit-comp-simplify1*(1) *word-gt-0 signed-take-bit-eq-if-positive*)  
**then show** *?thesis*  
 using *vdef signed-above* **by** *simp*  
**qed**  
**done**

**lemma** *ExpIntBecomesIntValArbitrary*:  
**assumes**  $stamp\text{-}expr$   $x = IntegerStamp$   $b$   $xl$   $xh$   
**assumes** *wf-stamp*  $x$   
**assumes** *valid-value*  $v$  ( $IntegerStamp$   $b$   $xl$   $xh$ )  
**assumes**  $[m, p] \vdash x \mapsto v$   
**shows**  $\exists xv. v = IntVal$   $b$   $xv$   
**using** *assms* **by** (*simp add: IRTreeEvalThms.valid-value-elim*(3))

**optimization** *MulPower2*:  $x * y \mapsto x \ll const$  ( $IntVal$   $64$   $i$ )  
 when ( $i > 0 \wedge stamp\text{-}expr$   $x = IntegerStamp$   $64$   $xl$   $xh \wedge$   
*wf-stamp*  $x \wedge$

$$64 > i \wedge y = exp[const (IntVal 64 (2 \wedge unat(i)))]$$

**apply** *simp apply* (*rule impI*; (*rule allI*) $+$ ; *rule impI*)  
**subgoal premises** *eval* **for**  $m$   $p$   $v$

**proof** –  
**obtain**  $xv$  **where**  $xv$ :  $[m, p] \vdash x \mapsto xv$   
 using *eval*(2) **by** *blast*  
**then have** *notUndef*:  $xv \neq UndefVal$   
 by (*simp add: evaltree-not-undef*)  
**obtain**  $xb$   $xv$  **where**  $xv$ :  $xv = IntVal$   $xb$   $xv$   
 by (*metis wf-stamp-def eval*(1) *ExpIntBecomesIntValArbitrary xv*)  
**then have**  $w64$ :  $xb = 64$   
 by (*metis wf-stamp-def intval-bits.simps ExpIntBecomesIntValArbitrary xv*  
*eval*(1))  
**obtain**  $yv$  **where**  $yv$ :  $[m, p] \vdash y \mapsto yv$   
 using *eval*(1,2) **by** *blast*  
**then have** *lhs*:  $[m, p] \vdash exp[x * y] \mapsto val[xv * yv]$   
 by (*metis bin-eval.simps*(3) *eval*(1,2) *evalDet unfold-binary xv*)  
**have**  $[m, p] \vdash exp[const (IntVal 64 i)] \mapsto val[(IntVal 64 i)]$

```

by (smt (verit, ccfv-SIG) ConstantExpr constantAsStamp.simps(1) eval-bits-1-64
take-bit64 xv xv)
  validStampIntConst wf-value-def valid-value.simps(1) w64)
then have rhs: [m, p] ⊢ exp[x << const (IntVal 64 i)] ↔ val[xv << (IntVal 64
i)]
by (metis Value.simps(5) bin-eval.simps(10) intval-left-shift.simps(1) new-int.simps
xv xv)
  evaltree.BinaryExpr)
have val[xv * yv] = val[xv << (IntVal 64 i)]
by (metis ConstantExprE eval(1) evaltree-not-undef lhs yv val-MulPower2)
then show ?thesis
by (metis eval(1,2) evalDet lhs rhs)
qed
done

```

**optimization** *MulPower2Add1*:  $x * y \mapsto (x \ll \text{const } (\text{IntVal } 64 \ i)) + x$   
when  $(i > 0 \wedge \text{stamp-expr } x = \text{IntegerStamp } 64 \ xl \ xh \wedge$   
 $\text{wf-stamp } x \wedge$

```

        64 > i ∧
        y = ConstantExpr (IntVal 64 ((2 ^ unat(i)) + 1)) )
apply simp apply (rule impI; (rule allI)+; rule impI)
subgoal premises p for m p v
proof –
obtain xv where xv: [m, p] ⊢ x ↔ xv
using p by fast
then obtain xv' where xv': xv = IntVal 64 xv'
using p by (metis valid-int wf-stamp-def)
obtain yv where yv: [m, p] ⊢ y ↔ yv
using p by blast
have ygezero: y > ConstantExpr (IntVal 64 0)
using greaterConstant p wf-value-def sorry
then have 1: 0 < i ∧
        i < 64 ∧
        y = ConstantExpr (IntVal 64 ((2 ^ unat(i)) + 1))
using p by blast
then have lhs: [m, p] ⊢ exp[x * y] ↔ val[xv * yv]
by (metis bin-eval.simps(3) evalDet p(2) xv yv unfold-binary)
then have [m, p] ⊢ exp[const (IntVal 64 i)] ↔ val[(IntVal 64 i)]
by (metis wf-value-def verit-comp-simplify1(2) zero-less-numeral ConstantExpr
take-bit64
        constantAsStamp.simps(1) validStampIntConst valid-value.simps(1))
then have rhs2: [m, p] ⊢ exp[x << const (IntVal 64 i)] ↔ val[xv << (IntVal
64 i)]
by (metis Value.simps(5) bin-eval.simps(10) intval-left-shift.simps(1) new-int.simps
xv xv)
  evaltree.BinaryExpr)
then have rhs: [m, p] ⊢ exp[(x << const (IntVal 64 i)) + x] ↔ val[(xv <<
(IntVal 64 i)) + xv]
by (metis (no-types, lifting) intval-add.simps(1) bin-eval.simps(1) Value.simps(5))

```

```

xv xv
  evaltree.BinaryExpr intval-left-shift.simps(1) new-int.simps
  then have simple:  $\text{val}[xv * (\text{IntVal } 64 (2 \wedge \text{unat}(i)))] = \text{val}[xv \ll (\text{IntVal } 64$ 
i)]
    using val-MulPower2 sorry
    then have  $\text{val}[xv * yv] = \text{val}[(xv \ll (\text{IntVal } 64 i)) + xv]$ 
    using val-MulPower2Add1 sorry
    then show ?thesis
    by (metis 1 evalDet lhs p(2) rhs)
qed
done

optimization MulPower2Sub1:  $x * y \mapsto (x \ll \text{const } (\text{IntVal } 64 i)) - x$ 
  when ( $i > 0 \wedge \text{stamp-expr } x = \text{IntegerStamp } 64 \text{ xl } xh \wedge$ 
wf-stamp } x \wedge
     $64 > i \wedge$ 
     $y = \text{ConstantExpr } (\text{IntVal } 64 ((2 \wedge \text{unat}(i)) - 1))$ )
  apply simp apply (rule impI; (rule allI)+; rule impI)
subgoal premises p for m p v
proof –
  obtain xv where xv:  $[m, p] \vdash x \mapsto xv$ 
    using p by fast
  then obtain xvv where xvv:  $xv = \text{IntVal } 64 \text{ xvv}$ 
    using p by (metis valid-int wf-stamp-def)
  obtain yv where yv:  $[m, p] \vdash y \mapsto yv$ 
    using p by blast
  have ygezero:  $y > \text{ConstantExpr } (\text{IntVal } 64 0)$  sorry
  then have 1:  $0 < i \wedge$ 
     $i < 64 \wedge$ 
     $y = \text{ConstantExpr } (\text{IntVal } 64 ((2 \wedge \text{unat}(i)) - 1))$ 
    using p by blast
  then have lhs:  $[m, p] \vdash \text{exp}[x * y] \mapsto \text{val}[xv * yv]$ 
    by (metis bin-eval.simps(3) evalDet p(2) xv yv unfold-binary)
  then have  $[m, p] \vdash \text{exp}[\text{const } (\text{IntVal } 64 i)] \mapsto \text{val}[(\text{IntVal } 64 i)]$ 
    by (metis wf-value-def verit-comp-simplify1(2) zero-less-numeral ConstantExpr
take-bit64
    constantAsStamp.simps(1) validStampIntConst valid-value.simps(1))
  then have rhs2:  $[m, p] \vdash \text{exp}[x \ll \text{const } (\text{IntVal } 64 i)] \mapsto \text{val}[xv \ll (\text{IntVal}$ 
64 i)]
    by (metis Value.simps(5) bin-eval.simps(10) intval-left-shift.simps(1) new-int.simps
xv xv
    evaltree.BinaryExpr)
  then have rhs:  $[m, p] \vdash \text{exp}[(x \ll \text{const } (\text{IntVal } 64 i)) - x] \mapsto \text{val}[(xv \ll$ 
(IntVal 64 i)) - xv]
    using 1 equiv-exprs-def ygezero yv by fastforce
  then have  $\text{val}[xv * yv] = \text{val}[(xv \ll (\text{IntVal } 64 i)) - xv]$ 
    using 1 exp-MulPower2Sub1 ygezero sorry
  then show ?thesis

```

```

    by (metis evalDet lhs p(1) p(2) rhs)
  qed
done

end

end

```

## 11.7 Experimental AndNode Phase

```

theory NewAnd
  imports
    Common
    Graph.JavaLong
begin

```

```

lemma intval-distribute-and-over-or:
  val[z & (x | y)] = val[(z & x) | (z & y)]
  by (cases x; cases y; cases z; auto simp add: bit.conj-disj-distrib)

```

```

lemma exp-distribute-and-over-or:
  exp[z & (x | y)] ≥ exp[(z & x) | (z & y)]
  apply auto
  by (metis bin-eval.simps(6,7) intval-or.simps(2,6) intval-distribute-and-over-or
    BinaryExpr)

```

```

lemma intval-and-commute:
  val[x & y] = val[y & x]
  by (cases x; cases y; auto simp: and.commute)

```

```

lemma intval-or-commute:
  val[x | y] = val[y | x]
  by (cases x; cases y; auto simp: or.commute)

```

```

lemma intval-xor-commute:
  val[x ⊕ y] = val[y ⊕ x]
  by (cases x; cases y; auto simp: xor.commute)

```

```

lemma exp-and-commute:
  exp[x & z] ≥ exp[z & x]
  by (auto simp: intval-and-commute)

```

```

lemma exp-or-commute:
  exp[x | y] ≥ exp[y | x]
  by (auto simp: intval-or-commute)

```

```

lemma exp-xor-commute:
  exp[x ⊕ y] ≥ exp[y ⊕ x]
  by (auto simp: intval-xor-commute)

```



**lemma** *intval-eliminate-y*:  
**assumes**  $val[y \ \& \ z] = IntVal \ b \ 0$   
**shows**  $val[(x \ | \ y) \ \& \ z] = val[x \ \& \ z]$   
**using** *assms* **by** (*cases x; cases y; cases z; auto simp add: bit.conj-disj-distrib2*)

**lemma** *intval-and-associative*:  
 $val[(x \ \& \ y) \ \& \ z] = val[x \ \& \ (y \ \& \ z)]$   
**by** (*cases x; cases y; cases z; auto simp: and.assoc*)

**lemma** *intval-or-associative*:  
 $val[(x \ | \ y) \ | \ z] = val[x \ | \ (y \ | \ z)]$   
**by** (*cases x; cases y; cases z; auto simp: or.assoc*)

**lemma** *intval-xor-associative*:  
 $val[(x \ \oplus \ y) \ \oplus \ z] = val[x \ \oplus \ (y \ \oplus \ z)]$   
**by** (*cases x; cases y; cases z; auto simp: xor.assoc*)

**lemma** *exp-and-associative*:  
 $exp[(x \ \& \ y) \ \& \ z] \geq exp[x \ \& \ (y \ \& \ z)]$   
**using** *intval-and-associative* **by** *fastforce*

**lemma** *exp-or-associative*:  
 $exp[(x \ | \ y) \ | \ z] \geq exp[x \ | \ (y \ | \ z)]$   
**using** *intval-or-associative* **by** *fastforce*

**lemma** *exp-xor-associative*:  
 $exp[(x \ \oplus \ y) \ \oplus \ z] \geq exp[x \ \oplus \ (y \ \oplus \ z)]$   
**using** *intval-xor-associative* **by** *fastforce*

**lemma** *intval-and-absorb-or*:  
**assumes**  $\exists b \ v . x = new-int \ b \ v$   
**assumes**  $val[x \ \& \ (x \ | \ y)] \neq Undefined$   
**shows**  $val[x \ \& \ (x \ | \ y)] = val[x]$   
**using** *assms* **apply** (*cases x; cases y; auto*)  
**by** (*metis (full-types) intval-and.simps(6)*)

**lemma** *intval-or-absorb-and*:  
**assumes**  $\exists b \ v . x = new-int \ b \ v$   
**assumes**  $val[x \ | \ (x \ \& \ y)] \neq Undefined$   
**shows**  $val[x \ | \ (x \ \& \ y)] = val[x]$   
**using** *assms* **apply** (*cases x; cases y; auto*)  
**by** (*metis (full-types) intval-or.simps(6)*)

**lemma** *exp-and-absorb-or*:  
 $exp[x \ \& \ (x \ | \ y)] \geq exp[x]$   
**apply** *auto*  
**subgoal** **premises** *p* **for** *m p xa xaa ya*  
**proof**–

```

obtain  $xv$  where  $xv: [m,p] \vdash x \mapsto xv$ 
  using  $p(1)$  by auto
obtain  $yv$  where  $yv: [m,p] \vdash y \mapsto yv$ 
  using  $p(4)$  by auto
then have  $lhsDefined: val[xv \& (xv \mid yv)] \neq UndefinedVal$ 
  by (metis evalDet p(1,2,3,4) xv)
obtain  $xb\ xv$  where  $xv: xv = IntVal\ xb\ xv$ 
  by (metis Value.exhaust-sel intval-and.simps(2,3,4,5) lhsDefined)
obtain  $yb\ yv$  where  $yv: yv = IntVal\ yb\ yv$ 
  by (metis Value.exhaust-sel intval-and.simps(6) intval-or.simps(6,7,8,9) lhs-
Defined)
then have  $valEval: val[xv \& (xv \mid yv)] = val[xv]$ 
  by (metis eval-unused-bits-zero intval-and-absorb-or lhsDefined new-int.elims
xv xv)
then show ?thesis
  by (metis evalDet p(1,3,4) xv yv)
qed
done

```

**lemma** *exp-or-absorb-and:*

```

 $exp[x \mid (x \& y)] \geq exp[x]$ 
apply auto
subgoal premises  $p$  for  $m\ p\ xa\ xaa\ ya$ 
proof-
  obtain  $xv$  where  $xv: [m,p] \vdash x \mapsto xv$ 
    using  $p(1)$  by auto
  obtain  $yv$  where  $yv: [m,p] \vdash y \mapsto yv$ 
    using  $p(4)$  by auto
  then have  $lhsDefined: val[xv \mid (xv \& yv)] \neq UndefinedVal$ 
    by (metis evalDet p(1,2,3,4) xv)
  obtain  $xb\ xv$  where  $xv: xv = IntVal\ xb\ xv$ 
    by (metis Value.exhaust-sel intval-and.simps(3,4,5) intval-or.simps(2,6) lhs-
Defined)
  obtain  $yb\ yv$  where  $yv: yv = IntVal\ yb\ yv$ 
    by (metis Value.exhaust-sel intval-and.simps(6,7,8,9) intval-or.simps(6) lhs-
Defined)
  then have  $valEval: val[xv \mid (xv \& yv)] = val[xv]$ 
    by (metis eval-unused-bits-zero intval-or-absorb-and lhsDefined new-int.elims
xv xv)
  then show ?thesis
    by (metis evalDet p(1,3,4) xv yv)
qed
done

```

**lemma**

```

assumes  $y = 0$ 
shows  $x + y = or\ x\ y$ 
by (simp add: assms)

```

**lemma** *no-overlap-or*:  
**assumes**  $and\ x\ y = 0$   
**shows**  $x + y = or\ x\ y$   
**by** (*metis bit-and-iff bit-xor-iff disjunctive-add xor-self-eq assms*)

**context** *stamp-mask*  
**begin**

**lemma** *intval-up-and-zero-implies-zero*:  
**assumes**  $and\ (\uparrow x)\ (\uparrow y) = 0$   
**assumes**  $[m, p] \vdash x \mapsto xv$   
**assumes**  $[m, p] \vdash y \mapsto yv$   
**assumes**  $val[xv \ \&\ yv] \neq\ UndefinedVal$   
**shows**  $\exists\ b.\ val[xv \ \&\ yv] = new-int\ b\ 0$   
**using** *assms* **apply** (*cases xv; cases yv; auto*)  
**apply** (*metis eval-unused-bits-zero stamp-mask.up-mask-and-zero-implies-zero stamp-mask-axioms*)  
**by** *presburger*

**lemma** *exp-eliminate-y*:  
 $and\ (\uparrow y)\ (\uparrow z) = 0 \longrightarrow exp[(x \ | \ y) \ \&\ z] \geq exp[x \ \&\ z]$   
**apply** *simp* **apply** (*rule impI; rule allI; rule allI; rule allI*)  
**subgoal** **premises**  $p$  **for**  $m\ p\ v$  **apply** (*rule impI*) **subgoal** **premises**  $e$   
**proof** –  
**obtain**  $xv$  **where**  $xv: [m,p] \vdash x \mapsto xv$   
**using**  $e$  **by** *auto*  
**obtain**  $yv$  **where**  $yv: [m,p] \vdash y \mapsto yv$   
**using**  $e$  **by** *auto*  
**obtain**  $zv$  **where**  $zv: [m,p] \vdash z \mapsto zv$   
**using**  $e$  **by** *auto*  
**have**  $lhs: v = val[(xv \ | \ yv) \ \&\ zv]$   
**by** (*smt (verit, best) BinaryExprE bin-eval.simps(6,7) e evalDet xv yv zv*)  
**then** **have**  $v = val[(xv \ \&\ zv) \ | \ (yv \ \&\ zv)]$   
**by** (*simp add: intval-and-commute intval-distribute-and-over-or*)  
**also** **have**  $\exists\ b.\ val[yv \ \&\ zv] = new-int\ b\ 0$   
**by** (*metis calculation e intval-or.simps(6) p unfold-binary intval-up-and-zero-implies-zero yv zv*)  
**ultimately** **have**  $rhs: v = val[xv \ \&\ zv]$   
**by** (*auto simp: intval-eliminate-y lhs*)

```

from lhs rhs show ?thesis
  by (metis BinaryExpr BinaryExprE bin-eval.simps(6) e xv zv)
qed
done
done

```

```

lemma leadingZeroBounds:
  fixes x :: 'a::len word
  assumes n = numberOfLeadingZeros x
  shows 0 ≤ n ∧ n ≤ Nat.size x
  by (simp add: MaxOrNeg-def highestOneBit-def nat-le-iff numberOfLeadingZeros-def assms)

```

```

lemma above-nth-not-set:
  fixes x :: int64
  assumes n = 64 - numberOfLeadingZeros x
  shows j > n → ¬(bit x j)
  by (smt (verit, ccfv-SIG) highestOneBit-def int-nat-eq int-ops(6) less-imp-of-nat-less size64
    max-set-bit zerosAboveHighestOne assms numberOfLeadingZeros-def)

```

**no-notation** LogicNegationNotation (!-)

```

lemma zero-horner:
  horner-sum of-bool 2 (map (λx. False) xs) = 0
  by (induction xs; auto)

```

```

lemma zero-map:
  assumes j ≤ n
  assumes ∀ i. j ≤ i → ¬(f i)
  shows map f [0..by (smt (verit, del-insts) add-diff-inverse-nat atLeastLessThan-iff bot-nat-0.extremum leD assms
    map-append map-eq-conv set-upt upt-add-eq-append)

```

```

lemma map-join-horner:
  assumes map f [0..shows horner-sum of-bool (2::'a::len word) (map f [0..proof -
  have horner-sum of-bool (2::'a::len word) (map f [0..using assms apply auto
  by (smt (verit) assms diff-le-self diff-zero le-add-same-cancel2 length-append
  length-map
    length-upt map-append upt-add-eq-append horner-sum-append)
  also have ... = horner-sum of-bool 2 (map f [0..by (metis calculation horner-sum-append length-map assms)

```

```

also have ... = horner-sum of-bool 2 (map f [0..<j])
  using zero-horner mult-not-zero by auto
finally show ?thesis
  by simp
qed

lemma split-horner:
  assumes  $j \leq n$ 
  assumes  $\forall i. j \leq i \longrightarrow \neg(f\ i)$ 
  shows horner-sum of-bool (2::'a::len word) (map f [0..<n]) = horner-sum of-bool
  2 (map f [0..<j])
  by (auto simp: assms zero-map map-join-horner)

lemma transfer-map:
  assumes  $\forall i. i < n \longrightarrow f\ i = f'\ i$ 
  shows (map f [0..<n]) = (map f' [0..<n])
  by (simp add: assms)

lemma transfer-horner:
  assumes  $\forall i. i < n \longrightarrow f\ i = f'\ i$ 
  shows horner-sum of-bool (2::'a::len word) (map f [0..<n]) = horner-sum of-bool
  2 (map f' [0..<n])
  by (smt (verit, best) assms transfer-map)

lemma L1:
  assumes  $n = 64 - \text{numberOfLeadingZeros } (\uparrow z)$ 
  assumes  $[m, p] \vdash z \mapsto \text{IntVal } b\ zv$ 
  shows  $\text{and } v\ zv = \text{and } (v \bmod 2^{\widehat{n}})\ zv$ 
proof -
  have  $n \leq 64$ 
    using assms diff-le-self by blast
  also have  $\text{and } v\ zv = \text{horner-sum of-bool } 2\ (\text{map } (\text{bit } (\text{and } v\ zv))\ [0..<64])$ 
    by (metis size-word.rep-eq take-bit-length-eq horner-sum-bit-eq-take-bit size64)
  also have ... = horner-sum of-bool 2 (map ( $\lambda i. \text{bit } (\text{and } v\ zv)\ i$ ) [0..<64])
    by blast
  also have ... = horner-sum of-bool 2 (map ( $\lambda i. ((\text{bit } v\ i) \wedge (\text{bit } zv\ i))$ ) [0..<64])
    by (metis bit-and-iff)
  also have ... = horner-sum of-bool 2 (map ( $\lambda i. ((\text{bit } v\ i) \wedge (\text{bit } zv\ i))$ ) [0..<n])
  proof -
    have  $\forall i. i \geq n \longrightarrow \neg(\text{bit } zv\ i)$ 
      by (smt (verit, ccfv-SIG) One-nat-def diff-less int-ops(6) leadingZerosAddHighestOne assms
        linorder-not-le nat-int-comparison(2) not-numeral-le-zero size64 zero-less-Suc
          zerosAboveHighestOne not-may-implies-false)
    then have  $\forall i. i \geq n \longrightarrow \neg((\text{bit } v\ i) \wedge (\text{bit } zv\ i))$ 
      by auto
    then show ?thesis using nle split-horner
      by (metis (no-types, lifting))

```

**qed**  
**also have** ... = *horner-sum of-bool 2 (map (λi. ((bit (v mod 2<sup>n</sup>) i) ∧ (bit zv i))) [0..<sup>n</sup>])*  
**proof** –  
**have**  $\forall i. i < n \longrightarrow \text{bit } (v \text{ mod } 2^{\widehat{n}}) i = \text{bit } v i$   
**by** (*metis bit-take-bit-iff take-bit-eq-mod*)  
**then have**  $\forall i. i < n \longrightarrow ((\text{bit } v i) \wedge (\text{bit } zv i)) = ((\text{bit } (v \text{ mod } 2^{\widehat{n}}) i) \wedge (\text{bit } zv i))$   
**by force**  
**then show** *?thesis*  
**by** (*rule transfer-horner*)  
**qed**  
**also have** ... = *horner-sum of-bool 2 (map (λi. ((bit (v mod 2<sup>n</sup>) i) ∧ (bit zv i))) [0..<sup>64</sup>])*  
**proof** –  
**have**  $\forall i. i \geq n \longrightarrow \neg(\text{bit } zv i)$   
**by** (*smt (verit, ccfv-SIG) One-nat-def diff-less int-ops(6) leadingZerosAddHighestOne assms*  
*linorder-not-le nat-int-comparison(2) not-numeral-le-zero size64 zero-less-Suc*  
*zerosAboveHighestOne not-may-implies-false)*)  
**then show** *?thesis*  
**by** (*metis (no-types, lifting) assms(1) diff-le-self split-horner*)  
**qed**  
**also have** ... = *horner-sum of-bool 2 (map (bit (and (v mod 2<sup>n</sup>) zv)) [0..<sup>64</sup>])*  
**by** (*meson bit-and-iff*)  
**also have** ... = *and (v mod 2<sup>n</sup>) zv*  
**by** (*metis size-word.rep-eq take-bit-length-eq horner-sum-bit-eq-take-bit size64*)  
**finally show** *?thesis*  
**using**  $\langle \text{and } (v::64 \text{ word}) (zv::64 \text{ word}) = \text{horner-sum of-bool } (2::64 \text{ word})$   
 $(\text{map } (\text{bit } (\text{and } v \text{ zv})) [0::\text{nat}..<64::\text{nat}]) \rangle \langle \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat}. \text{bit } ((v::64 \text{ word}) \text{ mod } (2::64 \text{ word}) \wedge (n::\text{nat})) i \wedge \text{bit } (zv::64 \text{ word}) i) [0::\text{nat}..<64::\text{nat}]) = \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\text{bit } (\text{and } (v \text{ mod } (2::64 \text{ word}) \wedge n) zv)) [0::\text{nat}..<64::\text{nat}]) \rangle \langle \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat}. \text{bit } ((v::64 \text{ word}) \text{ mod } (2::64 \text{ word}) \wedge (n::\text{nat})) i \wedge \text{bit } (zv::64 \text{ word}) i) [0::\text{nat}..<n]) = \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat}. \text{bit } (v \text{ mod } (2::64 \text{ word}) \wedge n) i \wedge \text{bit } zv i) [0::\text{nat}..<64::\text{nat}]) \rangle \langle \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat}. \text{bit } (v::64 \text{ word}) i \wedge \text{bit } (zv::64 \text{ word}) i) [0::\text{nat}..<64::\text{nat}]) = \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat}. \text{bit } v i \wedge \text{bit } zv i) [0::\text{nat}..<n::\text{nat}]) \rangle \langle \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat}. \text{bit } (v::64 \text{ word}) i \wedge \text{bit } (zv::64 \text{ word}) i) [0::\text{nat}..<n::\text{nat}]) = \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat}. \text{bit } (v \text{ mod } (2::64 \text{ word}) \wedge n) i \wedge \text{bit } zv i) [0::\text{nat}..<n]) \rangle \langle \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\text{bit } (\text{and } ((v::64 \text{ word}) \text{ mod } (2::64 \text{ word}) \wedge (n::\text{nat})) (zv::64 \text{ word}))) [0::\text{nat}..<64::\text{nat}]) = \text{and } (v \text{ mod } (2::64 \text{ word}) \wedge n) zv \rangle \langle \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\text{bit } (\text{and } (v::64 \text{ word}) (zv::64 \text{ word}))) [0::\text{nat}..<64::\text{nat}]) = \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat}. \text{bit } v i \wedge \text{bit } zv i) [0::\text{nat}..<64::\text{nat}]) \rangle$   
**by presburger**  
**qed**

**lemma** *up-mask-upper-bound:*

**assumes**  $[m, p] \vdash x \mapsto \text{IntVal } b \text{ } xv$   
**shows**  $xv \leq (\uparrow x)$   
**by** (*metis* (*no-types*, *lifting*) *and.right-neutral bit.conj-cancel-left bit.conj-disj-distrib(1)*  
*bit.double-compl ucast-id up-spec word-and-le1 word-not-dist(2)* *assms*)

**lemma** *L2*:

**assumes**  $\text{numberOfLeadingZeros } (\uparrow z) + \text{numberOfTrailingZeros } (\uparrow y) \geq 64$   
**assumes**  $n = 64 - \text{numberOfLeadingZeros } (\uparrow z)$   
**assumes**  $[m, p] \vdash z \mapsto \text{IntVal } b \text{ } zv$   
**assumes**  $[m, p] \vdash y \mapsto \text{IntVal } b \text{ } yv$   
**shows**  $yv \bmod 2^{\wedge n} = 0$   
**proof** –  
**have**  $yv \bmod 2^{\wedge n} = \text{horner-sum of-bool } 2 \text{ (map (bit } yv) [0..<n])$   
**by** (*simp add: horner-sum-bit-eq-take-bit take-bit-eq-mod*)  
**also have**  $\dots \leq \text{horner-sum of-bool } 2 \text{ (map (bit } (\uparrow y)) [0..<n])$   
**by** (*metis* (*no-types*, *opaque-lifting*) *and.right-neutral bit.conj-cancel-right word-not-dist(2)*  
*bit.conj-disj-distrib(1)* *bit.double-compl horner-sum-bit-eq-take-bit take-bit-and*  
*ucast-id*  
*up-spec word-and-le1 assms(4)*)  
**also have**  $\text{horner-sum of-bool } 2 \text{ (map (bit } (\uparrow y)) [0..<n]) = \text{horner-sum of-bool } 2$   
 $\text{(map } (\lambda x. \text{False}) [0..<n])$   
**proof** –  
**have**  $\forall i < n. \neg(\text{bit } (\uparrow y) \ i)$   
**by** (*metis add.commute add-diff-inverse-nat add-lessD1 leD le-diff-conv zeros-*  
*BelowLowestOne*  
*numberOfTrailingZeros-def assms(1,2)*)  
**then show** *?thesis*  
**by** (*metis* (*full-types*) *transfer-map*)  
**qed**  
**also have**  $\text{horner-sum of-bool } 2 \text{ (map } (\lambda x. \text{False}) [0..<n]) = 0$   
**by** (*auto simp: zero-horner*)  
**finally show** *?thesis*  
**by** *auto*  
**qed**

**thm-oracles** *L1 L2*

**lemma** *unfold-binary-width-add*:

**shows**  $([m,p] \vdash \text{BinaryExpr BinAdd } xe \ ye \mapsto \text{IntVal } b \ \text{val}) = (\exists \ x \ y.$   
 $(([m,p] \vdash xe \mapsto \text{IntVal } b \ x) \wedge$   
 $([m,p] \vdash ye \mapsto \text{IntVal } b \ y) \wedge$   
 $(\text{IntVal } b \ \text{val} = \text{bin-eval BinAdd } (\text{IntVal } b \ x) \ (\text{IntVal } b \ y)) \wedge$   
 $(\text{IntVal } b \ \text{val} \neq \text{UndefVal})$   
 $)) \text{ (is } ?L = ?R)$   
**using** *unfold-binary-width* **by** *simp*

**lemma** *unfold-binary-width-and*:

**shows**  $([m,p] \vdash \text{BinaryExpr BinAnd } xe \ ye \mapsto \text{IntVal } b \ \text{val}) = (\exists \ x \ y.$   
 $(([m,p] \vdash xe \mapsto \text{IntVal } b \ x) \wedge$

```

      ([m,p] ⊢ ye ↦ IntVal b y) ∧
      (IntVal b val = bin-eval Bin.And (IntVal b x) (IntVal b y)) ∧
      (IntVal b val ≠ UndefVal)
    )) (is ?L = ?R)
  using unfold-binary-width by simp

```

**lemma** *mod-dist-over-add-right*:

```

  fixes a b c :: int64
  fixes n :: nat
  assumes 0 < n
  assumes n < 64
  shows (a + b mod 2n) mod 2n = (a + b) mod 2n
  using mod-dist-over-add by (simp add: asms add.commute)

```

**lemma** *numberOfLeadingZeros-range*:

```

  0 ≤ numberOfLeadingZeros n ∧ numberOfLeadingZeros n ≤ Nat.size n
  by (simp add: leadingZeroBounds)

```

**lemma** *improved-opt*:

```

  assumes numberOfLeadingZeros (↑z) + numberOfTrailingZeros (↑y) ≥ 64
  shows exp[(x + y) & z] ≥ exp[x & z]
  apply simp apply ((rule allI)+; rule impI)
  subgoal premises eval for m p v

```

**proof** –

```

  obtain n where n: n = 64 - numberOfLeadingZeros (↑z)
    by simp
  obtain b val where val: [m, p] ⊢ exp[(x + y) & z] ↦ IntVal b val
    by (metis BinaryExprE bin-eval-new-int eval new-int.simps)
  then obtain xv yv where addv: [m, p] ⊢ exp[x + y] ↦ IntVal b (xv + yv)
    apply (subst (asm) unfold-binary-width-and) by (metis add.right-neutral)
  then obtain yv where yv: [m, p] ⊢ y ↦ IntVal b yv
    apply (subst (asm) unfold-binary-width-add) by blast
  from addv obtain xv where xv: [m, p] ⊢ x ↦ IntVal b xv
    apply (subst (asm) unfold-binary-width-add) by blast
  from val obtain zv where zv: [m, p] ⊢ z ↦ IntVal b zv
    apply (subst (asm) unfold-binary-width-and) by blast
  have addv: [m, p] ⊢ exp[x + y] ↦ new-int b (xv + yv)
    using xv yv evaltree.BinaryExpr by auto
  have lhs: [m, p] ⊢ exp[(x + y) & z] ↦ new-int b (and (xv + yv) zv)
    using addv zv apply (rule evaltree.BinaryExpr) by simp+
  have rhs: [m, p] ⊢ exp[x & z] ↦ new-int b (and xv zv)
    using xv zv evaltree.BinaryExpr by auto
  then show ?thesis
  proof (cases numberOfLeadingZeros (↑z) > 0)
  case True
    have n-bounds: 0 ≤ n ∧ n < 64
      by (simp add: True n)
    have and (xv + yv) zv = and ((xv + yv) mod 2n) zv
      using L1 n zv by blast

```



```

also have ... = and ((xv + (yv mod 2n)) mod 2n) zv
by (metis take-bit-0 take-bit-eq-mod zero-less-iff-neq-zero mod-dist-over-add-right
n-bounds)
also have ... = and (((xv mod 2n) + (yv mod 2n)) mod 2n) zv
by (metis bits-mod-by-1 mod-dist-over-add n-bounds order-le-imp-less-or-eq
power-0)
also have ... = and ((xv mod 2n) mod 2n) zv
using L2 n zv yv assms by auto
also have ... = and (xv mod 2n) zv
by (smt (verit, best) and.idem take-bit-eq-mask take-bit-eq-mod word-bw-assocs(1)

      mod-mod-trivial)
also have ... = and xv zv
by (metis L1 n zv)
finally show ?thesis
by (metis evalDet eval lhs rhs)
next
case False
then have numberOfLeadingZeros ( $\uparrow z$ ) = 0
by simp
then have numberOfTrailingZeros ( $\uparrow y$ )  $\geq 64$ 
using assms by fastforce
then have yv = 0
by (metis (no-types, lifting) L1 L2 add-diff-cancel-left' and.comm-neutral
linorder-not-le
      bit.conj-cancel-right bit.conj-disj-distrib(1) bit.double-compl less-imp-diff-less
yv
      word-not-dist(2))
then show ?thesis
by (metis add.right-neutral eval evalDet lhs rhs)
qed
qed
done

thm-oracles improved-opt

```

**end**

```

phase NewAnd
terminating size
begin

```

```

optimization redundant-lhs-y-or: ((x | y) & z)  $\mapsto$  x & z
      when (((and (IRExpr-up y) (IRExpr-up z)) = 0))

```

**by** (*simp add: IRExpr-up-def*)  
**optimization** *redundant-lhs-x-or*:  $((x \mid y) \& z) \mapsto y \& z$   
*when*  $((\text{and } (\text{IRExpr-up } x) (\text{IRExpr-up } z)) = 0)$   
**by** (*simp add: IRExpr-up-def*)  
**optimization** *redundant-rhs-y-or*:  $(z \& (x \mid y)) \mapsto z \& x$   
*when*  $((\text{and } (\text{IRExpr-up } y) (\text{IRExpr-up } z)) = 0)$   
**by** (*simp add: IRExpr-up-def*)  
**optimization** *redundant-rhs-x-or*:  $(z \& (x \mid y)) \mapsto z \& y$   
*when*  $((\text{and } (\text{IRExpr-up } x) (\text{IRExpr-up } z)) = 0)$   
**by** (*simp add: IRExpr-up-def*)

**end**

**end**

## 11.8 NotNode Phase

**theory** *NotPhase*

**imports**

*Common*

**begin**

**phase** *NotNode*

**terminating** *size*

**begin**

**lemma** *bin-not-cancel*:

$\text{bin}[\neg(\neg(e))] = \text{bin}[e]$

**by** *auto*

**lemma** *val-not-cancel*:

**assumes**  $\text{val}[\sim(\text{new-int } b \ v)] \neq \text{UndefVal}$

**shows**  $\text{val}[\sim(\sim(\text{new-int } b \ v))] = (\text{new-int } b \ v)$

**by** (*simp add: take-bit-not-take-bit*)

**lemma** *exp-not-cancel*:

$\text{exp}[\sim(\sim a)] \geq \text{exp}[a]$

**apply** *auto*

**subgoal** **premises** *p* **for** *m p x*

**proof**  $-$

**obtain** *av* **where** *av*:  $[m,p] \vdash a \mapsto av$

```

    using p(2) by auto
  obtain bv avv where avv: av = IntVal bv avv
  by (metis Value.exhaust av evalDet evaltree-not-undef intval-not.simps(3,4,5)
    p(2,3))
  then have valEval: val[~(~av)] = val[av]
  by (metis av avv evalDet eval-unused-bits-zero new-int.elims p(2,3) val-not-cancel)
  then show ?thesis
  by (metis av evalDet p(2))
qed
done

```

Optimisations

```

optimization NotCancel: exp[~(~a)]  $\mapsto$  a
  by (metis exp-not-cancel)

```

end

end

## 11.9 OrNode Phase

```

theory OrPhase

```

```

  imports

```

```

    Common

```

```

begin

```

```

context stamp-mask

```

```

begin

```

Taking advantage of the truth table of or operations.

#	x	y	$x y$
1	0	0	0
2	0	1	1
3	1	0	1
4	1	1	1

If row 2 never applies, that is, canBeZero x & canBeOne y = 0, then  $(x|y) = x$ .

Likewise, if row 3 never applies, canBeZero y & canBeOne x = 0, then  $(x|y) = y$ .

**lemma** *OrLeftFallthrough*:

```

  assumes (and (not ( $\downarrow$ x)) ( $\uparrow$ y)) = 0

```

```

  shows  $\exp[x | y] \geq \exp[x]$ 

```

```

  using assms

```

```

  apply simp apply ((rule allI)+; rule impI)

```

```

  subgoal premises eval for m p v

```

```

  proof -

```

```

obtain  $b\ vv$  where  $e: [m, p] \vdash \text{exp}[x \mid y] \mapsto \text{IntVal } b\ vv$ 
  by (metis BinaryExprE bin-eval-new-int new-int.simps eval(2))
from  $e$  obtain  $xv$  where  $xv: [m, p] \vdash x \mapsto \text{IntVal } b\ xv$ 
  apply (subst (asm) unfold-binary-width) by force+
from  $e$  obtain  $yv$  where  $yv: [m, p] \vdash y \mapsto \text{IntVal } b\ yv$ 
  apply (subst (asm) unfold-binary-width) by force+
have  $v\text{def}: v = \text{val}[(\text{IntVal } b\ xv) \mid (\text{IntVal } b\ yv)]$ 
  by (metis bin-eval.simps(7) eval(2) evalDet unfold-binary xv yv)
have  $\forall i. (\text{bit } xv\ i) \mid (\text{bit } yv\ i) = (\text{bit } xv\ i)$ 
  by (metis assms bit-and-iff not-down-up-mask-and-zero-implies-zero xv yv)
then have  $\text{IntVal } b\ xv = \text{val}[(\text{IntVal } b\ xv) \mid (\text{IntVal } b\ yv)]$ 
by (metis (no-types, lifting) and.idem assms bit.conj-disj-distrib eval-unused-bits-zero
 $yv\ xv$ 
  intval-or.simps(1) new-int.simps new-int-bin.simps not-down-up-mask-and-zero-implies-zero

  word-ao-absorbs(3))
then show ?thesis
  using  $xv\ v\text{def}$  by presburger
qed
done

lemma OrRightFallthrough:
assumes (and (not ( $\downarrow y$ )) ( $\uparrow x$ )) = 0)
shows  $\text{exp}[x \mid y] \geq \text{exp}[y]$ 
using assms
apply simp apply ((rule allI)+; rule impI)
subgoal premises eval for  $m\ p\ v$ 
proof –
  obtain  $b\ vv$  where  $e: [m, p] \vdash \text{exp}[x \mid y] \mapsto \text{IntVal } b\ vv$ 
    by (metis BinaryExprE bin-eval-new-int new-int.simps eval(2))
  from  $e$  obtain  $xv$  where  $xv: [m, p] \vdash x \mapsto \text{IntVal } b\ xv$ 
    apply (subst (asm) unfold-binary-width) by force+
  from  $e$  obtain  $yv$  where  $yv: [m, p] \vdash y \mapsto \text{IntVal } b\ yv$ 
    apply (subst (asm) unfold-binary-width) by force+
  have  $v\text{def}: v = \text{val}[(\text{IntVal } b\ xv) \mid (\text{IntVal } b\ yv)]$ 
    by (metis bin-eval.simps(7) eval(2) evalDet unfold-binary xv yv)
  have  $\forall i. (\text{bit } xv\ i) \mid (\text{bit } yv\ i) = (\text{bit } yv\ i)$ 
    by (metis assms bit-and-iff not-down-up-mask-and-zero-implies-zero xv yv)
  then have  $\text{IntVal } b\ yv = \text{val}[(\text{IntVal } b\ xv) \mid (\text{IntVal } b\ yv)]$ 
    by (metis (no-types, lifting) assms eval-unused-bits-zero intval-or.simps(1)
new-int.elims yv
  new-int-bin.elims stamp-mask.not-down-up-mask-and-zero-implies-zero
stamp-mask-axioms xv
  word-ao-absorbs(8))
  then show ?thesis
    using  $v\text{def}\ yv$  by presburger
qed
done

```

**end**

**phase** *OrNode*  
**terminating** *size*  
**begin**

**lemma** *bin-or-equal*:  
 $bin[x \mid x] = bin[x]$   
**by** *simp*

**lemma** *bin-shift-const-right-helper*:  
 $x \mid y = y \mid x$   
**by** *simp*

**lemma** *bin-or-not-operands*:  
 $(\sim x \mid \sim y) = (\sim(x \& y))$   
**by** *simp*

**lemma** *val-or-equal*:  
**assumes**  $x = new-int\ b\ v$   
**and**  $val[x \mid x] \neq UndefinedVal$   
**shows**  $val[x \mid x] = val[x]$   
**by** (*auto simp: assms*)

**lemma** *val-elim-redundant-false*:  
**assumes**  $x = new-int\ b\ v$   
**and**  $val[x \mid false] \neq UndefinedVal$   
**shows**  $val[x \mid false] = val[x]$   
**using** *assms* **by** (*cases x; auto; presburger*)

**lemma** *val-shift-const-right-helper*:  
 $val[x \mid y] = val[y \mid x]$   
**by** (*cases x; cases y; auto simp: or.commute*)

**lemma** *val-or-not-operands*:  
 $val[\sim x \mid \sim y] = val[\sim(x \& y)]$   
**by** (*cases x; cases y; auto simp: take-bit-not-take-bit*)

**lemma** *exp-or-equal*:  
 $exp[x \mid x] \geq exp[x]$   
**apply** *auto[1]*  
**subgoal** **premises**  $p$  **for**  $m\ p\ xa\ ya$   
**proof**–  
  **obtain**  $xv$  **where**  $xv: [m,p] \vdash x \mapsto xv$   
  **using**  $p(1)$  **by** *auto*  
  **obtain**  $xb\ xvv$  **where**  $xvv: xv = IntVal\ xb\ xvv$

```

    by (metis evalDet evaltree-not-undef intval-is-null.cases intval-or.simps(3,4,5)
p(1,3) xv)
  then have evalNotUndef: val[xv | xv] ≠ UndefVal
    using p evalDet xv by blast
  then have orUnfold: val[xv | xv] = (new-int xb (or xv xv))
    by (simp add: xv)
  then have simplify: val[xv | xv] = (new-int xb (xv))
    by (simp add: orUnfold)
  then have eq: (xv) = (new-int xb (xv))
    using eval-unused-bits-zero xv xv by auto
  then show ?thesis
    by (metis evalDet p(1,2) simplify xv)
qed
done

```

**lemma** *exp-elim-redundant-false*:

```

exp[x | false] ≥ exp[x]
apply auto[1]
subgoal premises p for m p xa
proof-
  obtain xv where xv: [m,p] ⊢ x ↦ xv
    using p(1) by auto
  obtain xb xv where xv: xv = IntVal xb xv
    by (metis evalDet evaltree-not-undef intval-is-null.cases intval-or.simps(3,4,5)
p(1,2) xv)
  then have evalNotUndef: val[xv | (IntVal 32 0)] ≠ UndefVal
    using p evalDet xv by blast
  then have widthSame: xb=32
    by (metis intval-or.simps(1) new-int-bin.simps xv)
  then have orUnfold: val[xv | (IntVal 32 0)] = (new-int xb (or xv 0))
    by (simp add: xv)
  then have simplify: val[xv | (IntVal 32 0)] = (new-int xb (xv))
    by (simp add: orUnfold)
  then have eq: (xv) = (new-int xb (xv))
    using eval-unused-bits-zero xv xv by auto
  then show ?thesis
    by (metis evalDet p(1) simplify xv)
qed
done

```

Optimisations

**optimization** *OrEqual*:  $x \mid x \mapsto x$   
 by (meson exp-or-equal)

**optimization** *OrShiftConstantRight*:  $((\text{const } x) \mid y) \mapsto y \mid (\text{const } x)$  when  $\neg(\text{is-ConstantExpr } y)$   
 using size-flip-binary by (auto simp: BinaryExpr unfold-const val-shift-const-right-helper)

**optimization** *EliminateRedundantFalse*:  $x \mid \text{false} \mapsto x$

```

    by (meson exp-elim-redundant-false)

optimization OrNotOperands: ( $\sim x \mid \sim y$ )  $\mapsto \sim(x \ \& \ y)$ 
  apply (metis add-2-eq-Suc' less-SucI not-add-less1 not-less-eq size-binary-const
size-non-add)
  using BinaryExpr UnaryExpr bin-eval.simps(4) intval-not.simps(2) unary-eval.simps(3)

    val-or-not-operands by fastforce

optimization OrLeftFallthrough:
   $x \mid y \mapsto x$  when ((and (not (IRExpr-down x)) (IRExpr-up y)) = 0)
  using simple-mask.OrLeftFallthrough by blast

optimization OrRightFallthrough:
   $x \mid y \mapsto y$  when ((and (not (IRExpr-down y)) (IRExpr-up x)) = 0)
  using simple-mask.OrRightFallthrough by blast

end

```

**end**

## 11.10 ShiftNode Phase

```

theory ShiftPhase
  imports
    Common
  begin

  phase ShiftNode
    terminating size
  begin

  fun intval-log2 :: Value  $\Rightarrow$  Value where
    intval-log2 (IntVal b v) = IntVal b (word-of-int (SOME e. v=2e)) |
    intval-log2 - = UndefVal

  fun in-bounds :: Value  $\Rightarrow$  int  $\Rightarrow$  int  $\Rightarrow$  bool where
    in-bounds (IntVal b v) l h = (l < sint v  $\wedge$  sint v < h) |
    in-bounds - l h = False

  lemma
    assumes in-bounds (intval-log2 val-c) 0 32
    shows val[x << (intval-log2 val-c)] = val[x * val-c]
    apply (cases val-c; auto) using intval-left-shift.simps(1) intval-mul.simps(1) int-
    val-log2.simps(1)
    sorry

  lemma e-intval:

```

```

n = intval-log2 val-c ∧ in-bounds n 0 32 →
  val[x << (intval-log2 val-c)] = val[x * val-c]
proof (rule impI)
  assume n = intval-log2 val-c ∧ in-bounds n 0 32
  show val[x << (intval-log2 val-c)] = val[x * val-c]
  proof (cases ∃ v . val-c = IntVal 32 v)
    case True
      obtain vc where val-c = IntVal 32 vc
      using True by blast
      then have n = IntVal 32 (word-of-int (SOME e. vc=2e))
        using ⟨n = intval-log2 val-c ∧ in-bounds n 0 32⟩ intval-log2.simps(1) by
presburger
      then show ?thesis sorry
    next
      case False
      then have ∃ v . val-c = IntVal 64 v
        sorry
      then obtain vc where val-c = IntVal 64 vc
        by auto
      then have n = IntVal 64 (word-of-int (SOME e. vc=2e))
        using ⟨n = intval-log2 val-c ∧ in-bounds n 0 32⟩ intval-log2.simps(1) by
presburger
      then show ?thesis sorry
qed
qed

```

```

optimization e:
  x * (const c) → x << (const n) when (n = intval-log2 c ∧ in-bounds n 0 32)
  using e-intval BinaryExprE ConstantExprE bin-eval.simps(2,7) sorry

```

end

end

### 11.11 SignedDivNode Phase

```

theory SignedDivPhase

```

```

  imports

```

```

    Common

```

```

begin

```

```

  phase SignedDivNode

```

```

    terminating size

```

```

  begin

```

```

lemma val-division-by-one-is-self-32:

```

```

  assumes x = new-int 32 v

```

```

  shows intval-div x (IntVal 32 1) = x

```



```
using assms apply (cases x; auto)
by (simp add: take-bit-signed-take-bit)
```

```
end
```

```
end
```

### 11.12 SignedRemNode Phase

```
theory SignedRemPhase
```

```
  imports
```

```
    Common
```

```
begin
```

```
phase SignedRemNode
```

```
  terminating size
```

```
begin
```

```
lemma val-remainder-one:
```

```
  assumes intval-mod x (IntVal 32 1) ≠ UndefVal
```

```
  shows intval-mod x (IntVal 32 1) = IntVal 32 0
```

```
  using assms apply (cases x; auto) sorry
```

```
value word-of-int (sint (x2::32 word) smod 1)
```

```
end
```

```
end
```

### 11.13 SubNode Phase

```
theory SubPhase
```

```
  imports
```

```
    Common
```

```
    Proofs.StampEvalThms
```

```
begin
```

```
phase SubNode
```

```
  terminating size
```

```
begin
```

```
lemma bin-sub-after-right-add:
```

```
  shows  $((x::('a::len) word) + (y::('a::len) word)) - y = x$ 
```

```
  by simp
```

**lemma** *sub-self-is-zero*:  
**shows**  $(x :: ('a::len) \text{ word}) - x = 0$   
**by** *simp*

**lemma** *bin-sub-then-left-add*:  
**shows**  $(x :: ('a::len) \text{ word}) - (x + (y :: ('a::len) \text{ word})) = -y$   
**by** *simp*

**lemma** *bin-sub-then-left-sub*:  
**shows**  $(x :: ('a::len) \text{ word}) - (x - (y :: ('a::len) \text{ word})) = y$   
**by** *simp*

**lemma** *bin-subtract-zero*:  
**shows**  $(x :: 'a::len \text{ word}) - (0 :: 'a::len \text{ word}) = x$   
**by** *simp*

**lemma** *bin-sub-negative-value*:  
**shows**  $(x :: ('a::len) \text{ word}) - (-(y :: ('a::len) \text{ word})) = x + y$   
**by** *simp*

**lemma** *bin-sub-self-is-zero*:  
 $(x :: ('a::len) \text{ word}) - x = 0$   
**by** *simp*

**lemma** *bin-sub-negative-const*:  
 $(x :: 'a::len \text{ word}) - (-(y :: 'a::len \text{ word})) = x + y$   
**by** *simp*

**lemma** *val-sub-after-right-add-2*:  
**assumes**  $x = \text{new-int } b \ v$   
**assumes**  $\text{val}[(x + y) - y] \neq \text{UndefVal}$   
**shows**  $\text{val}[(x + y) - y] = x$   
**using** *assms* **apply** (*cases*  $x$ ; *cases*  $y$ ; *auto*)  
**by** (*metis* (*full-types*) *intval-sub.simps*(2))

**lemma** *val-sub-after-left-sub*:  
**assumes**  $\text{val}[(x - y) - x] \neq \text{UndefVal}$   
**shows**  $\text{val}[(x - y) - x] = \text{val}[-y]$   
**using** *assms* *intval-sub.elims* **apply** (*cases*  $x$ ; *cases*  $y$ ; *auto*)  
**by** *fastforce*

**lemma** *val-sub-then-left-sub*:  
**assumes**  $y = \text{new-int } b \ v$   
**assumes**  $\text{val}[x - (x - y)] \neq \text{UndefVal}$   
**shows**  $\text{val}[x - (x - y)] = y$   
**using** *assms* **apply** (*cases*  $x$ ; *auto*)  
**by** (*metis* (*mono-tags*) *intval-sub.simps*(6))

**lemma** *val-subtract-zero*:  
**assumes**  $x = \text{new-int } b \ v$   
**assumes**  $\text{val}[x - (\text{IntVal } b \ 0)] \neq \text{UndefVal}$   
**shows**  $\text{val}[x - (\text{IntVal } b \ 0)] = x$   
**by** (*cases x; simp add: assms*)

**lemma** *val-zero-subtract-value*:  
**assumes**  $x = \text{new-int } b \ v$   
**assumes**  $\text{val}[(\text{IntVal } b \ 0) - x] \neq \text{UndefVal}$   
**shows**  $\text{val}[(\text{IntVal } b \ 0) - x] = \text{val}[-x]$   
**by** (*cases x; simp add: assms*)

**lemma** *val-sub-then-left-add*:  
**assumes**  $\text{val}[x - (x + y)] \neq \text{UndefVal}$   
**shows**  $\text{val}[x - (x + y)] = \text{val}[-y]$   
**using** *assms apply (cases x; cases y; auto)*  
**by** (*metis (mono-tags, lifting) intval-sub.simps(6)*)

**lemma** *val-sub-negative-value*:  
**assumes**  $\text{val}[x - (-y)] \neq \text{UndefVal}$   
**shows**  $\text{val}[x - (-y)] = \text{val}[x + y]$   
**by** (*cases x; cases y; simp add: assms*)

**lemma** *val-sub-self-is-zero*:  
**assumes**  $x = \text{new-int } b \ v \wedge \text{val}[x - x] \neq \text{UndefVal}$   
**shows**  $\text{val}[x - x] = \text{new-int } b \ 0$   
**by** (*cases x; simp add: assms*)

**lemma** *val-sub-negative-const*:  
**assumes**  $y = \text{new-int } b \ v \wedge \text{val}[x - (-y)] \neq \text{UndefVal}$   
**shows**  $\text{val}[x - (-y)] = \text{val}[x + y]$   
**by** (*cases x; simp add: assms*)

**lemma** *exp-sub-after-right-add*:  
**shows**  $\text{exp}[(x + y) - y] \geq x$   
**apply** *auto*  
**subgoal** **premises**  $p$  **for**  $m \ p \ ya \ xa \ yaa$   
**proof**–  
**obtain**  $xv$  **where**  $xv: [m, p] \vdash x \mapsto xv$   
**using**  $p(3)$  **by** *auto*  
**obtain**  $yv$  **where**  $yv: [m, p] \vdash y \mapsto yv$   
**using**  $p(1)$  **by** *auto*  
**obtain**  $xb \ xv$  **where**  $xv: xv = \text{IntVal } xb \ xv$   
**by** (*metis Value.exhaust evalDet evaltree-not-undef intval-add.simps(3,4,5)*)  
*intval-sub.simps(2)*  
 $p(2,3) \ xv$   
**obtain**  $yv \ yv$  **where**  $yv: yv = \text{IntVal } yb \ yv$   
**by** (*metis evalDet evaltree-not-undef intval-add.simps(7,8,9) intval-logic-negation.cases*)

```

yv
  intval-sub.simps(2) p(2,4)
then have lhsDefined: val[(xv + yv) - yv] ≠ UndefVal
  using xv yv apply (cases xv; cases yv; auto)
  by (metis evalDet intval-add.simps(1) p(3,4,5) xv yv)
  then show ?thesis
  by (metis ‹ $\wedge$ thesis. ( $\wedge$ (xb) xv. (xv) = IntVal xb xv  $\implies$  thesis)  $\implies$  thesis›
evalDet xv yv
  eval-unused-bits-zero lhsDefined new-int.simps p(1,3,4) val-sub-after-right-add-2)
qed
done

```

```

lemma exp-sub-after-right-add2:
shows exp[(x + y) - x] ≥ y
using exp-sub-after-right-add apply auto
by (metis bin-eval.simps(1,2) intval-add-sym unfold-binary)

```

```

lemma exp-sub-negative-value:
exp[x - (-y)] ≥ exp[x + y]
apply auto
subgoal premises p for m p xa ya
proof -
  obtain xv where xv: [m,p] ⊢ x ↦ xv
  using p(1) by auto
  obtain yv where yv: [m,p] ⊢ y ↦ yv
  using p(3) by auto
  then have rhsEval: [m,p] ⊢ exp[x + y] ↦ val[xv + yv]
  by (metis bin-eval.simps(1) evalDet p(1,2,3) unfold-binary val-sub-negative-value
  xv)
  then show ?thesis
  by (metis evalDet p(1,2,3) val-sub-negative-value xv yv)
qed
done

```

```

lemma exp-sub-then-left-sub:
exp[x - (x - y)] ≥ y
using val-sub-then-left-sub apply auto
subgoal premises p for m p xa xaa ya
proof-
  obtain xa where xa: [m, p] ⊢ x ↦ xa
  using p(2) by blast
  obtain ya where ya: [m, p] ⊢ y ↦ ya
  using p(5) by auto
  obtain xaa where xaa: [m, p] ⊢ x ↦ xaa
  using p(2) by blast
  have 1: val[xa - (xaa - ya)] ≠ UndefVal
  by (metis evalDet p(2,3,4,5) xa xaa ya)
  then have val[xaa - ya] ≠ UndefVal
  by auto

```

```

    then have [m, p] ⊢ y ↦ val[xa - (xaa - ya)]
      by (metis 1 Value.exhaust eval-unused-bits-zero evaltree-not-undef xa xaa ya
new-int.simps
        intval-sub.simps(6,7,8,9) evalDet val-sub-then-left-sub)
    then show ?thesis
      by (metis evalDet p(2,4,5) xa xaa ya)
  qed
done

```

**thm-oracles** *exp-sub-then-left-sub*

**lemma** *SubtractZero-Exp:*

```

  exp[(x - (const IntVal b 0))] ≥ x
  apply auto
  subgoal premises p for m p xa
  proof-
    obtain xv where xv: [m,p] ⊢ x ↦ xv
      using p(1) by auto
    obtain xb xv where xv: xv = IntVal xb xv
      by (metis array-length.cases evalDet evaltree-not-undef intval-sub.simps(3,4,5)
p(1,2) xv)
    then have widthSame: xb=b
      by (metis evalDet intval-sub.simps(1) new-int-bin.simps p(1) p(2) xv)
    then have unfoldSub: val[xv - (IntVal b 0)] = (new-int xb (xv-0))
      by (simp add: xv)
    then have rhsSame: val[xv] = (new-int xb (xv))
      using eval-unused-bits-zero xv xv by auto
    then show ?thesis
      by (metis diff-zero evalDet p(1) unfoldSub xv)
  qed
done

```

**lemma** *ZeroSubtractValue-Exp:*

```

  assumes wf-stamp x
  assumes stamp-expr x = IntegerStamp b lo hi
  assumes ¬(is-ConstantExpr x)
  shows exp[(const IntVal b 0) - x] ≥ exp[-x]
  using assms apply auto
  subgoal premises p for m p xa
  proof-
    obtain xv where xv: [m,p] ⊢ x ↦ xv
      using p(4) by auto
    obtain xb xv where xv: xv = IntVal xb xv
      by (metis constantAsStamp.cases evalDet evaltree-not-undef intval-sub.simps(7,8,9)
p(4,5) xv)
    then have unfoldSub: val[(IntVal b 0) - xv] = (new-int xb (0-xv))
      by (metis intval-sub.simps(1) new-int-bin.simps p(1,2) valid-int-same-bits
wf-stamp-def xv)
    then show ?thesis

```

```

    by (metis UnaryExpr intval-negate.simps(1) p(4,5) unary-eval.simps(2)
    verit-minus-simplify(3)
    evalDet xv xvv)
  qed
done

```

Optimisations

```

optimization SubAfterAddRight:  $((x + y) - y) \mapsto x$ 
  using exp-sub-after-right-add by blast

```

```

optimization SubAfterAddLeft:  $((x + y) - x) \mapsto y$ 
  using exp-sub-after-right-add2 by blast

```

```

optimization SubAfterSubLeft:  $((x - y) - x) \mapsto -y$ 
  by (smt (verit) Suc-lessI add-2-eq-Suc' add-less-cancel-right less-trans-Suc not-add-less1
  evalDet
    size-binary-const size-binary-lhs size-binary-rhs size-non-add BinaryExprE
  bin-eval.simps(2)
  le-expr-def unary-eval.simps(2) unfold-unary val-sub-after-left-sub)+

```

```

optimization SubThenAddLeft:  $(x - (x + y)) \mapsto -y$ 
  apply auto
  by (metis evalDet unary-eval.simps(2) unfold-unary val-sub-then-left-add)

```

```

optimization SubThenAddRight:  $(y - (x + y)) \mapsto -x$ 
  apply auto
  by (metis evalDet intval-add-sym unary-eval.simps(2) unfold-unary val-sub-then-left-add)

```

```

optimization SubThenSubLeft:  $(x - (x - y)) \mapsto y$ 
  using size-simps exp-sub-then-left-sub by auto

```

```

optimization SubtractZero:  $(x - (\text{const IntVal } b \ 0)) \mapsto x$ 
  using SubtractZero-Exp by fast

```

**thm-oracles** SubtractZero

```

optimization SubNegativeValue:  $(x - (-y)) \mapsto x + y$ 
  apply (metis add-2-eq-Suc' less-SucI less-add-Suc1 not-less-eq size-binary-const
  size-non-add)
  using exp-sub-negative-value by blast

```

**thm-oracles** SubNegativeValue

```

lemma negate-idempotent:
  assumes  $x = \text{IntVal } b \ v \wedge \text{take-bit } b \ v = v$ 
  shows  $x = \text{val}[-(-x)]$ 

```

**by** (*auto simp: assms is-IntVal-def*)

**optimization** *ZeroSubtractValue*:  $((\text{const IntVal } b \ 0) - x) \mapsto (-x)$   
when (*wf-stamp*  $x \wedge \text{stamp-expr } x = \text{IntegerStamp } b \ lo$   
 $hi \wedge \neg(\text{is-ConstantExpr } x)$ )  
**using** *size-flip-binary ZeroSubtractValue-Exp* **by** *simp+*

**optimization** *SubSelfIsZero*:  $(x - x) \mapsto \text{const IntVal } b \ 0$  when  
(*wf-stamp*  $x \wedge \text{stamp-expr } x = \text{IntegerStamp } b \ lo \ hi$ )  
**using** *size-non-const apply auto*  
**by** (*smt (verit) wf-value-def ConstantExpr eval-bits-1-64 eval-unused-bits-zero*  
*new-int.simps*  
*take-bit-of-0 val-sub-self-is-zero validDefIntConst valid-int wf-stamp-def One-nat-def*  
*evalDet*)

**end**

**end**

## 11.14 XorNode Phase

**theory** *XorPhase*

**imports**

*Common*

*Proofs.StampEvalThms*

**begin**

**phase** *XorNode*

**terminating** *size*

**begin**

**lemma** *bin-xor-self-is-false*:

$\text{bin}[x \oplus x] = 0$

**by** *simp*

**lemma** *bin-xor-commute*:

$\text{bin}[x \oplus y] = \text{bin}[y \oplus x]$

**by** (*simp add: xor.commute*)

**lemma** *bin-eliminate-redundant-false*:

$\text{bin}[x \oplus 0] = \text{bin}[x]$

**by** *simp*

**lemma** *val-xor-self-is-false*:  
**assumes**  $val[x \oplus x] \neq \text{UndefVal}$   
**shows**  $val\text{-to-bool } (val[x \oplus x]) = \text{False}$   
**by** (*cases x; auto simp: assms*)

**lemma** *val-xor-self-is-false-2*:  
**assumes**  $val[x \oplus x] \neq \text{UndefVal}$   
**and**  $x = \text{IntVal } 32 \ v$   
**shows**  $val[x \oplus x] = \text{bool-to-val False}$   
**by** (*auto simp: assms*)

**lemma** *val-xor-self-is-false-3*:  
**assumes**  $val[x \oplus x] \neq \text{UndefVal} \wedge x = \text{IntVal } 64 \ v$   
**shows**  $val[x \oplus x] = \text{IntVal } 64 \ 0$   
**by** (*auto simp: assms*)

**lemma** *val-xor-commute*:  
 $val[x \oplus y] = val[y \oplus x]$   
**by** (*cases x; cases y; auto simp: xor.commute*)

**lemma** *val-eliminate-redundant-false*:  
**assumes**  $x = \text{new-int } b \ v$   
**assumes**  $val[x \oplus (\text{bool-to-val False})] \neq \text{UndefVal}$   
**shows**  $val[x \oplus (\text{bool-to-val False})] = x$   
**using** *assms* **by** (*auto; meson*)

**lemma** *exp-xor-self-is-false*:  
**assumes**  $wf\text{-stamp } x \wedge \text{stamp-expr } x = \text{default-stamp}$   
**shows**  $exp[x \oplus x] \geq exp[\text{false}]$   
**using** *assms* **apply** *auto*  
**subgoal** **premises**  $p$  **for**  $m \ p \ x_a \ y_a$   
**proof**–  
**obtain**  $xv$  **where**  $xv: [m,p] \vdash x \mapsto xv$   
**using**  $p(3)$  **by** *auto*  
**obtain**  $xb \ xv_v$  **where**  $xv_v: xv = \text{IntVal } xb \ xv_v$   
**by** (*metis Value.exhaust-sel assms evalDet evaltree-not-undef intval-xor.simps(5,7)*)  
 $p(3,4,5) \ xv$   
 $valid\text{-value.simps}(11) \ wf\text{-stamp-def}$   
**then** **have**  $unfoldXor: val[xv \oplus xv] = (\text{new-int } xb \ (xor \ xv_v \ xv_v))$   
**by** *simp*  
**then** **have**  $isZero: xor \ xv_v \ xv_v = 0$   
**by** *simp*  
**then** **have**  $width: xb = 32$   
**by** (*metis valid-int-same-bits xv xv\_v p(1,2) wf-stamp-def*)  
**then** **have**  $isFalse: val[xv \oplus xv] = \text{bool-to-val False}$   
**unfolding**  $unfoldXor \ isZero \ width$  **by** *fastforce*



```

then show ?thesis
by (metis (no-types, lifting) eval-bits-1-64 p(3,4) width xv xv validDefIntConst
IntVal0
      Value.inject(1) bool-to-val.simps(2) evalDet new-int.simps unfold-const
wf-value-def)
qed
done

```

```

lemma exp-eliminate-redundant-false:
shows  $\text{exp}[x \oplus \text{false}] \geq \text{exp}[x]$ 
using val-eliminate-redundant-false apply auto
subgoal premises  $p$  for  $m$   $p$   $xa$ 
proof –
  obtain  $xa$  where  $xa: [m, p] \vdash x \mapsto xa$ 
  using p(2) by blast
  then have  $\text{val}[xa \oplus (\text{IntVal } 32 \ 0)] \neq \text{UndefVal}$ 
  using evalDet p(2,3) by blast
  then have  $[m, p] \vdash x \mapsto \text{val}[xa \oplus (\text{IntVal } 32 \ 0)]$ 
  using eval-unused-bits-zero  $xa$  by (cases  $xa$ ; auto)
  then show ?thesis
  using evalDet p(2)  $xa$  by blast
qed
done

```

Optimisations

```

optimization XorSelfIsFalse:  $(x \oplus x) \mapsto \text{false}$  when
      (wf-stamp  $x \wedge \text{stamp-expr } x = \text{default-stamp}$ )
using size-non-const exp-xor-self-is-false by auto

```

```

optimization XorShiftConstantRight:  $((\text{const } x) \oplus y) \mapsto y \oplus (\text{const } x)$  when
 $\neg(\text{is-ConstantExpr } y)$ 
using size-flip-binary val-xor-commute by auto

```

```

optimization EliminateRedundantFalse:  $(x \oplus \text{false}) \mapsto x$ 
using exp-eliminate-redundant-false by auto

```

**end**

**end**

## 12 Conditional Elimination Phase

This theory presents the specification of the `ConditionalElimination` phase within the GraalVM compiler. The `ConditionalElimination` phase sim-

plifies any condition of an *if* statement that can be implied by the conditions that dominate it. Such that if condition A implies that condition B *must* be true, the condition B is simplified to **true**.

```

if (A) {
  if (B) {
    ...
  }
}

```

We begin by defining the individual implication rules used by the phase in 12.1. These rules are then lifted to the rewriting of a condition within an *if* statement in ???. The traversal algorithm used by the compiler is specified in ???.

```

theory ConditionalElimination
imports
  Semantics.IRTreeEvalThms
  Proofs.Rewrites
  Proofs.Bisimulation
  OptimizationDSL.Markup
begin

declare [[show-types=false]]

```

## 12.1 Implication Rules

The set of rules used for determining whether a condition,  $q_1$ , implies another condition,  $q_2$ , must be true or false.

### 12.1.1 Structural Implication

The first method for determining if a condition can be implied by another condition, is structural implication. That is, by looking at the structure of the conditions, we can determine the truth value. For instance,  $x \equiv y$  implies that  $x < y$  cannot be true.

**inductive**

```

impliesx :: IRExp ⇒ IRExp ⇒ bool (- ⇒ -) and
impliesnot :: IRExp ⇒ IRExp ⇒ bool (- ⇒ ¬ -) where
same:      q ⇒ q |
eq-not-less: exp[x eq y] ⇒ ¬ exp[x < y] |
eq-not-less': exp[x eq y] ⇒ ¬ exp[y < x] |
less-not-less: exp[x < y] ⇒ ¬ exp[y < x] |
less-not-eq: exp[x < y] ⇒ ¬ exp[x eq y] |
less-not-eq': exp[x < y] ⇒ ¬ exp[y eq x] |
negate-true: [[x ⇒ ¬ y]] ⇒ x ⇒ exp[!y] |
negate-false: [[x ⇒ y]] ⇒ x ⇒ ¬ exp[!y]

```

**inductive** *implies-complete* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool option*  $\Rightarrow$  *bool* **where**  
*implies*:  
 $x \Rightarrow y \Longrightarrow \text{implies-complete } x \ y \ (\text{Some } \text{True}) \mid$   
*impliesnot*:  
 $x \Rightarrow \neg y \Longrightarrow \text{implies-complete } x \ y \ (\text{Some } \text{False}) \mid$   
*fail*:  
 $\neg((x \Rightarrow y) \vee (x \Rightarrow \neg y)) \Longrightarrow \text{implies-complete } x \ y \ \text{None}$

The relation  $q_1 \Rightarrow q_2$  requires that the implication  $q_1 \longrightarrow q_2$  is known true (i.e. universally valid). The relation  $q_1 \Rightarrow \neg q_2$  requires that the implication  $q_1 \longrightarrow q_2$  is known false (i.e.  $q_1 \longrightarrow \neg q_2$  is universally valid). If neither  $q_1 \Rightarrow q_2$  nor  $q_1 \Rightarrow \neg q_2$  then the status is unknown and the condition cannot be simplified.

**fun** *implies-valid* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* (**infix**  $\rightsquigarrow$  50) **where**  
*implies-valid*  $q_1 \ q_2 =$   
 $(\forall m \ p \ v1 \ v2. ([m, p] \vdash q_1 \mapsto v1) \wedge ([m, p] \vdash q_2 \mapsto v2) \longrightarrow$   
 $(\text{val-to-bool } v1 \longrightarrow \text{val-to-bool } v2))$

**fun** *impliesnot-valid* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* (**infix**  $\rightsquigarrow$  50) **where**  
*impliesnot-valid*  $q_1 \ q_2 =$   
 $(\forall m \ p \ v1 \ v2. ([m, p] \vdash q_1 \mapsto v1) \wedge ([m, p] \vdash q_2 \mapsto v2) \longrightarrow$   
 $(\text{val-to-bool } v1 \longrightarrow \neg \text{val-to-bool } v2))$

The relation  $q_1 \rightsquigarrow q_2$  means  $q_1 \longrightarrow q_2$  is universally valid, and the relation  $q_1 \rightsquigarrow \neg q_2$  means  $q_1 \longrightarrow \neg q_2$  is universally valid.

**lemma** *eq-not-less-val*:  
 $\text{val-to-bool}(\text{val}[v1 \ \text{eq} \ v2]) \longrightarrow \neg \text{val-to-bool}(\text{val}[v1 < v2])$   
**proof** –  
**have** *unfoldEqualDefined*:  $(\text{intval-equals } v1 \ v2 \neq \text{UndefVal}) \Longrightarrow$   
 $(\text{val-to-bool}(\text{intval-equals } v1 \ v2) \longrightarrow (\neg(\text{val-to-bool}(\text{intval-less-than } v1 \ v2))))$   
**subgoal** *premises*  $p$   
**proof** –  
**obtain**  $v1b \ v1v$  **where**  $v1v: v1 = \text{IntVal } v1b \ v1v$   
**by**  $(\text{metis array-length.cases intval-equals.simps}(2,3,4,5) \ p)$   
**obtain**  $v2b \ v2v$  **where**  $v2v: v2 = \text{IntVal } v2b \ v2v$   
**by**  $(\text{metis Value.exhaust-sel intval-equals.simps}(6,7,8,9) \ p)$   
**have** *sameWidth*:  $v1b=v2b$   
**by**  $(\text{metis bool-to-val-bin.simps intval-equals.simps}(1) \ p \ v1v \ v2v)$   
**have** *unfoldEqual*:  $\text{intval-equals } v1 \ v2 = (\text{bool-to-val } (v1v=v2v))$   
**by**  $(\text{simp add: sameWidth } v1v \ v2v)$   
**have** *unfoldLessThan*:  $\text{intval-less-than } v1 \ v2 = (\text{bool-to-val } (\text{int-signed-value } v1b \ v1v < \text{int-signed-value } v2b \ v2v))$   
**by**  $(\text{simp add: sameWidth } v1v \ v2v)$   
**have** *val*:  $((v1v=v2v) \longrightarrow (\neg((\text{int-signed-value } v1b \ v1v < \text{int-signed-value } v2b \ v2v))))$   
**using** *sameWidth* **by** *auto*  
**have** *doubleCast0*:  $\text{val-to-bool } (\text{bool-to-val } ((v1v = v2v))) = (v1v = v2v)$   
**using** *bool-to-val.elims val-to-bool.simps*(1) **by** *fastforce*

```

have doubleCast1: val-to-bool (bool-to-val ((int-signed-value v1b v1v < int-signed-value
v2b v2v))) =
                                     (int-signed-value v1b v1v < int-signed-value
v2b v2v)
  using bool-to-val.elims val-to-bool.simps(1) by fastforce
  then show ?thesis
  using p val unfolding unfoldEqual unfoldLessThan doubleCast0 doubleCast1
by blast
  qed done
  show ?thesis
  by (metis Value.distinct(1) val-to-bool.elims(2) unfoldEqualDefined)
qed

```

```

lemma eq-not-less'-val:
  val-to-bool(val[v1 eq v2])  $\longrightarrow$   $\neg$ val-to-bool(val[v2 < v1])
proof –
  have a: intval-equals v1 v2 = intval-equals v2 v1
  apply (cases intval-equals v1 v2 =.UndefVal)
  apply (smt (z3) bool-to-val-bin.simps intval-equals.elims intval-equals.simps)
  subgoal premises p
  proof –
    obtain v1b v1v where v1v: v1 = IntVal v1b v1v
    by (metis Value.exhaust-sel intval-equals.simps(2,3,4,5) p)
    obtain v2b v2v where v2v: v2 = IntVal v2b v2v
    by (metis Value.exhaust-sel intval-equals.simps(6,7,8,9) p)
    then show ?thesis
    by (smt (verit) bool-to-val-bin.simps intval-equals.simps(1) v1v)
  qed done
  show ?thesis
  using a eq-not-less-val by presburger
qed

```

```

lemma less-not-less-val:
  val-to-bool(val[v1 < v2])  $\longrightarrow$   $\neg$ val-to-bool(val[v2 < v1])
  apply (rule impI)
  subgoal premises p
  proof –
    obtain v1b v1v where v1v: v1 = IntVal v1b v1v
    by (metis Value.exhaust-sel intval-less-than.simps(2,3,4,5) p val-to-bool.simps(2))
    obtain v2b v2v where v2v: v2 = IntVal v2b v2v
    by (metis Value.exhaust-sel intval-less-than.simps(6,7,8,9) p val-to-bool.simps(2))
    then have unfoldLessThanRHS: intval-less-than v2 v1 =
                                     (bool-to-val (int-signed-value v2b v2v < int-signed-value
v1b v1v))
    using p v1v by force
    then have unfoldLessThanLHS: intval-less-than v1 v2 =
                                     (bool-to-val (int-signed-value v1b v1v < int-signed-value
v2b v2v))
    using bool-to-val-bin.simps intval-less-than.simps(1) p v1v v2v val-to-bool.simps(2)

```

```

by auto
  then have symmetry: (int-signed-value v2b v2v < int-signed-value v1b v1v)  $\longrightarrow$ 
    ( $\neg$ (int-signed-value v1b v1v < int-signed-value v2b v2v))
    by simp
  then show ?thesis
    using p unfoldLessThanLHS unfoldLessThanRHS by fastforce
qed done

```

```

lemma less-not-eq-val:
  val-to-bool(val[v1 < v2])  $\longrightarrow$   $\neg$ val-to-bool(val[v1 eq v2])
  using eq-not-less-val by blast

```

```

lemma logic-negate-type:
  assumes [m, p]  $\vdash$  UnaryExpr UnaryLogicNegation x  $\mapsto$  v
  shows  $\exists$  b v2. [m, p]  $\vdash$  x  $\mapsto$  IntVal b v2
  using assms
  by (metis UnaryExprE intval-logic-negation.elims unary-eval.simps(4))

```

```

lemma intval-logic-negation-inverse:
  assumes b > 0
  assumes x = IntVal b v
  shows val-to-bool (intval-logic-negation x)  $\longleftrightarrow$   $\neg$ (val-to-bool x)
  using assms by (cases x; auto simp: logic-negate-def)

```

```

lemma logic-negation-relation-tree:
  assumes [m, p]  $\vdash$  y  $\mapsto$  val
  assumes [m, p]  $\vdash$  UnaryExpr UnaryLogicNegation y  $\mapsto$  invval
  shows val-to-bool val  $\longleftrightarrow$   $\neg$ (val-to-bool invval)
  using assms using intval-logic-negation-inverse
  by (metis UnaryExprE evalDet eval-bits-1-64 logic-negate-type unary-eval.simps(4))

```

The following theorem show that the known true/false rules are valid.

```

theorem implies-impliesnot-valid:
  shows ((q1  $\Rightarrow$  q2)  $\longrightarrow$  (q1  $\mapsto$  q2))  $\wedge$ 
    ((q1  $\Rightarrow$   $\neg$  q2)  $\longrightarrow$  (q1  $\mapsto$  q2))
    (is (?imp  $\longrightarrow$  ?val)  $\wedge$  (?notimp  $\longrightarrow$  ?notval))
proof (induct q1 q2 rule: impliesx-impliesnot.induct)
  case (same q)
  then show ?case
    using evalDet by fastforce
next
  case (eq-not-less x y)
  then show ?case apply auto[1] using eq-not-less-val evalDet by blast
next
  case (eq-not-less' x y)
  then show ?case apply auto[1] using eq-not-less'-val evalDet by blast
next
  case (less-not-less x y)
  then show ?case apply auto[1] using less-not-less-val evalDet by blast

```

```

next
  case (less-not-eq x y)
  then show ?case apply auto[1] using less-not-eq-val evalDet by blast
next
  case (less-not-eq' x y)
  then show ?case apply auto[1] using eq-not-less'-val evalDet by metis
next
  case (negate-true x y)
  then show ?case apply auto[1]
    by (metis logic-negation-relation-tree unary-eval.simps(4) unfold-unary)
next
  case (negate-false x y)
  then show ?case apply auto[1]
    by (metis UnaryExpr logic-negation-relation-tree unary-eval.simps(4))
qed

```

### 12.1.2 Type Implication

The second mechanism to determine whether a condition implies another is to use the type information of the relevant nodes. For instance,  $x < (4::'a)$  implies  $x < (10::'a)$ . We can show this by strengthening the type, stamp, of the node  $x$  such that the upper bound is  $4::'a$ . Then we the second condition is reached, we know that the condition must be true by the upperbound.

The following relation corresponds to the `UnaryOpLogicNode.tryFold` and `BinaryOpLogicNode.tryFold` methods and their associated concrete implementations.

We track the refined stamps by mapping nodes to Stamps, the second parameter to `tryFold`.

```

inductive tryFold :: IRNode ⇒ (ID ⇒ Stamp) ⇒ bool ⇒ bool
  where
    [[alwaysDistinct (stamps x) (stamps y)]]
      ⇒ tryFold (IntegerEqualsNode x y) stamps False |
    [[neverDistinct (stamps x) (stamps y)]]
      ⇒ tryFold (IntegerEqualsNode x y) stamps True |
    [[is-IntegerStamp (stamps x);
      is-IntegerStamp (stamps y);
      stpi-upper (stamps x) < stpi-lower (stamps y)]]
      ⇒ tryFold (IntegerLessThanNode x y) stamps True |
    [[is-IntegerStamp (stamps x);
      is-IntegerStamp (stamps y);
      stpi-lower (stamps x) ≥ stpi-upper (stamps y)]]
      ⇒ tryFold (IntegerLessThanNode x y) stamps False

```

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ) `tryFold` .

Prove that, when the stamp map is valid, the `tryFold` relation correctly predicts the output value with respect to our evaluation semantics.

**inductive-cases** *StepE*:

$g, p \vdash (nid, m, h) \rightarrow (nid', m', h)$

**lemma** *is-stamp-empty-valid*:

**assumes** *is-stamp-empty s*

**shows**  $\neg(\exists \text{ val. valid-value val } s)$

**using** *assms is-stamp-empty.simps* **apply** (*cases s; auto*)

**by** (*metis linorder-not-le not-less-iff-gr-or-eq order.strict-trans valid-value.elims(2) valid-value.simps(1) valid-value.simps(5)*)

**lemma** *join-valid*:

**assumes** *is-IntegerStamp s1*  $\wedge$  *is-IntegerStamp s2*

**assumes** *valid-stamp s1*  $\wedge$  *valid-stamp s2*

**shows** (*valid-value v s1*  $\wedge$  *valid-value v s2*) = *valid-value v (join s1 s2)* (**is** *?lhs* = *?rhs*)

**proof**

**assume** *?lhs*

**then show** *?rhs*

**using** *assms(1)* **apply** (*cases s1; cases s2; auto*)

**apply** (*metis Value.inject(1) valid-int*)

**by** (*smt (z3) valid-int valid-stamp.simps(1) valid-value.simps(1)*)

**next**

**assume** *?rhs*

**then show** *?lhs*

**using** *assms* **apply** (*cases s1; cases s2; simp*)

**by** (*smt (verit, best) assms(2) valid-int valid-value.simps(1) valid-value.simps(22)*)

**qed**

**lemma** *alwaysDistinct-evaluate*:

**assumes** *wf-stamp g stamps*

**assumes** *alwaysDistinct (stamps x) (stamps y)*

**assumes** *is-IntegerStamp (stamps x)*  $\wedge$  *is-IntegerStamp (stamps y)*  $\wedge$  *valid-stamp (stamps x)*  $\wedge$  *valid-stamp (stamps y)*

**shows**  $\neg(\exists \text{ val} . ([g, m, p] \vdash x \mapsto \text{val}) \wedge ([g, m, p] \vdash y \mapsto \text{val}))$

**proof** –

**obtain** *stampx stampy* **where** *stampdef: stampx = stamps x*  $\wedge$  *stampy = stamps y*

**by** *simp*

**then have** *xv:  $\forall xv . ([g, m, p] \vdash x \mapsto xv) \longrightarrow \text{valid-value xv stampx}$*

**by** (*meson assms(1) encodeeval.simps eval-in-ids wf-stamp.elims(2)*)

**from** *stampdef* **have** *yv:  $\forall yv . ([g, m, p] \vdash y \mapsto yv) \longrightarrow \text{valid-value yv stampy}$*

**by** (*meson assms(1) encodeeval.simps eval-in-ids wf-stamp.elims(2)*)

**have**  $\forall v . \text{valid-value } v \text{ (join stampx stampy)} = (\text{valid-value } v \text{ stampx} \wedge \text{valid-value } v \text{ stampy})$

**using** *assms(3)*

**by** (*simp add: join-valid stampdef*)

**then show** *?thesis*

**using** *assms unfolding alwaysDistinct.simps*

using *is-stamp-empty-valid stampdef xv yv* by *blast*  
**qed**

**lemma** *alwaysDistinct-valid*:

**assumes** *wf-stamp g stamps*  
**assumes** *kind g nid = (IntegerEqualsNode x y)*  
**assumes**  $[g, m, p] \vdash nid \mapsto v$   
**assumes** *alwaysDistinct (stamps x) (stamps y)*  
**shows**  $\neg(\text{val-to-bool } v)$

**proof** –

**have** *no-valid*:  $\forall \text{val}. \neg(\text{valid-value val } (\text{join } (\text{stamps } x) (\text{stamps } y)))$   
**by** (*smt (verit, best) is-stamp-empty.elims(2) valid-int valid-value.simps(1) assms(1,4) alwaysDistinct.simps*)  
**obtain** *xv ye* **where** *repr*: *rep g nid (BinaryExpr BinIntegerEquals xv ye)*  
**by** (*metis assms(2) assms(3) encodeeval.simps rep-integer-equals*)  
**moreover** **have** *evale*:  $[m, p] \vdash (\text{BinaryExpr BinIntegerEquals xv ye}) \mapsto v$   
**by** (*metis assms(3) calculation encodeeval.simps repDet*)  
**moreover** **have** *repsub*:  $\text{rep } g \ x \ xv \wedge \text{rep } g \ y \ ye$   
**by** (*metis IRNode.distinct(1955) IRNode.distinct(1997) IRNode.inject(17) IntegerEqualsNodeE assms(2) calculation*)  
**ultimately obtain** *xv yv* **where** *evalsub*:  $[g, m, p] \vdash x \mapsto xv \wedge [g, m, p] \vdash y \mapsto yv$   
**by** (*meson BinaryExprE encodeeval.simps*)  
**have** *xvalid*: *valid-value xv (stamps x)*  
**using** *assms(1) encode-in-ids encodeeval.simps evalsub wf-stamp.simps* by *blast*  
**then** **have** *xint*: *is-IntegerStamp (stamps x)*  
**using** *assms(4) valid-value.elims(2)* by *fastforce*  
**then** **have** *xstamp*: *valid-stamp (stamps x)*  
**using** *xvalid* **apply** (*cases xv; auto*)  
**apply** (*smt (z3) valid-stamp.simps(6) valid-value.elims(1)*)  
**using** *is-IntegerStamp-def* by *fastforce*  
**have** *yvalid*: *valid-value yv (stamps y)*  
**using** *assms(1) encode-in-ids encodeeval.simps evalsub wf-stamp.simps* by *blast*  
**then** **have** *yint*: *is-IntegerStamp (stamps y)*  
**using** *assms(4) valid-value.elims(2)* by *fastforce*  
**then** **have** *ystamp*: *valid-stamp (stamps y)*  
**using** *yvalid* **apply** (*cases yv; auto*)  
**apply** (*smt (z3) valid-stamp.simps(6) valid-value.elims(1)*)  
**using** *is-IntegerStamp-def* by *fastforce*  
**have** *disjoint*:  $\neg(\exists \text{val}. ([g, m, p] \vdash x \mapsto \text{val}) \wedge ([g, m, p] \vdash y \mapsto \text{val}))$   
**using** *alwaysDistinct-evaluate*  
**using** *assms(1) assms(4) xint yint xvalid yvalid xstamp ystamp* by *simp*  
**have**  $v = \text{bin-eval BinIntegerEquals } xv \ yv$   
**by** (*metis BinaryExprE encodeeval.simps evale evalsub graphDet repsub*)  
**also** **have**  $v \neq \text{UndefVal}$   
**using** *evale* by *auto*  
**ultimately** **have**  $\exists b1 \ b2. v = \text{bool-to-val-bin } b1 \ b2 \ (xv = yv)$   
**unfolding** *bin-eval.simps*



```

    by (smt (z3) Value.inject(1) bool-to-val-bin.simps intval-equals.elims)
  then show ?thesis
    by (metis (mono-tags, lifting) ⟨v::Value⟩ ≠ UndefVal bool-to-val.elims bool-to-val-bin.simps
disjoint evalsub val-to-bool.simps(1))
qed
thm-oracles alwaysDistinct-valid

```

```

lemma unwrap-valid:
  assumes 0 < b ∧ b ≤ 64
  assumes take-bit (b::nat) (vv::64 word) = vv
  shows (vv::64 word) = take-bit b (word-of-int (int-signed-value (b::nat) (vv::64
word)))
  using assms apply auto[1]
  by (simp add: take-bit-signed-take-bit)

```

```

lemma asConstant-valid:
  assumes asConstant s = val
  assumes val ≠ UndefVal
  assumes valid-value v s
  shows v = val
proof -
  obtain b l h where s: s = IntegerStamp b l h
    using assms(1,2) by (cases s; auto)
  obtain vv where vdef: v = IntVal b vv
    using assms(3) s valid-int by blast
  have l ≤ int-signed-value b vv ∧ int-signed-value b vv ≤ h
    by (metis ⟨v::Value⟩ = IntVal (b::nat) (vv::64 word) assms(3) s valid-value.simps(1))
  then have veq: int-signed-value b vv = l
    by (smt (verit) asConstant.simps(1) assms(1) assms(2) s)
  have valdef: val = new-int b (word-of-int l)
    by (metis asConstant.simps(1) assms(1) assms(2) s)
  have take-bit b vv = vv
    by (metis ⟨v::Value⟩ = IntVal (b::nat) (vv::64 word) assms(3) s valid-value.simps(1))
  then show ?thesis
    using veq vdef valdef
    using assms(3) s unwrap-valid by force
qed

```

```

lemma neverDistinct-valid:
  assumes wf-stamp g stamps
  assumes kind g nid = (IntegerEqualsNode x y)
  assumes [g, m, p] ⊢ nid ↦ v
  assumes neverDistinct (stamps x) (stamps y)
  shows val-to-bool v
proof -
  obtain val where constr: asConstant (stamps x) = val
    by simp
  moreover have val ≠ UndefVal
    using assms(4) calculation by auto

```

**then have** *constx*:  $val = asConstant (stamps\ y)$   
**using** *calculation* *assms*(4) **by** *force*  
**obtain** *x* *ye* **where** *repr*:  $rep\ g\ nid\ (BinaryExpr\ BinIntegerEquals\ xe\ ye)$   
**by** (*metis* *assms*(2) *assms*(3) *encodeeval.simps* *rep-integer-equals*)  
**moreover have** *e**val*:  $[m, p] \vdash (BinaryExpr\ BinIntegerEquals\ xe\ ye) \mapsto v$   
**by** (*metis* *assms*(3) *calculation* *encodeeval.simps* *repDet*)  
**moreover have** *repsub*:  $rep\ g\ x\ xe \wedge rep\ g\ y\ ye$   
**by** (*metis* *IRNode.distinct*(1955) *IRNode.distinct*(1997) *IRNode.inject*(17) *IntegerEqualsNodeE* *assms*(2) *calculation*)  
**ultimately obtain** *xv* *yv* **where** *evalsub*:  $[g, m, p] \vdash x \mapsto xv \wedge [g, m, p] \vdash y \mapsto yv$   
**by** (*meson* *BinaryExprE* *encodeeval.simps*)  
**have** *xvalid*: *valid-value* *xv* (*stamps* *x*)  
**using** *assms*(1) *encode-in-ids* *encodeeval.simps* *evalsub* *wf-stamp.simps* **by** *blast*  
**then have** *xint*: *is-IntegerStamp* (*stamps* *x*)  
**using** *assms*(4) *valid-value.elims*(2) **by** *fastforce*  
**have** *yvalid*: *valid-value* *yv* (*stamps* *y*)  
**using** *assms*(1) *encode-in-ids* *encodeeval.simps* *evalsub* *wf-stamp.simps* **by** *blast*  
**then have** *yint*: *is-IntegerStamp* (*stamps* *y*)  
**using** *assms*(4) *valid-value.elims*(2) **by** *fastforce*  
**have** *eq*:  $\forall v1\ v2. ([g, m, p] \vdash x \mapsto v1) \wedge ([g, m, p] \vdash y \mapsto v2) \longrightarrow v1 = v2$   
**by** (*metis* *asConstant-valid* *assms*(4) *encodeEvalDet* *evalsub* *neverDistinct.elims*(1) *xvalid* *yvalid*)  
**have**  $v = bin-eval\ BinIntegerEquals\ xv\ yv$   
**by** (*metis* *BinaryExprE* *encodeeval.simps* *e**val* *evalsub* *graphDet* *repsub*)  
**also have**  $v \neq UndefinedVal$   
**using** *e**val* **by** *auto*  
**ultimately have**  $\exists b1\ b2. v = bool-to-val-bin\ b1\ b2\ (xv = yv)$   
**unfolding** *bin-eval.simps*  
**by** (*smt* (*z3*) *Value.inject*(1) *bool-to-val-bin.simps* *intval-equals.elims*)  
**then show** *?thesis*  
**using**  $\langle v::Value \neq UndefinedVal \rangle eq\ evalsub$  **by** *fastforce*  
**qed**

**lemma** *stampUnder-valid*:

**assumes** *wf-stamp* *g* *stamps*  
**assumes** *kind* *g* *nid* = (*IntegerLessThanNode* *x* *y*)  
**assumes**  $[g, m, p] \vdash nid \mapsto v$   
**assumes** *stpi-upper* (*stamps* *x*) < *stpi-lower* (*stamps* *y*)  
**shows** *val-to-bool* *v*

**proof** –

**obtain** *x* *ye* **where** *repr*:  $rep\ g\ nid\ (BinaryExpr\ BinIntegerLessThan\ xe\ ye)$   
**by** (*metis* *assms*(2) *assms*(3) *encodeeval.simps* *rep-integer-less-than*)  
**moreover have** *e**val*:  $[m, p] \vdash (BinaryExpr\ BinIntegerLessThan\ xe\ ye) \mapsto v$   
**by** (*metis* *assms*(3) *calculation* *encodeeval.simps* *repDet*)  
**moreover have** *repsub*:  $rep\ g\ x\ xe \wedge rep\ g\ y\ ye$   
**by** (*metis* *IRNode.distinct*(2047) *IRNode.distinct*(2089) *IRNode.inject*(18) *IntegerLessThanNodeE* *assms*(2) *repr*)  
**ultimately obtain** *xv* *yv* **where** *evalsub*:  $[g, m, p] \vdash x \mapsto xv \wedge [g, m, p] \vdash y \mapsto yv$

$yv$   
**by** (*meson BinaryExprE encodeeval.simps*)  
**have**  $vval: v = \text{intval-less-than } xv \ yv$   
**by** (*metis BinaryExprE bin-eval.simps(14) encodeEvalDet encodeeval.simps evale evalsub repsub*)  
**then obtain**  $b \ xvv$  **where**  $xv = \text{IntVal } b \ xvv$   
**by** (*metis bin-eval.simps(14) defined-eval-is-intval evale evaltree-not-undef is-IntVal-def*)  
**also have**  $xvalid: \text{valid-value } xv \ (\text{stamps } x)$   
**by** (*meson assms(1) encodeeval.simps eval-in-ids evalsub wf-stamp.elims(2)*)  
**then obtain**  $xl \ xh$  **where**  $xstamp: \text{stamps } x = \text{IntegerStamp } b \ xl \ xh$   
**using** *calculation valid-value.simps* **apply** (*cases stamps x; auto*)  
**by** *presburger*  
**from**  $vval$  **obtain**  $yvv$  **where**  $yint: yv = \text{IntVal } b \ yvv$   
**by** (*metis Value.collapse(1) bin-eval.simps(14) bool-to-val-bin.simps calculation defined-eval-is-intval evale evaltree-not-undef intval-less-than.simps(1)*)  
**then have**  $yvalid: \text{valid-value } yv \ (\text{stamps } y)$   
**using** *assms(1) encodeeval.simps evalsub no-encoding wf-stamp.simps* **by** *blast*  
**then obtain**  $yl \ yh$  **where**  $ystamp: \text{stamps } y = \text{IntegerStamp } b \ yl \ yh$   
**using** *calculation yint valid-value.simps* **apply** (*cases stamps y; auto*)  
**by** *presburger*  
**have**  $\text{int-signed-value } b \ xvv \leq xh$   
**using** *calculation valid-value.simps(1) xstamp xvalid* **by** *presburger*  
**moreover have**  $yl \leq \text{int-signed-value } b \ yvv$   
**using** *valid-value.simps(1) yint ystamp yvalid* **by** *presburger*  
**moreover have**  $xh < yl$   
**using** *assms(4) xstamp ystamp* **by** *auto*  
**ultimately have**  $\text{int-signed-value } b \ xvv < \text{int-signed-value } b \ yvv$   
**by** *linarith*  
**then have**  $\text{val-to-bool } (\text{intval-less-than } xv \ yv)$   
**by** (*simp add: <(xv::Value) = IntVal (b::nat) (xvv::64 word)> yint*)  
**then show** *?thesis*  
**by** (*simp add: vval*)  
**qed**

**lemma** *stampOver-valid:*

**assumes** *wf-stamp g stamps*  
**assumes**  $\text{kind } g \ nid = (\text{IntegerLessThanNode } x \ y)$   
**assumes**  $[g, m, p] \vdash nid \mapsto v$   
**assumes**  $\text{stpi-lower } (\text{stamps } x) \geq \text{stpi-upper } (\text{stamps } y)$   
**shows**  $\neg(\text{val-to-bool } v)$

**proof** –

**obtain**  $xe \ ye$  **where**  $\text{repr}: \text{rep } g \ nid \ (\text{BinaryExpr BinIntegerLessThan } xe \ ye)$   
**by** (*metis assms(2) assms(3) encodeeval.simps rep-integer-less-than*)  
**moreover have**  $\text{evale}: [m, p] \vdash (\text{BinaryExpr BinIntegerLessThan } xe \ ye) \mapsto v$   
**by** (*metis assms(3) calculation encodeeval.simps repDet*)  
**moreover have**  $\text{repsub}: \text{rep } g \ x \ xe \wedge \text{rep } g \ y \ ye$   
**by** (*metis IRNode.distinct(2047) IRNode.distinct(2089) IRNode.inject(18) IntegerLessThanNodeE assms(2) repr*)

**ultimately obtain**  $xv\ yv$  **where**  $evalsub: [g, m, p] \vdash x \mapsto xv \wedge [g, m, p] \vdash y \mapsto yv$   
**by** (*meson BinaryExprE encodeeval.simps*)  
**have**  $vval: v = intval-less-than\ xv\ yv$   
**by** (*metis BinaryExprE bin-eval.simps(14) encodeEvalDet encodeeval.simps evale evalsub repsub*)  
**then obtain**  $b\ xv\ yv$  **where**  $xv = IntVal\ b\ xv$   
**by** (*metis bin-eval.simps(14) defined-eval-is-intval evale evaltree-not-undef is-IntVal-def*)  
**also have**  $xvalid: valid-value\ xv\ (stamps\ x)$   
**by** (*meson assms(1) encodeeval.simps eval-in-ids evalsub wf-stamp.elims(2)*)  
**then obtain**  $xl\ xh$  **where**  $xstamp: stamps\ x = IntegerStamp\ b\ xl\ xh$   
**using** *calculation valid-value.simps apply (cases stamps x; auto)*  
**by** *presburger*  
**from**  $vval$  **obtain**  $yv\ yv$  **where**  $yint: yv = IntVal\ b\ yv$   
**by** (*metis Value.collapse(1) bin-eval.simps(14) bool-to-val-bin.simps calculation defined-eval-is-intval evale evaltree-not-undef intval-less-than.simps(1)*)  
**then have**  $yvalid: valid-value\ yv\ (stamps\ y)$   
**using** *assms(1) encodeeval.simps evalsub no-encoding wf-stamp.simps* **by** *blast*  
**then obtain**  $yl\ yh$  **where**  $ystamp: stamps\ y = IntegerStamp\ b\ yl\ yh$   
**using** *calculation yint valid-value.simps apply (cases stamps y; auto)*  
**by** *presburger*  
**have**  $xl \leq int-signed-value\ b\ xv$   
**using** *calculation valid-value.simps(1) xstamp xvalid* **by** *presburger*  
**moreover have**  $int-signed-value\ b\ yv \leq yh$   
**using** *valid-value.simps(1) yint ystamp yvalid* **by** *presburger*  
**moreover have**  $xl \geq yh$   
**using** *assms(4) xstamp ystamp* **by** *auto*  
**ultimately have**  $int-signed-value\ b\ xv \geq int-signed-value\ b\ yv$   
**by** *linarith*  
**then have**  $\neg(val-to-bool\ (intval-less-than\ xv\ yv))$   
**by** (*simp add: <(xv::Value) = IntVal (b::nat) (xv::64 word)> yint*)  
**then show** *?thesis*  
**by** (*simp add: vval*)

qed

**theorem** *tryFoldTrue-valid:*

**assumes** *wf-stamp g stamps*  
**assumes** *tryFold (kind g nid) stamps True*  
**assumes**  $[g, m, p] \vdash nid \mapsto v$   
**shows** *val-to-bool v*  
**using** *assms(2) proof (induction kind g nid stamps True rule: tryFold.induct)*  
**case** *(1 stamps x y)*  
**then show** *?case*  
**using** *alwaysDistinct-valid assms* **by** *force*  
**next**  
**case** *(2 stamps x y)*  
**then show** *?case*  
**by** (*smt (verit, best) one-neq-zero tryFold.cases neverDistinct-valid assms*)

```

      stampUnder-valid val-to-bool.simps(1))
next
  case (3 stamps x y)
  then show ?case
    by (smt (verit, best) one-neq-zero tryFold.cases neverDistinct-valid assms
        val-to-bool.simps(1) stampUnder-valid)
next
case (4 stamps x y)
  then show ?case
    by force
qed

theorem tryFoldFalse-valid:
  assumes wf-stamp g stamps
  assumes tryFold (kind g nid) stamps False
  assumes [g, m, p] ⊢ nid ↦ v
  shows ¬(val-to-bool v)
using assms(2) proof (induction kind g nid stamps False rule: tryFold.induct)
case (1 stamps x y)
  then show ?case
    by (smt (verit) stampOver-valid alwaysDistinct-valid tryFold.cases
        neverDistinct-valid val-to-bool.simps(1) assms)
next
case (2 stamps x y)
  then show ?case
    by blast
next
  case (3 stamps x y)
  then show ?case
    by blast
next
  case (4 stamps x y)
  then show ?case
    by (smt (verit, del-insts) tryFold.cases alwaysDistinct-valid val-to-bool.simps(1)
        stampOver-valid assms)
qed

```

## 12.2 Lift rules

**inductive** *condset-implies* :: *IRExpr set* ⇒ *IRExpr* ⇒ *bool* ⇒ *bool* **where**  
*impliesTrue*:  
 $(\exists ce \in \text{conds} . (ce \Rightarrow cond)) \Longrightarrow \text{condset-implies conds cond True}$  |  
*impliesFalse*:  
 $(\exists ce \in \text{conds} . (ce \Rightarrow \neg cond)) \Longrightarrow \text{condset-implies conds cond False}$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ) *condset-implies* .

The *cond-implies* function lifts the structural and type implication rules to the one relation.

```

fun conds-implies :: IRExpr set  $\Rightarrow$  (ID  $\Rightarrow$  Stamp)  $\Rightarrow$  IRNode  $\Rightarrow$  IRExpr  $\Rightarrow$  bool option where
  conds-implies conds stamps condNode cond =
    (if condset-implies conds cond True  $\vee$  tryFold condNode stamps True
     then Some True
     else if condset-implies conds cond False  $\vee$  tryFold condNode stamps False
     then Some False
     else None)

```

Perform conditional elimination rewrites on the graph for a particular node by lifting the individual implication rules to a relation that rewrites the condition of *if* statements to constant values.

In order to determine conditional eliminations appropriately the rule needs two data structures produced by static analysis. The first parameter is the set of IRNodes that we know result in a true value when evaluated. The second parameter is a mapping from node identifiers to the flow-sensitive stamp.

```

inductive ConditionalEliminationStep ::
  IRExpr set  $\Rightarrow$  (ID  $\Rightarrow$  Stamp)  $\Rightarrow$  ID  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph  $\Rightarrow$  bool
where
  impliesTrue:
    [[kind g ifcond = (IfNode cid t f);
     g  $\vdash$  cid  $\simeq$  cond;
     condNode = kind g cid;
     conds-implies conds stamps condNode cond = (Some True);
     g' = constantCondition True ifcond (kind g ifcond) g
     ]]  $\Longrightarrow$  ConditionalEliminationStep conds stamps ifcond g g' |

  impliesFalse:
    [[kind g ifcond = (IfNode cid t f);
     g  $\vdash$  cid  $\simeq$  cond;
     condNode = kind g cid;
     conds-implies conds stamps condNode cond = (Some False);
     g' = constantCondition False ifcond (kind g ifcond) g
     ]]  $\Longrightarrow$  ConditionalEliminationStep conds stamps ifcond g g' |

  unknown:
    [[kind g ifcond = (IfNode cid t f);
     g  $\vdash$  cid  $\simeq$  cond;
     condNode = kind g cid;
     conds-implies conds stamps condNode cond = None
     ]]  $\Longrightarrow$  ConditionalEliminationStep conds stamps ifcond g g |

  notIfNode:
     $\neg$ (is-IfNode (kind g ifcond))  $\Longrightarrow$ 
      ConditionalEliminationStep conds stamps ifcond g g

```

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *ConditionalEliminationStep* .

**thm** *ConditionalEliminationStep.equation*

### 12.3 Control-flow Graph Traversal

**type-synonym** *Seen* = *ID set*

**type-synonym** *Condition* = *IRExpr*

**type-synonym** *Conditions* = *Condition list*

**type-synonym** *StampFlow* = (*ID*  $\Rightarrow$  *Stamp*) *list*

**type-synonym** *ToVisit* = *ID list*

*nextEdge* helps determine which node to traverse next by returning the first successor edge that isn't in the set of already visited nodes. If there is not an appropriate successor, *None* is returned instead.

```
fun nextEdge :: Seen  $\Rightarrow$  ID  $\Rightarrow$  IRGraph  $\Rightarrow$  ID option where
  nextEdge seen nid g =
    (let nids = (filter ( $\lambda$ nid'. nid'  $\notin$  seen) (successors-of (kind g nid))) in
     (if length nids > 0 then Some (hd nids) else None))
```

*pred* determines which node, if any, acts as the predecessor of another.

Merge nodes represent a special case wherein the predecessor exists as an input edge of the merge node, to simplify the traversal we treat only the first input end node as the predecessor, ignoring that multiple nodes may act as a successor.

For all other nodes, the predecessor is the first element of the predecessors set. Note that in a well-formed graph there should only be one element in the predecessor set.

```
fun preds :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID list where
  preds g nid = (case kind g nid of
    (MergeNode ends -)  $\Rightarrow$  ends |
    -  $\Rightarrow$ 
      sorted-list-of-set (IRGraph.predecessors g nid)
  )
```

```
fun pred :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID option where
  pred g nid = (case preds g nid of []  $\Rightarrow$  None | x # xs  $\Rightarrow$  Some x)
```

When the basic block of an if statement is entered, we know that the condition of the preceding if statement must be true. As in the GraalVM compiler, we introduce the `registerNewCondition` function which roughly corresponds to `ConditionalEliminationPhase.registerNewCondition`. This method updates the flow-sensitive stamp information based on the condition which we know must be true.

```
fun clip-upper :: Stamp  $\Rightarrow$  int  $\Rightarrow$  Stamp where
  clip-upper (IntegerStamp b l h) c =
    (if c < h then (IntegerStamp b l c) else (IntegerStamp b l h)) |
```

```

clip-upper s c = s
fun clip-lower :: Stamp ⇒ int ⇒ Stamp where
clip-lower (IntegerStamp b l h) c =
  (if l < c then (IntegerStamp b c h) else (IntegerStamp b l c)) |
clip-lower s c = s

fun max-lower :: Stamp ⇒ Stamp ⇒ Stamp where
max-lower (IntegerStamp b1 xl xh) (IntegerStamp b2 yl yh) =
  (IntegerStamp b1 (max xl yl) xh) |
max-lower xs ys = xs
fun min-higher :: Stamp ⇒ Stamp ⇒ Stamp where
min-higher (IntegerStamp b1 xl xh) (IntegerStamp b2 yl yh) =
  (IntegerStamp b1 yl (min xh yh)) |
min-higher xs ys = ys

fun registerNewCondition :: IRGraph ⇒ IRNode ⇒ (ID ⇒ Stamp) ⇒ (ID ⇒
Stamp) where
— constrain equality by joining the stamps
registerNewCondition g (IntegerEqualsNode x y) stamps =
  (stamps
   (x := join (stamps x) (stamps y)))
  (y := join (stamps x) (stamps y)) |
— constrain less than by removing overlapping stamps
registerNewCondition g (IntegerLessThanNode x y) stamps =
  (stamps
   (x := clip-upper (stamps x) ((stpi-lower (stamps y)) - 1)))
  (y := clip-lower (stamps y) ((stpi-upper (stamps x)) + 1)) |
registerNewCondition g (LogicNegationNode c) stamps =
  (case (kind g c) of
   (IntegerLessThanNode x y) ⇒
    (stamps
     (x := max-lower (stamps x) (stamps y)))
     (y := min-higher (stamps x) (stamps y))
    | - ⇒ stamps) |
registerNewCondition g - stamps = stamps

fun hdOr :: 'a list ⇒ 'a ⇒ 'a where
hdOr (x # xs) de = x |
hdOr [] de = de

```

**type-synonym** DominatorCache = (ID, ID set) map

**inductive**

```

dominators-all :: IRGraph ⇒ DominatorCache ⇒ ID ⇒ ID set set ⇒ ID list ⇒
DominatorCache ⇒ ID set set ⇒ ID list ⇒ bool and
dominators :: IRGraph ⇒ DominatorCache ⇒ ID ⇒ (ID set × DominatorCache)
⇒ bool where

```



```

[[pre = []]]
  => dominators-all g c nid doms pre c doms pre |

[[pre = pr # xs;
  (dominators g c pr (doms', c'));
  dominators-all g c' pr (doms ∪ {doms'}) xs c'' doms'' pre]]
  => dominators-all g c nid doms pre c'' doms'' pre' |

[[preds g nid = []]]
  => dominators g c nid ({nid}, c) |

[[c nid = None;
  preds g nid = x # xs;
  dominators-all g c nid {} (preds g nid) c' doms pre';
  c'' = c'(nid ↦ ({nid} ∪ (∩ doms)))]
  => dominators g c nid (({nid} ∪ (∩ doms)), c'') |

[[c nid = Some doms]]
  => dominators g c nid (doms, c)

```

— Trying to simplify by removing the 3rd case won't work. A base case for root nodes is required as  $\bigcap \emptyset = \text{coset } []$  which swallows anything unioned with it.

```

value  $\bigcap (\{\}::\text{nat set set})$ 
value  $-\bigcap (\{\}::\text{nat set set})$ 
value  $\bigcap (\{\}, \{0\}::\text{nat set set})$ 
value  $\{0::\text{nat}\} \cup (\bigcap \{\})$ 

```

```

code-pred (modes: i ⇒ i ⇒ i ⇒ i ⇒ i ⇒ o ⇒ o ⇒ o ⇒ bool) dominators-all .
code-pred (modes: i ⇒ i ⇒ i ⇒ o ⇒ bool) dominators .

```

**definition** *ConditionalEliminationTest13-testSnippet2-initial* :: IRGraph **where**

```

ConditionalEliminationTest13-testSnippet2-initial = irgraph [
  (0, (StartNode (Some 2) 8), VoidStamp),
  (1, (ParameterNode 0), IntegerStamp 32 (-2147483648) (2147483647)),
  (2, (FrameState [] None None None), IllegalStamp),
  (3, (ConstantNode (new-int 32 (0))), IntegerStamp 32 (0) (0)),
  (4, (ConstantNode (new-int 32 (1))), IntegerStamp 32 (1) (1)),
  (5, (IntegerLessThanNode 1 4), VoidStamp),
  (6, (BeginNode 13), VoidStamp),
  (7, (BeginNode 23), VoidStamp),
  (8, (IfNode 5 7 6), VoidStamp),
  (9, (ConstantNode (new-int 32 (-1))), IntegerStamp 32 (-1) (-1)),
  (10, (IntegerEqualsNode 1 9), VoidStamp),
  (11, (BeginNode 17), VoidStamp),
  (12, (BeginNode 15), VoidStamp),
  (13, (IfNode 10 12 11), VoidStamp),
  (14, (ConstantNode (new-int 32 (-2))), IntegerStamp 32 (-2) (-2)),

```

```

(15, (StoreFieldNode 15 "org.graalvm.compiler.core.test.ConditionalEliminationTestBase::sink2"
14 (Some 16) None 19), VoidStamp),
(16, (FrameState [] None None None), IllegalStamp),
(17, (EndNode), VoidStamp),
(18, (MergeNode [17, 19] (Some 20) 21), VoidStamp),
(19, (EndNode), VoidStamp),
(20, (FrameState [] None None None), IllegalStamp),
(21, (StoreFieldNode 21 "org.graalvm.compiler.core.test.ConditionalEliminationTestBase::sink1"
3 (Some 22) None 25), VoidStamp),
(22, (FrameState [] None None None), IllegalStamp),
(23, (EndNode), VoidStamp),
(24, (MergeNode [23, 25] (Some 26) 27), VoidStamp),
(25, (EndNode), VoidStamp),
(26, (FrameState [] None None None), IllegalStamp),
(27, (StoreFieldNode 27 "org.graalvm.compiler.core.test.ConditionalEliminationTestBase::sink0"
9 (Some 28) None 29), VoidStamp),
(28, (FrameState [] None None None), IllegalStamp),
(29, (ReturnNode None None), VoidStamp)
]

```

```

values {(snd x) 13 | x. dominators ConditionalEliminationTest13-testSnippet2-initial
Map.empty 25 x}

```

### inductive

```

condition-of :: IRGraph ⇒ ID ⇒ (IRExpr × IRNode) option ⇒ bool where
[[Some ifcond = pred g nid;
kind g ifcond = IfNode cond t f;

i = find-index nid (successors-of (kind g ifcond));
c = (if i = 0 then kind g cond else LogicNegationNode cond);
rep g cond ce;
ce' = (if i = 0 then ce else UnaryExpr UnaryLogicNegation ce)]]
⇒ condition-of g nid (Some (ce', c)) |

[[pred g nid = None]] ⇒ condition-of g nid None |
[[pred g nid = Some nid';
¬(is-IfNode (kind g nid'))]] ⇒ condition-of g nid None

```

**code-pred** (modes:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) condition-of .

**fun** conditions-of-dominators :: IRGraph ⇒ ID list ⇒ Conditions ⇒ Conditions  
**where**

```

conditions-of-dominators g [] cds = cds |

```

```

conditions-of-dominators g (nid # nids) cds =
  (case (Predicate.the (condition-of-i-i-o g nid)) of
    None => conditions-of-dominators g nids cds |
    Some (expr, -) => conditions-of-dominators g nids (expr # cds))

```

```

fun stamps-of-dominators :: IRGraph => ID list => StampFlow => StampFlow
where
  stamps-of-dominators g [] stamps = stamps |
  stamps-of-dominators g (nid # nids) stamps =
    (case (Predicate.the (condition-of-i-i-o g nid)) of
      None => stamps-of-dominators g nids stamps |
      Some (-, node) => stamps-of-dominators g nids
        ((registerNewCondition g node (hd stamps)) # stamps))

```

**inductive**

```

analyse :: IRGraph => DominatorCache => ID => (Conditions × StampFlow ×
DominatorCache) => bool where
  [[dominators g c nid (doms, c');
   conditions-of-dominators g (sorted-list-of-set doms) [] = conds;
   stamps-of-dominators g (sorted-list-of-set doms) [stamp g] = stamps]]
  => analyse g c nid (conds, stamps, c')

```

**code-pred** (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) analyse .

```

values {x. dominators ConditionalEliminationTest13-testSnippet2-initial Map.empty
13 x}
values {(conds, stamps, c).
analyse ConditionalEliminationTest13-testSnippet2-initial Map.empty 13 (conds,
stamps, c)}
values {(hd stamps) 1 | conds stamps c .
analyse ConditionalEliminationTest13-testSnippet2-initial Map.empty 13 (conds,
stamps, c)}
values {(hd stamps) 1 | conds stamps c .
analyse ConditionalEliminationTest13-testSnippet2-initial Map.empty 27 (conds,
stamps, c)}

```

```

fun next-nid :: IRGraph => ID set => ID => ID option where
  next-nid g seen nid = (case (kind g nid) of
    (EndNode) => Some (any-usage g nid) |
    - => nextEdge seen nid g)

```

**inductive** Step

```

:: IRGraph => (ID × Seen) => (ID × Seen) option => bool

```

**for**  $g$  **where**

— We can find a successor edge that is not in seen, go there

$\llbracket \text{seen}' = \{nid\} \cup \text{seen};$

$\text{Some } nid' = \text{next-nid } g \text{ seen}' \text{ nid};$

$nid' \notin \text{seen}' \rrbracket$

$\implies \text{Step } g (nid, \text{seen}) (\text{Some } (nid', \text{seen}')) \mid$

— We can cannot find a successor edge that is not in seen, give back None

$\llbracket \text{seen}' = \{nid\} \cup \text{seen};$

$\text{None} = \text{next-nid } g \text{ seen}' \text{ nid} \rrbracket$

$\implies \text{Step } g (nid, \text{seen}) \text{None} \mid$

— We've already seen this node, give back None

$\llbracket \text{seen}' = \{nid\} \cup \text{seen};$

$\text{Some } nid' = \text{next-nid } g \text{ seen}' \text{ nid};$

$nid' \in \text{seen}' \rrbracket \implies \text{Step } g (nid, \text{seen}) \text{None}$

**code-pred** ( $\text{modes}: i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ )  $\text{Step} .$

**fun**  $\text{nextNode} :: \text{IRGraph} \Rightarrow \text{Seen} \Rightarrow (\text{ID} \times \text{Seen}) \text{option}$  **where**

$\text{nextNode } g \text{ seen} =$

$(\text{let } \text{toSee} = \text{sorted-list-of-set } \{n \in \text{ids } g. n \notin \text{seen}\} \text{ in}$

$\text{case } \text{toSee} \text{ of } [] \Rightarrow \text{None} \mid (x \# xs) \Rightarrow \text{Some } (x, \text{seen} \cup \{x\}))$

**values**  $\{x. \text{Step } \text{ConditionalEliminationTest13-testSnippet2-initial } (17, \{17,11,25,21,18,19,15,12,13,6,29,27,2\}, x)$

The *ConditionalEliminationPhase* relation is responsible for combining the individual traversal steps from the *Step* relation and the optimizations from the *ConditionalEliminationStep* relation to perform a transformation of the whole graph.

**inductive** *ConditionalEliminationPhase*

$:: (\text{Seen} \times \text{DominatorCache}) \Rightarrow \text{IRGraph} \Rightarrow \text{IRGraph} \Rightarrow \text{bool}$

**where**

— Can do a step and optimise for the current node

$\llbracket \text{nextNode } g \text{ seen} = \text{Some } (nid, \text{seen}');$

$\text{analyse } g \text{ c } nid (\text{conds}, \text{flow}, \text{c}');$

$\text{ConditionalEliminationStep } (\text{set } \text{conds}) (\text{hd } \text{flow}) \text{nid } g \text{ g}';$

$\text{ConditionalEliminationPhase } (\text{seen}', \text{c}') \text{g}' \text{g}'' \rrbracket$

$\implies \text{ConditionalEliminationPhase } (\text{seen}, \text{c}) \text{g} \text{g}'' \mid$

$\llbracket \text{nextNode } g \text{ seen} = \text{None} \rrbracket$

$\implies \text{ConditionalEliminationPhase } (\text{seen}, \text{c}) \text{g} \text{g}$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *ConditionalEliminationPhase* .

**definition** *runConditionalElimination* :: *IRGraph*  $\Rightarrow$  *IRGraph* **where**  
*runConditionalElimination* *g* =  
(*Predicate.the* (*ConditionalEliminationPhase-i-i-o* ( $\{\}$ ), *Map.empty*) *g*)

**values**  $\{(doms, c') \mid doms\ c'\}$   
*dominators* *ConditionalEliminationTest13-testSnippet2-initial* *Map.empty* 6 (*doms*,  
*c'*)

**values**  $\{(conds, stamps, c) \mid conds\ stamps\ c\}$   
*analyse* *ConditionalEliminationTest13-testSnippet2-initial* *Map.empty* 6 (*conds*, *stamps*,  
*c*)

**value**

(*nextNode*

*ConditionalEliminationTest13-testSnippet2-initial*  $\{0,1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21\}$ )

**lemma** *IfNodeStepE*:  $g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \Longrightarrow$

$(\bigwedge cond\ tb\ fb\ val.$

$kind\ g\ nid = IfNode\ cond\ tb\ fb \Longrightarrow$

$nid' = (if\ val\text{-to-bool}\ val\ then\ tb\ else\ fb) \Longrightarrow$

$[g, m, p] \vdash cond \mapsto val \Longrightarrow m' = m)$

**using** *StepE*

**by** (*smt* (*verit*, *best*) *IfNode* *Pair-inject* *stepDet*)

**lemma** *ifNodeHasCondEvalStutter*:

**assumes** ( $g\ m\ p\ h \vdash nid \rightsquigarrow nid'$ )

**assumes**  $kind\ g\ nid = IfNode\ cond\ t\ f$

**shows**  $\exists v. ([g, m, p] \vdash cond \mapsto v)$

**using** *IfNodeStepE* *assms(1)* *assms(2)* *stutter.cases* **unfolding** *encodeeval.simps*

**by** (*smt* (*verit*, *ccfv-SIG*) *IfNodeCond*)

**lemma** *ifNodeHasCondEval*:

**assumes** ( $g, p \vdash (nid, m, h) \rightarrow (nid', m', h')$ )

**assumes**  $kind\ g\ nid = IfNode\ cond\ t\ f$

**shows**  $\exists v. ([g, m, p] \vdash cond \mapsto v)$

**using** *IfNodeStepE* *assms(1)* *assms(2)* **apply** *auto[1]*

**by** (*smt* (*verit*) *IRNode.disc*(1966) *IRNode.distinct*(1733) *IRNode.distinct*(1735)

*IRNode.distinct*(1755) *IRNode.distinct*(1757) *IRNode.distinct*(1777) *IRNode.distinct*(1783)

*IRNode.distinct*(1787) *IRNode.distinct*(1789) *IRNode.distinct*(401) *IRNode.distinct*(755)

*StutterStep* *fst-conv* *ifNodeHasCondEvalStutter* *is-AbstractEndNode.simps* *is-EndNode.simps*(16)

*snd-conv* *step.cases*)

**lemma** *replace-if-t*:

```

assumes kind  $g$   $nid = IfNode$   $cond$   $tb$   $fb$ 
assumes  $[g, m, p] \vdash cond \mapsto bool$ 
assumes val-to-bool  $bool$ 
assumes  $g'$ :  $g' = replace-usages$   $nid$   $tb$   $g$ 
shows  $\exists nid' . (g\ m\ p\ h \vdash nid \rightsquigarrow nid') \longleftrightarrow (g'\ m\ p\ h \vdash nid \rightsquigarrow nid')$ 
proof –
  have  $g1step$ :  $g, p \vdash (nid, m, h) \rightarrow (tb, m, h)$ 
    by (meson  $IfNode$   $assms(1)$   $assms(2)$   $assms(3)$  encodeeval.simps)
  have  $g2step$ :  $g', p \vdash (nid, m, h) \rightarrow (tb, m, h)$ 
    using  $g'$  unfolding replace-usages.simps
    by (simp add: stepRefNode)
  from  $g1step$   $g2step$  show ?thesis
    using StutterStep by blast
qed

```

```

lemma replace-if-t-imp:
assumes kind  $g$   $nid = IfNode$   $cond$   $tb$   $fb$ 
assumes  $[g, m, p] \vdash cond \mapsto bool$ 
assumes val-to-bool  $bool$ 
assumes  $g'$ :  $g' = replace-usages$   $nid$   $tb$   $g$ 
shows  $\exists nid' . (g\ m\ p\ h \vdash nid \rightsquigarrow nid') \longrightarrow (g'\ m\ p\ h \vdash nid \rightsquigarrow nid')$ 
using replace-if-t  $assms$  by blast

```

```

lemma replace-if-f:
assumes kind  $g$   $nid = IfNode$   $cond$   $tb$   $fb$ 
assumes  $[g, m, p] \vdash cond \mapsto bool$ 
assumes  $\neg(val-to-bool\ bool)$ 
assumes  $g'$ :  $g' = replace-usages$   $nid$   $fb$   $g$ 
shows  $\exists nid' . (g\ m\ p\ h \vdash nid \rightsquigarrow nid') \longleftrightarrow (g'\ m\ p\ h \vdash nid \rightsquigarrow nid')$ 
proof –
  have  $g1step$ :  $g, p \vdash (nid, m, h) \rightarrow (fb, m, h)$ 
    by (meson  $IfNode$   $assms(1)$   $assms(2)$   $assms(3)$  encodeeval.simps)
  have  $g2step$ :  $g', p \vdash (nid, m, h) \rightarrow (fb, m, h)$ 
    using  $g'$  unfolding replace-usages.simps
    by (simp add: stepRefNode)
  from  $g1step$   $g2step$  show ?thesis
    using StutterStep by blast
qed

```

Prove that the individual conditional elimination rules are correct with respect to preservation of stuttering steps.

```

lemma ConditionalEliminationStepProof:
assumes  $wg$ : wf-graph  $g$ 
assumes  $ws$ : wf-stamps  $g$ 
assumes  $wv$ : wf-values  $g$ 
assumes  $nid$ :  $nid \in ids$   $g$ 
assumes conds-valid:  $\forall c \in conds . \exists v . ([m, p] \vdash c \mapsto v) \wedge val-to-bool\ v$ 
assumes  $ce$ : ConditionalEliminationStep  $conds$   $stamps$   $nid$   $g$   $g'$ 

```

```

shows  $\exists nid'. (g \ m \ p \ h \vdash \text{nid} \rightsquigarrow \text{nid}') \longrightarrow (g' \ m \ p \ h \vdash \text{nid} \rightsquigarrow \text{nid}')$ 
using ce using assms
proof (induct nid g g' rule: ConditionalEliminationStep.induct)
  case (impliesTrue g ifcond cid t f cond conds g')
  show ?case proof (cases  $\exists nid'. (g \ m \ p \ h \vdash \text{ifcond} \rightsquigarrow \text{nid}')$ )
    case True
    show ?thesis
      by (metis StutterStep constantConditionNoIf constantConditionTrue impliesTrue.hyps(5))
    next
    case False
    then show ?thesis by auto
  qed
next
  case (impliesFalse g ifcond cid t f cond conds g')
  then show ?case
  proof (cases  $\exists nid'. (g \ m \ p \ h \vdash \text{ifcond} \rightsquigarrow \text{nid}')$ )
    case True
    then show ?thesis
      by (metis StutterStep constantConditionFalse constantConditionNoIf impliesFalse.hyps(5))
    next
    case False
    then show ?thesis
      by auto
  qed
next
  case (unknown g ifcond cid t f cond condNode conds stamps)
  then show ?case
    by blast
next
  case (notIfNode g ifcond conds stamps)
  then show ?case
    by blast
qed

```

Prove that the individual conditional elimination rules are correct with respect to finding a bisimulation between the unoptimized and optimized graphs.

**lemma** *ConditionalEliminationStepProofBisimulation:*

```

assumes wf: wf-graph g  $\wedge$  wf-stamp g stamps  $\wedge$  wf-values g
assumes nid: nid  $\in$  ids g
assumes conds-valid:  $\forall c \in \text{conds} . \exists v. ([m, p] \vdash c \mapsto v) \wedge \text{val-to-bool } v$ 
assumes ce: ConditionalEliminationStep conds stamps nid g g'
assumes gstep:  $\exists h \text{ nid}'. (g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h))$ 

```

```

shows nid | g  $\sim$  g'
using ce gstep using assms
proof (induct nid g g' rule: ConditionalEliminationStep.induct)

```

```

case (impliesTrue g ifcond cid t f cond condNode conds stamps g')
from impliesTrue(5) obtain h where gstep: g, p ⊢ (ifcond, m, h) → (t, m, h)
  using IfNode encodeeval.simps ifNodeHasCondEval impliesTrue.hyps(1) impliesTrue.hyps(2) impliesTrue.hyps(3) impliesTrue.prem(4) implies-impliesnot-valid implies-valid.simps repDet
  by (smt (verit) conds-implies.elims condset-implies.simps impliesTrue.hyps(4) impliesTrue.prem(1) impliesTrue.prem(2) option.distinct(1) option.inject tryFoldTrue-valid)
  have g', p ⊢ (ifcond, m, h) → (t, m, h)
  using constantConditionTrue impliesTrue.hyps(1) impliesTrue.hyps(5) by blast
  then show ?case using gstep
  by (metis stepDet strong-noop-bisimilar.intros)
next
case (impliesFalse g ifcond cid t f cond condNode conds stamps g')
from impliesFalse(5) obtain h where gstep: g, p ⊢ (ifcond, m, h) → (f, m, h)
  using IfNode encodeeval.simps ifNodeHasCondEval impliesFalse.hyps(1) impliesFalse.hyps(2) impliesFalse.hyps(3) impliesFalse.prem(4) implies-impliesnot-valid impliesnot-valid.simps repDet
  by (smt (verit) conds-implies.elims condset-implies.simps impliesFalse.hyps(4) impliesFalse.prem(1) impliesFalse.prem(2) option.distinct(1) option.inject tryFoldFalse-valid)
  have g', p ⊢ (ifcond, m, h) → (f, m, h)
  using constantConditionFalse impliesFalse.hyps(1) impliesFalse.hyps(5) by blast
  then show ?case using gstep
  by (metis stepDet strong-noop-bisimilar.intros)
next
case (unknown g ifcond cid t f cond condNode conds stamps)
then show ?case
  using strong-noop-bisimilar.simps by presburger
next
case (notIfNode g ifcond conds stamps)
then show ?case
  using strong-noop-bisimilar.simps by presburger
qed

```

**experiment begin**

**lemma** *inverse-succ:*

```

 $\forall n' \in (\text{succ } g \ n). \ n \in \text{ids } g \longrightarrow n \in (\text{predecessors } g \ n')$ 
by simp

```

**lemma** *sequential-successors:*

```

assumes is-sequential-node n
shows successors-of n ≠ []
using assms by (cases n; auto)

```

**lemma** *nid'-succ:*



```

assumes  $nid \in ids\ g$ 
assumes  $\neg(is-AbstractEndNode\ (kind\ g\ nid0))$ 
assumes  $g, p \vdash (nid0, m0, h0) \rightarrow (nid, m, h)$ 
shows  $nid \in succ\ g\ nid0$ 
using  $assms(3)$  proof ( $induction\ (nid0, m0, h0)\ (nid, m, h)$  rule: step.induct)
case SequentialNode
then show ?case
  by ( $metis\ length-greater-0-conv\ nth-mem\ sequential-successors\ succ.simps$ )
next
case (FixedGuardNode cond before val)
then have  $\{nid\} = succ\ g\ nid0$ 
  using  $IRNodes.successors-of-FixedGuardNode$  unfolding  $succ.simps$ 
  by ( $metis\ empty-set\ list.simps(15)$ )
then show ?case
  using  $FixedGuardNode.hyps(5)$  by blast
next
case (BytecodeExceptionNode args st exceptionType ref)
then have  $\{nid\} = succ\ g\ nid0$ 
  using  $IRNodes.successors-of-BytecodeExceptionNode$  unfolding  $succ.simps$ 
  by ( $metis\ empty-set\ list.simps(15)$ )
then show ?case
  by blast
next
case (IfNode cond tb fb val)
then have  $\{tb, fb\} = succ\ g\ nid0$ 
  using  $IRNodes.successors-of-IfNode$  unfolding  $succ.simps$ 
  by ( $metis\ empty-set\ list.simps(15)$ )
then show ?case
  by ( $metis\ IfNode.hyps(3)\ insert-iff$ )
next
case (EndNodes i phis inps vs)
then show ?case using  $assms(2)$  by blast
next
case (NewArrayNode len st length' arrayType h' ref refNo)
then have  $\{nid\} = succ\ g\ nid0$ 
  using  $IRNodes.successors-of-NewArrayNode$  unfolding  $succ.simps$ 
  by ( $metis\ empty-set\ list.simps(15)$ )
then show ?case
  by blast
next
case (ArrayLengthNode x ref arrayVal length')
then have  $\{nid\} = succ\ g\ nid0$ 
  using  $IRNodes.successors-of-ArrayLengthNode$  unfolding  $succ.simps$ 
  by ( $metis\ empty-set\ list.simps(15)$ )
then show ?case
  by blast
next
case (LoadIndexedNode index guard array indexVal ref arrayVal loaded)
then have  $\{nid\} = succ\ g\ nid0$ 

```

```

    using IRNodes.successors-of-LoadIndexedNode unfolding succ.simps
    by (metis empty-set list.simps(15))
  then show ?case
    by blast
next
case (StoreIndexedNode check val st index guard array indexVal ref value arrayVal
updated)
  then have {nid} = succ g nid0
    using IRNodes.successors-of-StoreIndexedNode unfolding succ.simps
    by (metis empty-set list.simps(15))
  then show ?case
    by blast
next
case (NewInstanceNode cname obj ref)
  then have {nid} = succ g nid0
    using IRNodes.successors-of-NewInstanceNode unfolding succ.simps
    by (metis empty-set list.simps(15))
  then show ?case
    by blast
next
case (LoadFieldNode f obj ref)
  then have {nid} = succ g nid0
    using IRNodes.successors-of-LoadFieldNode unfolding succ.simps
    by (metis empty-set list.simps(15))
  then show ?case
    by blast
next
case (SignedDivNode x y zero sb v1 v2)
  then have {nid} = succ g nid0
    using IRNodes.successors-of-SignedDivNode unfolding succ.simps
    by (metis empty-set list.simps(15))
  then show ?case
    by blast
next
case (SignedRemNode x y zero sb v1 v2)
  then have {nid} = succ g nid0
    using IRNodes.successors-of-SignedRemNode unfolding succ.simps
    by (metis empty-set list.simps(15))
  then show ?case
    by blast
next
case (StaticLoadFieldNode f)
  then have {nid} = succ g nid0
    using IRNodes.successors-of-LoadFieldNode unfolding succ.simps
    by (metis empty-set list.simps(15))
  then show ?case
    by blast
next
case (StoreFieldNode - - - - -)

```

```

then have {nid} = succ g nid0
  using IRNodes.successors-of-StoreFieldNode unfolding succ.simps
  by (metis empty-set list.simps(15))
then show ?case
  by blast
next
case (StaticStoreFieldNode - - -)
then have {nid} = succ g nid0
  using IRNodes.successors-of-StoreFieldNode unfolding succ.simps
  by (metis empty-set list.simps(15))
then show ?case
  by blast
qed

```

```

lemma nid'-pred:
  assumes nid ∈ ids g
  assumes ¬(is-AbstractEndNode (kind g nid0))
  assumes g, p ⊢ (nid0, m0, h0) → (nid, m, h)
  shows nid0 ∈ predecessors g nid
  using assms
  by (meson inverse-succ nid'-succ step-in-ids)

```

```

definition wf-pred:
  wf-pred g = (∀ n ∈ ids g. card (predecessors g n) = 1)

```

```

lemma
  assumes ¬(is-AbstractMergeNode (kind g n'))
  assumes wf-pred g
  shows ∃ v. predecessors g n = {v} ∧ pred g n' = Some v
  using assms unfolding pred.simps sorry

```

```

lemma inverse-succ1:
  assumes ¬(is-AbstractEndNode (kind g n'))
  assumes wf-pred g
  shows ∀ n' ∈ (succ g n). n ∈ ids g → Some n = (pred g n')
  using assms sorry

```

```

lemma BeginNodeFlow:
  assumes g, p ⊢ (nid0, m0, h0) → (nid, m, h)
  assumes Some ifcond = pred g nid
  assumes kind g ifcond = IfNode cond t f
  assumes i = find-index nid (successors-of (kind g ifcond))
  shows i = 0 ↔ ([g, m, p] ⊢ cond ↦ v) ∧ val-to-bool v
proof -
  obtain tb fb where [tb, fb] = successors-of (kind g ifcond)
  by (simp add: assms(3))
  have nid0 = ifcond
  using assms step.IfNode sorry
  show ?thesis sorry

```

qed

end

end