

# Veriopt

April 17, 2024

## **Abstract**

The Veriopt project aims to prove the optimization pass of the GraalVM compiler. The GraalVM compiler includes a sophisticated Intermediate Representation (IR) in the form of a sea-of-nodes based graph structure. We first define the IR graph structure in the Isabelle/HOL interactive theorem prover. We subsequently give the evaluation of the structure a semantics based on the current understanding of the purpose of each IR graph node. Optimization phases are then encoded including the static analysis passes required for an optimization. Each optimization phase is proved to be correct by proving that a bisimulation exists between the unoptimized and optimized graphs. The following document has been automatically generated from the Isabelle/HOL source to provide a very comprehensive definition of the semantics and optimizations introduced by the Veriopt project.

# Contents

<b>1</b>	<b>Additional Theorems about Computer Words</b>	<b>3</b>
1.1	Bit-Shifting Operators . . . . .	3
1.2	Fixed-width Word Theories . . . . .	4
1.2.1	Support Lemmas for Upper/Lower Bounds . . . . .	4
1.2.2	Support lemmas for take bit and signed take bit. . . . .	8
1.3	Java min and max operators on 64-bit values . . . . .	9
<b>2</b>	<b>java.lang.Long</b>	<b>9</b>
2.1	Long.highestOneBit . . . . .	9
2.2	Long.lowestOneBit . . . . .	12
2.3	Long.numberOfLeadingZeros . . . . .	12
2.4	Long.numberOfTrailingZeros . . . . .	13
2.5	Long.reverseBytes . . . . .	13
2.6	Long.bitCount . . . . .	13
2.7	Long.zeroCount . . . . .	13
<b>3</b>	<b>Operator Semantics</b>	<b>16</b>
3.1	Arithmetic Operators . . . . .	18
3.2	Bitwise Operators . . . . .	20
3.3	Comparison Operators . . . . .	20
3.4	Narrowing and Widening Operators . . . . .	22
3.5	Bit-Shifting Operators . . . . .	23
3.5.1	Examples of Narrowing / Widening Functions . . . . .	24
3.6	Fixed-width Word Theories . . . . .	26
3.6.1	Support Lemmas for Upper/Lower Bounds . . . . .	26
3.6.2	Support lemmas for take bit and signed take bit. . . . .	29
<b>4</b>	<b>Stamp Typing</b>	<b>30</b>
<b>5</b>	<b>Graph Representation</b>	<b>35</b>
5.1	IR Graph Nodes . . . . .	35
5.2	IR Graph Node Hierarchy . . . . .	44
5.3	IR Graph Type . . . . .	51
5.3.1	Example Graphs . . . . .	55
5.4	Structural Graph Comparison . . . . .	56
5.5	Control-flow Graph Traversal . . . . .	57
<b>6</b>	<b>Data-flow Semantics</b>	<b>59</b>
6.1	Data-flow Tree Representation . . . . .	60
6.2	Functions for re-calculating stamps . . . . .	61
6.3	Data-flow Tree Evaluation . . . . .	63
6.4	Data-flow Tree Refinement . . . . .	66
6.5	Stamp Masks . . . . .	67

6.6	Data-flow Tree Theorems . . . . .	68
6.6.1	Deterministic Data-flow Evaluation . . . . .	69
6.6.2	Typing Properties for Integer Evaluation Functions . . . . .	69
6.6.3	Evaluation Results are Valid . . . . .	70
6.6.4	Example Data-flow Optimisations . . . . .	71
6.6.5	Monotonicity of Expression Refinement . . . . .	71
6.7	Unfolding rules for evaltree quadruples down to bin-eval level . . . . .	72
6.8	Lemmas about <i>new_int</i> and integer eval results. . . . .	73
<b>7</b>	<b>Tree to Graph</b>	<b>75</b>
7.1	Subgraph to Data-flow Tree . . . . .	75
7.2	Data-flow Tree to Subgraph . . . . .	80
7.3	Lift Data-flow Tree Semantics . . . . .	83
7.4	Graph Refinement . . . . .	84
7.5	Maximal Sharing . . . . .	84
7.6	Formedness Properties . . . . .	84
7.7	Dynamic Frames . . . . .	86
7.8	Tree to Graph Theorems . . . . .	90
7.8.1	Extraction and Evaluation of Expression Trees is Deterministic. . . . .	90
7.8.2	Monotonicity of Graph Refinement . . . . .	96
7.8.3	Lift Data-flow Tree Refinement to Graph Refinement . . . . .	99
7.8.4	Term Graph Reconstruction . . . . .	100
7.8.5	Data-flow Tree to Subgraph Preserves Maximal Sharing . . . . .	104
<b>8</b>	<b>Control-flow Semantics</b>	<b>107</b>
8.1	Object Heap . . . . .	107
8.2	Intraprocedural Semantics . . . . .	108
8.3	Interprocedural Semantics . . . . .	112
8.4	Big-step Execution . . . . .	114
8.4.1	Heap Testing . . . . .	115
8.5	Control-flow Semantics Theorems . . . . .	116
8.5.1	Control-flow Step is Deterministic . . . . .	116
<b>9</b>	<b>Proof Infrastructure</b>	<b>117</b>
9.1	Bisimulation . . . . .	117
9.2	Graph Rewriting . . . . .	118
9.3	Stuttering . . . . .	122
9.4	Evaluation Stamp Theorems . . . . .	122
9.4.1	Support Lemmas for Integer Stamps and Associated IntVal values . . . . .	123
9.4.2	Validity of all Unary Operators . . . . .	125
9.4.3	Support Lemmas for Binary Operators . . . . .	126
9.4.4	Validity of Stamp Meet and Join Operators . . . . .	127

9.4.5	Validity of conditional expressions . . . . .	128
9.4.6	Validity of Whole Expression Tree Evaluation . . . . .	128
<b>10</b>	<b>Optization DSL</b>	<b>129</b>
10.1	Markup . . . . .	129
10.1.1	Expression Markup . . . . .	129
10.1.2	Value Markup . . . . .	130
10.1.3	Word Markup . . . . .	131
10.2	Optimization Phases . . . . .	132
10.3	Canonicalization DSL . . . . .	133
10.3.1	Semantic Preservation Obligation . . . . .	136
10.3.2	Termination Obligation . . . . .	136
10.3.3	Standard Termination Measure . . . . .	136
10.3.4	Automated Tactics . . . . .	136
<b>11</b>	<b>Canonicalization Optimizations</b>	<b>139</b>
11.1	AbsNode Phase . . . . .	140
11.2	AddNode Phase . . . . .	143
11.3	AndNode Phase . . . . .	146
11.4	BinaryNode Phase . . . . .	148
11.5	ConditionalNode Phase . . . . .	149
11.6	MulNode Phase . . . . .	153
11.7	Experimental AndNode Phase . . . . .	158
11.8	NotNode Phase . . . . .	164
11.9	OrNode Phase . . . . .	164
11.10	ShiftNode Phase . . . . .	167
11.11	SignedDivNode Phase . . . . .	167
11.12	SignedRemNode Phase . . . . .	168
11.13	SubNode Phase . . . . .	168
11.14	XorNode Phase . . . . .	172
<b>12</b>	<b>Conditional Elimination Phase</b>	<b>174</b>
12.1	Implication Rules . . . . .	174
12.1.1	Structural Implication . . . . .	174
12.1.2	Type Implication . . . . .	176
12.2	Lift rules . . . . .	179
12.3	Control-flow Graph Traversal . . . . .	180

# 1 Additional Theorems about Computer Words

**theory** *JavaWords*

**imports**

*HOL-Library.Word*

*HOL-Library.Signed-Division*

*HOL-Library.Float*

*HOL-Library.LaTeXsugar*

**begin**

Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints, and char is 16-bit unsigned. E.g. an 8-bit stamp has a default range of -128..+127. And a 1-bit stamp has a default range of -1..0, surprisingly.

During calculations the smaller sizes are sign-extended to 32 bits.

**type-synonym** *int64* = 64 word — long

**type-synonym** *int32* = 32 word — int

**type-synonym** *int16* = 16 word — short

**type-synonym** *int8* = 8 word — char

**type-synonym** *int1* = 1 word — boolean

**abbreviation** *valid-int-widths* :: nat set **where**

*valid-int-widths* ≡ {1, 8, 16, 32, 64}

**type-synonym** *iwidth* = nat

**fun** *bit-bounds* :: nat ⇒ (int × int) **where**

*bit-bounds* bits = (((2 ^ bits) div 2) \* -1, ((2 ^ bits) div 2) - 1)

**definition** *logic-negate* :: ('a::len) word ⇒ 'a word **where**

*logic-negate* x = (if x = 0 then 1 else 0)

**fun** *int-signed-value* :: iwidth ⇒ int64 ⇒ int **where**

*int-signed-value* b v = sint (signed-take-bit (b - 1) v)

**fun** *int-unsigned-value* :: iwidth ⇒ int64 ⇒ int **where**

*int-unsigned-value* b v = uint v

A convenience function for directly constructing -1 values of a given bit size.

**fun** *neg-one* :: iwidth ⇒ int64 **where**

*neg-one* b = mask b

## 1.1 Bit-Shifting Operators

**definition** *shiffl* (infix << 75) **where**

*shiffl* w n = (push-bit n) w

**lemma** *shiffl-power[simp]*: (x::('a::len) word) \* (2 ^ j) = x << j

*<proof>*

**lemma**  $(x::('a::len) \text{ word}) * ((2 \wedge j) + 1) = x \ll j + x$   
*<proof>*

**lemma**  $(x::('a::len) \text{ word}) * ((2 \wedge j) - 1) = x \ll j - x$   
*<proof>*

**lemma**  $(x::('a::len) \text{ word}) * ((2 \wedge j) + (2 \wedge k)) = x \ll j + x \ll k$   
*<proof>*

**lemma**  $(x::('a::len) \text{ word}) * ((2 \wedge j) - (2 \wedge k)) = x \ll j - x \ll k$   
*<proof>*

Unsigned shift right.

**definition** *shiftr* (**infix**  $\ggg$  75) **where**  
 $shiftr \ w \ n = \text{drop-bit } n \ w$

**corollary**  $(255 :: 8 \text{ word}) \ggg (2 :: nat) = 63$  *<proof>*

Signed shift right.

**definition** *sshiftr* ::  $'a :: len \text{ word} \Rightarrow nat \Rightarrow 'a :: len \text{ word}$  (**infix**  $\ggg$  75) **where**  
 $sshiftr \ w \ n = \text{word-of-int } ((\text{sint } w) \text{ div } (2 \wedge n))$

**corollary**  $(128 :: 8 \text{ word}) \ggg 2 = 0xE0$  *<proof>*

## 1.2 Fixed-width Word Theories

### 1.2.1 Support Lemmas for Upper/Lower Bounds

**lemma** *size32*:  $\text{size } v = 32$  **for**  $v :: 32 \text{ word}$   
*<proof>*

**lemma** *size64*:  $\text{size } v = 64$  **for**  $v :: 64 \text{ word}$   
*<proof>*

**lemma** *lower-bounds-equiv*:  
**assumes**  $0 < N$   
**shows**  $-(((2::int) \wedge (N-1))) = (2::int) \wedge N \text{ div } 2 * - 1$   
*<proof>*

**lemma** *upper-bounds-equiv*:  
**assumes**  $0 < N$   
**shows**  $(2::int) \wedge (N-1) = (2::int) \wedge N \text{ div } 2$   
*<proof>*

Some min/max bounds for 64-bit words

**lemma** *bit-bounds-min64*:  $((fst (\text{bit-bounds } 64))) \leq (\text{sint } (v::\text{int64}))$

$\langle proof \rangle$

**lemma** *bit-bounds-max64*:  $((snd (bit-bounds 64))) \geq (sint (v::int64))$   
 $\langle proof \rangle$

Extend these min/max bounds to extracting smaller signed words using *signed\_take\_bit*.

Note: we could use *signed* to convert between bit-widths, instead of *signed\_take\_bit*. But that would have to be done separately for each bit-width type.

**corollary** *sint(signed-take-bit 7 (128 :: int8)) = -128*  $\langle proof \rangle$

**ML-val**  $\langle @\{thm\ signed\_take\_bit\_decr\_length\_iff\} \rangle$   
**declare**  $[[show\_types=true]]$   
**ML-val**  $\langle @\{thm\ signed\_take\_bit\_int\_less\_exp\} \rangle$

**lemma** *signed-take-bit-int-less-exp-word*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n < LENGTH('a)$   
**shows**  $sint(signed-take-bit n ival) < (2::int) ^ n$   
 $\langle proof \rangle$

**lemma** *signed-take-bit-int-greater-eq-minus-exp-word*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n < LENGTH('a)$   
**shows**  $-(2 ^ n) \leq sint(signed-take-bit n ival)$   
 $\langle proof \rangle$

**lemma** *signed-take-bit-range*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n < LENGTH('a)$   
**assumes**  $val = sint(signed-take-bit n ival)$   
**shows**  $-(2 ^ n) \leq val \wedge val < 2 ^ n$   
 $\langle proof \rangle$

A *bit\_bounds* version of the above lemma.

**lemma** *signed-take-bit-bounds*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n \leq LENGTH('a)$   
**assumes**  $0 < n$   
**assumes**  $val = sint(signed-take-bit (n - 1) ival)$   
**shows**  $fst (bit-bounds n) \leq val \wedge val \leq snd (bit-bounds n)$   
 $\langle proof \rangle$

**lemma** *signed-take-bit-bounds64*:  
**fixes** *ival* :: int64

```

assumes  $n \leq 64$ 
assumes  $0 < n$ 
assumes  $val = sint(signed-take-bit (n - 1) ival)$ 
shows  $fst (bit-bounds n) \leq val \wedge val \leq snd (bit-bounds n)$ 
 $\langle proof \rangle$ 

```

**lemma** *int-signed-value-bounds*:

```

assumes  $b1 \leq 64$ 
assumes  $0 < b1$ 
shows  $fst (bit-bounds b1) \leq int-signed-value b1 v2 \wedge$ 
 $int-signed-value b1 v2 \leq snd (bit-bounds b1)$ 
 $\langle proof \rangle$ 

```

**lemma** *int-signed-value-range*:

```

fixes  $ival :: int64$ 
assumes  $val = int-signed-value n ival$ 
shows  $-(2 \wedge (n - 1)) \leq val \wedge val < 2 \wedge (n - 1)$ 
 $\langle proof \rangle$ 

```

Some lemmas to relate (int) bit bounds to bit-shifting values.

**lemma** *bit-bounds-lower*:

```

assumes  $0 < bits$ 
shows  $word-of-int (fst (bit-bounds bits)) = ((-1) << (bits - 1))$ 
 $\langle proof \rangle$ 

```

**lemma** *two-exp-div*:

```

assumes  $0 < bits$ 
shows  $((2::int) \wedge bits div (2::int)) = (2::int) \wedge (bits - Suc 0)$ 
 $\langle proof \rangle$ 

```

**declare**  $[[show-types]]$

Some lemmas about unsigned words smaller than 64-bit, for zero-extend operators.

**lemma** *take-bit-smaller-range*:

```

fixes  $ival :: 'a :: len word$ 
assumes  $n < LENGTH('a)$ 
assumes  $val = sint(take-bit n ival)$ 
shows  $0 \leq val \wedge val < (2::int) \wedge n$ 
 $\langle proof \rangle$ 

```

**lemma** *take-bit-same-size-nochange*:

```

fixes  $ival :: 'a :: len word$ 
assumes  $n = LENGTH('a)$ 
shows  $ival = take-bit n ival$ 
 $\langle proof \rangle$ 

```

A simplification lemma for *new\_int*, showing that upper bits can be ignored.

**lemma** *take-bit-redundant[simp]*:



**fixes**  $ival :: 'a :: \text{len word}$   
**assumes**  $0 < n$   
**assumes**  $n < \text{LENGTH}('a)$   
**shows**  $\text{signed-take-bit } (n - 1) (\text{take-bit } n \text{ ival}) = \text{signed-take-bit } (n - 1) \text{ ival}$   
 $\langle \text{proof} \rangle$

**lemma** *take-bit-same-size-range*:  
**fixes**  $ival :: 'a :: \text{len word}$   
**assumes**  $n = \text{LENGTH}('a)$   
**assumes**  $ival2 = \text{take-bit } n \text{ ival}$   
**shows**  $-(2 \wedge n \text{ div } 2) \leq \text{sint } ival2 \wedge \text{sint } ival2 < 2 \wedge n \text{ div } 2$   
 $\langle \text{proof} \rangle$

**lemma** *take-bit-same-bounds*:  
**fixes**  $ival :: 'a :: \text{len word}$   
**assumes**  $n = \text{LENGTH}('a)$   
**assumes**  $ival2 = \text{take-bit } n \text{ ival}$   
**shows**  $\text{fst } (\text{bit-bounds } n) \leq \text{sint } ival2 \wedge \text{sint } ival2 \leq \text{snd } (\text{bit-bounds } n)$   
 $\langle \text{proof} \rangle$

Next we show that casting a word to a wider word preserves any upper/lower bounds. (These lemmas may not be needed any more, since we are not using `scast` now?)

**lemma** *scast-max-bound*:  
**assumes**  $\text{sint } (v :: 'a :: \text{len word}) < M$   
**assumes**  $\text{LENGTH}('a) < \text{LENGTH}('b)$   
**shows**  $\text{sint } ((\text{scast } v) :: 'b :: \text{len word}) < M$   
 $\langle \text{proof} \rangle$

**lemma** *scast-min-bound*:  
**assumes**  $M \leq \text{sint } (v :: 'a :: \text{len word})$   
**assumes**  $\text{LENGTH}('a) < \text{LENGTH}('b)$   
**shows**  $M \leq \text{sint } ((\text{scast } v) :: 'b :: \text{len word})$   
 $\langle \text{proof} \rangle$

**lemma** *scast-bigger-max-bound*:  
**assumes**  $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$   
**shows**  $\text{sint } \text{result} < 2 \wedge \text{LENGTH}('a) \text{ div } 2$   
 $\langle \text{proof} \rangle$

**lemma** *scast-bigger-min-bound*:  
**assumes**  $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$   
**shows**  $-(2 \wedge \text{LENGTH}('a) \text{ div } 2) \leq \text{sint } \text{result}$   
 $\langle \text{proof} \rangle$

**lemma** *scast-bigger-bit-bounds*:  
**assumes**  $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$   
**shows**  $\text{fst } (\text{bit-bounds } (\text{LENGTH}('a))) \leq \text{sint } \text{result} \wedge \text{sint } \text{result} \leq \text{snd } (\text{bit-bounds } (\text{LENGTH}('a)))$

*<proof>*

### 1.2.2 Support lemmas for take bit and signed take bit.

Lemmas for removing redundant take\_bit wrappers.

**lemma** *take-bit-dist-addL[simp]*:

**fixes**  $x :: 'a :: \text{len word}$

**shows**  $\text{take-bit } b (\text{take-bit } b x + y) = \text{take-bit } b (x + y)$

*<proof>*

**lemma** *take-bit-dist-addR[simp]*:

**fixes**  $x :: 'a :: \text{len word}$

**shows**  $\text{take-bit } b (x + \text{take-bit } b y) = \text{take-bit } b (x + y)$

*<proof>*

**lemma** *take-bit-dist-subL[simp]*:

**fixes**  $x :: 'a :: \text{len word}$

**shows**  $\text{take-bit } b (\text{take-bit } b x - y) = \text{take-bit } b (x - y)$

*<proof>*

**lemma** *take-bit-dist-subR[simp]*:

**fixes**  $x :: 'a :: \text{len word}$

**shows**  $\text{take-bit } b (x - \text{take-bit } b y) = \text{take-bit } b (x - y)$

*<proof>*

**lemma** *take-bit-dist-neg[simp]*:

**fixes**  $ix :: 'a :: \text{len word}$

**shows**  $\text{take-bit } b (- \text{take-bit } b (ix)) = \text{take-bit } b (- ix)$

*<proof>*

**lemma** *signed-take-take-bit[simp]*:

**fixes**  $x :: 'a :: \text{len word}$

**assumes**  $0 < b$

**shows**  $\text{signed-take-bit } (b - 1) (\text{take-bit } b x) = \text{signed-take-bit } (b - 1) x$

*<proof>*

**lemma** *mod-larger-ignore*:

**fixes**  $a :: \text{int}$

**fixes**  $m n :: \text{nat}$

**assumes**  $n < m$

**shows**  $(a \bmod 2^m) \bmod 2^n = a \bmod 2^n$

*<proof>*

**lemma** *mod-dist-over-add*:

**fixes**  $a b c :: \text{int64}$

**fixes**  $n :: \text{nat}$

**assumes** 1:  $0 < n$

**assumes** 2:  $n < 64$

**shows**  $(a \bmod 2^n + b) \bmod 2^n = (a + b) \bmod 2^n$   
 ⟨proof⟩

### 1.3 Java min and max operators on 64-bit values

Java uses signed comparison, so we define a convenient abbreviation for this to avoid accidental mistakes, because by default the Isabelle min/max will assume unsigned words.

**abbreviation**  $javaMin64 :: int64 \Rightarrow int64 \Rightarrow int64$  **where**  
 $javaMin64\ a\ b \equiv (if\ a \leq_s\ b\ then\ a\ else\ b)$

**abbreviation**  $javaMax64 :: int64 \Rightarrow int64 \Rightarrow int64$  **where**  
 $javaMax64\ a\ b \equiv (if\ a \leq_s\ b\ then\ b\ else\ a)$

**end**

## 2 java.lang.Long

Utility functions from the Java Long class that Graal occasionally makes use of.

**theory** *JavaLong*  
**imports** *JavaWords*  
*HOL-Library.FSet*  
**begin**

**lemma** *negative-all-set-32*:  
 $n < 32 \implies bit\ (-1::int32)\ n$   
 ⟨proof⟩

**definition** *MaxOrNeg*  $:: nat\ set \Rightarrow int$  **where**  
 $MaxOrNeg\ s = (if\ s = \{\}\ then\ -1\ else\ Max\ s)$

**definition** *MinOrHighest*  $:: nat\ set \Rightarrow nat \Rightarrow nat$  **where**  
 $MinOrHighest\ s\ m = (if\ s = \{\}\ then\ m\ else\ Min\ s)$

**lemma** *MaxOrNegEmpty*:  
 $MaxOrNeg\ s = -1 \iff s = \{\}$   
 ⟨proof⟩

### 2.1 Long.highestOneBit

**definition** *highestOneBit*  $:: ('a::len)\ word \Rightarrow int$  **where**  
 $highestOneBit\ v = MaxOrNeg\ \{n.\ bit\ v\ n\}$

**lemma** *highestOneBitInvar*:  
 $highestOneBit\ v = j \implies (\forall i::nat.\ (int\ i > j \longrightarrow \neg (bit\ v\ i)))$

*<proof>*

**lemma** *highestOneBitNeg*:  
*highestOneBit v = -1*  $\longleftrightarrow$  *v = 0*  
*<proof>*

**lemma** *higherBitsFalse*:  
**fixes** *v :: 'a :: len word*  
**shows** *i > size v*  $\implies \neg$  (*bit v i*)  
*<proof>*

**lemma** *highestOneBitN*:  
**assumes** *bit v n*  
**assumes**  $\forall i::\text{nat. } (i > n \longrightarrow \neg (\text{bit } v \ i))$   
**shows** *highestOneBit v = n*  
*<proof>*

**lemma** *highestOneBitSize*:  
**assumes** *bit v n*  
**assumes** *n = size v*  
**shows** *highestOneBit v = n*  
*<proof>*

**lemma** *highestOneBitMax*:  
*highestOneBit v < size v*  
*<proof>*

**lemma** *highestOneBitAtLeast*:  
**assumes** *bit v n*  
**shows** *highestOneBit v*  $\geq$  *n*  
*<proof>*

**lemma** *highestOneBitElim*:  
*highestOneBit v = n*  
 $\implies ((n = -1 \wedge v = 0) \vee (n \geq 0 \wedge \text{bit } v \ n))$   
*<proof>*

A recursive implementation of `highestOneBit` that is suitable for code generation.

**fun** *highestOneBitRec* :: *nat*  $\Rightarrow$  (*'a::len*) *word*  $\Rightarrow$  *int* **where**  
*highestOneBitRec n v =*  
  (*if bit v n then n*  
  *else if n = 0 then -1*  
  *else highestOneBitRec (n - 1) v*)

**lemma** *highestOneBitRecTrue*:  
*highestOneBitRec n v = j*  $\implies j \geq 0 \implies \text{bit } v \ j$   
*<proof>*

**lemma** *highestOneBitRecN*:  
**assumes** *bit v n*  
**shows**  $\text{highestOneBitRec } n \ v = n$   
 $\langle \text{proof} \rangle$

**lemma** *highestOneBitRecMax*:  
 $\text{highestOneBitRec } n \ v \leq n$   
 $\langle \text{proof} \rangle$

**lemma** *highestOneBitRecElim*:  
**assumes**  $\text{highestOneBitRec } n \ v = j$   
**shows**  $((j = -1 \wedge v = 0) \vee (j \geq 0 \wedge \text{bit } v \ j))$   
 $\langle \text{proof} \rangle$

**lemma** *highestOneBitRecZero*:  
 $v = 0 \implies \text{highestOneBitRec } (\text{size } v) \ v = -1$   
 $\langle \text{proof} \rangle$

**lemma** *highestOneBitRecLess*:  
**assumes**  $\neg \text{bit } v \ n$   
**shows**  $\text{highestOneBitRec } n \ v = \text{highestOneBitRec } (n - 1) \ v$   
 $\langle \text{proof} \rangle$

Some lemmas that use masks to restrict `highestOneBit` and relate it to `highestOneBitRec`.

**lemma** *highestOneBitMask*:  
**assumes**  $\text{size } v = n$   
**shows**  $\text{highestOneBit } v = \text{highestOneBit } (\text{and } v \ (\text{mask } n))$   
 $\langle \text{proof} \rangle$

**lemma** *maskSmaller*:  
**fixes**  $v :: 'a :: \text{len word}$   
**assumes**  $\neg \text{bit } v \ n$   
**shows**  $\text{and } v \ (\text{mask } (\text{Suc } n)) = \text{and } v \ (\text{mask } n)$   
 $\langle \text{proof} \rangle$

**lemma** *highestOneBitSmaller*:  
**assumes**  $\text{size } v = \text{Suc } n$   
**assumes**  $\neg \text{bit } v \ n$   
**shows**  $\text{highestOneBit } v = \text{highestOneBit } (\text{and } v \ (\text{mask } n))$   
 $\langle \text{proof} \rangle$

**lemma** *highestOneBitRecMask*:  
**shows**  $\text{highestOneBit } (\text{and } v \ (\text{mask } (\text{Suc } n))) = \text{highestOneBitRec } n \ v$   
 $\langle \text{proof} \rangle$

Finally - we can use the mask lemmas to relate `highestOneBitRec` to its spec.

**lemma** *highestOneBitImpl*[code]:

$highestOneBit\ v = highestOneBitRec\ (size\ v)\ v$   
 $\langle proof \rangle$

**lemma**  $highestOneBit\ (0x5 :: int8) = 2$   $\langle proof \rangle$

## 2.2 Long.lowestOneBit

**definition**  $lowestOneBit :: ('a::len)\ word \Rightarrow nat$  **where**  
 $lowestOneBit\ v = MinOrHighest\ \{n . bit\ v\ n\}\ (size\ v)$

**lemma**  $max-bit: bit\ (v::('a::len)\ word)\ n \Longrightarrow n < size\ v$   
 $\langle proof \rangle$

**lemma**  $max-set-bit: MaxOrNeg\ \{n . bit\ (v::('a::len)\ word)\ n\} < Nat.size\ v$   
 $\langle proof \rangle$

## 2.3 Long.numberOfLeadingZeros

**definition**  $numberOfLeadingZeros :: ('a::len)\ word \Rightarrow nat$  **where**  
 $numberOfLeadingZeros\ v = nat\ (Nat.size\ v - highestOneBit\ v - 1)$

**lemma**  $MaxOrNeg-neg: MaxOrNeg\ \{\} = -1$   
 $\langle proof \rangle$

**lemma**  $MaxOrNeg-max: s \neq \{\} \Longrightarrow MaxOrNeg\ s = Max\ s$   
 $\langle proof \rangle$

**lemma**  $zero-no-bits:$   
 $\{n . bit\ 0\ n\} = \{\}$   
 $\langle proof \rangle$

**lemma**  $highestOneBit\ (0::64\ word) = -1$   
 $\langle proof \rangle$

**lemma**  $numberOfLeadingZeros\ (0::64\ word) = 64$   
 $\langle proof \rangle$

**lemma**  $highestOneBit-top: Max\ \{highestOneBit\ (v::64\ word)\} < 64$   
 $\langle proof \rangle$

**lemma**  $numberOfLeadingZeros-top: Max\ \{numberOfLeadingZeros\ (v::64\ word)\} \leq 64$   
 $\langle proof \rangle$

**lemma**  $numberOfLeadingZeros-range: 0 \leq numberOfLeadingZeros\ a \wedge numberOfLeadingZeros\ a \leq Nat.size\ a$   
 $\langle proof \rangle$

**lemma**  $leadingZerosAddHighestOne: numberOfLeadingZeros\ v + highestOneBit\ v = Nat.size\ v - 1$

*<proof>*

## 2.4 Long.numberOfTrailingZeros

**definition** *numberOfTrailingZeros* :: ('a::len) word ⇒ nat **where**  
  *numberOfTrailingZeros* v = *lowestOneBit* v

**lemma** *lowestOneBit-bot*: *lowestOneBit* (0::64 word) = 64  
*<proof>*

**lemma** *bit-zero-set-in-top*: *bit* (-1::'a::len word) 0  
*<proof>*

**lemma** *nat-bot-set*: (0::nat) ∈ xs → (∀ x ∈ xs . 0 ≤ x)  
*<proof>*

**lemma** *numberOfTrailingZeros* (0::64 word) = 64  
*<proof>*

## 2.5 Long.reverseBytes

**fun** *reverseBytes-fun* :: ('a::len) word ⇒ nat ⇒ ('a::len) word ⇒ ('a::len) word  
**where**  
  *reverseBytes-fun* v b flip = (if (b = 0) then (flip) else  
    (*reverseBytes-fun* (v >> 8) (b - 8) (or (flip << 8) (take-bit 8  
v))))

## 2.6 Long.bitCount

**definition** *bitCount* :: ('a::len) word ⇒ nat **where**  
  *bitCount* v = card {n . *bit* v n}

**fun** *bitCount-fun* :: ('a::len) word ⇒ nat ⇒ nat **where**  
  *bitCount-fun* v n = (if (n = 0) then  
    (if (*bit* v n) then 1 else 0) else  
    if (*bit* v n) then (1 + *bitCount-fun* (v) (n - 1))  
    else (0 + *bitCount-fun* (v) (n - 1)))

**lemma** *bitCount* 0 = 0  
*<proof>*

## 2.7 Long.zeroCount

**definition** *zeroCount* :: ('a::len) word ⇒ nat **where**  
  *zeroCount* v = card {n. n < Nat.size v ∧ ¬(*bit* v n)}

**lemma** *zeroCount-finite*: finite {n. n < Nat.size v ∧ ¬(*bit* v n)}  
*<proof>*

**lemma** *negone-set*:

$\text{bit } (-1::('a::\text{len}) \text{ word}) \ n \longleftrightarrow n < \text{LENGTH}('a)$

$\langle \text{proof} \rangle$

**lemma** *negone-all-bits*:

$\{n . \text{bit } (-1::('a::\text{len}) \text{ word}) \ n\} = \{n . 0 \leq n \wedge n < \text{LENGTH}('a)\}$

$\langle \text{proof} \rangle$

**lemma** *bitCount-finite*:

$\text{finite } \{n . \text{bit } (v::('a::\text{len}) \text{ word}) \ n\}$

$\langle \text{proof} \rangle$

**lemma** *card-of-range*:

$x = \text{card } \{n . 0 \leq n \wedge n < x\}$

$\langle \text{proof} \rangle$

**lemma** *range-of-nat*:

$\{(n::\text{nat}) . 0 \leq n \wedge n < x\} = \{n . n < x\}$

$\langle \text{proof} \rangle$

**lemma** *finite-range*:

$\text{finite } \{n::\text{nat} . n < x\}$

$\langle \text{proof} \rangle$

**lemma** *range-eq*:

**fixes**  $x \ y :: \text{nat}$

**shows**  $\text{card } \{y..<x\} = \text{card } \{y<..x\}$

$\langle \text{proof} \rangle$

**lemma** *card-of-range-bound*:

**fixes**  $x \ y :: \text{nat}$

**assumes**  $x > y$

**shows**  $x - y = \text{card } \{n . y < n \wedge n \leq x\}$

$\langle \text{proof} \rangle$

**lemma**  $\text{bitCount } (-1::('a::\text{len}) \text{ word}) = \text{LENGTH}('a)$

$\langle \text{proof} \rangle$

**lemma** *bitCount-range*:

**fixes**  $n :: ('a::\text{len}) \text{ word}$

**shows**  $0 \leq \text{bitCount } n \wedge \text{bitCount } n \leq \text{Nat.size } n$

$\langle \text{proof} \rangle$

**lemma** *zerosAboveHighestOne*:

$n > \text{highestOneBit } a \implies \neg(\text{bit } a \ n)$

$\langle \text{proof} \rangle$

**lemma** *zerosBelowLowestOne*:



**assumes**  $n < \text{lowestOneBit } a$   
**shows**  $\neg(\text{bit } a \ n)$   
 $\langle \text{proof} \rangle$

**lemma** *union-bit-sets*:  
**fixes**  $a :: ('a::\text{len}) \text{ word}$   
**shows**  $\{n . n < \text{Nat.size } a \wedge \text{bit } a \ n\} \cup \{n . n < \text{Nat.size } a \wedge \neg(\text{bit } a \ n)\} = \{n . n < \text{Nat.size } a\}$   
 $\langle \text{proof} \rangle$

**lemma** *disjoint-bit-sets*:  
**fixes**  $a :: ('a::\text{len}) \text{ word}$   
**shows**  $\{n . n < \text{Nat.size } a \wedge \text{bit } a \ n\} \cap \{n . n < \text{Nat.size } a \wedge \neg(\text{bit } a \ n)\} = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *qualified-bitCount*:  
 $\text{bitCount } v = \text{card } \{n . n < \text{Nat.size } v \wedge \text{bit } v \ n\}$   
 $\langle \text{proof} \rangle$

**lemma** *card-eq*:  
**assumes**  $\text{finite } x \wedge \text{finite } y \wedge \text{finite } z$   
**assumes**  $x \cup y = z$   
**assumes**  $y \cap x = \{\}$   
**shows**  $\text{card } z - \text{card } y = \text{card } x$   
 $\langle \text{proof} \rangle$

**lemma** *card-add*:  
**assumes**  $\text{finite } x \wedge \text{finite } y \wedge \text{finite } z$   
**assumes**  $x \cup y = z$   
**assumes**  $y \cap x = \{\}$   
**shows**  $\text{card } x + \text{card } y = \text{card } z$   
 $\langle \text{proof} \rangle$

**lemma** *card-add-inverses*:  
**assumes**  $\text{finite } \{n. Q \ n \wedge \neg(P \ n)\} \wedge \text{finite } \{n. Q \ n \wedge P \ n\} \wedge \text{finite } \{n. Q \ n\}$   
**shows**  $\text{card } \{n. Q \ n \wedge P \ n\} + \text{card } \{n. Q \ n \wedge \neg(P \ n)\} = \text{card } \{n. Q \ n\}$   
 $\langle \text{proof} \rangle$

**lemma** *ones-zero-sum-to-width*:  
 $\text{bitCount } a + \text{zeroCount } a = \text{Nat.size } a$   
 $\langle \text{proof} \rangle$

**lemma** *intersect-bitCount-helper*:  
 $\text{card } \{n . n < \text{Nat.size } a\} - \text{bitCount } a = \text{card } \{n . n < \text{Nat.size } a \wedge \neg(\text{bit } a \ n)\}$   
 $\langle \text{proof} \rangle$

**lemma** *intersect-bitCount*:  
 $\text{Nat.size } a - \text{bitCount } a = \text{card } \{n . n < \text{Nat.size } a \wedge \neg(\text{bit } a \ n)\}$

*<proof>*

**hide-fact** *intersect-bitCount-helper*

**end**

### 3 Operator Semantics

**theory** *Values*

**imports**

*JavaLong*

**begin**

In order to properly implement the IR semantics we first introduce a type that represents runtime values. These runtime values represent the full range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and arrays.

Note that Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints, and char is 16-bit unsigned. E.g. an 8-bit stamp has a default range of -128..+127. And a 1-bit stamp has a default range of -1..0, surprisingly.

During calculations the smaller sizes are sign-extended to 32 bits, but explicit widening nodes will do that, so most binary calculations should see equal input sizes.

An object reference is an option type where the *None* object reference points to the static fields. This is examined more closely in our definition of the heap.

**type-synonym** *objref* = *nat option*

**type-synonym** *length* = *nat*

**datatype** (*discs-sels*) *Value* =

*UndefVal* |

*IntVal iwidth int64* |

*ObjRef objref* |

*ObjStr string* |

*ArrayVal length Value list*

**fun** *intval-bits* :: *Value*  $\Rightarrow$  *nat* **where**

*intval-bits* (*IntVal b v*) = *b*

**fun** *intval-word* :: *Value*  $\Rightarrow$  *int64* **where**  
*intval-word* (*IntVal* *b v*) = *v*

Converts an integer word into a Java value.

**fun** *new-int* :: *iwidth*  $\Rightarrow$  *int64*  $\Rightarrow$  *Value* **where**  
*new-int* *b w* = *IntVal* *b* (*take-bit* *b w*)

Converts an integer word into a Java value, iff the two types are equal.

**fun** *new-int-bin* :: *iwidth*  $\Rightarrow$  *iwidth*  $\Rightarrow$  *int64*  $\Rightarrow$  *Value* **where**  
*new-int-bin* *b1 b2 w* = (if *b1=b2* then *new-int* *b1 w* else *UndefVal*)

**fun** *array-length* :: *Value*  $\Rightarrow$  *Value* **where**  
*array-length* (*ArrayVal* *len list*) = *new-int* 32 (*word-of-nat* *len*)

**fun** *wf-bool* :: *Value*  $\Rightarrow$  *bool* **where**  
*wf-bool* (*IntVal* *b w*) = (*b* = 1) |  
*wf-bool* - = *False*

**fun** *val-to-bool* :: *Value*  $\Rightarrow$  *bool* **where**  
*val-to-bool* (*IntVal* *b val*) = (if *val* = 0 then *False* else *True*) |  
*val-to-bool* *val* = *False*

**fun** *bool-to-val* :: *bool*  $\Rightarrow$  *Value* **where**  
*bool-to-val* *True* = (*IntVal* 32 1) |  
*bool-to-val* *False* = (*IntVal* 32 0)

Converts an Isabelle bool into a Java value, iff the two types are equal.

**fun** *bool-to-val-bin* :: *iwidth*  $\Rightarrow$  *iwidth*  $\Rightarrow$  *bool*  $\Rightarrow$  *Value* **where**  
*bool-to-val-bin* *t1 t2 b* = (if *t1* = *t2* then *bool-to-val* *b* else *UndefVal*)

**fun** *is-int-val* :: *Value*  $\Rightarrow$  *bool* **where**  
*is-int-val* *v* = *is-IntVal* *v*

**lemma** *neg-one-value*[*simp*]: *new-int* *b* (*neg-one* *b*) = *IntVal* *b* (*mask* *b*)  
 <*proof*>

**lemma** *neg-one-signed*[*simp*]:  
**assumes** 0 < *b*  
**shows** *int-signed-value* *b* (*neg-one* *b*) = -1  
 <*proof*>

**lemma** *word-unsigned*:  
**shows**  $\forall$  *b1 v1*. (*IntVal* *b1* (*word-of-int* (*int-unsigned-value* *b1 v1*))) = *IntVal* *b1*  
*v1*  
 <*proof*>

### 3.1 Arithmetic Operators

We need to introduce arithmetic operations which agree with the JVM.

Within the JVM, bytecode arithmetic operations are performed on 32 or 64 bit integers, unboxing where appropriate.

The following collection of intval functions correspond to the JVM arithmetic operations. We merge the 32 and 64 bit operations into a single function, even though the stamp of each IRNode tells us exactly what the bit widths will be. These merged functions make it easier to do the instantiation of Value as 'plus', etc. It might be worse for reasoning, because it could cause more case analysis, but this does not seem to be a problem in practice.

```
fun intval-add :: Value ⇒ Value ⇒ Value where
  intval-add (IntVal b1 v1) (IntVal b2 v2) =
    (if b1 = b2 then IntVal b1 (take-bit b1 (v1+v2)) else UndefVal) |
  intval-add - - = UndefVal
```

```
fun intval-sub :: Value ⇒ Value ⇒ Value where
  intval-sub (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (v1-v2) |
  intval-sub - - = UndefVal
```

```
fun intval-mul :: Value ⇒ Value ⇒ Value where
  intval-mul (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (v1*v2) |
  intval-mul - - = UndefVal
```

```
fun intval-div :: Value ⇒ Value ⇒ Value where
  intval-div (IntVal b1 v1) (IntVal b2 v2) =
    (if v2 = 0 then UndefVal else
     new-int-bin b1 b2 (word-of-int
      ((int-signed-value b1 v1) sdiv (int-signed-value b2 v2)))) |
  intval-div - - = UndefVal
```

```
value intval-div (IntVal 32 5) (IntVal 32 0)
```

```
fun intval-mod :: Value ⇒ Value ⇒ Value where
  intval-mod (IntVal b1 v1) (IntVal b2 v2) =
    (if v2 = 0 then UndefVal else
     new-int-bin b1 b2 (word-of-int
      ((int-signed-value b1 v1) smod (int-signed-value b2 v2)))) |
  intval-mod - - = UndefVal
```

```

fun intval-mul-high :: Value ⇒ Value ⇒ Value where
  intval-mul-high (IntVal b1 v1) (IntVal b2 v2) = (
    if (b1 = b2 ∧ b1 = 64) then (
      if (((int-signed-value b1 v1) < 0) ∨ ((int-signed-value b2 v2) < 0))
        then (

          let x1 = (v1 >> 32)           in
          let x2 = (and v1 4294967295)  in
          let y1 = (v2 >> 32)           in
          let y2 = (and v2 4294967295)  in
          let z2 = (x2 * y2)             in
          let t  = (x1 * y2 + (z2 >>> 32)) in
          let z1 = (and t 4294967295)    in
          let z0 = (t >> 32)             in
          let z1 = (z1 + (x2 * y1))      in

          let result = (x1 * y1 + z0 + (z1 >> 32)) in

          (new-int b1 result)
        ) else (

          let x1 = (v1 >>> 32)           in
          let y1 = (v2 >>> 32)           in
          let x2 = (and v1 4294967295)  in
          let y2 = (and v2 4294967295)  in
          let A  = (x1 * y1)             in
          let B  = (x2 * y2)             in
          let C  = ((x1 + x2) * (y1 + y2)) in
          let K  = (C - A - B)           in

          let result = (((B >>> 32) + K) >>> 32) + A) in

          (new-int b1 result)
        )
    ) else (
      if (b1 = b2 ∧ b1 = 32) then (

        let newv1 = (word-of-int (int-signed-value b1 v1)) in
        let newv2 = (word-of-int (int-signed-value b1 v2)) in
        let r = (newv1 * newv2)                               in

        let result = (r >> 32) in

        (new-int b1 result)
      ) else UndefVal)
    ) |
  intval-mul-high - - = UndefVal

```

```

fun intval-reverse-bytes :: Value ⇒ Value where

```

*intval-reverse-bytes* (IntVal b1 v1) = (new-int b1 (reverseBytes-fun v1 b1 0)) |  
*intval-reverse-bytes* - = UndefVal

**fun** *intval-bit-count* :: Value ⇒ Value **where**  
*intval-bit-count* (IntVal b1 v1) = (new-int 32 (word-of-nat (bitCount-fun v1 64))) |  
|  
*intval-bit-count* - = UndefVal

**fun** *intval-negate* :: Value ⇒ Value **where**  
*intval-negate* (IntVal t v) = new-int t (- v) |  
*intval-negate* - = UndefVal

**fun** *intval-abs* :: Value ⇒ Value **where**  
*intval-abs* (IntVal t v) = new-int t (if int-signed-value t v < 0 then - v else v) |  
*intval-abs* - = UndefVal

TODO: clarify which widths this should work on: just 1-bit or all?

**fun** *intval-logic-negation* :: Value ⇒ Value **where**  
*intval-logic-negation* (IntVal b v) = new-int b (logic-negate v) |  
*intval-logic-negation* - = UndefVal

### 3.2 Bitwise Operators

**fun** *intval-and* :: Value ⇒ Value ⇒ Value **where**  
*intval-and* (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (and v1 v2) |  
*intval-and* - - = UndefVal

**fun** *intval-or* :: Value ⇒ Value ⇒ Value **where**  
*intval-or* (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (or v1 v2) |  
*intval-or* - - = UndefVal

**fun** *intval-xor* :: Value ⇒ Value ⇒ Value **where**  
*intval-xor* (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (xor v1 v2) |  
*intval-xor* - - = UndefVal

**fun** *intval-not* :: Value ⇒ Value **where**  
*intval-not* (IntVal t v) = new-int t (not v) |  
*intval-not* - = UndefVal

### 3.3 Comparison Operators

**fun** *intval-short-circuit-or* :: Value ⇒ Value ⇒ Value **where**  
*intval-short-circuit-or* (IntVal b1 v1) (IntVal b2 v2) = bool-to-val-bin b1 b2 (((v1  
≠ 0) ∨ (v2 ≠ 0))) |  
*intval-short-circuit-or* - - = UndefVal

**fun** *intval-equals* :: Value ⇒ Value ⇒ Value **where**  
*intval-equals* (IntVal b1 v1) (IntVal b2 v2) = bool-to-val-bin b1 b2 (v1 = v2) |

```

    intval-equals - - = UndefVal

fun intval-less-than :: Value ⇒ Value ⇒ Value where
    intval-less-than (IntVal b1 v1) (IntVal b2 v2) =
        bool-to-val-bin b1 b2 (int-signed-value b1 v1 < int-signed-value b2 v2) |
    intval-less-than - - = UndefVal

fun intval-below :: Value ⇒ Value ⇒ Value where
    intval-below (IntVal b1 v1) (IntVal b2 v2) = bool-to-val-bin b1 b2 (v1 < v2) |
    intval-below - - = UndefVal

fun intval-conditional :: Value ⇒ Value ⇒ Value ⇒ Value where
    intval-conditional cond tv fv = (if (val-to-bool cond) then tv else fv)

fun intval-is-null :: Value ⇒ Value where
    intval-is-null (ObjRef (v)) = (if (v=(None)) then bool-to-val True else bool-to-val
    False) |
    intval-is-null - = UndefVal

fun intval-test :: Value ⇒ Value ⇒ Value where
    intval-test (IntVal b1 v1) (IntVal b2 v2) = bool-to-val-bin b1 b2 ((and v1 v2) =
    0) |
    intval-test - - = UndefVal

fun intval-normalize-compare :: Value ⇒ Value ⇒ Value where
    intval-normalize-compare (IntVal b1 v1) (IntVal b2 v2) =
        (if (b1 = b2) then new-int 32 (if (v1 < v2) then -1 else (if (v1 = v2) then 0
        else 1))
        else UndefVal) |
    intval-normalize-compare - - = UndefVal

fun find-index :: 'a ⇒ 'a list ⇒ nat where
    find-index - [] = 0 |
    find-index v (x # xs) = (if (x=v) then 0 else find-index v xs + 1)

definition default-values :: Value list where
    default-values = [new-int 32 0, new-int 64 0, ObjRef None]

definition short-types-32 :: string list where
    short-types-32 = ["Z", "I", "C", "B", "S"]

definition short-types-64 :: string list where
    short-types-64 = ["J"]

fun default-value :: string ⇒ Value where

```

```

default-value n = (if (find-index n short-types-32) < (length short-types-32)
  then (default-values!0) else
  (if (find-index n short-types-64) < (length short-types-64)
    then (default-values!1)
    else (default-values!2)))

```

```

fun populate-array :: nat ⇒ Value list ⇒ string ⇒ Value list where
  populate-array len a s = (if (len = 0) then (a)
    else (a @ (populate-array (len-1) [default-value s] s)))

```

```

fun intval-new-array :: Value ⇒ string ⇒ Value where
  intval-new-array (IntVal b1 v1) s = (ArrayVal (nat (int-signed-value b1 v1))
    (populate-array (nat (int-signed-value b1 v1)) [] s)) |
  intval-new-array - - = UndefVal

```

```

fun intval-load-index :: Value ⇒ Value ⇒ Value where
  intval-load-index (ArrayVal len cons) (IntVal b1 v1) = (if (v1 ≥ (word-of-nat
    len)) then (UndefVal)
    else (cons!(nat (int-signed-value b1
    v1)))) |
  intval-load-index - - = UndefVal

```

```

fun intval-store-index :: Value ⇒ Value ⇒ Value ⇒ Value where
  intval-store-index (ArrayVal len cons) (IntVal b1 v1) val =
    (if (v1 ≥ (word-of-nat len)) then (UndefVal)
    else (ArrayVal len (list-update cons (nat (int-signed-value b1
    v1)) (val)))) |
  intval-store-index - - - = UndefVal

```

```

lemma intval-equals-result:
  assumes intval-equals v1 v2 = r
  assumes r ≠ UndefVal
  shows r = IntVal 32 0 ∨ r = IntVal 32 1
  ⟨proof⟩

```

### 3.4 Narrowing and Widening Operators

Note: we allow these operators to have inBits=outBits, because the Graal compiler also seems to allow that case, even though it should rarely / never arise in practice.

Some sanity checks that *take\_bitN* and *signed\_take\_bit(N-1)* match up as expected.

```

corollary sint (signed-take-bit 0 (1 :: int32)) = -1 ⟨proof⟩
corollary sint (signed-take-bit 7 ((256 + 128) :: int64)) = -128 ⟨proof⟩
corollary sint (take-bit 7 ((256 + 128 + 64) :: int64)) = 64 ⟨proof⟩
corollary sint (take-bit 8 ((256 + 128 + 64) :: int64)) = 128 + 64 ⟨proof⟩

```

```

fun intval-narrow :: nat ⇒ nat ⇒ Value ⇒ Value where

```



```

intval-narrow inBits outBits (IntVal b v) =
  (if inBits = b ∧ 0 < outBits ∧ outBits ≤ inBits ∧ inBits ≤ 64
   then new-int outBits v
   else UndefVal) |
intval-narrow - - - = UndefVal

```

```

fun intval-sign-extend :: nat ⇒ nat ⇒ Value ⇒ Value where
  intval-sign-extend inBits outBits (IntVal b v) =
    (if inBits = b ∧ 0 < inBits ∧ inBits ≤ outBits ∧ outBits ≤ 64
     then new-int outBits (signed-take-bit (inBits - 1) v)
     else UndefVal) |
  intval-sign-extend - - - = UndefVal

```

```

fun intval-zero-extend :: nat ⇒ nat ⇒ Value ⇒ Value where
  intval-zero-extend inBits outBits (IntVal b v) =
    (if inBits = b ∧ 0 < inBits ∧ inBits ≤ outBits ∧ outBits ≤ 64
     then new-int outBits (take-bit inBits v)
     else UndefVal) |
  intval-zero-extend - - - = UndefVal

```

Some well-formedness results to help reasoning about narrowing and widening operators

**lemma** *intval-narrow-ok*:

```

assumes intval-narrow inBits outBits val ≠ UndefVal
shows 0 < outBits ∧ outBits ≤ inBits ∧ inBits ≤ 64 ∧ outBits ≤ 64 ∧
  is-IntVal val ∧
  intval-bits val = inBits
⟨proof⟩

```

**lemma** *intval-sign-extend-ok*:

```

assumes intval-sign-extend inBits outBits val ≠ UndefVal
shows 0 < inBits ∧
  inBits ≤ outBits ∧ outBits ≤ 64 ∧
  is-IntVal val ∧
  intval-bits val = inBits
⟨proof⟩

```

**lemma** *intval-zero-extend-ok*:

```

assumes intval-zero-extend inBits outBits val ≠ UndefVal
shows 0 < inBits ∧
  inBits ≤ outBits ∧ outBits ≤ 64 ∧
  is-IntVal val ∧
  intval-bits val = inBits
⟨proof⟩

```

### 3.5 Bit-Shifting Operators

Note that Java shift operators use unary numeric promotion, unlike other binary operators, which use binary numeric promotion (see the Java lan-

guage reference manual). This means that the left-hand input determines the output size, while the right-hand input can be any size.

```
fun shift-amount :: iwidth ⇒ int64 ⇒ nat where
  shift-amount b val = unat (and val (if b = 64 then 0x3F else 0x1f))
```

```
fun intval-left-shift :: Value ⇒ Value ⇒ Value where
  intval-left-shift (IntVal b1 v1) (IntVal b2 v2) = new-int b1 (v1 << shift-amount
b1 v2) |
  intval-left-shift - - = UndefVal
```

Signed shift is more complex, because we sometimes have to insert 1 bits at the correct point, which is at *b1* bits.

```
fun intval-right-shift :: Value ⇒ Value ⇒ Value where
  intval-right-shift (IntVal b1 v1) (IntVal b2 v2) =
    (let shift = shift-amount b1 v2 in
     let ones = and (mask b1) (not (mask (b1 - shift) :: int64)) in
     (if int-signed-value b1 v1 < 0
      then new-int b1 (or ones (v1 >>> shift))
      else new-int b1 (v1 >>> shift))) |
  intval-right-shift - - = UndefVal
```

```
fun intval-uright-shift :: Value ⇒ Value ⇒ Value where
  intval-uright-shift (IntVal b1 v1) (IntVal b2 v2) = new-int b1 (v1 >>> shift-amount
b1 v2) |
  intval-uright-shift - - = UndefVal
```

### 3.5.1 Examples of Narrowing / Widening Functions

**experiment begin**

```
corollary intval-narrow 32 8 (IntVal 32 (256 + 128)) = IntVal 8 128 <proof>
```

```
corollary intval-narrow 32 8 (IntVal 32 (-2)) = IntVal 8 254 <proof>
```

```
corollary intval-narrow 32 1 (IntVal 32 (-2)) = IntVal 1 0 <proof>
```

```
corollary intval-narrow 32 1 (IntVal 32 (-3)) = IntVal 1 1 <proof>
```

```
corollary intval-narrow 32 8 (IntVal 64 (-2)) = UndefVal <proof>
```

```
corollary intval-narrow 64 8 (IntVal 32 (-2)) = UndefVal <proof>
```

```
corollary intval-narrow 64 8 (IntVal 64 254) = IntVal 8 254 <proof>
```

```
corollary intval-narrow 64 8 (IntVal 64 (256+127)) = IntVal 8 127 <proof>
```

```
corollary intval-narrow 64 64 (IntVal 64 (-2)) = IntVal 64 (-2) <proof>
```

**end**

**experiment begin**

```
corollary intval-sign-extend 8 32 (IntVal 8 (256 + 128)) = IntVal 32 (232 - 128) <proof>
```

```
corollary intval-sign-extend 8 32 (IntVal 8 (-2)) = IntVal 32 (232 - 2) <proof>
```

```
corollary intval-sign-extend 1 32 (IntVal 1 (-2)) = IntVal 32 0 <proof>
```

```
corollary intval-sign-extend 1 32 (IntVal 1 (-3)) = IntVal 32 (mask 32) <proof>
```

**corollary** *intval-sign-extend* 8 32 (*IntVal* 64 254) = *UndefVal* <proof>  
**corollary** *intval-sign-extend* 8 64 (*IntVal* 32 254) = *UndefVal* <proof>  
**corollary** *intval-sign-extend* 8 64 (*IntVal* 8 254) = *IntVal* 64 (-2) <proof>  
**corollary** *intval-sign-extend* 32 64 (*IntVal* 32 ( $2^{32} - 2$ )) = *IntVal* 64 (-2) <proof>  
**corollary** *intval-sign-extend* 64 64 (*IntVal* 64 (-2)) = *IntVal* 64 (-2) <proof>  
**end**

**experiment begin**

**corollary** *intval-zero-extend* 8 32 (*IntVal* 8 (256 + 128)) = *IntVal* 32 128 <proof>  
**corollary** *intval-zero-extend* 8 32 (*IntVal* 8 (-2)) = *IntVal* 32 254 <proof>  
**corollary** *intval-zero-extend* 1 32 (*IntVal* 1 (-1)) = *IntVal* 32 1 <proof>  
**corollary** *intval-zero-extend* 1 32 (*IntVal* 1 (-2)) = *IntVal* 32 0 <proof>

**corollary** *intval-zero-extend* 8 32 (*IntVal* 64 (-2)) = *UndefVal* <proof>  
**corollary** *intval-zero-extend* 8 64 (*IntVal* 64 (-2)) = *UndefVal* <proof>  
**corollary** *intval-zero-extend* 8 64 (*IntVal* 8 254) = *IntVal* 64 254 <proof>  
**corollary** *intval-zero-extend* 32 64 (*IntVal* 32 ( $2^{32} - 2$ )) = *IntVal* 64 ( $2^{32} - 2$ ) <proof>  
**corollary** *intval-zero-extend* 64 64 (*IntVal* 64 (-2)) = *IntVal* 64 (-2) <proof>  
**end**

**experiment begin**

**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 0) = *IntVal* 8 128 <proof>  
**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 1) = *IntVal* 8 192 <proof>  
**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 2) = *IntVal* 8 224 <proof>  
**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 8) = *IntVal* 8 255 <proof>  
**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 31) = *IntVal* 8 255 <proof>  
**end**

**lemma** *intval-add-sym*:

**shows** *intval-add* a b = *intval-add* b a  
<proof>

**lemma** *intval-add* (*IntVal* 32 ( $2^{31}-1$ )) (*IntVal* 32 ( $2^{31}-1$ )) = *IntVal* 32 ( $2^{32} - 2$ )  
<proof>

**lemma** *intval-add* (*IntVal* 64 ( $2^{31}-1$ )) (*IntVal* 64 ( $2^{31}-1$ )) = *IntVal* 64 4294967294  
<proof>

**end**

## 3.6 Fixed-width Word Theories

```
theory ValueThms
  imports Values
begin
```

### 3.6.1 Support Lemmas for Upper/Lower Bounds

```
lemma size32: size v = 32 for v :: 32 word
  <proof>
```

```
lemma size64: size v = 64 for v :: 64 word
  <proof>
```

```
lemma lower-bounds-equiv:
  assumes 0 < N
  shows  $-\left(\left(2::int\right) \wedge (N-1)\right) = \left(2::int\right) \wedge N \operatorname{div} 2 * - 1$ 
  <proof>
```

```
lemma upper-bounds-equiv:
  assumes 0 < N
  shows  $\left(2::int\right) \wedge (N-1) = \left(2::int\right) \wedge N \operatorname{div} 2$ 
  <proof>
```

Some min/max bounds for 64-bit words

```
lemma bit-bounds-min64: ((fst (bit-bounds 64))) ≤ (sint (v::int64))
  <proof>
```

```
lemma bit-bounds-max64: ((snd (bit-bounds 64))) ≥ (sint (v::int64))
  <proof>
```

Extend these min/max bounds to extracting smaller signed words using `signed_take_bit`.

Note: we could use `signed` to convert between bit-widths, instead of `signed_take_bit`. But that would have to be done separately for each bit-width type.

```
value sint(signed-take-bit 7 (128 :: int8))
```

```
ML-val <@{thm signed-take-bit-decr-length-iff}>
```

```
declare [[show-types=true]]
```

```
ML-val <@{thm signed-take-bit-int-less-exp}>
```

```
lemma signed-take-bit-int-less-exp-word:
  fixes ival :: 'a :: len word
  assumes n < LENGTH('a)
  shows sint(signed-take-bit n ival) < (2::int) ^ n
  <proof>
```

**lemma** *signed-take-bit-int-greater-eq-minus-exp-word*:

**fixes** *ival* :: 'a :: len word  
**assumes**  $n < LENGTH('a)$   
**shows**  $-(2 \wedge n) \leq sint(signed-take-bit\ n\ ival)$   
*<proof>*

**lemma** *signed-take-bit-range*:

**fixes** *ival* :: 'a :: len word  
**assumes**  $n < LENGTH('a)$   
**assumes**  $val = sint(signed-take-bit\ n\ ival)$   
**shows**  $-(2 \wedge n) \leq val \wedge val < 2 \wedge n$   
*<proof>*

A *bit\_bounds* version of the above lemma.

**lemma** *signed-take-bit-bounds*:

**fixes** *ival* :: 'a :: len word  
**assumes**  $n \leq LENGTH('a)$   
**assumes**  $0 < n$   
**assumes**  $val = sint(signed-take-bit\ (n - 1)\ ival)$   
**shows**  $fst\ (bit-bounds\ n) \leq val \wedge val \leq snd\ (bit-bounds\ n)$   
*<proof>*

**lemma** *signed-take-bit-bounds64*:

**fixes** *ival* :: int64  
**assumes**  $n \leq 64$   
**assumes**  $0 < n$   
**assumes**  $val = sint(signed-take-bit\ (n - 1)\ ival)$   
**shows**  $fst\ (bit-bounds\ n) \leq val \wedge val \leq snd\ (bit-bounds\ n)$   
*<proof>*

**lemma** *int-signed-value-bounds*:

**assumes**  $b1 \leq 64$   
**assumes**  $0 < b1$   
**shows**  $fst\ (bit-bounds\ b1) \leq int-signed-value\ b1\ v2 \wedge$   
 $int-signed-value\ b1\ v2 \leq snd\ (bit-bounds\ b1)$   
*<proof>*

**lemma** *int-signed-value-range*:

**fixes** *ival* :: int64  
**assumes**  $val = int-signed-value\ n\ ival$   
**shows**  $-(2 \wedge (n - 1)) \leq val \wedge val < 2 \wedge (n - 1)$   
*<proof>*

Some lemmas about unsigned words smaller than 64-bit, for zero-extend operators.

**lemma** *take-bit-smaller-range*:

**fixes** *ival* :: 'a :: len word  
**assumes**  $n < LENGTH('a)$

**assumes**  $val = sint(take-bit\ n\ ival)$   
**shows**  $0 \leq val \wedge val < (2::int) ^ n$   
 $\langle proof \rangle$

**lemma** *take-bit-same-size-nochange*:  
**fixes**  $ival :: 'a :: len\ word$   
**assumes**  $n = LENGTH('a)$   
**shows**  $ival = take-bit\ n\ ival$   
 $\langle proof \rangle$

A simplification lemma for *new\_int*, showing that upper bits can be ignored.

**lemma** *take-bit-redundant[simp]*:  
**fixes**  $ival :: 'a :: len\ word$   
**assumes**  $0 < n$   
**assumes**  $n < LENGTH('a)$   
**shows**  $signed-take-bit\ (n - 1)\ (take-bit\ n\ ival) = signed-take-bit\ (n - 1)\ ival$   
 $\langle proof \rangle$

**lemma** *take-bit-same-size-range*:  
**fixes**  $ival :: 'a :: len\ word$   
**assumes**  $n = LENGTH('a)$   
**assumes**  $ival2 = take-bit\ n\ ival$   
**shows**  $-(2 ^ n\ div\ 2) \leq sint\ ival2 \wedge sint\ ival2 < 2 ^ n\ div\ 2$   
 $\langle proof \rangle$

**lemma** *take-bit-same-bounds*:  
**fixes**  $ival :: 'a :: len\ word$   
**assumes**  $n = LENGTH('a)$   
**assumes**  $ival2 = take-bit\ n\ ival$   
**shows**  $fst\ (bit-bounds\ n) \leq sint\ ival2 \wedge sint\ ival2 \leq snd\ (bit-bounds\ n)$   
 $\langle proof \rangle$

Next we show that casting a word to a wider word preserves any upper/lower bounds. (These lemmas may not be needed any more, since we are not using *scast* now?)

**lemma** *scast-max-bound*:  
**assumes**  $sint\ (v :: 'a :: len\ word) < M$   
**assumes**  $LENGTH('a) < LENGTH('b)$   
**shows**  $sint\ ((scast\ v) :: 'b :: len\ word) < M$   
 $\langle proof \rangle$

**lemma** *scast-min-bound*:  
**assumes**  $M \leq sint\ (v :: 'a :: len\ word)$   
**assumes**  $LENGTH('a) < LENGTH('b)$   
**shows**  $M \leq sint\ ((scast\ v) :: 'b :: len\ word)$   
 $\langle proof \rangle$

**lemma** *scast-bigger-max-bound*:

**assumes**  $(result :: 'b :: len\ word) = scast\ (v :: 'a :: len\ word)$   
**shows**  $sint\ result < 2 \wedge LENGTH('a)\ div\ 2$   
 $\langle proof \rangle$

**lemma** *scast-bigger-min-bound*:

**assumes**  $(result :: 'b :: len\ word) = scast\ (v :: 'a :: len\ word)$   
**shows**  $-(2 \wedge LENGTH('a)\ div\ 2) \leq sint\ result$   
 $\langle proof \rangle$

**lemma** *scast-bigger-bit-bounds*:

**assumes**  $(result :: 'b :: len\ word) = scast\ (v :: 'a :: len\ word)$   
**shows**  $fst\ (bit-bounds\ (LENGTH('a))) \leq sint\ result \wedge sint\ result \leq snd\ (bit-bounds\ (LENGTH('a)))$   
 $\langle proof \rangle$

Results about *new\_int*.

**lemma** *new-int-take-bits*:

**assumes**  $IntVal\ b\ val = new-int\ b\ ival$   
**shows**  $take-bit\ b\ val = val$   
 $\langle proof \rangle$

### 3.6.2 Support lemmas for take bit and signed take bit.

Lemmas for removing redundant *take\_bit* wrappers.

**lemma** *take-bit-dist-addL[simp]*:

**fixes**  $x :: 'a :: len\ word$   
**shows**  $take-bit\ b\ (take-bit\ b\ x + y) = take-bit\ b\ (x + y)$   
 $\langle proof \rangle$

**lemma** *take-bit-dist-addR[simp]*:

**fixes**  $x :: 'a :: len\ word$   
**shows**  $take-bit\ b\ (x + take-bit\ b\ y) = take-bit\ b\ (x + y)$   
 $\langle proof \rangle$

**lemma** *take-bit-dist-subL[simp]*:

**fixes**  $x :: 'a :: len\ word$   
**shows**  $take-bit\ b\ (take-bit\ b\ x - y) = take-bit\ b\ (x - y)$   
 $\langle proof \rangle$

**lemma** *take-bit-dist-subR[simp]*:

**fixes**  $x :: 'a :: len\ word$   
**shows**  $take-bit\ b\ (x - take-bit\ b\ y) = take-bit\ b\ (x - y)$   
 $\langle proof \rangle$

**lemma** *take-bit-dist-neg[simp]*:

**fixes**  $ix :: 'a :: len\ word$   
**shows**  $take-bit\ b\ (-\ take-bit\ b\ (ix)) = take-bit\ b\ (-\ ix)$   
 $\langle proof \rangle$

```

lemma signed-take-bit[simp]:
  fixes  $x :: 'a :: \text{len word}$ 
  assumes  $0 < b$ 
  shows  $\text{signed-take-bit } (b - 1) (\text{take-bit } b \ x) = \text{signed-take-bit } (b - 1) \ x$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma mod-larger-ignore:
  fixes  $a :: \text{int}$ 
  fixes  $m \ n :: \text{nat}$ 
  assumes  $n < m$ 
  shows  $(a \bmod 2^m) \bmod 2^n = a \bmod 2^n$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma mod-dist-over-add:
  fixes  $a \ b \ c :: \text{int64}$ 
  fixes  $n :: \text{nat}$ 
  assumes 1:  $0 < n$ 
  assumes 2:  $n < 64$ 
  shows  $(a \bmod 2^n + b) \bmod 2^n = (a + b) \bmod 2^n$ 
   $\langle \text{proof} \rangle$ 

```

**end**

## 4 Stamp Typing

```

theory Stamp
  imports Values
begin

```

The GraalVM compiler uses the Stamp class to store range and type information for a given node in the IR graph. We model the Stamp class as a datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

```

datatype Stamp =
  VoidStamp
  | IntegerStamp (stp-bits: nat) (stp-lower: int) (stp-upper: int)

  | KlassPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | MethodCountersPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | MethodPointersStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | ObjectStamp (stp-type: string) (stp-exactType: bool) (stp-nonNull: bool) (stp-alwaysNull:
bool)
  | RawPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | IllegalStamp

```



To help with supporting masks in future, this constructor allows masks but ignores them.

**abbreviation** *IntegerStampM* :: *nat*  $\Rightarrow$  *int*  $\Rightarrow$  *int*  $\Rightarrow$  *int64*  $\Rightarrow$  *int64*  $\Rightarrow$  *Stamp*  
**where**  
*IntegerStampM* *b lo hi down up*  $\equiv$  *IntegerStamp* *b lo hi*

**fun** *is-stamp-empty* :: *Stamp*  $\Rightarrow$  *bool* **where**  
*is-stamp-empty* (*IntegerStamp* *b lower upper*) = (*upper* < *lower*) |  
*is-stamp-empty* *x* = *False*

Just like the *IntegerStamp* class, we need to know that our lo/hi bounds fit into the given number of bits (either signed or unsigned). Our integer stamps have infinite lo/hi bounds, so if the lower bound is non-negative, we can assume that all values are positive, and the integer bits of a related value can be interpreted as unsigned. This is similar (but slightly more general) to what *IntegerStamp.java* does with its test: if (*sameSignBounds()*) in the *unsignedUpperBound()* method.

Note that this is a bit different and more accurate than what *StampFactory.forUnsignedInteger* does (it widens large unsigned ranges to the max signed range to allow all bit patterns) because its lo/hi values are only 64-bit.

**fun** *valid-stamp* :: *Stamp*  $\Rightarrow$  *bool* **where**  
*valid-stamp* (*IntegerStamp* *bits lo hi*) =  
(*0* < *bits*  $\wedge$  *bits*  $\leq$  64  $\wedge$   
*fst* (*bit-bounds* *bits*)  $\leq$  *lo*  $\wedge$  *lo*  $\leq$  *snd* (*bit-bounds* *bits*)  $\wedge$   
*fst* (*bit-bounds* *bits*)  $\leq$  *hi*  $\wedge$  *hi*  $\leq$  *snd* (*bit-bounds* *bits*)) |  
*valid-stamp* *s* = *True*

**experiment begin**

**corollary** *bit-bounds* 1 = (-1, 0) *<proof>*  
**end**

— A stamp which includes the full range of the type

**fun** *unrestricted-stamp* :: *Stamp*  $\Rightarrow$  *Stamp* **where**  
*unrestricted-stamp* *VoidStamp* = *VoidStamp* |  
*unrestricted-stamp* (*IntegerStamp* *bits lower upper*) = (*IntegerStamp* *bits* (*fst*  
(*bit-bounds* *bits*)) (*snd* (*bit-bounds* *bits*))) |  
  
*unrestricted-stamp* (*KlassPointerStamp* *nonNull alwaysNull*) = (*KlassPointerStamp*  
*False False*) |

```

    unrestricted-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
False False) |
    unrestricted-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
False False) |
    unrestricted-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" False False False) |
    unrestricted-stamp - = IllegalStamp

```

```

fun is-stamp-unrestricted :: Stamp ⇒ bool where
    is-stamp-unrestricted s = (s = unrestricted-stamp s)

```

— A stamp which provides type information but has an empty range of values

```

fun empty-stamp :: Stamp ⇒ Stamp where
    empty-stamp VoidStamp = VoidStamp |
    empty-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (snd (bit-bounds
bits)) (fst (bit-bounds bits))) |

```

```

    empty-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
nonNull alwaysNull) |
    empty-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
nonNull alwaysNull) |
    empty-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
nonNull alwaysNull) |
    empty-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" True True False) |
    empty-stamp stamp = IllegalStamp

```

— Calculate the meet stamp of two stamps

```

fun meet :: Stamp ⇒ Stamp ⇒ Stamp where
    meet VoidStamp VoidStamp = VoidStamp |
    meet (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
        if b1 ≠ b2 then IllegalStamp else
        (IntegerStamp b1 (min l1 l2) (max u1 u2))
    ) |

```

```

    meet (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
        KlassPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 an2) = (
        MethodCountersPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
        MethodPointersStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet s1 s2 = IllegalStamp

```

— Calculate the join stamp of two stamps

```

fun join :: Stamp ⇒ Stamp ⇒ Stamp where
  join VoidStamp VoidStamp = VoidStamp |
  join (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
    if b1 ≠ b2 then IllegalStamp else
    (IntegerStamp b1 (max l1 l2) (min u1 u2))
  ) |
  join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (KlassPointerStamp nn1 an1))
    else (KlassPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp nn2
an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (MethodCountersPointerStamp nn1 an1))
    else (MethodCountersPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (MethodPointersStamp nn1 an1))
    else (MethodPointersStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join s1 s2 = IllegalStamp

```

— In certain circumstances a stamp provides enough information to evaluate a value as a stamp, the `asConstant` function converts the stamp to a value where one can be inferred.

```

fun asConstant :: Stamp ⇒ Value where
  asConstant (IntegerStamp b l h) = (if l = h then new-int b (word-of-int l) else
 .UndefVal) |
  asConstant - =.UndefVal

```

— Determine if two stamps never have value overlaps i.e. their join is empty

```

fun alwaysDistinct :: Stamp ⇒ Stamp ⇒ bool where
  alwaysDistinct stamp1 stamp2 = is-stamp-empty (join stamp1 stamp2)

```

— Determine if two stamps must always be the same value i.e. two equal constants

```

fun neverDistinct :: Stamp ⇒ Stamp ⇒ bool where
  neverDistinct stamp1 stamp2 = (asConstant stamp1 = asConstant stamp2 ∧
  asConstant stamp1 ≠.UndefVal)

```

```

fun constantAsStamp :: Value ⇒ Stamp where
  constantAsStamp (IntVal b v) = (IntegerStamp b (int-signed-value b v) (int-signed-value
  b v)) |
  constantAsStamp (ObjRef (None)) = ObjectStamp "" False False True |
  constantAsStamp (ObjRef (Some n)) = ObjectStamp "" False True False |

```

*constantAsStamp* - = *IllegalStamp*

— Define when a runtime value is valid for a stamp. The stamp bounds must be valid, and val must be zero-extended.

```
fun valid-value :: Value ⇒ Stamp ⇒ bool where
  valid-value (IntVal b1 val) (IntegerStamp b l h) =
    (if b1 = b then
      valid-stamp (IntegerStamp b l h) ∧
      take-bit b val = val ∧
      l ≤ int-signed-value b val ∧ int-signed-value b val ≤ h
    else False) |

  valid-value (ObjRef ref) (ObjectStamp klass exact nonNull alwaysNull) =
    ((alwaysNull → ref = None) ∧ (ref=None → ¬ nonNull)) |
  valid-value stamp val = False
```

```
definition wf-value :: Value ⇒ bool where
  wf-value v = valid-value v (constantAsStamp v)
```

```
lemma unfold-wf-value[simp]:
  wf-value v ⇒ valid-value v (constantAsStamp v)
  ⟨proof⟩
```

```
fun compatible :: Stamp ⇒ Stamp ⇒ bool where
  compatible (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) =
    (b1 = b2 ∧ valid-stamp (IntegerStamp b1 lo1 hi1) ∧ valid-stamp (IntegerStamp
b2 lo2 hi2)) |
  compatible (VoidStamp) (VoidStamp) = True |
  compatible - - = False
```

```
fun stamp-under :: Stamp ⇒ Stamp ⇒ bool where
  stamp-under (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) = (hi1 < lo2) |
  stamp-under - - = False
```

— The most common type of stamp within the compiler (apart from the *VoidStamp*) is a 32 bit integer stamp with an unrestricted range. We use *default-stamp* as it is a frequently used stamp.

```
definition default-stamp :: Stamp where
  default-stamp = (unrestricted-stamp (IntegerStamp 32 0 0))
```

```
value valid-value (IntVal 8 (255)) (IntegerStamp 8 (-128) 127)
end
```

## 5 Graph Representation

### 5.1 IR Graph Nodes

**theory** *IRNodes*

**imports**

*Values*

**begin**

The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each IRNode constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The `inputs_of` and `successors_of` functions partition those labelled references into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write INPUT (or special case thereof) instead of ID for input edges, and SUCC instead of ID for control-flow successor edges. Optional edges are handled as "INPUT option" etc.

**datatype** *IRInvokeKind* =

*Interface* | *Special* | *Static* | *Virtual*

**fun** *isDirect* :: *IRInvokeKind*  $\Rightarrow$  *bool* **where**

*isDirect Interface* = *False* |

*isDirect Special* = *True* |

*isDirect Static* = *True* |

*isDirect Virtual* = *False*

**fun** *hasReceiver* :: *IRInvokeKind*  $\Rightarrow$  *bool* **where**

*hasReceiver Static* = *False* |

*hasReceiver -* = *True*

**type-synonym** *ID* = *nat*

**type-synonym** *INPUT* = *ID*

**type-synonym** *INPUT-ASSOC* = *ID*

**type-synonym** *INPUT-STATE* = *ID*

**type-synonym** *INPUT-GUARD* = *ID*

**type-synonym** *INPUT-COND* = *ID*

**type-synonym** *INPUT-EXT* = *ID*

**type-synonym** *SUCC* = *ID*

**datatype** (*discs-sels*) *IRNode* =

*AbsNode* (*ir-value*: *INPUT*)  
| *AddNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
| *AndNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
| *ArrayLengthNode* (*ir-value*: *INPUT*) (*ir-next*: *SUCC*)  
| *BeginNode* (*ir-next*: *SUCC*)  
| *BitCountNode* (*ir-value*: *INPUT*)  
| *BytecodeExceptionNode* (*ir-arguments*: *INPUT list*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
| *ConditionalNode* (*ir-condition*: *INPUT-COND*) (*ir-trueValue*: *INPUT*) (*ir-falseValue*: *INPUT*)  
| *ConstantNode* (*ir-const*: *Value*)  
| *ControlFlowAnchorNode* (*ir-next*: *SUCC*)  
| *DynamicNewArrayNode* (*ir-elementType*: *INPUT*) (*ir-length*: *INPUT*) (*ir-voidClass-opt*: *INPUT option*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
| *EndNode*  
| *ExceptionObjectNode* (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
  
| *FixedGuardNode* (*ir-condition*: *INPUT-COND*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
| *FrameState* (*ir-monitorIds*: *INPUT-ASSOC list*) (*ir-outerFrameState-opt*: *INPUT-STATE option*) (*ir-values-opt*: *INPUT list option*) (*ir-virtualObjectMappings-opt*: *INPUT-STATE list option*)  
| *IfNode* (*ir-condition*: *INPUT-COND*) (*ir-trueSuccessor*: *SUCC*) (*ir-falseSuccessor*: *SUCC*)  
| *IntegerBelowNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
| *IntegerEqualsNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
| *IntegerLessThanNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
| *IntegerMulHighNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
| *IntegerNormalizeCompareNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
| *IntegerTestNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
| *InvokeNode* (*ir-nid*: *ID*) (*ir-callTarget*: *INPUT-EXT*) (*ir-classInit-opt*: *INPUT option*) (*ir-stateDuring-opt*: *INPUT-STATE option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
| *InvokeWithExceptionNode* (*ir-nid*: *ID*) (*ir-callTarget*: *INPUT-EXT*) (*ir-classInit-opt*: *INPUT option*) (*ir-stateDuring-opt*: *INPUT-STATE option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*) (*ir-exceptionEdge*: *SUCC*)  
| *IsNullNode* (*ir-value*: *INPUT*)  
| *KillingBeginNode* (*ir-next*: *SUCC*)  
| *LeftShiftNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
| *LoadFieldNode* (*ir-nid*: *ID*) (*ir-field*: *string*) (*ir-object-opt*: *INPUT option*) (*ir-next*: *SUCC*)  
| *LoadIndexedNode* (*ir-index*: *INPUT*) (*ir-guard-opt*: *INPUT-GUARD option*) (*ir-value*: *INPUT*) (*ir-next*: *SUCC*)  
| *LogicNegationNode* (*ir-value*: *INPUT-COND*)  
| *LoopBeginNode* (*ir-ends*: *INPUT-ASSOC list*) (*ir-overflowGuard-opt*: *INPUT-GUARD option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
| *LoopEndNode* (*ir-loopBegin*: *INPUT-ASSOC*)  
| *LoopExitNode* (*ir-loopBegin*: *INPUT-ASSOC*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)

- | *MergeNode* (*ir-ends*: INPUT-ASSOC list) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *MethodCallTargetNode* (*ir-targetMethod*: string) (*ir-arguments*: INPUT list) (*ir-invoke-kind*: IRInvokeKind)
- | *MulNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *NarrowNode* (*ir-inputBits*: nat) (*ir-resultBits*: nat) (*ir-value*: INPUT)
- | *NegateNode* (*ir-value*: INPUT)
- | *NewArrayNode* (*ir-length*: INPUT) (*ir-stateBefore-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *NewInstanceNode* (*ir-nid*: ID) (*ir-instanceClass*: string) (*ir-stateBefore-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *NotNode* (*ir-value*: INPUT)
- | *OrNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *ParameterNode* (*ir-index*: nat)
- | *PiNode* (*ir-object*: INPUT) (*ir-guard-opt*: INPUT-GUARD option)
- | *ReturnNode* (*ir-result-opt*: INPUT option) (*ir-memoryMap-opt*: INPUT-EXT option)
- | *ReverseBytesNode* (*ir-value*: INPUT)
- | *RightShiftNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *ShortCircuitOrNode* (*ir-x*: INPUT-COND) (*ir-y*: INPUT-COND)
- | *SignExtendNode* (*ir-inputBits*: nat) (*ir-resultBits*: nat) (*ir-value*: INPUT)
- | *SignedDivNode* (*ir-nid*: ID) (*ir-x*: INPUT) (*ir-y*: INPUT) (*ir-zeroCheck-opt*: INPUT-GUARD option) (*ir-stateBefore-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *SignedFloatingIntegerDivNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *SignedFloatingIntegerRemNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *SignedRemNode* (*ir-nid*: ID) (*ir-x*: INPUT) (*ir-y*: INPUT) (*ir-zeroCheck-opt*: INPUT-GUARD option) (*ir-stateBefore-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *StartNode* (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *StoreFieldNode* (*ir-nid*: ID) (*ir-field*: string) (*ir-value*: INPUT) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-object-opt*: INPUT option) (*ir-next*: SUCC)
- | *StoreIndexedNode* (*ir-storeCheck*: INPUT-GUARD option) (*ir-value*: ID) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-index*: INPUT) (*ir-guard-opt*: INPUT-GUARD option) (*ir-array*: INPUT) (*ir-next*: SUCC)
- | *SubNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *UnsignedRightShiftNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *UnwindNode* (*ir-exception*: INPUT)
- | *ValuePhiNode* (*ir-nid*: ID) (*ir-values*: INPUT list) (*ir-merge*: INPUT-ASSOC)
- | *ValueProxyNode* (*ir-value*: INPUT) (*ir-loopExit*: INPUT-ASSOC)
- | *XorNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *ZeroExtendNode* (*ir-inputBits*: nat) (*ir-resultBits*: nat) (*ir-value*: INPUT)
- | *NoNode*

- | *RefNode* (*ir-ref*: ID)

```

fun opt-to-list :: 'a option ⇒ 'a list where
  opt-to-list None = [] |
  opt-to-list (Some v) = [v]

```

```

fun opt-list-to-list :: 'a list option ⇒ 'a list where
  opt-list-to-list None = [] |
  opt-list-to-list (Some x) = x

```

The following functions, `inputs_of` and `successors_of`, are automatically generated from the GraalVM compiler. Their purpose is to partition the node edges into input or successor edges.

```

fun inputs-of :: IRNode ⇒ ID list where
  inputs-of-AbsNode:
  inputs-of (AbsNode value) = [value] |
  inputs-of-AddNode:
  inputs-of (AddNode x y) = [x, y] |
  inputs-of-AndNode:
  inputs-of (AndNode x y) = [x, y] |
  inputs-of-ArrayLengthNode:
  inputs-of (ArrayLengthNode x next) = [x] |
  inputs-of-BeginNode:
  inputs-of (BeginNode next) = [] |
  inputs-of-BitCountNode:
  inputs-of (BitCountNode value) = [value] |
  inputs-of-BytecodeExceptionNode:
  inputs-of (BytecodeExceptionNode arguments stateAfter next) = arguments @
  (opt-to-list stateAfter) |
  inputs-of-ConditionalNode:
  inputs-of (ConditionalNode condition trueValue falseValue) = [condition, true-
  Value, falseValue] |
  inputs-of-ConstantNode:
  inputs-of (ConstantNode const) = [] |
  inputs-of-ControlFlowAnchorNode:
  inputs-of (ControlFlowAnchorNode n) = [] |
  inputs-of-DynamicNewArrayNode:
  inputs-of (DynamicNewArrayNode elementType length0 voidClass stateBefore
  next) = [elementType, length0] @ (opt-to-list voidClass) @ (opt-to-list stateBefore)
  |
  inputs-of-EndNode:
  inputs-of (EndNode) = [] |
  inputs-of-ExceptionObjectNode:
  inputs-of (ExceptionObjectNode stateAfter next) = (opt-to-list stateAfter) |
  inputs-of-FixedGuardNode:
  inputs-of (FixedGuardNode condition stateBefore next) = [condition] |
  inputs-of-FrameState:
  inputs-of (FrameState monitorIds outerFrameState values virtualObjectMappings)
  = monitorIds @ (opt-to-list outerFrameState) @ (opt-list-to-list values) @ (opt-list-to-list
  virtualObjectMappings) |
  inputs-of-IfNode:

```



*inputs-of (IfNode condition trueSuccessor falseSuccessor) = [condition] |*  
*inputs-of-IntegerBelowNode:*  
*inputs-of (IntegerBelowNode x y) = [x, y] |*  
*inputs-of-IntegerEqualsNode:*  
*inputs-of (IntegerEqualsNode x y) = [x, y] |*  
*inputs-of-IntegerLessThanNode:*  
*inputs-of (IntegerLessThanNode x y) = [x, y] |*  
*inputs-of-IntegerMulHighNode:*  
*inputs-of (IntegerMulHighNode x y) = [x, y] |*  
*inputs-of-IntegerNormalizeCompareNode:*  
*inputs-of (IntegerNormalizeCompareNode x y) = [x, y] |*  
*inputs-of-IntegerTestNode:*  
*inputs-of (IntegerTestNode x y) = [x, y] |*  
*inputs-of-InvokeNode:*  
*inputs-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)*  
*= callTarget # (opt-to-list classInit) @ (opt-to-list stateDuring) @ (opt-to-list*  
*stateAfter) |*  
*inputs-of-InvokeWithExceptionNode:*  
*inputs-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring stateAfter*  
*next exceptionEdge) = callTarget # (opt-to-list classInit) @ (opt-to-list stateDur-*  
*ing) @ (opt-to-list stateAfter) |*  
*inputs-of-IsNullNode:*  
*inputs-of (IsNullNode value) = [value] |*  
*inputs-of-KillingBeginNode:*  
*inputs-of (KillingBeginNode next) = [] |*  
*inputs-of-LeftShiftNode:*  
*inputs-of (LeftShiftNode x y) = [x, y] |*  
*inputs-of-LoadFieldNode:*  
*inputs-of (LoadFieldNode nid0 field object next) = (opt-to-list object) |*  
*inputs-of-LoadIndexedNode:*  
*inputs-of (LoadIndexedNode index guard x next) = [x] |*  
*inputs-of-LogicNegationNode:*  
*inputs-of (LogicNegationNode value) = [value] |*  
*inputs-of-LoopBeginNode:*  
*inputs-of (LoopBeginNode ends overflowGuard stateAfter next) = ends @ (opt-to-list*  
*overflowGuard) @ (opt-to-list stateAfter) |*  
*inputs-of-LoopEndNode:*  
*inputs-of (LoopEndNode loopBegin) = [loopBegin] |*  
*inputs-of-LoopExitNode:*  
*inputs-of (LoopExitNode loopBegin stateAfter next) = loopBegin # (opt-to-list*  
*stateAfter) |*  
*inputs-of-MergeNode:*  
*inputs-of (MergeNode ends stateAfter next) = ends @ (opt-to-list stateAfter) |*  
*inputs-of-MethodCallTargetNode:*  
*inputs-of (MethodCallTargetNode targetMethod arguments invoke-kind) = argu-*  
*ments |*  
*inputs-of-MulNode:*  
*inputs-of (MulNode x y) = [x, y] |*  
*inputs-of-NarrowNode:*

*inputs-of* (*NarrowNode* *inputBits* *resultBits* *value*) = [*value*] |  
*inputs-of-NegateNode*:  
*inputs-of* (*NegateNode* *value*) = [*value*] |  
*inputs-of-NewArrayNode*:  
*inputs-of* (*NewArrayNode* *length0* *stateBefore* *next*) = *length0* # (*opt-to-list* *stateBefore*) |  
*inputs-of-NewInstanceNode*:  
*inputs-of* (*NewInstanceNode* *nid0* *instanceClass* *stateBefore* *next*) = (*opt-to-list* *stateBefore*) |  
*inputs-of-NotNode*:  
*inputs-of* (*NotNode* *value*) = [*value*] |  
*inputs-of-OrNode*:  
*inputs-of* (*OrNode* *x* *y*) = [*x*, *y*] |  
*inputs-of-ParameterNode*:  
*inputs-of* (*ParameterNode* *index*) = [] |  
*inputs-of-PiNode*:  
*inputs-of* (*PiNode* *object* *guard*) = *object* # (*opt-to-list* *guard*) |  
*inputs-of-ReturnNode*:  
*inputs-of* (*ReturnNode* *result* *memoryMap*) = (*opt-to-list* *result*) @ (*opt-to-list* *memoryMap*) |  
*inputs-of-ReverseBytesNode*:  
*inputs-of* (*ReverseBytesNode* *value*) = [*value*] |  
*inputs-of-RightShiftNode*:  
*inputs-of* (*RightShiftNode* *x* *y*) = [*x*, *y*] |  
*inputs-of-ShortCircuitOrNode*:  
*inputs-of* (*ShortCircuitOrNode* *x* *y*) = [*x*, *y*] |  
*inputs-of-SignExtendNode*:  
*inputs-of* (*SignExtendNode* *inputBits* *resultBits* *value*) = [*value*] |  
*inputs-of-SignedDivNode*:  
*inputs-of* (*SignedDivNode* *nid0* *x* *y* *zeroCheck* *stateBefore* *next*) = [*x*, *y*] @ (*opt-to-list* *zeroCheck*) @ (*opt-to-list* *stateBefore*) |  
*inputs-of-SignedFloatingIntegerDivNode*:  
*inputs-of* (*SignedFloatingIntegerDivNode* *x* *y*) = [*x*, *y*] |  
*inputs-of-SignedFloatingIntegerRemNode*:  
*inputs-of* (*SignedFloatingIntegerRemNode* *x* *y*) = [*x*, *y*] |  
*inputs-of-SignedRemNode*:  
*inputs-of* (*SignedRemNode* *nid0* *x* *y* *zeroCheck* *stateBefore* *next*) = [*x*, *y*] @ (*opt-to-list* *zeroCheck*) @ (*opt-to-list* *stateBefore*) |  
*inputs-of-StartNode*:  
*inputs-of* (*StartNode* *stateAfter* *next*) = (*opt-to-list* *stateAfter*) |  
*inputs-of-StoreFieldNode*:  
*inputs-of* (*StoreFieldNode* *nid0* *field* *value* *stateAfter* *object* *next*) = *value* # (*opt-to-list* *stateAfter*) @ (*opt-to-list* *object*) |  
*inputs-of-StoreIndexedNode*:  
*inputs-of* (*StoreIndexedNode* *check* *val* *st* *index* *guard* *array* *nid'*) = [*val*, *array*] |  
*inputs-of-SubNode*:  
*inputs-of* (*SubNode* *x* *y*) = [*x*, *y*] |  
*inputs-of-UnsignedRightShiftNode*:  
*inputs-of* (*UnsignedRightShiftNode* *x* *y*) = [*x*, *y*] |

*inputs-of-UnwindNode:*  
*inputs-of (UnwindNode exception) = [exception] |*  
*inputs-of-ValuePhiNode:*  
*inputs-of (ValuePhiNode nid0 values merge) = merge # values |*  
*inputs-of-ValueProxyNode:*  
*inputs-of (ValueProxyNode value loopExit) = [value, loopExit] |*  
*inputs-of-XorNode:*  
*inputs-of (XorNode x y) = [x, y] |*  
*inputs-of-ZeroExtendNode:*  
*inputs-of (ZeroExtendNode inputBits resultBits value) = [value] |*  
*inputs-of-NoNode: inputs-of (NoNode) = [] |*

*inputs-of-RefNode: inputs-of (RefNode ref) = [ref]*

**fun** *successors-of* :: *IRNode* ⇒ *ID list* **where**

*successors-of-AbsNode:*  
*successors-of (AbsNode value) = [] |*  
*successors-of-AddNode:*  
*successors-of (AddNode x y) = [] |*  
*successors-of-AndNode:*  
*successors-of (AndNode x y) = [] |*  
*successors-of-ArrayLengthNode:*  
*successors-of (ArrayLengthNode x next) = [next] |*  
*successors-of-BeginNode:*  
*successors-of (BeginNode next) = [next] |*  
*successors-of-BitCountNode:*  
*successors-of (BitCountNode value) = [] |*  
*successors-of-BytecodeExceptionNode:*  
*successors-of (BytecodeExceptionNode arguments stateAfter next) = [next] |*  
*successors-of-ConditionalNode:*  
*successors-of (ConditionalNode condition trueValue falseValue) = [] |*  
*successors-of-ConstantNode:*  
*successors-of (ConstantNode const) = [] |*  
*successors-of-ControlFlowAnchorNode:*  
*successors-of (ControlFlowAnchorNode next) = [next] |*  
*successors-of-DynamicNewArrayNode:*  
*successors-of (DynamicNewArrayNode elementType length0 voidClass stateBefore*  
*next) = [next] |*  
*successors-of-EndNode:*  
*successors-of (EndNode) = [] |*  
*successors-of-ExceptionObjectNode:*  
*successors-of (ExceptionObjectNode stateAfter next) = [next] |*  
*successors-of-FixedGuardNode:*  
*successors-of (FixedGuardNode condition stateBefore next) = [next] |*  
*successors-of-FrameState:*  
*successors-of (FrameState monitorIds outerFrameState values virtualObjectMap-*  
*pings) = [] |*

*successors-of-IfNode:*  
*successors-of (IfNode condition trueSuccessor falseSuccessor) = [trueSuccessor, falseSuccessor] |*  
*successors-of-IntegerBelowNode:*  
*successors-of (IntegerBelowNode x y) = [] |*  
*successors-of-IntegerEqualsNode:*  
*successors-of (IntegerEqualsNode x y) = [] |*  
*successors-of-IntegerLessThanNode:*  
*successors-of (IntegerLessThanNode x y) = [] |*  
*successors-of-IntegerMulHighNode:*  
*successors-of (IntegerMulHighNode x y) = [] |*  
*successors-of-IntegerNormalizeCompareNode:*  
*successors-of (IntegerNormalizeCompareNode x y) = [] |*  
*successors-of-IntegerTestNode:*  
*successors-of (IntegerTestNode x y) = [] |*  
*successors-of-InvokeNode:*  
*successors-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)*  
*= [next] |*  
*successors-of-InvokeWithExceptionNode:*  
*successors-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring*  
*stateAfter next exceptionEdge) = [next, exceptionEdge] |*  
*successors-of-IsNullNode:*  
*successors-of (IsNullNode value) = [] |*  
*successors-of-KillingBeginNode:*  
*successors-of (KillingBeginNode next) = [next] |*  
*successors-of-LeftShiftNode:*  
*successors-of (LeftShiftNode x y) = [] |*  
*successors-of-LoadFieldNode:*  
*successors-of (LoadFieldNode nid0 field object next) = [next] |*  
*successors-of-LoadIndexedNode:*  
*successors-of (LoadIndexedNode index guard x next) = [next] |*  
*successors-of-LogicNegationNode:*  
*successors-of (LogicNegationNode value) = [] |*  
*successors-of-LoopBeginNode:*  
*successors-of (LoopBeginNode ends overflowGuard stateAfter next) = [next] |*  
*successors-of-LoopEndNode:*  
*successors-of (LoopEndNode loopBegin) = [] |*  
*successors-of-LoopExitNode:*  
*successors-of (LoopExitNode loopBegin stateAfter next) = [next] |*  
*successors-of-MergeNode:*  
*successors-of (MergeNode ends stateAfter next) = [next] |*  
*successors-of-MethodCallTargetNode:*  
*successors-of (MethodCallTargetNode targetMethod arguments invoke-kind) = []*  
*|*  
*successors-of-MulNode:*  
*successors-of (MulNode x y) = [] |*  
*successors-of-NarrowNode:*  
*successors-of (NarrowNode inputBits resultBits value) = [] |*  
*successors-of-NegateNode:*

*successors-of* (*NegateNode* *value*) = [] |  
*successors-of-NewArrayNode*:  
*successors-of* (*NewArrayNode* *length0* *stateBefore* *next*) = [*next*] |  
*successors-of-NewInstanceNode*:  
*successors-of* (*NewInstanceNode* *nid0* *instanceClass* *stateBefore* *next*) = [*next*] |  
*successors-of-NotNode*:  
*successors-of* (*NotNode* *value*) = [] |  
*successors-of-OrNode*:  
*successors-of* (*OrNode* *x* *y*) = [] |  
*successors-of-ParameterNode*:  
*successors-of* (*ParameterNode* *index*) = [] |  
*successors-of-PiNode*:  
*successors-of* (*PiNode* *object* *guard*) = [] |  
*successors-of-ReturnNode*:  
*successors-of* (*ReturnNode* *result* *memoryMap*) = [] |  
*successors-of-ReverseBytesNode*:  
*successors-of* (*ReverseBytesNode* *value*) = [] |  
*successors-of-RightShiftNode*:  
*successors-of* (*RightShiftNode* *x* *y*) = [] |  
*successors-of-ShortCircuitOrNode*:  
*successors-of* (*ShortCircuitOrNode* *x* *y*) = [] |  
*successors-of-SignExtendNode*:  
*successors-of* (*SignExtendNode* *inputBits* *resultBits* *value*) = [] |  
*successors-of-SignedDivNode*:  
*successors-of* (*SignedDivNode* *nid0* *x* *y* *zeroCheck* *stateBefore* *next*) = [*next*] |  
*successors-of-SignedFloatingIntegerDivNode*:  
*successors-of* (*SignedFloatingIntegerDivNode* *x* *y*) = [] |  
*successors-of-SignedFloatingIntegerRemNode*:  
*successors-of* (*SignedFloatingIntegerRemNode* *x* *y*) = [] |  
*successors-of-SignedRemNode*:  
*successors-of* (*SignedRemNode* *nid0* *x* *y* *zeroCheck* *stateBefore* *next*) = [*next*] |  
*successors-of-StartNode*:  
*successors-of* (*StartNode* *stateAfter* *next*) = [*next*] |  
*successors-of-StoreFieldNode*:  
*successors-of* (*StoreFieldNode* *nid0* *field* *value* *stateAfter* *object* *next*) = [*next*] |  
*successors-of-StoreIndexedNode*:  
*successors-of* (*StoreIndexedNode* *check* *val* *st* *index* *guard* *array* *next*) = [*next*] |  
*successors-of-SubNode*:  
*successors-of* (*SubNode* *x* *y*) = [] |  
*successors-of-UnsignedRightShiftNode*:  
*successors-of* (*UnsignedRightShiftNode* *x* *y*) = [] |  
*successors-of-UnwindNode*:  
*successors-of* (*UnwindNode* *exception*) = [] |  
*successors-of-ValuePhiNode*:  
*successors-of* (*ValuePhiNode* *nid0* *values* *merge*) = [] |  
*successors-of-ValueProxyNode*:  
*successors-of* (*ValueProxyNode* *value* *loopExit*) = [] |  
*successors-of-XorNode*:  
*successors-of* (*XorNode* *x* *y*) = [] |

*successors-of-ZeroExtendNode:*  
*successors-of (ZeroExtendNode inputBits resultBits value) = [] |*  
*successors-of-NoNode: successors-of (NoNode) = [] |*

*successors-of-RefNode: successors-of (RefNode ref) = [ref]*

**lemma** *inputs-of (FrameState x (Some y) (Some z) None) = x @ [y] @ z*  
*<proof>*

**lemma** *successors-of (FrameState x (Some y) (Some z) None) = []*  
*<proof>*

**lemma** *inputs-of (IfNode c t f) = [c]*  
*<proof>*

**lemma** *successors-of (IfNode c t f) = [t, f]*  
*<proof>*

**lemma** *inputs-of (EndNode) = [] ^ successors-of (EndNode) = []*  
*<proof>*

**end**

## 5.2 IR Graph Node Hierarchy

**theory** *IRNodeHierarchy*  
**imports** *IRNodes*  
**begin**

It is helpful to introduce a node hierarchy into our formalization. Often the GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the *IRNode* class to determine inheritance.

As one would expect, the function *is<ClassName>Type* will be true if the node parameter is a subclass of the *ClassName* within the GraalVM compiler.

These functions have been automatically generated from the compiler.

**fun** *is-EndNode :: IRNode ⇒ bool where*  
*is-EndNode EndNode = True |*  
*is-EndNode - = False*

**fun** *is-VirtualState :: IRNode ⇒ bool where*  
*is-VirtualState n = ((is-FrameState n))*

**fun** *is-BinaryArithmeticNode :: IRNode ⇒ bool where*

*is-BinaryArithmeticNode*  $n = ((is-AddNode\ n) \vee (is-AndNode\ n) \vee (is-MulNode\ n) \vee (is-OrNode\ n) \vee (is-SubNode\ n) \vee (is-XorNode\ n) \vee (is-IntegerNormalizeCompareNode\ n) \vee (is-IntegerMulHighNode\ n))$

**fun** *is-ShiftNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ShiftNode*  $n = ((is-LeftShiftNode\ n) \vee (is-RightShiftNode\ n) \vee (is-UnsignedRightShiftNode\ n))$

**fun** *is-BinaryNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-BinaryNode*  $n = ((is-BinaryArithmeticNode\ n) \vee (is-ShiftNode\ n))$

**fun** *is-AbstractLocalNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractLocalNode*  $n = ((is-ParameterNode\ n))$

**fun** *is-IntegerConvertNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-IntegerConvertNode*  $n = ((is-NarrowNode\ n) \vee (is-SignExtendNode\ n) \vee (is-ZeroExtendNode\ n))$

**fun** *is-UnaryArithmeticNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-UnaryArithmeticNode*  $n = ((is-AbsNode\ n) \vee (is-NegateNode\ n) \vee (is-NotNode\ n) \vee (is-BitCountNode\ n) \vee (is-ReverseBytesNode\ n))$

**fun** *is-UnaryNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-UnaryNode*  $n = ((is-IntegerConvertNode\ n) \vee (is-UnaryArithmeticNode\ n))$

**fun** *is-PhiNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-PhiNode*  $n = ((is-ValuePhiNode\ n))$

**fun** *is-FloatingGuardedNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-FloatingGuardedNode*  $n = ((is-PiNode\ n))$

**fun** *is-UnaryOpLogicNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-UnaryOpLogicNode*  $n = ((is-IsNullNode\ n))$

**fun** *is-IntegerLowerThanNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-IntegerLowerThanNode*  $n = ((is-IntegerBelowNode\ n) \vee (is-IntegerLessThanNode\ n))$

**fun** *is-CompareNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-CompareNode*  $n = ((is-IntegerEqualsNode\ n) \vee (is-IntegerLowerThanNode\ n))$

**fun** *is-BinaryOpLogicNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-BinaryOpLogicNode*  $n = ((is-CompareNode\ n) \vee (is-IntegerTestNode\ n))$

**fun** *is-LogicNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-LogicNode*  $n = ((is-BinaryOpLogicNode\ n) \vee (is-LogicNegationNode\ n) \vee (is-ShortCircuitOrNode\ n) \vee (is-UnaryOpLogicNode\ n))$

**fun** *is-ProxyNode* :: *IRNode*  $\Rightarrow$  *bool* **where**

*is-ProxyNode*  $n = ((is-ValueProxyNode\ n))$

**fun** *is-FloatingNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-FloatingNode*  $n = ((is-AbstractLocalNode\ n) \vee (is-BinaryNode\ n) \vee (is-ConditionalNode\ n) \vee (is-ConstantNode\ n) \vee (is-FloatingGuardedNode\ n) \vee (is-LogicNode\ n) \vee (is-PhiNode\ n) \vee (is-ProxyNode\ n) \vee (is-UnaryNode\ n))$

**fun** *is-AccessFieldNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AccessFieldNode*  $n = ((is-LoadFieldNode\ n) \vee (is-StoreFieldNode\ n))$

**fun** *is-AbstractNewArrayNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractNewArrayNode*  $n = ((is-DynamicNewArrayNode\ n) \vee (is-NewArrayNode\ n))$

**fun** *is-AbstractNewObjectNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractNewObjectNode*  $n = ((is-AbstractNewArrayNode\ n) \vee (is-NewInstanceNode\ n))$

**fun** *is-AbstractFixedGuardNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractFixedGuardNode*  $n = (is-FixedGuardNode\ n)$

**fun** *is-IntegerDivRemNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-IntegerDivRemNode*  $n = ((is-SignedDivNode\ n) \vee (is-SignedRemNode\ n))$

**fun** *is-FixedBinaryNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-FixedBinaryNode*  $n = (is-IntegerDivRemNode\ n)$

**fun** *is-DeoptimizingFixedWithNextNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-DeoptimizingFixedWithNextNode*  $n = ((is-AbstractNewObjectNode\ n) \vee (is-FixedBinaryNode\ n) \vee (is-AbstractFixedGuardNode\ n))$

**fun** *is-AbstractMemoryCheckpoint* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractMemoryCheckpoint*  $n = ((is-BytecodeExceptionNode\ n) \vee (is-InvokeNode\ n))$

**fun** *is-AbstractStateSplit* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractStateSplit*  $n = ((is-AbstractMemoryCheckpoint\ n))$

**fun** *is-AbstractMergeNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractMergeNode*  $n = ((is-LoopBeginNode\ n) \vee (is-MergeNode\ n))$

**fun** *is-BeginStateSplitNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-BeginStateSplitNode*  $n = ((is-AbstractMergeNode\ n) \vee (is-ExceptionObjectNode\ n) \vee (is-LoopExitNode\ n) \vee (is-StartNode\ n))$

**fun** *is-AbstractBeginNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractBeginNode*  $n = ((is-BeginNode\ n) \vee (is-BeginStateSplitNode\ n) \vee (is-KillingBeginNode\ n))$



```

fun is-AccessArrayNode :: IRNode ⇒ bool where
  is-AccessArrayNode n = ((is-LoadIndexedNode n) ∨ (is-StoreIndexedNode n))

fun is-FixedWithNextNode :: IRNode ⇒ bool where
  is-FixedWithNextNode n = ((is-AbstractBeginNode n) ∨ (is-AbstractStateSplit n)
  ∨ (is-AccessFieldNode n) ∨ (is-DeoptimizingFixedWithNextNode n) ∨ (is-ControlFlowAnchorNode
  n) ∨ (is-ArrayLengthNode n) ∨ (is-AccessArrayNode n))

fun is-WithExceptionNode :: IRNode ⇒ bool where
  is-WithExceptionNode n = ((is-InvokeWithExceptionNode n))

fun is-ControlSplitNode :: IRNode ⇒ bool where
  is-ControlSplitNode n = ((is-IfNode n) ∨ (is-WithExceptionNode n))

fun is-ControlSinkNode :: IRNode ⇒ bool where
  is-ControlSinkNode n = ((is-ReturnNode n) ∨ (is-UnwindNode n))

fun is-AbstractEndNode :: IRNode ⇒ bool where
  is-AbstractEndNode n = ((is-EndNode n) ∨ (is-LoopEndNode n))

fun is-FixedNode :: IRNode ⇒ bool where
  is-FixedNode n = ((is-AbstractEndNode n) ∨ (is-ControlSinkNode n) ∨ (is-ControlSplitNode
  n) ∨ (is-FixedWithNextNode n))

fun is-CallTargetNode :: IRNode ⇒ bool where
  is-CallTargetNode n = ((is-MethodCallTargetNode n))

fun is-ValueNode :: IRNode ⇒ bool where
  is-ValueNode n = ((is-CallTargetNode n) ∨ (is-FixedNode n) ∨ (is-FloatingNode
  n))

fun is-Node :: IRNode ⇒ bool where
  is-Node n = ((is-ValueNode n) ∨ (is-VirtualState n))

fun is-MemoryKill :: IRNode ⇒ bool where
  is-MemoryKill n = ((is-AbstractMemoryCheckpoint n))

fun is-NarrowableArithmeticNode :: IRNode ⇒ bool where
  is-NarrowableArithmeticNode n = ((is-AbsNode n) ∨ (is-AddNode n) ∨ (is-AndNode
  n) ∨ (is-MulNode n) ∨ (is-NegateNode n) ∨ (is-NotNode n) ∨ (is-OrNode n) ∨
  (is-ShiftNode n) ∨ (is-SubNode n) ∨ (is-XorNode n))

fun is-AnchoringNode :: IRNode ⇒ bool where
  is-AnchoringNode n = ((is-AbstractBeginNode n))

fun is-DeoptBefore :: IRNode ⇒ bool where
  is-DeoptBefore n = ((is-DeoptimizingFixedWithNextNode n))

fun is-IndirectCanonicalization :: IRNode ⇒ bool where

```

```

is-IndirectCanonicalization n = ((is-LogicNode n))

fun is-IterableNodeType :: IRNode ⇒ bool where
  is-IterableNodeType n = ((is-AbstractBeginNode n) ∨ (is-AbstractMergeNode n) ∨
(is-FrameState n) ∨ (is-IfNode n) ∨ (is-IntegerDivRemNode n) ∨ (is-InvokeWithExceptionNode
n) ∨ (is-LoopBeginNode n) ∨ (is-LoopExitNode n) ∨ (is-MethodCallTargetNode n)
∨ (is-ParameterNode n) ∨ (is-ReturnNode n) ∨ (is-ShortCircuitOrNode n))

fun is-Invoke :: IRNode ⇒ bool where
  is-Invoke n = ((is-InvokeNode n) ∨ (is-InvokeWithExceptionNode n))

fun is-Proxy :: IRNode ⇒ bool where
  is-Proxy n = ((is-ProxyNode n))

fun is-ValueProxy :: IRNode ⇒ bool where
  is-ValueProxy n = ((is-PiNode n) ∨ (is-ValueProxyNode n))

fun is-ValueNodeInterface :: IRNode ⇒ bool where
  is-ValueNodeInterface n = ((is-ValueNode n))

fun is-ArrayLengthProvider :: IRNode ⇒ bool where
  is-ArrayLengthProvider n = ((is-AbstractNewArrayNode n) ∨ (is-ConstantNode
n))

fun is-StampInverter :: IRNode ⇒ bool where
  is-StampInverter n = ((is-IntegerConvertNode n) ∨ (is-NegateNode n) ∨ (is-NotNode
n))

fun is-GuardingNode :: IRNode ⇒ bool where
  is-GuardingNode n = ((is-AbstractBeginNode n))

fun is-SingleMemoryKill :: IRNode ⇒ bool where
  is-SingleMemoryKill n = ((is-BytecodeExceptionNode n) ∨ (is-ExceptionObjectNode
n) ∨ (is-InvokeNode n) ∨ (is-InvokeWithExceptionNode n) ∨ (is-KillingBeginNode
n) ∨ (is-StartNode n))

fun is-LIRLowerable :: IRNode ⇒ bool where
  is-LIRLowerable n = ((is-AbstractBeginNode n) ∨ (is-AbstractEndNode n) ∨
(is-AbstractMergeNode n) ∨ (is-BinaryOpLogicNode n) ∨ (is-CallTargetNode n) ∨
(is-ConditionalNode n) ∨ (is-ConstantNode n) ∨ (is-IfNode n) ∨ (is-InvokeNode n)
∨ (is-InvokeWithExceptionNode n) ∨ (is-IsNullNode n) ∨ (is-LoopBeginNode n) ∨
(is-PiNode n) ∨ (is-ReturnNode n) ∨ (is-SignedDivNode n) ∨ (is-SignedRemNode
n) ∨ (is-UnaryOpLogicNode n) ∨ (is-UnwindNode n))

fun is-GuardedNode :: IRNode ⇒ bool where
  is-GuardedNode n = ((is-FloatingGuardedNode n))

fun is-ArithmeticLIRLowerable :: IRNode ⇒ bool where
  is-ArithmeticLIRLowerable n = ((is-AbsNode n) ∨ (is-BinaryArithmeticNode n) ∨

```

$(is-IntegerConvertNode\ n) \vee (is-NotNode\ n) \vee (is-ShiftNode\ n) \vee (is-UnaryArithmeticNode\ n)$

**fun** *is-SwitchFoldable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-SwitchFoldable* *n* =  $((is-IfNode\ n))$

**fun** *is-VirtualizableAllocation* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-VirtualizableAllocation* *n* =  $((is-NewArrayNode\ n) \vee (is-NewInstanceNode\ n))$

**fun** *is-Unary* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Unary* *n* =  $((is-LoadFieldNode\ n) \vee (is-LogicNegationNode\ n) \vee (is-UnaryNode\ n) \vee (is-UnaryOpLogicNode\ n))$

**fun** *is-FixedNodeInterface* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-FixedNodeInterface* *n* =  $((is-FixedNode\ n))$

**fun** *is-BinaryCommutative* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-BinaryCommutative* *n* =  $((is-AddNode\ n) \vee (is-AndNode\ n) \vee (is-IntegerEqualsNode\ n) \vee (is-MulNode\ n) \vee (is-OrNode\ n) \vee (is-XorNode\ n))$

**fun** *is-Canonicalizable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Canonicalizable* *n* =  $((is-BytecodeExceptionNode\ n) \vee (is-ConditionalNode\ n) \vee (is-DynamicNewArrayNode\ n) \vee (is-PhiNode\ n) \vee (is-PiNode\ n) \vee (is-ProxyNode\ n) \vee (is-StoreFieldNode\ n) \vee (is-ValueProxyNode\ n))$

**fun** *is-UncheckedInterfaceProvider* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-UncheckedInterfaceProvider* *n* =  $((is-InvokeNode\ n) \vee (is-InvokeWithExceptionNode\ n) \vee (is-LoadFieldNode\ n) \vee (is-ParameterNode\ n))$

**fun** *is-Binary* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Binary* *n* =  $((is-BinaryArithmeticNode\ n) \vee (is-BinaryNode\ n) \vee (is-BinaryOpLogicNode\ n) \vee (is-CompareNode\ n) \vee (is-FixedBinaryNode\ n) \vee (is-ShortCircuitOrNode\ n))$

**fun** *is-ArithmeticOperation* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ArithmeticOperation* *n* =  $((is-BinaryArithmeticNode\ n) \vee (is-IntegerConvertNode\ n) \vee (is-ShiftNode\ n) \vee (is-UnaryArithmeticNode\ n))$

**fun** *is-ValueNumberable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ValueNumberable* *n* =  $((is-FloatingNode\ n) \vee (is-ProxyNode\ n))$

**fun** *is-Lowerable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Lowerable* *n* =  $((is-AbstractNewObjectNode\ n) \vee (is-AccessFieldNode\ n) \vee (is-BytecodeExceptionNode\ n) \vee (is-ExceptionObjectNode\ n) \vee (is-IntegerDivRemNode\ n) \vee (is-UnwindNode\ n))$

**fun** *is-Virtualizable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Virtualizable* *n* =  $((is-IsNullNode\ n) \vee (is-LoadFieldNode\ n) \vee (is-PiNode\ n) \vee (is-StoreFieldNode\ n) \vee (is-ValueProxyNode\ n))$

```

fun is-Simplifiable :: IRNode ⇒ bool where
  is-Simplifiable n = ((is-AbstractMergeNode n) ∨ (is-BeginNode n) ∨ (is-IfNode
n) ∨ (is-LoopExitNode n) ∨ (is-MethodCallTargetNode n) ∨ (is-NewArrayNode n))

fun is-StateSplit :: IRNode ⇒ bool where
  is-StateSplit n = ((is-AbstractStateSplit n) ∨ (is-BeginStateSplitNode n) ∨ (is-StoreFieldNode
n))

fun is-ConvertNode :: IRNode ⇒ bool where
  is-ConvertNode n = ((is-IntegerConvertNode n))

fun is-sequential-node :: IRNode ⇒ bool where
  is-sequential-node (StartNode -) = True |
  is-sequential-node (BeginNode -) = True |
  is-sequential-node (KillingBeginNode -) = True |
  is-sequential-node (LoopBeginNode - - -) = True |
  is-sequential-node (LoopExitNode - - -) = True |
  is-sequential-node (MergeNode - - -) = True |
  is-sequential-node (RefNode -) = True |
  is-sequential-node (ControlFlowAnchorNode -) = True |
  is-sequential-node - = False

```

The following convenience function is useful in determining if two IRNodes are of the same type irregardless of their edges. It will return true if both the node parameters are the same node class.

```

fun is-same-ir-node-type :: IRNode ⇒ IRNode ⇒ bool where
is-same-ir-node-type n1 n2 = (
  ((is-AbsNode n1) ∧ (is-AbsNode n2)) ∨
  ((is-AddNode n1) ∧ (is-AddNode n2)) ∨
  ((is-AndNode n1) ∧ (is-AndNode n2)) ∨
  ((is-BeginNode n1) ∧ (is-BeginNode n2)) ∨
  ((is-BytecodeExceptionNode n1) ∧ (is-BytecodeExceptionNode n2)) ∨
  ((is-ConditionalNode n1) ∧ (is-ConditionalNode n2)) ∨
  ((is-ConstantNode n1) ∧ (is-ConstantNode n2)) ∨
  ((is-DynamicNewArrayNode n1) ∧ (is-DynamicNewArrayNode n2)) ∨
  ((is-EndNode n1) ∧ (is-EndNode n2)) ∨
  ((is-ExceptionObjectNode n1) ∧ (is-ExceptionObjectNode n2)) ∨
  ((is-FrameState n1) ∧ (is-FrameState n2)) ∨
  ((is-IfNode n1) ∧ (is-IfNode n2)) ∨
  ((is-IntegerBelowNode n1) ∧ (is-IntegerBelowNode n2)) ∨
  ((is-IntegerEqualsNode n1) ∧ (is-IntegerEqualsNode n2)) ∨
  ((is-IntegerLessThanNode n1) ∧ (is-IntegerLessThanNode n2)) ∨
  ((is-InvokeNode n1) ∧ (is-InvokeNode n2)) ∨
  ((is-InvokeWithExceptionNode n1) ∧ (is-InvokeWithExceptionNode n2)) ∨
  ((is-IsNullNode n1) ∧ (is-IsNullNode n2)) ∨
  ((is-KillingBeginNode n1) ∧ (is-KillingBeginNode n2)) ∨
  ((is-LeftShiftNode n1) ∧ (is-LeftShiftNode n2)) ∨
  ((is-LoadFieldNode n1) ∧ (is-LoadFieldNode n2)) ∨

```

```

((is-LogicNegationNode n1) ∧ (is-LogicNegationNode n2)) ∨
((is-LoopBeginNode n1) ∧ (is-LoopBeginNode n2)) ∨
((is-LoopEndNode n1) ∧ (is-LoopEndNode n2)) ∨
((is-LoopExitNode n1) ∧ (is-LoopExitNode n2)) ∨
((is-MergeNode n1) ∧ (is-MergeNode n2)) ∨
((is-MethodCallTargetNode n1) ∧ (is-MethodCallTargetNode n2)) ∨
((is-MulNode n1) ∧ (is-MulNode n2)) ∨
((is-NarrowNode n1) ∧ (is-NarrowNode n2)) ∨
((is-NegateNode n1) ∧ (is-NegateNode n2)) ∨
((is-NewArrayNode n1) ∧ (is-NewArrayNode n2)) ∨
((is-NewInstanceNode n1) ∧ (is-NewInstanceNode n2)) ∨
((is-NotNode n1) ∧ (is-NotNode n2)) ∨
((is-OrNode n1) ∧ (is-OrNode n2)) ∨
((is-ParameterNode n1) ∧ (is-ParameterNode n2)) ∨
((is-PiNode n1) ∧ (is-PiNode n2)) ∨
((is-ReturnNode n1) ∧ (is-ReturnNode n2)) ∨
((is-RightShiftNode n1) ∧ (is-RightShiftNode n2)) ∨
((is-ShortCircuitOrNode n1) ∧ (is-ShortCircuitOrNode n2)) ∨
((is-SignedDivNode n1) ∧ (is-SignedDivNode n2)) ∨
((is-SignedFloatingIntegerDivNode n1) ∧ (is-SignedFloatingIntegerDivNode n2))
∨
((is-SignedFloatingIntegerRemNode n1) ∧ (is-SignedFloatingIntegerRemNode n2))
∨
((is-SignedRemNode n1) ∧ (is-SignedRemNode n2)) ∨
((is-SignExtendNode n1) ∧ (is-SignExtendNode n2)) ∨
((is-StartNode n1) ∧ (is-StartNode n2)) ∨
((is-StoreFieldNode n1) ∧ (is-StoreFieldNode n2)) ∨
((is-SubNode n1) ∧ (is-SubNode n2)) ∨
((is-UnsignedRightShiftNode n1) ∧ (is-UnsignedRightShiftNode n2)) ∨
((is-UnwindNode n1) ∧ (is-UnwindNode n2)) ∨
((is-ValuePhiNode n1) ∧ (is-ValuePhiNode n2)) ∨
((is-ValueProxyNode n1) ∧ (is-ValueProxyNode n2)) ∨
((is-XorNode n1) ∧ (is-XorNode n2)) ∨
((is-ZeroExtendNode n1) ∧ (is-ZeroExtendNode n2)))

```

**end**

### 5.3 IR Graph Type

```

theory IRGraph
imports
  IRNodeHierarchy
  Stamp
  HOL-Library.FSet
  HOL.Relation
begin

```

This theory defines the main Graal data structure - an entire IR Graph.

IRGraph is defined as a partial map with a finite domain. The finite domain

is required to be able to generate code and produce an interpreter.

```
typedef IRGraph = {g :: ID  $\rightarrow$  (IRNode  $\times$  Stamp) . finite (dom g)}
<proof>
```

```
setup-lifting type-definition-IRGraph
```

```
lift-definition ids :: IRGraph  $\Rightarrow$  ID set
is  $\lambda g. \{nid \in \text{dom } g . \nexists s. g \text{ nid} = (\text{Some } (\text{NoNode}, s))\}$  <proof>
```

```
fun with-default :: 'c  $\Rightarrow$  ('b  $\Rightarrow$  'c)  $\Rightarrow$  (('a  $\rightarrow$  'b)  $\Rightarrow$  'a  $\Rightarrow$  'c) where
with-default def conv = ( $\lambda m k.$ 
(case m k of None  $\Rightarrow$  def | Some v  $\Rightarrow$  conv v))
```

```
lift-definition kind :: IRGraph  $\Rightarrow$  (ID  $\Rightarrow$  IRNode)
is with-default NoNode fst <proof>
```

```
lift-definition stamp :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  Stamp
is with-default IllegalStamp snd <proof>
```

```
lift-definition add-node :: ID  $\Rightarrow$  (IRNode  $\times$  Stamp)  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph
is  $\lambda nid k g.$  if fst k = NoNode then g else g(nid  $\mapsto$  k) <proof>
```

```
lift-definition remove-node :: ID  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph
is  $\lambda nid g.$  g(nid := None) <proof>
```

```
lift-definition replace-node :: ID  $\Rightarrow$  (IRNode  $\times$  Stamp)  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph
is  $\lambda nid k g.$  if fst k = NoNode then g else g(nid  $\mapsto$  k) <proof>
```

```
lift-definition as-list :: IRGraph  $\Rightarrow$  (ID  $\times$  IRNode  $\times$  Stamp) list
is  $\lambda g.$  map ( $\lambda k. (k, \text{the } (g k))$ ) (sorted-list-of-set (dom g)) <proof>
```

```
fun no-node :: (ID  $\times$  (IRNode  $\times$  Stamp)) list  $\Rightarrow$  (ID  $\times$  (IRNode  $\times$  Stamp)) list
where
no-node g = filter ( $\lambda n. \text{fst } (\text{snd } n) \neq \text{NoNode}$ ) g
```

```
lift-definition irgraph :: (ID  $\times$  (IRNode  $\times$  Stamp)) list  $\Rightarrow$  IRGraph
is map-of  $\circ$  no-node
<proof>
```

```
definition as-set :: IRGraph  $\Rightarrow$  (ID  $\times$  (IRNode  $\times$  Stamp)) set where
as-set g = {(n, kind g n, stamp g n) | n . n  $\in$  ids g}
```

```
definition true-ids :: IRGraph  $\Rightarrow$  ID set where
true-ids g = ids g - {n  $\in$  ids g .  $\exists n' . \text{kind } g \text{ n} = \text{RefNode } n'$ }
```

```
definition domain-subtraction :: 'a set  $\Rightarrow$  ('a  $\times$  'b) set  $\Rightarrow$  ('a  $\times$  'b) set
(infix  $\leq 30$ ) where
domain-subtraction s r = {(x, y) . (x, y)  $\in$  r  $\wedge$  x  $\notin$  s}
```

**notation** (*latex*)  
*domain-subtraction* ( $- \triangleleft -$ )

**code-datatype** *irgraph*

**fun** *filter-none* **where**  
*filter-none*  $g = \{nid \in dom\ g . \nexists s. g\ nid = (Some\ (NoNode,\ s))\}$

**lemma** *no-node-clears*:  
 $res = no-node\ xs \longrightarrow (\forall x \in set\ res. fst\ (snd\ x) \neq NoNode)$   
*<proof>*

**lemma** *dom-eq*:  
**assumes**  $\forall x \in set\ xs. fst\ (snd\ x) \neq NoNode$   
**shows**  $filter-none\ (map-of\ xs) = dom\ (map-of\ xs)$   
*<proof>*

**lemma** *fil-eq*:  
 $filter-none\ (map-of\ (no-node\ xs)) = set\ (map\ fst\ (no-node\ xs))$   
*<proof>*

**lemma** *irgraph[code]*:  $ids\ (irgraph\ m) = set\ (map\ fst\ (no-node\ m))$   
*<proof>*

**lemma** *[code]*:  $Rep-IRGraph\ (irgraph\ m) = map-of\ (no-node\ m)$   
*<proof>*

**fun** *inputs* ::  $IRGraph \Rightarrow ID \Rightarrow ID\ set$  **where**

*inputs*  $g\ nid = set\ (inputs-of\ (kind\ g\ nid))$

— Get the successor set of a given node ID

**fun** *succ* ::  $IRGraph \Rightarrow ID \Rightarrow ID\ set$  **where**

*succ*  $g\ nid = set\ (successors-of\ (kind\ g\ nid))$

— Gives a relation between node IDs - between a node and its input nodes

**fun** *input-edges* ::  $IRGraph \Rightarrow ID\ rel$  **where**

*input-edges*  $g = (\bigcup i \in ids\ g. \{(i,j) \mid j \in (inputs\ g\ i)\})$

— Find all the nodes in the graph that have nid as an input - the usages of nid

**fun** *usages* ::  $IRGraph \Rightarrow ID \Rightarrow ID\ set$  **where**

*usages*  $g\ nid = \{i. i \in ids\ g \wedge nid \in inputs\ g\ i\}$

**fun** *successor-edges* ::  $IRGraph \Rightarrow ID\ rel$  **where**

*successor-edges*  $g = (\bigcup i \in ids\ g. \{(i,j) \mid j \in (succ\ g\ i)\})$

**fun** *predecessors* ::  $IRGraph \Rightarrow ID \Rightarrow ID\ set$  **where**

*predecessors*  $g\ nid = \{i. i \in ids\ g \wedge nid \in succ\ g\ i\}$

**fun** *nodes-of* ::  $IRGraph \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID\ set$  **where**

*nodes-of*  $g\ sel = \{nid \in ids\ g . sel\ (kind\ g\ nid)\}$

**fun** *edge* ::  $(IRNode \Rightarrow 'a) \Rightarrow ID \Rightarrow IRGraph \Rightarrow 'a$  **where**

*edge*  $sel\ nid\ g = sel\ (kind\ g\ nid)$

**fun** *filtered-inputs* ::  $IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID\ list$  **where**

*filtered-inputs*  $g\ nid\ f = filter\ (f \circ (kind\ g))\ (inputs-of\ (kind\ g\ nid))$

**fun** *filtered-successors* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  (*IRNode*  $\Rightarrow$  *bool*)  $\Rightarrow$  *ID list* **where**  
*filtered-successors* *g nid f* = *filter* (*f*  $\circ$  (*kind g*)) (*successors-of* (*kind g nid*))

**fun** *filtered-usages* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  (*IRNode*  $\Rightarrow$  *bool*)  $\Rightarrow$  *ID set* **where**  
*filtered-usages* *g nid f* = {*n*  $\in$  (*usages g nid*). *f* (*kind g n*)}

**fun** *is-empty* :: *IRGraph*  $\Rightarrow$  *bool* **where**  
*is-empty* *g* = (*ids g* = {})

**fun** *any-usage* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID* **where**  
*any-usage* *g nid* = *hd* (*sorted-list-of-set* (*usages g nid*))

**lemma** *ids-some*[*simp*]: *x*  $\in$  *ids g*  $\longleftrightarrow$  *kind g x*  $\neq$  *NoNode*  
 $\langle$ *proof* $\rangle$

**lemma** *not-in-g*:  
**assumes** *nid*  $\notin$  *ids g*  
**shows** *kind g nid* = *NoNode*  
 $\langle$ *proof* $\rangle$

**lemma** *valid-creation*[*simp*]:  
*finite* (*dom g*)  $\longleftrightarrow$  *Rep-IRGraph* (*Abs-IRGraph g*) = *g*  
 $\langle$ *proof* $\rangle$

**lemma** [*simp*]: *finite* (*ids g*)  
 $\langle$ *proof* $\rangle$

**lemma** [*simp*]: *finite* (*ids* (*irgraph g*))  
 $\langle$ *proof* $\rangle$

**lemma** [*simp*]: *finite* (*dom g*)  $\longrightarrow$  *ids* (*Abs-IRGraph g*) = {*nid*  $\in$  *dom g* .  $\exists$  *s*. *g nid* = *Some* (*NoNode*, *s*)}  
 $\langle$ *proof* $\rangle$

**lemma** [*simp*]: *finite* (*dom g*)  $\longrightarrow$  *kind* (*Abs-IRGraph g*) = ( $\lambda x$  . (*case g x of None*  $\Rightarrow$  *NoNode* | *Some n*  $\Rightarrow$  *fst n*))  
 $\langle$ *proof* $\rangle$

**lemma** [*simp*]: *finite* (*dom g*)  $\longrightarrow$  *stamp* (*Abs-IRGraph g*) = ( $\lambda x$  . (*case g x of None*  $\Rightarrow$  *IllegalStamp* | *Some n*  $\Rightarrow$  *snd n*))  
 $\langle$ *proof* $\rangle$

**lemma** [*simp*]: *ids* (*irgraph g*) = *set* (*map fst* (*no-node g*))  
 $\langle$ *proof* $\rangle$

**lemma** [*simp*]: *kind* (*irgraph g*) = ( $\lambda nid$  . (*case* (*map-of* (*no-node g*)) *nid* *of None*  $\Rightarrow$  *NoNode* | *Some n*  $\Rightarrow$  *fst n*))  
 $\langle$ *proof* $\rangle$

**lemma** [*simp*]: *stamp* (*irgraph g*) = ( $\lambda nid$  . (*case* (*map-of* (*no-node g*)) *nid* *of None*



$\Rightarrow$  *IllegalStamp* | *Some*  $n \Rightarrow$  *snd*  $n$ )  
 ⟨*proof*⟩

**lemma** *map-of-upd*:  $(\text{map-of } g)(k \mapsto v) = (\text{map-of } ((k, v) \# g))$   
 ⟨*proof*⟩

**lemma** [*code*]: *replace-node*  $nid$   $k$  (*irgraph*  $g$ ) = (*irgraph* ( $((nid, k) \# g)$ ))  
 ⟨*proof*⟩

**lemma** [*code*]: *add-node*  $nid$   $k$  (*irgraph*  $g$ ) = (*irgraph* ( $((nid, k) \# g)$ ))  
 ⟨*proof*⟩

**lemma** *add-node-lookup*:  
 $gup = \text{add-node } nid (k, s) g \longrightarrow$   
 (if  $k \neq \text{NoNode}$  then  $\text{kind } gup \text{ } nid = k \wedge \text{stamp } gup \text{ } nid = s$  else  $\text{kind } gup \text{ } nid$   
 =  $\text{kind } g \text{ } nid$ )  
 ⟨*proof*⟩

**lemma** *remove-node-lookup*:  
 $gup = \text{remove-node } nid g \longrightarrow \text{kind } gup \text{ } nid = \text{NoNode} \wedge \text{stamp } gup \text{ } nid =$   
*IllegalStamp*  
 ⟨*proof*⟩

**lemma** *replace-node-lookup[simp]*:  
 $gup = \text{replace-node } nid (k, s) g \wedge k \neq \text{NoNode} \longrightarrow \text{kind } gup \text{ } nid = k \wedge \text{stamp}$   
 $gup \text{ } nid = s$   
 ⟨*proof*⟩

**lemma** *replace-node-unchanged*:  
 $gup = \text{replace-node } nid (k, s) g \longrightarrow (\forall n \in (\text{ids } g - \{nid\}) . n \in \text{ids } g \wedge n \in \text{ids}$   
 $gup \wedge \text{kind } g \text{ } n = \text{kind } gup \text{ } n)$   
 ⟨*proof*⟩

### 5.3.1 Example Graphs

Example 1: empty graph (just a start and end node)

**definition** *start-end-graph*:: *IRGraph* **where**  
 $\text{start-end-graph} = \text{irgraph } [(0, \text{StartNode } \text{None } 1, \text{VoidStamp}), (1, \text{ReturnNode}$   
 $\text{None } \text{None}, \text{VoidStamp})]$

Example 2: public static int sq(int x) return x \* x;  
 [1 P(0)] / [0 Start] [4 \*] | / V / [5 Return]

**definition** *eg2-sq* :: *IRGraph* **where**  
 $\text{eg2-sq} = \text{irgraph } [$   
 $(0, \text{StartNode } \text{None } 5, \text{VoidStamp}),$   
 $(1, \text{ParameterNode } 0, \text{default-stamp}),$   
 $(4, \text{MulNode } 1 1, \text{default-stamp}),$

```

    (5, ReturnNode (Some 4) None, default-stamp)
  ]

```

```

value input-edges eg2-sq
value usages eg2-sq 1

```

```

end

```

## 5.4 Structural Graph Comparison

```

theory

```

```

  Comparison

```

```

imports

```

```

  IRGraph

```

```

begin

```

We introduce a form of structural graph comparison that is able to assert structural equivalence of graphs which differ in zero or more reference node chains for any given nodes.

```

fun find-ref-nodes :: IRGraph ⇒ (ID → ID) where
find-ref-nodes g = map-of
  (map (λn. (n, ir-ref (kind g n))) (filter (λid. is-RefNode (kind g id)) (sorted-list-of-set
    (ids g))))

```

```

fun replace-ref-nodes :: IRGraph ⇒ (ID → ID) ⇒ ID list ⇒ ID list where
replace-ref-nodes g m xs = map (λid. (case (m id) of Some other ⇒ other | None
  ⇒ id)) xs

```

```

fun find-next :: ID list ⇒ ID set ⇒ ID option where
find-next to-see seen = (let l = (filter (λnid. nid ∉ seen) to-see)
  in (case l of [] ⇒ None | xs ⇒ Some (hd xs)))

```

```

inductive reachables :: IRGraph ⇒ ID list ⇒ ID set ⇒ ID set ⇒ bool where
reachables g [] {} {} |
[[None = find-next to-see seen]] ⇒ reachables g to-see seen seen |
[[Some n = find-next to-see seen;
  node = kind g n;
  new = (inputs-of node) @ (successors-of node);
  reachables g (to-see @ new) ({n} ∪ seen) seen' ]] ⇒ reachables g to-see seen
seen'

```

```

code-pred (modes: i ⇒ i ⇒ i ⇒ o ⇒ bool) [show-steps, show-mode-inference, show-intermediate-results]

```

```

reachables ⟨proof⟩

```

```

inductive nodeEq :: (ID → ID) ⇒ IRGraph ⇒ ID ⇒ IRGraph ⇒ ID ⇒ bool

```

**where**

```
[[ kind g1 n1 = RefNode ref; nodeEq m g1 ref g2 n2 ]] ==> nodeEq m g1 n1 g2 n2 |  
[[ x = kind g1 n1;  
  y = kind g2 n2;  
  is-same-ir-node-type x y;  
  replace-ref-nodes g1 m (successors-of x) = successors-of y;  
  replace-ref-nodes g1 m (inputs-of x) = inputs-of y ]]  
=> nodeEq m g1 n1 g2 n2
```

**code-pred** [show-modes] nodeEq <proof>

**fun** diffNodesGraph :: IRGraph => IRGraph => ID set **where**

```
diffNodesGraph g1 g2 = (let refNodes = find-ref-nodes g1 in  
  { n . n ∈ Predicate.the (reachables-i-i-i-o g1 [0] {}) ∧ (case refNodes n of Some  
  - => False | - => True) ∧ ¬(nodeEq refNodes g1 n g2 n)})
```

**fun** diffNodesInfo :: IRGraph => IRGraph => (ID × IRNode × IRNode) set (**infix**  $\cap_s$  20)

**where**

```
diffNodesInfo g1 g2 = {(nid, kind g1 nid, kind g2 nid) | nid . nid ∈ diffNodesGraph  
g1 g2}
```

**fun** eqGraph :: IRGraph => IRGraph => bool (**infix**  $\approx_s$  20)

**where**

```
eqGraph isabelle-graph graal-graph = ((diffNodesGraph isabelle-graph graal-graph)  
= {})
```

**end**

## 5.5 Control-flow Graph Traversal

**theory**

*Traversal*

**imports**

*IRGraph*

**begin**

**type-synonym** Seen = ID set

nextEdge helps determine which node to traverse next by returning the first successor edge that isn't in the set of already visited nodes. If there is not an appropriate successor, None is returned instead.

**fun** nextEdge :: Seen => ID => IRGraph => ID option **where**

```
nextEdge seen nid g =  
  (let nids = (filter (λnid'. nid' ∉ seen) (successors-of (kind g nid))) in  
  (if length nids > 0 then Some (hd nids) else None))
```

pred determines which node, if any, acts as the predecessor of another.

Merge nodes represent a special case where-in the predecessor exists as an input edge of the merge node, to simplify the traversal we treat only the first input end node as the predecessor, ignoring that multiple nodes may act as a successor.

For all other nodes, the predecessor is the first element of the predecessors set. Note that in a well-formed graph there should only be one element in the predecessor set.

```

fun pred :: IRGraph ⇒ ID ⇒ ID option where
  pred g nid = (case kind g nid of
    (MergeNode ends - -) ⇒ Some (hd ends) |
    - ⇒
      (if IRGraph.predecessors g nid = {}
        then None else
         Some (hd (sorted-list-of-set (IRGraph.predecessors g nid))))
  )

```

Here we try to implement a generic fork of the control-flow traversal algorithm that was initially implemented for the ConditionalElimination phase

**type-synonym** 'a TraversalState = (ID × Seen × 'a)

**inductive** Step

```

:: ('a TraversalState ⇒ 'a) ⇒ IRGraph ⇒ 'a TraversalState ⇒ 'a TraversalState
option ⇒ bool

```

**for** sa g **where**

— Hit a BeginNode with an IfNode predecessor which represents the start of a basic block for the IfNode. 1. nid' will be the successor of the begin node. 2. Find the first and only predecessor. 3. Extract condition from the preceding IfNode. 4. Negate condition if the begin node is second branch (we've taken the else branch of the condition) 5. Add the condition or the negated condition to stack 6. Perform any stamp updates based on the condition using the registerNewCondition function and place them on the top of the stack of stamp information

```

[[kind g nid = BeginNode nid';

```

```

  nid ∉ seen;
  seen' = {nid} ∪ seen;

```

```

  Some ifcond = pred g nid;
  kind g ifcond = IfNode cond t f;

```

```

  analysis' = sa (nid, seen, analysis)]
⇒⇒ Step sa g (nid, seen, analysis) (Some (nid', seen', analysis')) |

```

— Hit an EndNode 1. nid' will be the usage of EndNode 2. pop the conditions and stamp stack

```

[[kind g nid = EndNode;

```

```

  nid ∉ seen;

```

```

    seen' = {nid} ∪ seen;

    nid' = any-usage g nid;

    analysis' = sa (nid, seen, analysis)
  ⇒ Step sa g (nid, seen, analysis) (Some (nid', seen', analysis')) |

— We can find a successor edge that is not in seen, go there
[[¬(is-EndNode (kind g nid));
  ¬(is-BEGINNode (kind g nid));

  nid ∉ seen;
  seen' = {nid} ∪ seen;

  Some nid' = nextEdge seen' nid g;

  analysis' = sa (nid, seen, analysis)
  ⇒ Step sa g (nid, seen, analysis) (Some (nid', seen', analysis')) |

— We can not find a successor edge that is not in seen, give back None
[[¬(is-EndNode (kind g nid));
  ¬(is-BEGINNode (kind g nid));

  nid ∉ seen;
  seen' = {nid} ∪ seen;

  None = nextEdge seen' nid g
  ⇒ Step sa g (nid, seen, analysis) None |

— We've already seen this node, give back None
[[nid ∈ seen]] ⇒ Step sa g (nid, seen, analysis) None

```

**code-pred** (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) Step ⟨proof⟩

**end**

## 6 Data-flow Semantics

```

theory IRTreeEval
  imports
    Graph.Stamp
  begin

```

We define a tree representation of data-flow nodes, as an abstraction of the graph view.

Data-flow trees are evaluated in the context of a method state (currently called MapState in the theories for historical reasons).

The method state consists of the values for each method parameter, ref-

erences to method parameters use an index of the parameter within the parameter list, as such we store a list of parameter values which are looked up at parameter references.

The method state also stores a mapping of node ids to values. The contents of this mapping is calculated during the traversal of the control flow graph.

As a concrete example, as the *SignedDivNode::'a* can have side-effects (during division by zero), it is treated as part of the control-flow, since the data-flow phase is specified to be side-effect free. As a result, the control-flow semantics for *SignedDivNode::'a* calculates the value of a node and maps the node identifier to the value within the method state. The data-flow semantics then just reads the value stored in the method state for the node.

```
type-synonym ID = nat
type-synonym MapState = ID ⇒ Value
type-synonym Params = Value list
```

```
definition new-map-state :: MapState where
  new-map-state = (λx..UndefVal)
```

## 6.1 Data-flow Tree Representation

```
datatype IRUnaryOp =
  UnaryAbs
  | UnaryNeg
  | UnaryNot
  | UnaryLogicNegation
  | UnaryNarrow (ir-inputBits: nat) (ir-resultBits: nat)
  | UnarySignExtend (ir-inputBits: nat) (ir-resultBits: nat)
  | UnaryZeroExtend (ir-inputBits: nat) (ir-resultBits: nat)
  | UnaryIsNull
  | UnaryReverseBytes
  | UnaryBitCount
```

```
datatype IRBinaryOp =
  BinAdd
  | BinSub
  | BinMul
  | BinDiv
  | BinMod
  | BinAnd
  | BinOr
  | BinXor
  | BinShortCircuitOr
  | BinLeftShift
  | BinRightShift
  | BinURightShift
  | BinIntegerEquals
  | BinIntegerLessThan
```

```

| BinIntegerBelow
| BinIntegerTest
| BinIntegerNormalizeCompare
| BinIntegerMulHigh

datatype (discs-sels) IRExpr =
  UnaryExpr (ir-uop: IRUnaryOp) (ir-value: IRExpr)
| BinaryExpr (ir-op: IRBinaryOp) (ir-x: IRExpr) (ir-y: IRExpr)
| ConditionalExpr (ir-condition: IRExpr) (ir-trueValue: IRExpr) (ir-falseValue:
IRExpr)

| ParameterExpr (ir-index: nat) (ir-stamp: Stamp)

| LeafExpr (ir-nid: ID) (ir-stamp: Stamp)

| ConstantExpr (ir-const: Value)
| ConstantVar (ir-name: String.literal)
| VariableExpr (ir-name: String.literal) (ir-stamp: Stamp)

fun is-ground :: IRExpr ⇒ bool where
  is-ground (UnaryExpr op e) = is-ground e |
  is-ground (BinaryExpr op e1 e2) = (is-ground e1 ∧ is-ground e2) |
  is-ground (ConditionalExpr b e1 e2) = (is-ground b ∧ is-ground e1 ∧ is-ground
e2) |
  is-ground (ParameterExpr i s) = True |
  is-ground (LeafExpr n s) = True |
  is-ground (ConstantExpr v) = True |
  is-ground (ConstantVar name) = False |
  is-ground (VariableExpr name s) = False

typedef GroundExpr = { e :: IRExpr . is-ground e }
  ⟨proof⟩

```

## 6.2 Functions for re-calculating stamps

Note: in Java all integer calculations are done as 32 or 64 bit calculations. However, here we generalise the operators to allow any size calculations. Many operators have the same output bits as their inputs. However, the unary integer operators that are not *normal\_unary* are narrowing or widening operators, so the result bits is specified by the operator. The binary integer operators are divided into three groups: (1) *binary\_fixed\_32* operators always output 32 bits, (2) *binary\_shift\_ops* operators output size is determined by their left argument, and (3) other operators output the same number of bits as both their inputs.

**abbreviation** *binary-normal* :: IRBinaryOp set **where**

```

binary-normal ≡ {BinAdd, BinMul, BinDiv, BinMod, BinSub, BinAnd, BinOr,
BinXor}

```

**abbreviation** *binary-fixed-32-ops* :: *IRBinaryOp* set **where**

*binary-fixed-32-ops*  $\equiv$  {*BinShortCircuitOr*, *BinIntegerEquals*, *BinIntegerLessThan*,  
*BinIntegerBelow*, *BinIntegerTest*, *BinIntegerNormalizeCompare*}

**abbreviation** *binary-shift-ops* :: *IRBinaryOp* set **where**

*binary-shift-ops*  $\equiv$  {*BinLeftShift*, *BinRightShift*, *BinURightShift*}

**abbreviation** *binary-fixed-ops* :: *IRBinaryOp* set **where**

*binary-fixed-ops*  $\equiv$  {*BinIntegerMulHigh*}

**abbreviation** *normal-unary* :: *IRUnaryOp* set **where**

*normal-unary*  $\equiv$  {*UnaryAbs*, *UnaryNeg*, *UnaryNot*, *UnaryLogicNegation*, *UnaryReverseBytes*}

**abbreviation** *unary-fixed-32-ops* :: *IRUnaryOp* set **where**

*unary-fixed-32-ops*  $\equiv$  {*UnaryBitCount*}

**abbreviation** *boolean-unary* :: *IRUnaryOp* set **where**

*boolean-unary*  $\equiv$  {*UnaryIsNull*}

**lemma** *binary-ops-all*:

**shows**  $op \in \text{binary-normal} \vee op \in \text{binary-fixed-32-ops} \vee op \in \text{binary-fixed-ops} \vee$   
 $op \in \text{binary-shift-ops}$   
(*proof*)

**lemma** *binary-ops-distinct-normal*:

**shows**  $op \in \text{binary-normal} \implies op \notin \text{binary-fixed-32-ops} \wedge op \notin \text{binary-fixed-ops}$   
 $\wedge op \notin \text{binary-shift-ops}$   
(*proof*)

**lemma** *binary-ops-distinct-fixed-32*:

**shows**  $op \in \text{binary-fixed-32-ops} \implies op \notin \text{binary-normal} \wedge op \notin \text{binary-fixed-ops}$   
 $\wedge op \notin \text{binary-shift-ops}$   
(*proof*)

**lemma** *binary-ops-distinct-fixed*:

**shows**  $op \in \text{binary-fixed-ops} \implies op \notin \text{binary-fixed-32-ops} \wedge op \notin \text{binary-normal}$   
 $\wedge op \notin \text{binary-shift-ops}$   
(*proof*)

**lemma** *binary-ops-distinct-shift*:

**shows**  $op \in \text{binary-shift-ops} \implies op \notin \text{binary-fixed-32-ops} \wedge op \notin \text{binary-fixed-ops}$   
 $\wedge op \notin \text{binary-normal}$



*<proof>*

**lemma** *unary-ops-distinct*:

**shows**  $op \in \text{normal-unary} \implies op \notin \text{boolean-unary} \wedge op \notin \text{unary-fixed-32-ops}$

**and**  $op \in \text{boolean-unary} \implies op \notin \text{normal-unary} \wedge op \notin \text{unary-fixed-32-ops}$

**and**  $op \in \text{unary-fixed-32-ops} \implies op \notin \text{boolean-unary} \wedge op \notin \text{normal-unary}$

*<proof>*

**fun** *stamp-unary* :: *IRUnaryOp*  $\Rightarrow$  *Stamp*  $\Rightarrow$  *Stamp* **where**

*stamp-unary UnaryIsNull* - = (*IntegerStamp* 32 0 1) |  
*stamp-unary op* (*IntegerStamp* b lo hi) =  
  *unrestricted-stamp* (*IntegerStamp*  
    (if  $op \in \text{normal-unary}$  then b else  
      if  $op \in \text{boolean-unary}$  then 32 else  
      if  $op \in \text{unary-fixed-32-ops}$  then 32 else  
      (*ir-resultBits* op)) lo hi) |

*stamp-unary op* - = *IllegalStamp*

**fun** *stamp-binary* :: *IRBinaryOp*  $\Rightarrow$  *Stamp*  $\Rightarrow$  *Stamp*  $\Rightarrow$  *Stamp* **where**

*stamp-binary op* (*IntegerStamp* b1 lo1 hi1) (*IntegerStamp* b2 lo2 hi2) =  
  (if  $op \in \text{binary-shift-ops}$  then *unrestricted-stamp* (*IntegerStamp* b1 lo1 hi1)  
   else if  $b1 \neq b2$  then *IllegalStamp* else  
   (if  $op \in \text{binary-fixed-32-ops}$   
    then *unrestricted-stamp* (*IntegerStamp* 32 lo1 hi1)  
    else *unrestricted-stamp* (*IntegerStamp* b1 lo1 hi1))) |

*stamp-binary op* - - = *IllegalStamp*

**fun** *stamp-expr* :: *IRExpr*  $\Rightarrow$  *Stamp* **where**

*stamp-expr* (*UnaryExpr* op x) = *stamp-unary* op (*stamp-expr* x) |  
*stamp-expr* (*BinaryExpr* bop x y) = *stamp-binary* bop (*stamp-expr* x) (*stamp-expr*  
y) |  
*stamp-expr* (*ConstantExpr* val) = *constantAsStamp* val |  
*stamp-expr* (*LeafExpr* i s) = s |  
*stamp-expr* (*ParameterExpr* i s) = s |  
*stamp-expr* (*ConditionalExpr* c t f) = *meet* (*stamp-expr* t) (*stamp-expr* f)

**export-code** *stamp-unary stamp-binary stamp-expr*

### 6.3 Data-flow Tree Evaluation

**fun** *unary-eval* :: *IRUnaryOp*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**

*unary-eval UnaryAbs* v = *intval-abs* v |

*unary-eval UnaryNeg* v = *intval-negate* v |

*unary-eval UnaryNot* v = *intval-not* v |

*unary-eval UnaryLogicNegation* v = *intval-logic-negation* v |

$\text{unary-eval } (\text{UnaryNarrow } \text{inBits } \text{outBits}) \ v = \text{intval-narrow } \text{inBits } \text{outBits } v \mid$   
 $\text{unary-eval } (\text{UnarySignExtend } \text{inBits } \text{outBits}) \ v = \text{intval-sign-extend } \text{inBits } \text{outBits}$   
 $v \mid$   
 $\text{unary-eval } (\text{UnaryZeroExtend } \text{inBits } \text{outBits}) \ v = \text{intval-zero-extend } \text{inBits } \text{outBits}$   
 $v \mid$   
 $\text{unary-eval } \text{UnaryIsNull } v = \text{intval-is-null } v \mid$   
 $\text{unary-eval } \text{UnaryReverseBytes } v = \text{intval-reverse-bytes } v \mid$   
 $\text{unary-eval } \text{UnaryBitCount } v = \text{intval-bit-count } v$

**fun** *bin-eval* :: *IRBinaryOp*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**

$\text{bin-eval } \text{BinAdd } v1 \ v2 = \text{intval-add } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinSub } v1 \ v2 = \text{intval-sub } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinMul } v1 \ v2 = \text{intval-mul } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinDiv } v1 \ v2 = \text{intval-div } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinMod } v1 \ v2 = \text{intval-mod } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinAnd } v1 \ v2 = \text{intval-and } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinOr } v1 \ v2 = \text{intval-or } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinXor } v1 \ v2 = \text{intval-xor } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinShortCircuitOr } v1 \ v2 = \text{intval-short-circuit-or } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinLeftShift } v1 \ v2 = \text{intval-left-shift } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinRightShift } v1 \ v2 = \text{intval-right-shift } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinURightShift } v1 \ v2 = \text{intval-uright-shift } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinIntegerEquals } v1 \ v2 = \text{intval-equals } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinIntegerLessThan } v1 \ v2 = \text{intval-less-than } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinIntegerBelow } v1 \ v2 = \text{intval-below } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinIntegerTest } v1 \ v2 = \text{intval-test } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinIntegerNormalizeCompare } v1 \ v2 = \text{intval-normalize-compare } v1 \ v2 \mid$   
 $\text{bin-eval } \text{BinIntegerMulHigh } v1 \ v2 = \text{intval-mul-high } v1 \ v2$

**lemma** *defined-eval-is-intval*:

**shows**  $\text{bin-eval } \text{op } x \ y \neq \text{UndefVal} \implies (\text{is-IntVal } x \wedge \text{is-IntVal } y)$   
*<proof>*

**lemmas** *eval-thms* =

*intval-abs.simps* *intval-negate.simps* *intval-not.simps*  
*intval-logic-negation.simps* *intval-narrow.simps*  
*intval-sign-extend.simps* *intval-zero-extend.simps*  
*intval-add.simps* *intval-mul.simps* *intval-sub.simps*  
*intval-and.simps* *intval-or.simps* *intval-xor.simps*  
*intval-left-shift.simps* *intval-right-shift.simps*  
*intval-uright-shift.simps* *intval-equals.simps*  
*intval-less-than.simps* *intval-below.simps*

**inductive** *not-undef-or-fail* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *bool* **where**

$\llbracket \text{value} \neq \text{UndefVal} \rrbracket \implies \text{not-undef-or-fail } \text{value } \text{value}$

**notation** (*latex output*)

*not-undef-or-fail* (- = -)

**inductive**

*evaltree* :: *MapState* ⇒ *Params* ⇒ *IRExpr* ⇒ *Value* ⇒ *bool* (*[-,-]* ⊢ - ↦ - 55)

**for** *m p* **where**

*ConstantExpr*:

[[*wf-value* *c*]]  
⇒ [*m,p*] ⊢ (*ConstantExpr* *c*) ↦ *c* |

*ParameterExpr*:

[[*i* < *length p*; *valid-value* (*p!**i*) *s*]]  
⇒ [*m,p*] ⊢ (*ParameterExpr* *i s*) ↦ *p!**i* |

*ConditionalExpr*:

[[*m,p*] ⊢ *ce* ↦ *cond*;  
*cond* ≠ *UndefVal*;  
*branch* = (*if val-to-bool cond then te else fe*);  
[*m,p*] ⊢ *branch* ↦ *result*;  
*result* ≠ *UndefVal*;  
  
[*m,p*] ⊢ *te* ↦ *true*; *true* ≠ *UndefVal*;  
[*m,p*] ⊢ *fe* ↦ *false*; *false* ≠ *UndefVal*]]  
⇒ [*m,p*] ⊢ (*ConditionalExpr* *ce te fe*) ↦ *result* |

*UnaryExpr*:

[[*m,p*] ⊢ *xe* ↦ *x*;  
*result* = (*unary-eval op x*);  
*result* ≠ *UndefVal*]]  
⇒ [*m,p*] ⊢ (*UnaryExpr* *op xe*) ↦ *result* |

*BinaryExpr*:

[[*m,p*] ⊢ *xe* ↦ *x*;  
[*m,p*] ⊢ *ye* ↦ *y*;  
*result* = (*bin-eval op x y*);  
*result* ≠ *UndefVal*]]  
⇒ [*m,p*] ⊢ (*BinaryExpr* *op xe ye*) ↦ *result* |

*LeafExpr*:

[[*val* = *m n*;  
*valid-value* *val s*]]  
⇒ [*m,p*] ⊢ *LeafExpr* *n s* ↦ *val*

**code-pred** (*modes*: *i* ⇒ *i* ⇒ *i* ⇒ *o* ⇒ *bool* as *evalT*)

[*show-steps,show-mode-inference,show-intermediate-results*]

*evaltree* ⟨*proof*⟩

**inductive**

```

  evaltrees :: MapState ⇒ Params ⇒ IRExp list ⇒ Value list ⇒ bool ([-,] ⊢ - [↦]
- 55)
  for m p where

  EvalNil:
  [m,p] ⊢ [] [↦] [] |

  EvalCons:
  [[m,p] ⊢ x ↦ xval;
   [m,p] ⊢ yy [↦] yyval]
  ⇒ [m,p] ⊢ (x#yy) [↦] (xval#yyval)

code-pred (modes: i ⇒ i ⇒ i ⇒ o ⇒ bool as evalTs)
  evaltrees ⟨proof⟩

definition sq-param0 :: IRExp where
  sq-param0 = BinaryExpr BinMul
    (ParameterExpr 0 (IntegerStamp 32 (- 2147483648) 2147483647))
    (ParameterExpr 0 (IntegerStamp 32 (- 2147483648) 2147483647))

values {v. evaltree new-map-state [IntVal 32 5] sq-param0 v}

declare evaltree.intros [intro]
declare evaltrees.intros [intro]

```

## 6.4 Data-flow Tree Refinement

We define the induced semantic equivalence relation between expressions. Note that syntactic equality implies semantic equivalence, but not vice versa.

**definition** *equiv-exprs* :: IRExp ⇒ IRExp ⇒ bool (- ≐ - 55) **where**  
 (e1 ≐ e2) = (∀ m p v. (([m,p] ⊢ e1 ↦ v) ⟷ ([m,p] ⊢ e2 ↦ v)))

We also prove that this is a total equivalence relation (*equivp equiv-exprs*) (HOL.Equiv\_Relations), so that we can reuse standard results about equivalence relations.

**lemma** *equivp equiv-exprs*  
 ⟨proof⟩

We define a refinement ordering over IRExp and show that it is a preorder. Note that it is asymmetric because e2 may refer to fewer variables than e1.

**instantiation** IRExp :: preorder **begin**

**notation** *less-eq* (**infix** ⊑ 65)

**definition**

*le-expr-def* [simp]:  
 $(e_2 \leq e_1) \longleftrightarrow (\forall m p v. (([m,p] \vdash e_1 \mapsto v) \longrightarrow ([m,p] \vdash e_2 \mapsto v)))$

**definition**

*lt-expr-def* [simp]:  
 $(e_1 < e_2) \longleftrightarrow (e_1 \leq e_2 \wedge \neg (e_1 \doteq e_2))$

**instance**  $\langle proof \rangle$

**end**

**abbreviation** (output) *Refines* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* (**infix**  $\sqsupseteq$  64)  
**where**  $e_1 \sqsupseteq e_2 \equiv (e_2 \leq e_1)$

## 6.5 Stamp Masks

A stamp can contain additional range information in the form of masks. A stamp has an up mask and a down mask, corresponding to the bits that may be set and the bits that must be set.

Examples: A stamp where no range information is known will have; an up mask of -1 as all bits may be set, and a down mask of 0 as no bits must be set.

A stamp known to be one should have; an up mask of 1 as only the first bit may be set, no others, and a down mask of 1 as the first bit must be set and no others.

We currently don't carry mask information in stamps, and instead assume correct masks to prove optimizations.

**locale** *stamp-mask* =  
**fixes** *up* :: *IRExpr*  $\Rightarrow$  *int64* ( $\uparrow$ )  
**fixes** *down* :: *IRExpr*  $\Rightarrow$  *int64* ( $\downarrow$ )  
**assumes** *up-spec*:  $[m, p] \vdash e \mapsto \text{IntVal } b \ v \implies (\text{and } v \ (\text{not } ((\text{ucast } (\uparrow e)))) = 0$   
**and** *down-spec*:  $[m, p] \vdash e \mapsto \text{IntVal } b \ v \implies (\text{and } (\text{not } v) \ (\text{ucast } (\downarrow e))) = 0$   
**begin**

**lemma** *may-implies-either*:

$[m, p] \vdash e \mapsto \text{IntVal } b \ v \implies \text{bit } (\uparrow e) \ n \implies \text{bit } v \ n = \text{False} \vee \text{bit } v \ n = \text{True}$   
 $\langle proof \rangle$

**lemma** *not-may-implies-false*:

$[m, p] \vdash e \mapsto \text{IntVal } b \ v \implies \neg(\text{bit } (\uparrow e) \ n) \implies \text{bit } v \ n = \text{False}$   
 $\langle proof \rangle$

**lemma** *must-implies-true*:

$[m, p] \vdash e \mapsto \text{IntVal } b \ v \implies \text{bit } (\downarrow e) \ n \implies \text{bit } v \ n = \text{True}$   
 $\langle proof \rangle$

**lemma** *not-must-implies-either*:

$[m, p] \vdash e \mapsto \text{IntVal } b \ v \implies \neg(\text{bit } (\downarrow e) \ n) \implies \text{bit } v \ n = \text{False} \vee \text{bit } v \ n = \text{True}$   
*<proof>*

**lemma** *must-implies-may*:

$[m, p] \vdash e \mapsto \text{IntVal } b \ v \implies n < 32 \implies \text{bit } (\downarrow e) \ n \implies \text{bit } (\uparrow e) \ n$   
*<proof>*

**lemma** *up-mask-and-zero-implies-zero*:

**assumes** *and*  $(\uparrow x) \ (\uparrow y) = 0$   
**assumes**  $[m, p] \vdash x \mapsto \text{IntVal } b \ xv$   
**assumes**  $[m, p] \vdash y \mapsto \text{IntVal } b \ yv$   
**shows** *and*  $xv \ yv = 0$   
*<proof>*

**lemma** *not-down-up-mask-and-zero-implies-zero*:

**assumes** *and*  $(\text{not } (\downarrow x)) \ (\uparrow y) = 0$   
**assumes**  $[m, p] \vdash x \mapsto \text{IntVal } b \ xv$   
**assumes**  $[m, p] \vdash y \mapsto \text{IntVal } b \ yv$   
**shows** *and*  $xv \ yv = yv$   
*<proof>*

**end**

**definition** *IRExpr-up* :: *IRExpr*  $\Rightarrow$  *int64* **where**

*IRExpr-up*  $e = \text{not } 0$

**definition** *IRExpr-down* :: *IRExpr*  $\Rightarrow$  *int64* **where**

*IRExpr-down*  $e = 0$

**lemma** *ucast-zero*:  $(\text{ucast } (0::\text{int64})::\text{int32}) = 0$

*<proof>*

**lemma** *ucast-minus-one*:  $(\text{ucast } (-1::\text{int64})::\text{int32}) = -1$

*<proof>*

**interpretation** *simple-mask*: *stamp-mask*

*IRExpr-up* :: *IRExpr*  $\Rightarrow$  *int64*

*IRExpr-down* :: *IRExpr*  $\Rightarrow$  *int64*

*<proof>*

**end**

## 6.6 Data-flow Tree Theorems

**theory** *IRTreeEvalThms*

**imports**

*Graph.ValueThms*

*IRTreeEval*

**begin**

### 6.6.1 Deterministic Data-flow Evaluation

**lemma** *evalDet*:

$[m,p] \vdash e \mapsto v_1 \implies$   
 $[m,p] \vdash e \mapsto v_2 \implies$   
 $v_1 = v_2$   
*<proof>*

**lemma** *evalAllDet*:

$[m,p] \vdash e \mapsto v_1 \implies$   
 $[m,p] \vdash e \mapsto v_2 \implies$   
 $v_1 = v_2$   
*<proof>*

### 6.6.2 Typing Properties for Integer Evaluation Functions

We use three simple typing properties on integer values: *isIntVal32*, *isIntVal64* and the more general *isIntVal*.

**lemma** *unary-eval-not-obj-ref*:

**shows** *unary-eval op x ≠ ObjRef v*  
*<proof>*

**lemma** *unary-eval-not-obj-str*:

**shows** *unary-eval op x ≠ ObjStr v*  
*<proof>*

**lemma** *unary-eval-not-array*:

**shows** *unary-eval op x ≠ ArrayVal len v*  
*<proof>*

**lemma** *unary-eval-int*:

**assumes** *unary-eval op x ≠ UndefinedVal*  
**shows** *isIntVal (unary-eval op x)*  
*<proof>*

**lemma** *bin-eval-int*:

**assumes** *bin-eval op x y ≠ UndefinedVal*  
**shows** *isIntVal (bin-eval op x y)*  
*<proof>*

**lemma** *IntVal0*:

$(IntVal\ 32\ 0) = (new-int\ 32\ 0)$   
*<proof>*

**lemma** *IntVal1*:  
*(IntVal 32 1) = (new-int 32 1)*  
 ⟨*proof*⟩

**lemma** *bin-eval-new-int*:  
**assumes** *bin-eval op x y ≠ UndefVal*  
**shows**  $\exists b v. (bin-eval\ op\ x\ y) = new-int\ b\ v \wedge$   
           *b = (if op ∈ binary-fixed-32-ops then 32 else intval-bits x)*  
 ⟨*proof*⟩

**lemma** *int-stamp*:  
**assumes** *is-IntVal v*  
**shows** *is-IntegerStamp (constantAsStamp v)*  
 ⟨*proof*⟩

**lemma** *validStampIntConst*:  
**assumes** *v = IntVal b ival*  
**assumes**  $0 < b \wedge b \leq 64$   
**shows** *valid-stamp (constantAsStamp v)*  
 ⟨*proof*⟩

**lemma** *validDefIntConst*:  
**assumes** *v: v = IntVal b ival*  
**assumes**  $0 < b \wedge b \leq 64$   
**assumes** *take-bit b ival = ival*  
**shows** *valid-value v (constantAsStamp v)*  
 ⟨*proof*⟩

### 6.6.3 Evaluation Results are Valid

A valid value cannot be *UndefVal*.

**lemma** *valid-not-undef*:  
**assumes** *valid-value val s*  
**assumes** *s ≠ VoidStamp*  
**shows** *val ≠ UndefVal*  
 ⟨*proof*⟩

**lemma** *valid-VoidStamp[elim]*:  
**shows** *valid-value val VoidStamp  $\implies$  val = UndefVal*  
 ⟨*proof*⟩

**lemma** *valid-ObjStamp[elim]*:  
**shows** *valid-value val (ObjectStamp klass exact nonNull alwaysNull)  $\implies$  ( $\exists v. val$*   
*= ObjRef v)*  
 ⟨*proof*⟩



**lemma** *valid-int[elim]*:  
**shows** *valid-value val (IntegerStamp b lo hi)  $\implies$  ( $\exists v. val = IntVal b v$ )*  
 $\langle proof \rangle$

**lemmas** *valid-value-elim* =  
*valid-VoidStamp*  
*valid-ObjStamp*  
*valid-int*

**lemma** *evaltree-not-undef*:  
**fixes** *m p e v*  
**shows**  $([m,p] \vdash e \mapsto v) \implies v \neq UndefinedVal$   
 $\langle proof \rangle$

**lemma** *leafint*:  
**assumes**  $[m,p] \vdash LeafExpr i (IntegerStamp b lo hi) \mapsto val$   
**shows**  $\exists b v. val = (IntVal b v)$

$\langle proof \rangle$

**lemma** *default-stamp [simp]*: *default-stamp = IntegerStamp 32 (-2147483648)*  
*2147483647*  
 $\langle proof \rangle$

**lemma** *valid-value-signed-int-range [simp]*:  
**assumes** *valid-value val (IntegerStamp b lo hi)*  
**assumes**  $lo < 0$   
**shows**  $\exists v. (val = IntVal b v \wedge$   
 $lo \leq int-signed-value b v \wedge$   
 $int-signed-value b v \leq hi)$   
 $\langle proof \rangle$

## 6.6.4 Example Data-flow Optimisations

### 6.6.5 Monotonicity of Expression Refinement

We prove that each subexpression position is monotonic. That is, optimizing a subexpression anywhere deep inside a top-level expression also optimizes that top-level expression.

Note that we might also be able to do this via reusing Isabelle's *mono* operator (HOL.Orderings theory), proving instantiations like *mono(UnaryExprop)*, but it is not obvious how to do this for both arguments of the binary expressions.

**lemma** *mono-unary*:  
**assumes**  $x \geq x'$   
**shows**  $(UnaryExpr op x) \geq (UnaryExpr op x')$   
 $\langle proof \rangle$

**lemma** *mono-binary*:

**assumes**  $x \geq x'$

**assumes**  $y \geq y'$

**shows**  $(BinaryExpr\ op\ x\ y) \geq (BinaryExpr\ op\ x'\ y')$

$\langle proof \rangle$

**lemma** *never-void*:

**assumes**  $[m, p] \vdash x \mapsto xv$

**assumes** *valid-value*  $xv$  (*stamp-expr*  $xe$ )

**shows** *stamp-expr*  $xe \neq VoidStamp$

$\langle proof \rangle$

**lemma** *compatible-trans*:

*compatible*  $x\ y \wedge$  *compatible*  $y\ z \implies$  *compatible*  $x\ z$

$\langle proof \rangle$

**lemma** *compatible-refl*:

*compatible*  $x\ y \implies$  *compatible*  $y\ x$

$\langle proof \rangle$

**lemma** *mono-conditional*:

**assumes**  $c \geq c'$

**assumes**  $t \geq t'$

**assumes**  $f \geq f'$

**shows**  $(ConditionalExpr\ c\ t\ f) \geq (ConditionalExpr\ c'\ t'\ f')$

$\langle proof \rangle$

## 6.7 Unfolding rules for evaltree quadruples down to bin-eval level

These rewrite rules can be useful when proving optimizations. They support top-down rewriting of each level of the tree into the lower-level *bin<sub>e</sub>eval* / *unary<sub>e</sub>eval* level, simply by saying *unfoldingunfold<sub>e</sub>evaltree*.

**lemma** *unfold-const*:

$([m, p] \vdash ConstantExpr\ c \mapsto v) = (wf\text{-value}\ v \wedge v = c)$

$\langle proof \rangle$

**lemma** *unfold-binary*:

**shows**  $([m, p] \vdash BinaryExpr\ op\ xe\ ye \mapsto val) = (\exists\ x\ y.$

$([m, p] \vdash xe \mapsto x) \wedge$

$$\begin{aligned}
& ([m,p] \vdash ye \mapsto y) \wedge \\
& (val = \text{bin-eval } op \ x \ y) \wedge \\
& (val \neq \text{UndefVal}) \\
& )) \text{ (is ?L = ?R)} \\
\langle proof \rangle
\end{aligned}$$

**lemma** *unfold-unary*:  
**shows**  $([m,p] \vdash \text{UnaryExpr } op \ xe \mapsto val)$   
 $= (\exists x.$   
 $(([m,p] \vdash xe \mapsto x) \wedge$   
 $(val = \text{unary-eval } op \ x) \wedge$   
 $(val \neq \text{UndefVal})$   
 $)) \text{ (is ?L = ?R)}$   
 $\langle proof \rangle$

**lemmas** *unfold-evaltree* =  
*unfold-binary*  
*unfold-unary*

## 6.8 Lemmas about *new\_int* and integer eval results.

**lemma** *unary-eval-new-int*:  
**assumes** *def: unary-eval op x ≠ UndefVal*  
**shows**  $\exists b \ v. (\text{unary-eval } op \ x = \text{new-int } b \ v \wedge$

$$\begin{aligned}
& b = (\text{if } op \in \text{normal-unary} && \text{then } \text{intval-bits } x \ \text{else} \\
& \text{if } op \in \text{boolean-unary} && \text{then } 32 && \text{else} \\
& \text{if } op \in \text{unary-fixed-32-ops} && \text{then } 32 && \text{else} \\
& && && \text{ir-resultBits } op))
\end{aligned}$$

$\langle proof \rangle$

**lemma** *new-int-unused-bits-zero*:  
**assumes** *IntVal b ival = new-int b ival0*  
**shows** *take-bit b ival = ival*  
 $\langle proof \rangle$

**lemma** *unary-eval-unused-bits-zero*:  
**assumes** *unary-eval op x = IntVal b ival*  
**shows** *take-bit b ival = ival*  
 $\langle proof \rangle$

**lemma** *bin-eval-unused-bits-zero*:  
**assumes** *bin-eval op x y = (IntVal b ival)*  
**shows** *take-bit b ival = ival*  
 $\langle proof \rangle$

**lemma** *eval-unused-bits-zero*:

$[m,p] \vdash xe \mapsto (\text{IntVal } b \text{ } ix) \implies \text{take-bit } b \text{ } ix = ix$   
*<proof>*

**lemma** *unary-normal-bitsize:*

**assumes** *unary-eval*  $op \ x = \text{IntVal } b \ \text{ival}$

**assumes**  $op \in \text{normal-unary}$

**shows**  $\exists ix. x = \text{IntVal } b \ ix$

*<proof>*

**lemma** *unary-not-normal-bitsize:*

**assumes** *unary-eval*  $op \ x = \text{IntVal } b \ \text{ival}$

**assumes**  $op \notin \text{normal-unary} \wedge op \notin \text{boolean-unary} \wedge op \notin \text{unary-fixed-32-ops}$

**shows**  $b = \text{ir-resultBits } op \wedge 0 < b \wedge b \leq 64$

*<proof>*

**lemma** *unary-eval-bitsize:*

**assumes** *unary-eval*  $op \ x = \text{IntVal } b \ \text{ival}$

**assumes**  $2: x = \text{IntVal } bx \ ix$

**assumes**  $0 < bx \wedge bx \leq 64$

**shows**  $0 < b \wedge b \leq 64$

*<proof>*

**lemma** *bin-eval-inputs-are-ints:*

**assumes** *bin-eval*  $op \ x \ y = \text{IntVal } b \ ix$

**obtains**  $xb \ yb \ xi \ yi$  **where**  $x = \text{IntVal } xb \ xi \wedge y = \text{IntVal } yb \ yi$

*<proof>*

**lemma** *eval-bits-1-64:*

$[m,p] \vdash xe \mapsto (\text{IntVal } b \ ix) \implies 0 < b \wedge b \leq 64$

*<proof>*

**lemma** *bin-eval-normal-bits:*

**assumes**  $op \in \text{binary-normal}$

**assumes** *bin-eval*  $op \ x \ y = xy$

**assumes**  $xy \neq \text{UndefVal}$

**shows**  $\exists xv \ yv \ xyv \ b. (x = \text{IntVal } b \ xv \wedge y = \text{IntVal } b \ yv \wedge xy = \text{IntVal } b \ xyv)$

*<proof>*

**lemma** *unfold-binary-width-bin-normal:*

**assumes**  $op \in \text{binary-normal}$

**shows**  $\bigwedge xv \ yv.$

$\text{IntVal } b \ \text{val} = \text{bin-eval } op \ xv \ yv \implies$

$[m,p] \vdash xe \mapsto xv \implies$

$[m,p] \vdash ye \mapsto yv \implies$

$\text{bin-eval } op \ xv \ yv \neq \text{UndefVal} \implies$

$\exists xa.$

```

      (([m,p] ⊢ xe ↦ IntVal b xa) ∧
       (∃ ya. (([m,p] ⊢ ye ↦ IntVal b ya) ∧
              bin-eval op xv yv = bin-eval op (IntVal b xa) (IntVal b ya))))
    ⟨proof⟩

```

**lemma** *unfold-binary-width*:

```

assumes op ∈ binary-normal
shows ([m,p] ⊢ BinaryExpr op xe ye ↦ IntVal b val) = (∃ x y.
  (([m,p] ⊢ xe ↦ IntVal b x) ∧
   ([m,p] ⊢ ye ↦ IntVal b y) ∧
   (IntVal b val = bin-eval op (IntVal b x) (IntVal b y)) ∧
   (IntVal b val ≠ UndefVal)
  )) (is ?L = ?R)
⟨proof⟩

```

**end**

## 7 Tree to Graph

**theory** *TreeToGraph*

```

imports
  Semantics.IRTreeEval
  Graph.IRGraph
  Snippets.Snipping

```

**begin**

### 7.1 Subgraph to Data-flow Tree

```

fun find-node-and-stamp :: IRGraph ⇒ (IRNode × Stamp) ⇒ ID option where
  find-node-and-stamp g (n,s) =
    find (λi. kind g i = n ∧ stamp g i = s) (sorted-list-of-set(ids g))

```

**export-code** *find-node-and-stamp*

```

fun is-preevaluated :: IRNode ⇒ bool where
  is-preevaluated (InvokeNode n - - - -) = True |
  is-preevaluated (InvokeWithExceptionNode n - - - -) = True |
  is-preevaluated (NewInstanceNode n - -) = True |
  is-preevaluated (LoadFieldNode n - -) = True |
  is-preevaluated (SignedDivNode n - - - -) = True |
  is-preevaluated (SignedRemNode n - - - -) = True |
  is-preevaluated (ValuePhiNode n -) = True |
  is-preevaluated (BytecodeExceptionNode n -) = True |
  is-preevaluated (NewArrayNode n -) = True |
  is-preevaluated (ArrayLengthNode n -) = True |
  is-preevaluated (LoadIndexedNode n - -) = True |
  is-preevaluated (StoreIndexedNode n - - - -) = True |
  is-preevaluated - = False

```

**inductive**

$rep :: IRGraph \Rightarrow ID \Rightarrow IRExpr \Rightarrow bool \ (- \vdash - \simeq - \ 55)$

**for  $g$  where**

*ConstantNode:*

$[[kind\ g\ n = ConstantNode\ c]]$   
 $\implies g \vdash n \simeq (ConstantExpr\ c) \mid$

*ParameterNode:*

$[[kind\ g\ n = ParameterNode\ i;$   
 $\quad stamp\ g\ n = s]]$   
 $\implies g \vdash n \simeq (ParameterExpr\ i\ s) \mid$

*ConditionalNode:*

$[[kind\ g\ n = ConditionalNode\ c\ t\ f;$   
 $\quad g \vdash c \simeq ce;$   
 $\quad g \vdash t \simeq te;$   
 $\quad g \vdash f \simeq fe]]$   
 $\implies g \vdash n \simeq (ConditionalExpr\ ce\ te\ fe) \mid$

*AbsNode:*

$[[kind\ g\ n = AbsNode\ x;$   
 $\quad g \vdash x \simeq xe]]$   
 $\implies g \vdash n \simeq (UnaryExpr\ UnaryAbs\ xe) \mid$

*ReverseBytesNode:*

$[[kind\ g\ n = ReverseBytesNode\ x;$   
 $\quad g \vdash x \simeq xe]]$   
 $\implies g \vdash n \simeq (UnaryExpr\ UnaryReverseBytes\ xe) \mid$

*BitCountNode:*

$[[kind\ g\ n = BitCountNode\ x;$   
 $\quad g \vdash x \simeq xe]]$   
 $\implies g \vdash n \simeq (UnaryExpr\ UnaryBitCount\ xe) \mid$

*NotNode:*

$[[kind\ g\ n = NotNode\ x;$   
 $\quad g \vdash x \simeq xe]]$   
 $\implies g \vdash n \simeq (UnaryExpr\ UnaryNot\ xe) \mid$

*NegateNode:*

$[[kind\ g\ n = NegateNode\ x;$   
 $\quad g \vdash x \simeq xe]]$   
 $\implies g \vdash n \simeq (UnaryExpr\ UnaryNeg\ xe) \mid$

*LogicNegationNode:*

$[[kind\ g\ n = LogicNegationNode\ x;$

$$g \vdash x \simeq xe] \\ \implies g \vdash n \simeq (\text{UnaryExpr UnaryLogicNegation } xe) \mid$$

*AddNode:*

$$[[\text{kind } g \ n = \text{AddNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinAdd } xe \ ye) \mid$$

*MulNode:*

$$[[\text{kind } g \ n = \text{MulNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinMul } xe \ ye) \mid$$

*DivNode:*

$$[[\text{kind } g \ n = \text{SignedFloatingIntegerDivNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinDiv } xe \ ye) \mid$$

*ModNode:*

$$[[\text{kind } g \ n = \text{SignedFloatingIntegerRemNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinMod } xe \ ye) \mid$$

*SubNode:*

$$[[\text{kind } g \ n = \text{SubNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinSub } xe \ ye) \mid$$

*AndNode:*

$$[[\text{kind } g \ n = \text{AndNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinAnd } xe \ ye) \mid$$

*OrNode:*

$$[[\text{kind } g \ n = \text{OrNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinOr } xe \ ye) \mid$$

*XorNode:*

$$[[\text{kind } g \ n = \text{XorNode } x \ y; \\ g \vdash x \simeq xe;$$

$$g \vdash y \simeq ye] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinXor } xe \ ye) \mid$$

*ShortCircuitOrNode:*

$$[[\text{kind } g \ n = \text{ShortCircuitOrNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinShortCircuitOr } xe \ ye) \mid$$

*LeftShiftNode:*

$$[[\text{kind } g \ n = \text{LeftShiftNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinLeftShift } xe \ ye) \mid$$

*RightShiftNode:*

$$[[\text{kind } g \ n = \text{RightShiftNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinRightShift } xe \ ye) \mid$$

*UnsignedRightShiftNode:*

$$[[\text{kind } g \ n = \text{UnsignedRightShiftNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinURightShift } xe \ ye) \mid$$

*IntegerBelowNode:*

$$[[\text{kind } g \ n = \text{IntegerBelowNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinIntegerBelow } xe \ ye) \mid$$

*IntegerEqualsNode:*

$$[[\text{kind } g \ n = \text{IntegerEqualsNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinIntegerEquals } xe \ ye) \mid$$

*IntegerLessThanNode:*

$$[[\text{kind } g \ n = \text{IntegerLessThanNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinIntegerLessThan } xe \ ye) \mid$$

*IntegerTestNode:*

$$[[\text{kind } g \ n = \text{IntegerTestNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]]$$



$\implies g \vdash n \simeq (\text{BinaryExpr BinIntegerTest } xe \ ye) \mid$

*IntegerNormalizeCompareNode:*

$\llbracket \text{kind } g \ n = \text{IntegerNormalizeCompareNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr BinIntegerNormalizeCompare } xe \ ye) \mid$

*IntegerMulHighNode:*

$\llbracket \text{kind } g \ n = \text{IntegerMulHighNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr BinIntegerMulHigh } xe \ ye) \mid$

*NarrowNode:*

$\llbracket \text{kind } g \ n = \text{NarrowNode } \text{inputBits } \text{resultBits } x;$   
 $g \vdash x \simeq xe \rrbracket$   
 $\implies g \vdash n \simeq (\text{UnaryExpr } (\text{UnaryNarrow } \text{inputBits } \text{resultBits}) \ xe) \mid$

*SignExtendNode:*

$\llbracket \text{kind } g \ n = \text{SignExtendNode } \text{inputBits } \text{resultBits } x;$   
 $g \vdash x \simeq xe \rrbracket$   
 $\implies g \vdash n \simeq (\text{UnaryExpr } (\text{UnarySignExtend } \text{inputBits } \text{resultBits}) \ xe) \mid$

*ZeroExtendNode:*

$\llbracket \text{kind } g \ n = \text{ZeroExtendNode } \text{inputBits } \text{resultBits } x;$   
 $g \vdash x \simeq xe \rrbracket$   
 $\implies g \vdash n \simeq (\text{UnaryExpr } (\text{UnaryZeroExtend } \text{inputBits } \text{resultBits}) \ xe) \mid$

*LeafNode:*

$\llbracket \text{is-preevaluated } (\text{kind } g \ n);$   
 $\text{stamp } g \ n = s \rrbracket$   
 $\implies g \vdash n \simeq (\text{LeafExpr } n \ s) \mid$

*PiNode:*

$\llbracket \text{kind } g \ n = \text{PiNode } n' \ \text{guard};$   
 $g \vdash n' \simeq e \rrbracket$   
 $\implies g \vdash n \simeq e \mid$

*RefNode:*

$\llbracket \text{kind } g \ n = \text{RefNode } n';$   
 $g \vdash n' \simeq e \rrbracket$   
 $\implies g \vdash n \simeq e \mid$

*IsNullNode*:  
 $\llbracket \text{kind } g \ n = \text{IsNullNode } v;$   
 $g \vdash v \simeq \text{lfn} \rrbracket$   
 $\implies g \vdash n \simeq (\text{UnaryExpr } \text{UnaryIsNull } \text{lfn})$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *exprE*) *rep*  $\langle \text{proof} \rangle$

**inductive**

*replist* ::  $\text{IRGraph} \Rightarrow \text{ID list} \Rightarrow \text{IRExpr list} \Rightarrow \text{bool}$  ( $- \vdash - [\simeq]$  - 55)  
**for** *g* **where**

*RepNil*:  
 $g \vdash [] [\simeq] [] \mid$

*RepCons*:  
 $\llbracket g \vdash x \simeq xe;$   
 $g \vdash xs [\simeq] xse \rrbracket$   
 $\implies g \vdash x\#xs [\simeq] xe\#xse$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *exprListE*) *replist*  $\langle \text{proof} \rangle$

**definition** *wf-term-graph* ::  $\text{MapState} \Rightarrow \text{Params} \Rightarrow \text{IRGraph} \Rightarrow \text{ID} \Rightarrow \text{bool}$  **where**  
*wf-term-graph* *m p g n* =  $(\exists e. (g \vdash n \simeq e) \wedge (\exists v. ([m, p] \vdash e \mapsto v)))$

**values** {*t. eg2-sq*  $\vdash 4 \simeq t$ }

## 7.2 Data-flow Tree to Subgraph

**fun** *unary-node* ::  $\text{IRUnaryOp} \Rightarrow \text{ID} \Rightarrow \text{IRNode}$  **where**

*unary-node* *UnaryAbs* *v* = *AbsNode* *v* |  
*unary-node* *UnaryNot* *v* = *NotNode* *v* |  
*unary-node* *UnaryNeg* *v* = *NegateNode* *v* |  
*unary-node* *UnaryLogicNegation* *v* = *LogicNegationNode* *v* |  
*unary-node* (*UnaryNarrow* *ib rb*) *v* = *NarrowNode* *ib rb v* |  
*unary-node* (*UnarySignExtend* *ib rb*) *v* = *SignExtendNode* *ib rb v* |  
*unary-node* (*UnaryZeroExtend* *ib rb*) *v* = *ZeroExtendNode* *ib rb v* |  
*unary-node* *UnaryIsNull* *v* = *IsNullNode* *v* |  
*unary-node* *UnaryReverseBytes* *v* = *ReverseBytesNode* *v* |  
*unary-node* *UnaryBitCount* *v* = *BitCountNode* *v*

**fun** *bin-node* ::  $\text{IRBinaryOp} \Rightarrow \text{ID} \Rightarrow \text{ID} \Rightarrow \text{IRNode}$  **where**

*bin-node* *BinAdd* *x y* = *AddNode* *x y* |  
*bin-node* *BinMul* *x y* = *MulNode* *x y* |  
*bin-node* *BinDiv* *x y* = *SignedFloatingIntegerDivNode* *x y* |  
*bin-node* *BinMod* *x y* = *SignedFloatingIntegerRemNode* *x y* |  
*bin-node* *BinSub* *x y* = *SubNode* *x y* |  
*bin-node* *BinAnd* *x y* = *AndNode* *x y* |

```

bin-node BinOr x y = OrNode x y |
bin-node BinXor x y = XorNode x y |
bin-node BinShortCircuitOr x y = ShortCircuitOrNode x y |
bin-node BinLeftShift x y = LeftShiftNode x y |
bin-node BinRightShift x y = RightShiftNode x y |
bin-node BinURightShift x y = UnsignedRightShiftNode x y |
bin-node BinIntegerEquals x y = IntegerEqualsNode x y |
bin-node BinIntegerLessThan x y = IntegerLessThanNode x y |
bin-node BinIntegerBelow x y = IntegerBelowNode x y |
bin-node BinIntegerTest x y = IntegerTestNode x y |
bin-node BinIntegerNormalizeCompare x y = IntegerNormalizeCompareNode x y
|
bin-node BinIntegerMulHigh x y = IntegerMulHighNode x y

```

**inductive** *fresh-id* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *bool* **where**  
*n*  $\notin$  *ids g*  $\Longrightarrow$  *fresh-id g n*

**code-pred** *fresh-id*  $\langle$ proof $\rangle$

**fun** *get-fresh-id* :: *IRGraph*  $\Rightarrow$  *ID* **where**

*get-fresh-id g* = *last(sorted-list-of-set(ids g)) + 1*

**export-code** *get-fresh-id*

**value** *get-fresh-id eg2-sq*

**value** *get-fresh-id* (*add-node 6 (ParameterNode 2, default-stamp) eg2-sq*)

**inductive** *unique* :: *IRGraph*  $\Rightarrow$  (*IRNode*  $\times$  *Stamp*)  $\Rightarrow$  (*IRGraph*  $\times$  *ID*)  $\Rightarrow$  *bool*  
**where**

*Exists:*

$\llbracket$ *find-node-and-stamp g node* = *Some n* $\rrbracket$   
 $\Longrightarrow$  *unique g node (g, n)* |

*New:*

$\llbracket$ *find-node-and-stamp g node* = *None*;  
*n* = *get-fresh-id g*;  
*g'* = *add-node n node g* $\rrbracket$   
 $\Longrightarrow$  *unique g node (g', n)*

**code-pred** (*modes: i*  $\Rightarrow$  *i*  $\Rightarrow$  *o*  $\Rightarrow$  *bool* as *uniqueE*) *unique*  $\langle$ proof $\rangle$

**inductive**

*unrep* :: *IRGraph*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  (*IRGraph*  $\times$  *ID*)  $\Rightarrow$  *bool* (*-*  $\oplus$  *-*  $\rightsquigarrow$  *-* 55)

**where**

*UnrepConstantNode:*

$\llbracket$ *unique g (ConstantNode c, constantAsStamp c) (g<sub>1</sub>, n)* $\rrbracket$

$$\implies g \oplus (\text{ConstantExpr } c) \rightsquigarrow (g_1, n) \mid$$

*UnrepParameterNode:*

$$\llbracket \text{unique } g (\text{ParameterNode } i, s) (g_1, n) \rrbracket \\ \implies g \oplus (\text{ParameterExpr } i s) \rightsquigarrow (g_1, n) \mid$$

*UnrepConditionalNode:*

$$\llbracket g \oplus ce \rightsquigarrow (g_1, c); \\ g_1 \oplus te \rightsquigarrow (g_2, t); \\ g_2 \oplus fe \rightsquigarrow (g_3, f); \\ s' = \text{meet } (\text{stamp } g_3 t) (\text{stamp } g_3 f); \\ \text{unique } g_3 (\text{ConditionalNode } c t f, s') (g_4, n) \rrbracket \\ \implies g \oplus (\text{ConditionalExpr } ce te fe) \rightsquigarrow (g_4, n) \mid$$

*UnrepUnaryNode:*

$$\llbracket g \oplus xe \rightsquigarrow (g_1, x); \\ s' = \text{stamp-unary op } (\text{stamp } g_1 x); \\ \text{unique } g_1 (\text{unary-node op } x, s') (g_2, n) \rrbracket \\ \implies g \oplus (\text{UnaryExpr op } xe) \rightsquigarrow (g_2, n) \mid$$

*UnrepBinaryNode:*

$$\llbracket g \oplus xe \rightsquigarrow (g_1, x); \\ g_1 \oplus ye \rightsquigarrow (g_2, y); \\ s' = \text{stamp-binary op } (\text{stamp } g_2 x) (\text{stamp } g_2 y); \\ \text{unique } g_2 (\text{bin-node op } x y, s') (g_3, n) \rrbracket \\ \implies g \oplus (\text{BinaryExpr op } xe ye) \rightsquigarrow (g_3, n) \mid$$

*AllLeafNodes:*

$$\llbracket \text{stamp } g n = s; \\ \text{is-preevaluated } (\text{kind } g n) \rrbracket \\ \implies g \oplus (\text{LeafExpr } n s) \rightsquigarrow (g, n)$$

**code-pred** (*modes: i ⇒ i ⇒ o ⇒ bool as unrepE*)  
unrep ⟨proof⟩

*uniqueRules*

$$\frac{\text{find-node-and-stamp } (g::\text{IRGraph}) (\text{node}::\text{IRNode} \times \text{Stamp}) = \text{Some } (n::\text{nat})}{\text{unique } g \text{ node } (g, n)}$$

$$\frac{\text{find-node-and-stamp } (g::\text{IRGraph}) (\text{node}::\text{IRNode} \times \text{Stamp}) = \text{None} \\ (n::\text{nat}) = \text{get-fresh-id } g \quad (g'::\text{IRGraph}) = \text{add-node } n \text{ node } g}{\text{unique } g \text{ node } (g', n)}$$

### unrepRules

$$\begin{array}{c}
\frac{\text{unique } (g::IRGraph) \text{ (ConstantNode } (c::Value), \text{ constantAsStamp } c) (g_1::IRGraph, n::nat)}{g \oplus \text{ConstantExpr } c \rightsquigarrow (g_1, n)} \\
\frac{\text{unique } (g::IRGraph) \text{ (ParameterNode } (i::nat), s::Stamp) (g_1::IRGraph, n::nat)}{g \oplus \text{ParameterExpr } i \ s \rightsquigarrow (g_1, n)} \\
\frac{\begin{array}{c}
g::IRGraph \oplus ce::IRExpr \rightsquigarrow (g_1::IRGraph, c::nat) \\
g_1 \oplus te::IRExpr \rightsquigarrow (g_2::IRGraph, t::nat) \\
g_2 \oplus fe::IRExpr \rightsquigarrow (g_3::IRGraph, f::nat) \\
(s'::Stamp) = \text{meet } (\text{stamp } g_3 \ t) \ (\text{stamp } g_3 \ f) \\
\text{unique } g_3 \text{ (ConditionalNode } c \ t \ f, s') (g_4::IRGraph, n::nat)
\end{array}}{g \oplus \text{ConditionalExpr } ce \ te \ fe \rightsquigarrow (g_4, n)} \\
\frac{\begin{array}{c}
g::IRGraph \oplus xe::IRExpr \rightsquigarrow (g_1::IRGraph, x::nat) \\
g_1 \oplus ye::IRExpr \rightsquigarrow (g_2::IRGraph, y::nat) \\
(s'::Stamp) = \text{stamp-binary } (op::IRBinaryOp) \ (\text{stamp } g_2 \ x) \ (\text{stamp } g_2 \ y) \\
\text{unique } g_2 \text{ (bin-node } op \ x \ y, s') (g_3::IRGraph, n::nat)
\end{array}}{g \oplus \text{BinaryExpr } op \ xe \ ye \rightsquigarrow (g_3, n)} \\
\frac{\begin{array}{c}
g::IRGraph \oplus xe::IRExpr \rightsquigarrow (g_1::IRGraph, x::nat) \\
(s'::Stamp) = \text{stamp-unary } (op::IRUnaryOp) \ (\text{stamp } g_1 \ x) \\
\text{unique } g_1 \text{ (unary-node } op \ x, s') (g_2::IRGraph, n::nat)
\end{array}}{g \oplus \text{UnaryExpr } op \ xe \rightsquigarrow (g_2, n)} \\
\frac{\begin{array}{c}
\text{stamp } (g::IRGraph) \ (n::nat) = (s::Stamp) \\
\text{is-preevaluated } (\text{kind } g \ n)
\end{array}}{g \oplus \text{LeafExpr } n \ s \rightsquigarrow (g, n)}
\end{array}$$

### 7.3 Lift Data-flow Tree Semantics

**inductive** *encodeeval* :: *IRGraph*  $\Rightarrow$  *MapState*  $\Rightarrow$  *Params*  $\Rightarrow$  *ID*  $\Rightarrow$  *Value*  $\Rightarrow$  *bool*

([ $\cdot$ , $\cdot$ , $\cdot$ ]  $\vdash$  -  $\mapsto$  - 50)

**where**

( $g \vdash n \simeq e$ )  $\wedge$  ( $[m,p] \vdash e \mapsto v$ )  $\implies$  ( $[g, m, p] \vdash n \mapsto v$ )

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *encodeeval*  $\langle \text{proof} \rangle$

**inductive** *encodeEvalAll* :: *IRGraph*  $\Rightarrow$  *MapState*  $\Rightarrow$  *Params*  $\Rightarrow$  *ID list*  $\Rightarrow$  *Value list*  $\Rightarrow$  *bool*

([ $\cdot$ , $\cdot$ , $\cdot$ ]  $\vdash$  - [ $\mapsto$ ] - 60) **where**

( $g \vdash nids \ [\simeq] \ es$ )  $\wedge$  ( $[m, p] \vdash es \ [\mapsto] \ vs$ )  $\implies$  ( $[g, m, p] \vdash nids \ [\mapsto] \ vs$ )

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) *encodeEvalAll*  $\langle proof \rangle$

## 7.4 Graph Refinement

**definition** *graph-represents-expression* ::  $IRGraph \Rightarrow ID \Rightarrow IRExpr \Rightarrow bool$   
 $(- \vdash - \trianglelefteq - 50)$

**where**

$(g \vdash n \trianglelefteq e) = (\exists e' . (g \vdash n \simeq e') \wedge (e' \leq e))$

**definition** *graph-refinement* ::  $IRGraph \Rightarrow IRGraph \Rightarrow bool$  **where**

*graph-refinement*  $g_1 g_2 =$   
 $((ids\ g_1 \subseteq ids\ g_2) \wedge$   
 $(\forall n . n \in ids\ g_1 \longrightarrow (\forall e . (g_1 \vdash n \simeq e) \longrightarrow (g_2 \vdash n \trianglelefteq e))))$

**lemma** *graph-refinement*:

*graph-refinement*  $g_1 g_2 \implies$   
 $(\forall n\ m\ p\ v . n \in ids\ g_1 \longrightarrow ([g_1, m, p] \vdash n \mapsto v) \longrightarrow ([g_2, m, p] \vdash n \mapsto v))$   
 $\langle proof \rangle$

## 7.5 Maximal Sharing

**definition** *maximal-sharing*:

*maximal-sharing*  $g = (\forall n_1\ n_2 . n_1 \in true-ids\ g \wedge n_2 \in true-ids\ g \longrightarrow$   
 $(\forall e . (g \vdash n_1 \simeq e) \wedge (g \vdash n_2 \simeq e) \wedge (stamp\ g\ n_1 = stamp\ g\ n_2) \longrightarrow n_1 =$   
 $n_2))$

**end**

## 7.6 Formedness Properties

**theory** *Form*

**imports**

*Semantics.TreeToGraph*

**begin**

**definition** *wf-start* **where**

*wf-start*  $g = (0 \in ids\ g \wedge$   
 $is-StartNode\ (kind\ g\ 0))$

**definition** *wf-closed* **where**

*wf-closed*  $g =$   
 $(\forall n \in ids\ g .$   
 $inputs\ g\ n \subseteq ids\ g \wedge$   
 $succ\ g\ n \subseteq ids\ g \wedge$   
 $kind\ g\ n \neq NoNode)$

**definition** *wf-phis* **where**

*wf-phis*  $g =$   
 $(\forall n \in ids\ g .$

$$\begin{aligned}
& \text{is-PhiNode } (\text{kind } g \ n) \longrightarrow \\
& \text{length } (\text{ir-values } (\text{kind } g \ n)) \\
& = \text{length } (\text{ir-ends} \\
& \quad (\text{kind } g \ (\text{ir-merge } (\text{kind } g \ n))))
\end{aligned}$$

**definition** *wf-ends* **where**

$$\begin{aligned}
& \text{wf-ends } g = \\
& \quad (\forall \ n \in \text{ids } g . \\
& \quad \quad \text{is-AbstractEndNode } (\text{kind } g \ n) \longrightarrow \\
& \quad \quad \text{card } (\text{usages } g \ n) > 0)
\end{aligned}$$

**fun** *wf-graph* :: *IRGraph*  $\Rightarrow$  *bool* **where**

$$\text{wf-graph } g = (\text{wf-start } g \wedge \text{wf-closed } g \wedge \text{wf-phs } g \wedge \text{wf-ends } g)$$

**lemmas** *wf-folds* =

*wf-graph.simps*  
*wf-start-def*  
*wf-closed-def*  
*wf-phs-def*  
*wf-ends-def*

**fun** *wf-stamps* :: *IRGraph*  $\Rightarrow$  *bool* **where**

$$\begin{aligned}
& \text{wf-stamps } g = (\forall \ n \in \text{ids } g . \\
& \quad (\forall \ v \ m \ p \ e . (g \vdash n \simeq e) \wedge ([m, p] \vdash e \mapsto v) \longrightarrow \text{valid-value } v \ (\text{stamp-expr } e)))
\end{aligned}$$

**fun** *wf-stamp* :: *IRGraph*  $\Rightarrow$  (*ID*  $\Rightarrow$  *Stamp*)  $\Rightarrow$  *bool* **where**

$$\begin{aligned}
& \text{wf-stamp } g \ s = (\forall \ n \in \text{ids } g . \\
& \quad (\forall \ v \ m \ p \ e . (g \vdash n \simeq e) \wedge ([m, p] \vdash e \mapsto v) \longrightarrow \text{valid-value } v \ (s \ n)))
\end{aligned}$$

**lemma** *wf-empty*: *wf-graph start-end-graph*

*<proof>*

**lemma** *wf-eg2-sq*: *wf-graph eg2-sq*

*<proof>*

**fun** *wf-logic-node-inputs* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *bool* **where**

$$\begin{aligned}
& \text{wf-logic-node-inputs } g \ n = \\
& \quad (\forall \ \text{inp} \in \text{set } (\text{inputs-of } (\text{kind } g \ n)) . (\forall \ v \ m \ p . ([g, m, p] \vdash \text{inp} \mapsto v) \longrightarrow \text{wf-bool } v))
\end{aligned}$$

**fun** *wf-values* :: *IRGraph*  $\Rightarrow$  *bool* **where**

$$\begin{aligned}
& \text{wf-values } g = (\forall \ n \in \text{ids } g . \\
& \quad (\forall \ v \ m \ p . ([g, m, p] \vdash n \mapsto v) \longrightarrow \\
& \quad \quad (\text{is-LogicNode } (\text{kind } g \ n) \longrightarrow \\
& \quad \quad \quad \text{wf-bool } v \wedge \text{wf-logic-node-inputs } g \ n)))
\end{aligned}$$

**end**

## 7.7 Dynamic Frames

This theory defines two operators, 'unchanged' and 'changeonly', that are useful for specifying which nodes in an IRGraph can change. The dynamic framing idea originates from 'Dynamic Frames' in software verification, started by Ioannis T. Kassios in "Dynamic frames: Support for framing, dependencies and sharing without restrictions", In FM 2006.

**theory** *IRGraphFrames*

**imports**

*Form*

**begin**

**fun** *unchanged* :: *ID set*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool* **where**

*unchanged ns g1 g2* =  $(\forall n . n \in ns \longrightarrow$

$(n \in ids\ g1 \wedge n \in ids\ g2 \wedge kind\ g1\ n = kind\ g2\ n \wedge stamp\ g1\ n = stamp\ g2\ n))$

**fun** *changeonly* :: *ID set*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool* **where**

*changeonly ns g1 g2* =  $(\forall n . n \in ids\ g1 \wedge n \notin ns \longrightarrow$

$(n \in ids\ g1 \wedge n \in ids\ g2 \wedge kind\ g1\ n = kind\ g2\ n \wedge stamp\ g1\ n = stamp\ g2\ n))$

**lemma** *node-unchanged*:

**assumes** *unchanged ns g1 g2*

**assumes** *nid*  $\in$  *ns*

**shows** *kind g1 nid* = *kind g2 nid*

*<proof>*

**lemma** *other-node-unchanged*:

**assumes** *changeonly ns g1 g2*

**assumes** *nid*  $\in$  *ids g1*

**assumes** *nid*  $\notin$  *ns*

**shows** *kind g1 nid* = *kind g2 nid*

*<proof>*

Some notation for input nodes used

**inductive** *eval-uses*:: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID*  $\Rightarrow$  *bool*

**for** *g* **where**

*use0*: *nid*  $\in$  *ids g*

$\implies$  *eval-uses g nid nid* |

*use-inp*: *nid'*  $\in$  *inputs g n*

$\implies$  *eval-uses g nid nid'* |

*use-trans*:  $\llbracket$  *eval-uses g nid nid'*;

*eval-uses g nid' nid''*  $\rrbracket$

$\implies$  *eval-uses g nid nid''*



**fun** *eval-usages* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID set* **where**  
  *eval-usages* *g* *nid* = {*n*  $\in$  *ids* *g* . *eval-uses* *g* *nid* *n*}

**lemma** *eval-usages-self*:  
  **assumes** *nid*  $\in$  *ids* *g*  
  **shows** *nid*  $\in$  *eval-usages* *g* *nid*  
  <*proof*>

**lemma** *not-in-g-inputs*:  
  **assumes** *nid*  $\notin$  *ids* *g*  
  **shows** *inputs* *g* *nid* = {}  
  <*proof*>

**lemma** *child-member*:  
  **assumes** *n* = *kind* *g* *nid*  
  **assumes** *n*  $\neq$  *NoNode*  
  **assumes** *List.member* (*inputs-of* *n*) *child*  
  **shows** *child*  $\in$  *inputs* *g* *nid*  
  <*proof*>

**lemma** *child-member-in*:  
  **assumes** *nid*  $\in$  *ids* *g*  
  **assumes** *List.member* (*inputs-of* (*kind* *g* *nid*)) *child*  
  **shows** *child*  $\in$  *inputs* *g* *nid*  
  <*proof*>

**lemma** *inp-in-g*:  
  **assumes** *n*  $\in$  *inputs* *g* *nid*  
  **shows** *nid*  $\in$  *ids* *g*  
  <*proof*>

**lemma** *inp-in-g-wf*:  
  **assumes** *wf-graph* *g*  
  **assumes** *n*  $\in$  *inputs* *g* *nid*  
  **shows** *n*  $\in$  *ids* *g*  
  <*proof*>

**lemma** *kind-unchanged*:  
  **assumes** *nid*  $\in$  *ids* *g1*  
  **assumes** *unchanged* (*eval-usages* *g1* *nid*) *g1* *g2*  
  **shows** *kind* *g1* *nid* = *kind* *g2* *nid*  
  <*proof*>

**lemma** *stamp-unchanged*:  
  **assumes** *nid*  $\in$  *ids* *g1*  
  **assumes** *unchanged* (*eval-usages* *g1* *nid*) *g1* *g2*  
  **shows** *stamp* *g1* *nid* = *stamp* *g2* *nid*  
  <*proof*>

**lemma** *child-unchanged*:

**assumes**  $child \in inputs\ g1\ nid$   
**assumes**  $unchanged\ (eval-usages\ g1\ nid)\ g1\ g2$   
**shows**  $unchanged\ (eval-usages\ g1\ child)\ g1\ g2$   
*<proof>*

**lemma** *eval-usages*:

**assumes**  $us = eval-usages\ g\ nid$   
**assumes**  $nid' \in ids\ g$   
**shows**  $eval-uses\ g\ nid\ nid' \longleftrightarrow nid' \in us$  (**is**  $?P \longleftrightarrow ?Q$ )  
*<proof>*

**lemma** *inputs-are-uses*:

**assumes**  $nid' \in inputs\ g\ nid$   
**shows**  $eval-uses\ g\ nid\ nid'$   
*<proof>*

**lemma** *inputs-are-usages*:

**assumes**  $nid' \in inputs\ g\ nid$   
**assumes**  $nid' \in ids\ g$   
**shows**  $nid' \in eval-usages\ g\ nid$   
*<proof>*

**lemma** *inputs-of-are-usages*:

**assumes**  $List.member\ (inputs-of\ (kind\ g\ nid))\ nid'$   
**assumes**  $nid' \in ids\ g$   
**shows**  $nid' \in eval-usages\ g\ nid$   
*<proof>*

**lemma** *usage-includes-inputs*:

**assumes**  $us = eval-usages\ g\ nid$   
**assumes**  $ls = inputs\ g\ nid$   
**assumes**  $ls \subseteq ids\ g$   
**shows**  $ls \subseteq us$   
*<proof>*

**lemma** *elim-inp-set*:

**assumes**  $k = kind\ g\ nid$   
**assumes**  $k \neq NoNode$   
**assumes**  $child \in set\ (inputs-of\ k)$   
**shows**  $child \in inputs\ g\ nid$   
*<proof>*

**lemma** *encode-in-ids*:

**assumes**  $g \vdash nid \simeq e$   
**shows**  $nid \in ids\ g$   
*<proof>*

**lemma** *eval-in-ids*:

**assumes**  $[g, m, p] \vdash nid \mapsto v$

**shows**  $nid \in ids\ g$

$\langle proof \rangle$

**lemma** *transitive-kind-same*:

**assumes** *unchanged* (*eval-usages*  $g1\ nid$ )  $g1\ g2$

**shows**  $\forall nid' \in (eval-usages\ g1\ nid) . kind\ g1\ nid' = kind\ g2\ nid'$

$\langle proof \rangle$

**theorem** *stay-same-encoding*:

**assumes** *nc*: *unchanged* (*eval-usages*  $g1\ nid$ )  $g1\ g2$

**assumes**  $g1: g1 \vdash nid \simeq e$

**assumes** *wf*: *wf-graph*  $g1$

**shows**  $g2 \vdash nid \simeq e$

$\langle proof \rangle$

**theorem** *stay-same*:

**assumes** *nc*: *unchanged* (*eval-usages*  $g1\ nid$ )  $g1\ g2$

**assumes**  $g1: [g1, m, p] \vdash nid \mapsto v1$

**assumes** *wf*: *wf-graph*  $g1$

**shows**  $[g2, m, p] \vdash nid \mapsto v1$

$\langle proof \rangle$

**lemma** *add-changed*:

**assumes**  $gup = add-node\ new\ k\ g$

**shows** *changeonly*  $\{new\}\ g\ gup$

$\langle proof \rangle$

**lemma** *disjoint-change*:

**assumes** *changeonly* *change*  $g\ gup$

**assumes** *nochange* = *ids*  $g - change$

**shows** *unchanged* *nochange*  $g\ gup$

$\langle proof \rangle$

**lemma** *add-node-unchanged*:

**assumes**  $new \notin ids\ g$

**assumes**  $nid \in ids\ g$

**assumes**  $gup = add-node\ new\ k\ g$

**assumes** *wf-graph*  $g$

**shows** *unchanged* (*eval-usages*  $g\ nid$ )  $g\ gup$

$\langle proof \rangle$

**lemma** *eval-uses-imp*:

$((nid' \in ids\ g \wedge nid = nid')$

$\vee nid' \in inputs\ g\ nid$

$\vee (\exists nid'' . eval-uses\ g\ nid\ nid'' \wedge eval-uses\ g\ nid''\ nid'))$

$\longleftrightarrow eval-uses\ g\ nid\ nid'$

*<proof>*

**lemma** *wf-use-ids*:

**assumes** *wf-graph g*

**assumes**  $nid \in ids\ g$

**assumes** *eval-uses g nid nid'*

**shows**  $nid' \in ids\ g$

*<proof>*

**lemma** *no-external-use*:

**assumes** *wf-graph g*

**assumes**  $nid' \notin ids\ g$

**assumes**  $nid \in ids\ g$

**shows**  $\neg(eval-uses\ g\ nid\ nid')$

*<proof>*

**end**

## 7.8 Tree to Graph Theorems

**theory** *TreeToGraphThms*

**imports**

*IRTreeEvalThms*

*IRGraphFrames*

*HOL-Eisbach.Eisbach*

*HOL-Eisbach.Eisbach-Tools*

**begin**

### 7.8.1 Extraction and Evaluation of Expression Trees is Deterministic.

First, we prove some extra rules that relate each type of IRNode to the corresponding IRExpr type that 'rep' will produce. These are very helpful for proving that 'rep' is deterministic.

**named-theorems** *rep*

**lemma** *rep-constant* [*rep*]:

$g \vdash n \simeq e \implies$

$kind\ g\ n = ConstantNode\ c \implies$

$e = ConstantExpr\ c$

*<proof>*

**lemma** *rep-parameter* [*rep*]:

$g \vdash n \simeq e \implies$

$kind\ g\ n = ParameterNode\ i \implies$

$(\exists s. e = ParameterExpr\ i\ s)$

*<proof>*

**lemma** *rep-conditional* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = ConditionalNode\ c\ t\ f \implies$   
 $(\exists\ ce\ te\ fe.\ e = ConditionalExpr\ ce\ te\ fe)$   
 $\langle proof \rangle$

**lemma** *rep-abs* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = AbsNode\ x \implies$   
 $(\exists\ xe.\ e = UnaryExpr\ UnaryAbs\ xe)$   
 $\langle proof \rangle$

**lemma** *rep-reverse-bytes* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = ReverseBytesNode\ x \implies$   
 $(\exists\ xe.\ e = UnaryExpr\ UnaryReverseBytes\ xe)$   
 $\langle proof \rangle$

**lemma** *rep-bit-count* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = BitCountNode\ x \implies$   
 $(\exists\ xe.\ e = UnaryExpr\ UnaryBitCount\ xe)$   
 $\langle proof \rangle$

**lemma** *rep-not* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = NotNode\ x \implies$   
 $(\exists\ xe.\ e = UnaryExpr\ UnaryNot\ xe)$   
 $\langle proof \rangle$

**lemma** *rep-negate* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = NegateNode\ x \implies$   
 $(\exists\ xe.\ e = UnaryExpr\ UnaryNeg\ xe)$   
 $\langle proof \rangle$

**lemma** *rep-logicnegation* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = LogicNegationNode\ x \implies$   
 $(\exists\ xe.\ e = UnaryExpr\ UnaryLogicNegation\ xe)$   
 $\langle proof \rangle$

**lemma** *rep-add* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = AddNode\ x\ y \implies$   
 $(\exists\ xe\ ye.\ e = BinaryExpr\ BinAdd\ xe\ ye)$   
 $\langle proof \rangle$

**lemma** *rep-sub* [*rep*]:

$g \vdash n \simeq e \implies$

$kind\ g\ n = SubNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinSub\ xe\ ye)$   
 $\langle proof \rangle$

**lemma** *rep-mul* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = MulNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinMul\ xe\ ye)$   
 $\langle proof \rangle$

**lemma** *rep-div* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = SignedFloatingIntegerDivNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinDiv\ xe\ ye)$   
 $\langle proof \rangle$

**lemma** *rep-mod* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = SignedFloatingIntegerRemNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinMod\ xe\ ye)$   
 $\langle proof \rangle$

**lemma** *rep-and* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = AndNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinAnd\ xe\ ye)$   
 $\langle proof \rangle$

**lemma** *rep-or* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = OrNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinOr\ xe\ ye)$   
 $\langle proof \rangle$

**lemma** *rep-xor* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = XorNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinXor\ xe\ ye)$   
 $\langle proof \rangle$

**lemma** *rep-short-circuit-or* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = ShortCircuitOrNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinShortCircuitOr\ xe\ ye)$   
 $\langle proof \rangle$

**lemma** *rep-left-shift* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = LeftShiftNode\ x\ y \implies$

$(\exists xe ye. e = \text{BinaryExpr BinLeftShift } xe ye)$   
 $\langle \text{proof} \rangle$

**lemma** *rep-right-shift* [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = \text{RightShiftNode } x\ y \implies$   
 $(\exists xe ye. e = \text{BinaryExpr BinRightShift } xe ye)$   
 $\langle \text{proof} \rangle$

**lemma** *rep-unsigned-right-shift* [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = \text{UnsignedRightShiftNode } x\ y \implies$   
 $(\exists xe ye. e = \text{BinaryExpr BinURightShift } xe ye)$   
 $\langle \text{proof} \rangle$

**lemma** *rep-integer-below* [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = \text{IntegerBelowNode } x\ y \implies$   
 $(\exists xe ye. e = \text{BinaryExpr BinIntegerBelow } xe ye)$   
 $\langle \text{proof} \rangle$

**lemma** *rep-integer-equals* [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = \text{IntegerEqualsNode } x\ y \implies$   
 $(\exists xe ye. e = \text{BinaryExpr BinIntegerEquals } xe ye)$   
 $\langle \text{proof} \rangle$

**lemma** *rep-integer-less-than* [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = \text{IntegerLessThanNode } x\ y \implies$   
 $(\exists xe ye. e = \text{BinaryExpr BinIntegerLessThan } xe ye)$   
 $\langle \text{proof} \rangle$

**lemma** *rep-integer-mul-high* [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = \text{IntegerMulHighNode } x\ y \implies$   
 $(\exists xe ye. e = \text{BinaryExpr BinIntegerMulHigh } xe ye)$   
 $\langle \text{proof} \rangle$

**lemma** *rep-integer-test* [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = \text{IntegerTestNode } x\ y \implies$   
 $(\exists xe ye. e = \text{BinaryExpr BinIntegerTest } xe ye)$   
 $\langle \text{proof} \rangle$

**lemma** *rep-integer-normalize-compare* [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = \text{IntegerNormalizeCompareNode } x\ y \implies$   
 $(\exists xe ye. e = \text{BinaryExpr BinIntegerNormalizeCompare } xe ye)$

*<proof>*

**lemma** *rep-narrow* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = NarrowNode\ ib\ rb\ x \implies$   
 $(\exists x. e = UnaryExpr\ (UnaryNarrow\ ib\ rb)\ x)$   
*<proof>*

**lemma** *rep-sign-extend* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = SignExtendNode\ ib\ rb\ x \implies$   
 $(\exists x. e = UnaryExpr\ (UnarySignExtend\ ib\ rb)\ x)$   
*<proof>*

**lemma** *rep-zero-extend* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = ZeroExtendNode\ ib\ rb\ x \implies$   
 $(\exists x. e = UnaryExpr\ (UnaryZeroExtend\ ib\ rb)\ x)$   
*<proof>*

**lemma** *rep-load-field* [*rep*]:

$g \vdash n \simeq e \implies$   
 $is\ preevaluated\ (kind\ g\ n) \implies$   
 $(\exists s. e = LeafExpr\ n\ s)$   
*<proof>*

**lemma** *rep-bytecode-exception* [*rep*]:

$g \vdash n \simeq e \implies$   
 $(kind\ g\ n) = BytecodeExceptionNode\ gu\ st\ n' \implies$   
 $(\exists s. e = LeafExpr\ n\ s)$   
*<proof>*

**lemma** *rep-new-array* [*rep*]:

$g \vdash n \simeq e \implies$   
 $(kind\ g\ n) = NewArrayNode\ len\ st\ n' \implies$   
 $(\exists s. e = LeafExpr\ n\ s)$   
*<proof>*

**lemma** *rep-array-length* [*rep*]:

$g \vdash n \simeq e \implies$   
 $(kind\ g\ n) = ArrayLengthNode\ x\ n' \implies$   
 $(\exists s. e = LeafExpr\ n\ s)$   
*<proof>*

**lemma** *rep-load-index* [*rep*]:

$g \vdash n \simeq e \implies$   
 $(kind\ g\ n) = LoadIndexedNode\ index\ guard\ x\ n' \implies$   
 $(\exists s. e = LeafExpr\ n\ s)$   
*<proof>*



**lemma** *rep-store-index* [*rep*]:

$g \vdash n \simeq e \implies$   
 $(\text{kind } g \ n) = \text{StoreIndexedNode } \text{check val st index guard } x \ n' \implies$   
 $(\exists s. e = \text{LeafExpr } n \ s)$   
 $\langle \text{proof} \rangle$

**lemma** *rep-ref* [*rep*]:

$g \vdash n \simeq e \implies$   
 $\text{kind } g \ n = \text{RefNode } n' \implies$   
 $g \vdash n' \simeq e$   
 $\langle \text{proof} \rangle$

**lemma** *rep-pi* [*rep*]:

$g \vdash n \simeq e \implies$   
 $\text{kind } g \ n = \text{PiNode } n' \ gu \implies$   
 $g \vdash n' \simeq e$   
 $\langle \text{proof} \rangle$

**lemma** *rep-is-null* [*rep*]:

$g \vdash n \simeq e \implies$   
 $\text{kind } g \ n = \text{IsNullNode } x \implies$   
 $(\exists xe. e = (\text{UnaryExpr } \text{UnaryIsNull } xe))$   
 $\langle \text{proof} \rangle$

**method** *solve-det uses node =*

$(\text{match } \text{node} \ \text{in } \text{kind} \ \_ = \text{node} \ \_ \ \text{for } \text{node} \ \Rightarrow$   
 $\langle \text{match } \text{rep} \ \text{in } r: \ \_ \implies \_ = \text{node} \ \_ \implies \_ \Rightarrow$   
 $\langle \text{match } \text{IRNode.inject} \ \text{in } i: (\text{node} \ \_ = \text{node} \ \_) = \_ \Rightarrow$   
 $\langle \text{match } \text{RepE} \ \text{in } e: \ \_ \implies (\bigwedge x. \ \_ = \text{node } x \ \_ \implies \_) \implies \_ \Rightarrow$   
 $\langle \text{match } \text{IRNode.distinct} \ \text{in } d: \text{node} \ \_ \neq \text{RefNode} \ \_ \Rightarrow$   
 $\langle \text{match } \text{IRNode.distinct} \ \text{in } f: \text{node} \ \_ \neq \text{PiNode} \ \_ \_ \Rightarrow$   
 $\langle \text{metis } i \ e \ r \ d \ f \rangle \rangle \rangle \rangle \rangle |$   
 $\text{match } \text{node} \ \text{in } \text{kind} \ \_ = \text{node} \ \_ \ \_ \ \text{for } \text{node} \ \Rightarrow$   
 $\langle \text{match } \text{rep} \ \text{in } r: \ \_ \implies \_ = \text{node} \ \_ \_ \implies \_ \Rightarrow$   
 $\langle \text{match } \text{IRNode.inject} \ \text{in } i: (\text{node} \ \_ \_ = \text{node} \ \_ \_) = \_ \Rightarrow$   
 $\langle \text{match } \text{RepE} \ \text{in } e: \ \_ \implies (\bigwedge x \ y. \ \_ = \text{node } x \ y \ \_ \implies \_) \implies \_ \Rightarrow$   
 $\langle \text{match } \text{IRNode.distinct} \ \text{in } d: \text{node} \ \_ \_ \neq \text{RefNode} \ \_ \Rightarrow$   
 $\langle \text{match } \text{IRNode.distinct} \ \text{in } f: \text{node} \ \_ \_ \neq \text{PiNode} \ \_ \_ \Rightarrow$   
 $\langle \text{metis } i \ e \ r \ d \ f \rangle \rangle \rangle \rangle \rangle |$   
 $\text{match } \text{node} \ \text{in } \text{kind} \ \_ = \text{node} \ \_ \_ \_ \ \text{for } \text{node} \ \Rightarrow$   
 $\langle \text{match } \text{rep} \ \text{in } r: \ \_ \implies \_ = \text{node} \ \_ \_ \_ \implies \_ \Rightarrow$   
 $\langle \text{match } \text{IRNode.inject} \ \text{in } i: (\text{node} \ \_ \_ \_ = \text{node} \ \_ \_ \_) = \_ \Rightarrow$   
 $\langle \text{match } \text{RepE} \ \text{in } e: \ \_ \implies (\bigwedge x \ y \ z. \ \_ = \text{node } x \ y \ z \ \_ \implies \_) \implies \_ \Rightarrow$   
 $\langle \text{match } \text{IRNode.distinct} \ \text{in } d: \text{node} \ \_ \_ \_ \neq \text{RefNode} \ \_ \Rightarrow$   
 $\langle \text{match } \text{IRNode.distinct} \ \text{in } f: \text{node} \ \_ \_ \_ \neq \text{PiNode} \ \_ \_ \_ \Rightarrow$   
 $\langle \text{metis } i \ e \ r \ d \ f \rangle \rangle \rangle \rangle \rangle |$   
 $\text{match } \text{node} \ \text{in } \text{kind} \ \_ = \text{node} \ \_ \_ \_ \ \text{for } \text{node} \ \Rightarrow$   
 $\langle \text{match } \text{rep} \ \text{in } r: \ \_ \implies \_ = \text{node} \ \_ \_ \_ \implies \_ \Rightarrow$

```

⟨match IRNode.inject in i: (node - - - = node - - -) = - ⇒
  ⟨match RepE in e: - ⇒ (∧x. - = node - - x ⇒ -) ⇒ - ⇒
    ⟨match IRNode.distinct in d: node - - - ≠ RefNode - ⇒
      ⟨match IRNode.distinct in f: node - - - ≠ PiNode - - ⇒
        ⟨metis i e r d f⟩⟩⟩⟩⟩⟩

```

Now we can prove that 'rep' and 'eval', and their list versions, are deterministic.

**lemma** *repDet*:

```

  shows (g ⊢ n ≈ e1) ⇒ (g ⊢ n ≈ e2) ⇒ e1 = e2
⟨proof⟩

```

**lemma** *repAllDet*:

```

  g ⊢ xs [≈] e1 ⇒
  g ⊢ xs [≈] e2 ⇒
  e1 = e2
⟨proof⟩

```

**lemma** *encodeEvalDet*:

```

  [g,m,p] ⊢ e ↦ v1 ⇒
  [g,m,p] ⊢ e ↦ v2 ⇒
  v1 = v2
⟨proof⟩

```

**lemma** *graphDet*: ([g,m,p] ⊢ n ↦ v<sub>1</sub>) ∧ ([g,m,p] ⊢ n ↦ v<sub>2</sub>) ⇒ v<sub>1</sub> = v<sub>2</sub>  
 ⟨proof⟩

**lemma** *encodeEvalAllDet*:

```

  [g, m, p] ⊢ nids [↦] vs ⇒ [g, m, p] ⊢ nids [↦] vs' ⇒ vs = vs'
⟨proof⟩

```

## 7.8.2 Monotonicity of Graph Refinement

Lift refinement monotonicity to graph level. Hopefully these shouldn't really be required.

**lemma** *mono-abs*:

```

  assumes kind g1 n = AbsNode x ∧ kind g2 n = AbsNode x
  assumes (g1 ⊢ x ≈ xe1) ∧ (g2 ⊢ x ≈ xe2)
  assumes xe1 ≥ xe2
  assumes (g1 ⊢ n ≈ e1) ∧ (g2 ⊢ n ≈ e2)
  shows e1 ≥ e2
⟨proof⟩

```

**lemma** *mono-not*:

```

  assumes kind g1 n = NotNode x ∧ kind g2 n = NotNode x
  assumes (g1 ⊢ x ≈ xe1) ∧ (g2 ⊢ x ≈ xe2)
  assumes xe1 ≥ xe2
  assumes (g1 ⊢ n ≈ e1) ∧ (g2 ⊢ n ≈ e2)

```

**shows**  $e1 \geq e2$   
*<proof>*

**lemma** *mono-negate*:

**assumes**  $kind\ g1\ n = NegateNode\ x \wedge kind\ g2\ n = NegateNode\ x$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $xe1 \geq xe2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
*<proof>*

**lemma** *mono-logic-negation*:

**assumes**  $kind\ g1\ n = LogicNegationNode\ x \wedge kind\ g2\ n = LogicNegationNode\ x$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $xe1 \geq xe2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
*<proof>*

**lemma** *mono-narrow*:

**assumes**  $kind\ g1\ n = NarrowNode\ ib\ rb\ x \wedge kind\ g2\ n = NarrowNode\ ib\ rb\ x$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $xe1 \geq xe2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
*<proof>*

**lemma** *mono-sign-extend*:

**assumes**  $kind\ g1\ n = SignExtendNode\ ib\ rb\ x \wedge kind\ g2\ n = SignExtendNode\ ib\ rb\ x$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $xe1 \geq xe2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
*<proof>*

**lemma** *mono-zero-extend*:

**assumes**  $kind\ g1\ n = ZeroExtendNode\ ib\ rb\ x \wedge kind\ g2\ n = ZeroExtendNode\ ib\ rb\ x$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $xe1 \geq xe2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
*<proof>*

**lemma** *mono-conditional-graph*:

**assumes**  $kind\ g1\ n = ConditionalNode\ c\ t\ f \wedge kind\ g2\ n = ConditionalNode\ c\ t\ f$   
**assumes**  $(g1 \vdash c \simeq ce1) \wedge (g2 \vdash c \simeq ce2)$   
**assumes**  $(g1 \vdash t \simeq te1) \wedge (g2 \vdash t \simeq te2)$

**assumes**  $(g1 \vdash f \simeq fe1) \wedge (g2 \vdash f \simeq fe2)$   
**assumes**  $ce1 \geq ce2 \wedge te1 \geq te2 \wedge fe1 \geq fe2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
*<proof>*

**lemma** *mono-add*:

**assumes**  $kind\ g1\ n = AddNode\ x\ y \wedge kind\ g2\ n = AddNode\ x\ y$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $(g1 \vdash y \simeq ye1) \wedge (g2 \vdash y \simeq ye2)$   
**assumes**  $xe1 \geq xe2 \wedge ye1 \geq ye2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
*<proof>*

**lemma** *mono-mul*:

**assumes**  $kind\ g1\ n = MulNode\ x\ y \wedge kind\ g2\ n = MulNode\ x\ y$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $(g1 \vdash y \simeq ye1) \wedge (g2 \vdash y \simeq ye2)$   
**assumes**  $xe1 \geq xe2 \wedge ye1 \geq ye2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
*<proof>*

**lemma** *mono-div*:

**assumes**  $kind\ g1\ n = SignedFloatingIntegerDivNode\ x\ y \wedge kind\ g2\ n = SignedFloatingIntegerDivNode\ x\ y$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $(g1 \vdash y \simeq ye1) \wedge (g2 \vdash y \simeq ye2)$   
**assumes**  $xe1 \geq xe2 \wedge ye1 \geq ye2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
*<proof>*

**lemma** *mono-mod*:

**assumes**  $kind\ g1\ n = SignedFloatingIntegerRemNode\ x\ y \wedge kind\ g2\ n = SignedFloatingIntegerRemNode\ x\ y$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $(g1 \vdash y \simeq ye1) \wedge (g2 \vdash y \simeq ye2)$   
**assumes**  $xe1 \geq xe2 \wedge ye1 \geq ye2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
*<proof>*

**lemma** *term-graph-evaluation*:

$(g \vdash n \sqsubseteq e) \implies (\forall m\ p\ v . ([m,p] \vdash e \mapsto v) \implies ([g,m,p] \vdash n \mapsto v))$   
*<proof>*

**lemma** *encodes-contains*:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n \neq NoNode$   
 $\langle proof \rangle$

**lemma** *no-encoding*:  
**assumes**  $n \notin ids\ g$   
**shows**  $\neg(g \vdash n \simeq e)$   
 $\langle proof \rangle$

**lemma** *not-excluded-keep-type*:  
**assumes**  $n \in ids\ g1$   
**assumes**  $n \notin excluded$   
**assumes**  $(excluded \trianglelefteq as-set\ g1) \subseteq as-set\ g2$   
**shows**  $kind\ g1\ n = kind\ g2\ n \wedge stamp\ g1\ n = stamp\ g2\ n$   
 $\langle proof \rangle$

**method** *metis-node-eq-unary* **for**  $node :: 'a \Rightarrow IRNode =$   
 $(match\ IRNode.inject\ in\ i:\ (node\ - = node\ -) = - \Rightarrow$   
 $\langle metis\ i \rangle)$

**method** *metis-node-eq-binary* **for**  $node :: 'a \Rightarrow 'a \Rightarrow IRNode =$   
 $(match\ IRNode.inject\ in\ i:\ (node\ - - = node\ - -) = - \Rightarrow$   
 $\langle metis\ i \rangle)$

**method** *metis-node-eq-ternary* **for**  $node :: 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow IRNode =$   
 $(match\ IRNode.inject\ in\ i:\ (node\ - - - = node\ - - -) = - \Rightarrow$   
 $\langle metis\ i \rangle)$

### 7.8.3 Lift Data-flow Tree Refinement to Graph Refinement

**theorem** *graph-semantics-preservation*:  
**assumes**  $a: e1' \geq e2'$   
**assumes**  $b: (\{n'\} \trianglelefteq as-set\ g1) \subseteq as-set\ g2$   
**assumes**  $c: g1 \vdash n' \simeq e1'$   
**assumes**  $d: g2 \vdash n' \simeq e2'$   
**shows** *graph-refinement*  $g1\ g2$   
 $\langle proof \rangle$

**lemma** *graph-semantics-preservation-subscript*:  
**assumes**  $a: e1' \geq e2'$   
**assumes**  $b: (\{n\} \trianglelefteq as-set\ g1) \subseteq as-set\ g2$   
**assumes**  $c: g1 \vdash n \simeq e1'$   
**assumes**  $d: g2 \vdash n \simeq e2'$   
**shows** *graph-refinement*  $g1\ g2$   
 $\langle proof \rangle$

**lemma** *tree-to-graph-rewriting*:  
 $e1 \geq e2$   
 $\wedge (g1 \vdash n \simeq e1) \wedge maximal-sharing\ g1$   
 $\wedge (\{n\} \trianglelefteq as-set\ g1) \subseteq as-set\ g2$   
 $\wedge (g2 \vdash n \simeq e2) \wedge maximal-sharing\ g2$

$\implies$  *graph-refinement*  $g_1$   $g_2$   
 ⟨*proof*⟩

**declare** [[*simp-trace*]]

**lemma** *equal-refines*:

**fixes**  $e_1$   $e_2$  :: *IRExpr*

**assumes**  $e_1 = e_2$

**shows**  $e_1 \geq e_2$

⟨*proof*⟩

**declare** [[*simp-trace=false*]]

**lemma** *eval-contains-id*[*simp*]:  $g_1 \vdash n \simeq e \implies n \in \text{ids } g_1$

⟨*proof*⟩

**lemma** *subset-kind*[*simp*]:  $\text{as-set } g_1 \subseteq \text{as-set } g_2 \implies g_1 \vdash n \simeq e \implies \text{kind } g_1 \ n = \text{kind } g_2 \ n$

⟨*proof*⟩

**lemma** *subset-stamp*[*simp*]:  $\text{as-set } g_1 \subseteq \text{as-set } g_2 \implies g_1 \vdash n \simeq e \implies \text{stamp } g_1 \ n = \text{stamp } g_2 \ n$

⟨*proof*⟩

**method** *solve-subset-eval* **uses** *as-set eval* =

(*metis eval as-set subset-kind subset-stamp* |

*metis eval as-set subset-kind*)

**lemma** *subset-implies-evals*:

**assumes**  $\text{as-set } g_1 \subseteq \text{as-set } g_2$

**assumes** ( $g_1 \vdash n \simeq e$ )

**shows** ( $g_2 \vdash n \simeq e$ )

⟨*proof*⟩

**lemma** *subset-refines*:

**assumes**  $\text{as-set } g_1 \subseteq \text{as-set } g_2$

**shows** *graph-refinement*  $g_1$   $g_2$

⟨*proof*⟩

**lemma** *graph-construction*:

$e_1 \geq e_2$

$\wedge \text{as-set } g_1 \subseteq \text{as-set } g_2$

$\wedge (g_2 \vdash n \simeq e_2)$

$\implies (g_2 \vdash n \sqsubseteq e_1) \wedge \text{graph-refinement } g_1 \ g_2$

⟨*proof*⟩

## 7.8.4 Term Graph Reconstruction

**lemma** *find-exists-kind*:

**assumes**  $\text{find-node-and-stamp } g \text{ (node, s) = Some nid}$   
**shows**  $\text{kind } g \text{ nid} = \text{node}$   
 $\langle \text{proof} \rangle$

**lemma** *find-exists-stamp*:  
**assumes**  $\text{find-node-and-stamp } g \text{ (node, s) = Some nid}$   
**shows**  $\text{stamp } g \text{ nid} = s$   
 $\langle \text{proof} \rangle$

**lemma** *find-new-kind*:  
**assumes**  $g' = \text{add-node nid (node, s) } g$   
**assumes**  $\text{node} \neq \text{NoNode}$   
**shows**  $\text{kind } g' \text{ nid} = \text{node}$   
 $\langle \text{proof} \rangle$

**lemma** *find-new-stamp*:  
**assumes**  $g' = \text{add-node nid (node, s) } g$   
**assumes**  $\text{node} \neq \text{NoNode}$   
**shows**  $\text{stamp } g' \text{ nid} = s$   
 $\langle \text{proof} \rangle$

**lemma** *sorted-bottom*:  
**assumes**  $\text{finite } xs$   
**assumes**  $x \in xs$   
**shows**  $x \leq \text{last}(\text{sorted-list-of-set}(xs::\text{nat set}))$   
 $\langle \text{proof} \rangle$

**lemma** *fresh*:  $\text{finite } xs \implies \text{last}(\text{sorted-list-of-set}(xs::\text{nat set})) + 1 \notin xs$   
 $\langle \text{proof} \rangle$

**lemma** *fresh-ids*:  
**assumes**  $n = \text{get-fresh-id } g$   
**shows**  $n \notin \text{ids } g$   
 $\langle \text{proof} \rangle$

**lemma** *graph-unchanged-rep-unchanged*:  
**assumes**  $\forall n \in \text{ids } g. \text{kind } g \text{ } n = \text{kind } g' \text{ } n$   
**assumes**  $\forall n \in \text{ids } g. \text{stamp } g \text{ } n = \text{stamp } g' \text{ } n$   
**shows**  $(g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)$   
 $\langle \text{proof} \rangle$

**lemma** *fresh-node-subset*:  
**assumes**  $n \notin \text{ids } g$   
**assumes**  $g' = \text{add-node } n \text{ (k, s) } g$   
**shows**  $\text{as-set } g \subseteq \text{as-set } g'$   
 $\langle \text{proof} \rangle$

**lemma** *unique-subset*:  
**assumes**  $\text{unique } g \text{ node } (g', n)$

**shows**  $as\text{-set } g \subseteq as\text{-set } g'$   
*<proof>*

**lemma** *unrep-subset*:  
**assumes**  $(g \oplus e \rightsquigarrow (g', n))$   
**shows**  $as\text{-set } g \subseteq as\text{-set } g'$   
*<proof>*

**lemma** *fresh-node-preserves-other-nodes*:  
**assumes**  $n' = \text{get-fresh-id } g$   
**assumes**  $g' = \text{add-node } n' (k, s) g$   
**shows**  $\forall n \in \text{ids } g . (g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)$   
*<proof>*

**lemma** *found-node-preserves-other-nodes*:  
**assumes**  $\text{find-node-and-stamp } g (k, s) = \text{Some } n$   
**shows**  $\forall n \in \text{ids } g . (g \vdash n \simeq e) \longleftrightarrow (g' \vdash n \simeq e)$   
*<proof>*

**lemma** *unrep-ids-subset[simp]*:  
**assumes**  $g \oplus e \rightsquigarrow (g', n)$   
**shows**  $\text{ids } g \subseteq \text{ids } g'$   
*<proof>*

**lemma** *unrep-unchanged*:  
**assumes**  $g \oplus e \rightsquigarrow (g', n)$   
**shows**  $\forall n \in \text{ids } g . \forall e . (g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)$   
*<proof>*

**lemma** *unique-kind*:  
**assumes**  $\text{unique } g (node, s) (g', nid)$   
**assumes**  $node \neq \text{NoNode}$   
**shows**  $\text{kind } g' nid = node \wedge \text{stamp } g' nid = s$   
*<proof>*

**lemma** *unique-eval*:  
**assumes**  $\text{unique } g (n, s) (g', nid)$   
**shows**  $g \vdash nid' \simeq e \Longrightarrow g' \vdash nid' \simeq e$   
*<proof>*

**lemma** *unrep-eval*:  
**assumes**  $\text{unrep } g e (g', nid)$   
**shows**  $g \vdash nid' \simeq e' \Longrightarrow g' \vdash nid' \simeq e'$   
*<proof>*

**lemma** *unary-node-nonode*:  
 $\text{unary-node op } x \neq \text{NoNode}$   
*<proof>*



**lemma** *bin-node-nonode*:  
*bin-node op x y ≠ NoNode*  
*<proof>*

**theorem** *term-graph-reconstruction*:  
 $g \oplus e \rightsquigarrow (g', n) \implies (g' \vdash n \simeq e) \wedge \text{as-set } g \subseteq \text{as-set } g'$   
*<proof>*

**lemma** *ref-refinement*:  
**assumes**  $g \vdash n \simeq e_1$   
**assumes**  $\text{kind } g \ n' = \text{RefNode } n$   
**shows**  $g \vdash n' \sqsubseteq e_1$   
*<proof>*

**lemma** *unrep-refines*:  
**assumes**  $g \oplus e \rightsquigarrow (g', n)$   
**shows** *graph-refinement*  $g \ g'$   
*<proof>*

**lemma** *add-new-node-refines*:  
**assumes**  $n \notin \text{ids } g$   
**assumes**  $g' = \text{add-node } n \ (k, s) \ g$   
**shows** *graph-refinement*  $g \ g'$   
*<proof>*

**lemma** *add-node-as-set*:  
**assumes**  $g' = \text{add-node } n \ (k, s) \ g$   
**shows**  $\{n\} \sqsubseteq \text{as-set } g \subseteq \text{as-set } g'$   
*<proof>*

**theorem** *refined-insert*:  
**assumes**  $e_1 \geq e_2$   
**assumes**  $g_1 \oplus e_2 \rightsquigarrow (g_2, n')$   
**shows**  $(g_2 \vdash n' \sqsubseteq e_1) \wedge \text{graph-refinement } g_1 \ g_2$   
*<proof>*

**lemma** *ids-finite*: *finite (ids g)*  
*<proof>*

**lemma** *unwrap-sorted*:  $\text{set } (\text{sorted-list-of-set } (\text{ids } g)) = \text{ids } g$   
*<proof>*

**lemma** *find-none*:  
**assumes**  $\text{find-node-and-stamp } g \ (k, s) = \text{None}$   
**shows**  $\forall n \in \text{ids } g. \text{kind } g \ n \neq k \vee \text{stamp } g \ n \neq s$   
*<proof>*

**method** *ref-represents uses node =*  
*(metis IRNode.distinct(2755) RefNode dual-order.refl find-new-kind fresh-node-subset*  
*node subset-implies-evals)*

### 7.8.5 Data-flow Tree to Subgraph Preserves Maximal Sharing

**lemma** *same-kind-stamp-encodes-equal:*

**assumes**  $kind\ g\ n = kind\ g\ n'$   
**assumes**  $stamp\ g\ n = stamp\ g\ n'$   
**assumes**  $\neg(is\ preevaluated\ (kind\ g\ n))$   
**shows**  $\forall e. (g \vdash n \simeq e) \longrightarrow (g \vdash n' \simeq e)$   
 $\langle proof \rangle$

**lemma** *new-node-not-present:*

**assumes**  $find\ node\ and\ stamp\ g\ (node, s) = None$   
**assumes**  $n = get\ fresh\ id\ g$   
**assumes**  $g' = add\ node\ n\ (node, s)\ g$   
**shows**  $\forall n' \in true\ ids\ g. (\forall e. ((g \vdash n \simeq e) \wedge (g \vdash n' \simeq e)) \longrightarrow n = n')$   
 $\langle proof \rangle$

**lemma** *true-ids-def:*

$true\ ids\ g = \{n \in ids\ g. \neg(is\ RefNode\ (kind\ g\ n)) \wedge ((kind\ g\ n) \neq NoNode)\}$   
 $\langle proof \rangle$

**lemma** *add-node-some-node-def:*

**assumes**  $k \neq NoNode$   
**assumes**  $g' = add\ node\ nid\ (k, s)\ g$   
**shows**  $g' = Abs\ IRGraph\ ((Rep\ IRGraph\ g)(nid \mapsto (k, s)))$   
 $\langle proof \rangle$

**lemma** *ids-add-update-v1:*

**assumes**  $g' = add\ node\ nid\ (k, s)\ g$   
**assumes**  $k \neq NoNode$   
**shows**  $dom\ (Rep\ IRGraph\ g') = dom\ (Rep\ IRGraph\ g) \cup \{nid\}$   
 $\langle proof \rangle$

**lemma** *ids-add-update-v2:*

**assumes**  $g' = add\ node\ nid\ (k, s)\ g$   
**assumes**  $k \neq NoNode$

**shows**  $nid \in ids\ g'$   
*<proof>*

**lemma** *add-node-ids-subset:*

**assumes**  $n \in ids\ g$   
**assumes**  $g' = add\_node\ n\ node\ g$   
**shows**  $ids\ g' = ids\ g \cup \{n\}$   
*<proof>*

**lemma** *convert-maximal:*

**assumes**  $\forall n\ n'.\ n \in true\_ids\ g \wedge n' \in true\_ids\ g \longrightarrow$   
 $(\forall e\ e'.\ (g \vdash n \simeq e) \wedge (g \vdash n' \simeq e') \longrightarrow e \neq e')$   
**shows** *maximal-sharing*  $g$   
*<proof>*

**lemma** *add-node-set-eq:*

**assumes**  $k \neq NoNode$   
**assumes**  $n \notin ids\ g$   
**shows**  $as\_set\ (add\_node\ n\ (k,\ s)\ g) = as\_set\ g \cup \{(n,\ (k,\ s))\}$   
*<proof>*

**lemma** *add-node-as-set-eq:*

**assumes**  $g' = add\_node\ n\ (k,\ s)\ g$   
**assumes**  $n \notin ids\ g$   
**shows**  $\{n\} \sqsubseteq as\_set\ g' = as\_set\ g$   
*<proof>*

**lemma** *true-ids:*

$true\_ids\ g = ids\ g - \{n \in ids\ g.\ is\_RefNode\ (kind\ g\ n)\}$   
*<proof>*

**lemma** *as-set-ids:*

**assumes**  $as\_set\ g = as\_set\ g'$   
**shows**  $ids\ g = ids\ g'$   
*<proof>*

**lemma** *ids-add-update:*

**assumes**  $k \neq NoNode$   
**assumes**  $n \notin ids\ g$   
**assumes**  $g' = add\_node\ n\ (k,\ s)\ g$   
**shows**  $ids\ g' = ids\ g \cup \{n\}$   
*<proof>*

**lemma** *true-ids-add-update:*

**assumes**  $k \neq NoNode$   
**assumes**  $n \notin ids\ g$   
**assumes**  $g' = add\_node\ n\ (k,\ s)\ g$   
**assumes**  $\neg(is\_RefNode\ k)$   
**shows**  $true\_ids\ g' = true\_ids\ g \cup \{n\}$

*<proof>*

**lemma** *new-def*:

**assumes**  $(new \sqsubseteq as\text{-set } g') = as\text{-set } g$

**shows**  $n \in ids\ g \longrightarrow n \notin new$

*<proof>*

**lemma** *add-preserves-rep*:

**assumes** *unchanged*:  $(new \sqsubseteq as\text{-set } g') = as\text{-set } g$

**assumes** *closed*: *wf-closed*  $g$

**assumes** *existed*:  $n \in ids\ g$

**assumes**  $g' \vdash n \simeq e$

**shows**  $g \vdash n \simeq e$

*<proof>*

**lemma** *not-in-no-rep*:

$n \notin ids\ g \implies \forall e. \neg(g \vdash n \simeq e)$

*<proof>*

**lemma** *unary-inputs*:

**assumes**  $kind\ g\ n = unary\text{-node}\ op\ x$

**shows**  $inputs\ g\ n = \{x\}$

*<proof>*

**lemma** *unary-succ*:

**assumes**  $kind\ g\ n = unary\text{-node}\ op\ x$

**shows**  $succ\ g\ n = \{\}$

*<proof>*

**lemma** *binary-inputs*:

**assumes**  $kind\ g\ n = bin\text{-node}\ op\ x\ y$

**shows**  $inputs\ g\ n = \{x, y\}$

*<proof>*

**lemma** *binary-succ*:

**assumes**  $kind\ g\ n = bin\text{-node}\ op\ x\ y$

**shows**  $succ\ g\ n = \{\}$

*<proof>*

**lemma** *unrep-contains*:

**assumes**  $g \oplus e \rightsquigarrow (g', n)$

**shows**  $n \in ids\ g'$

*<proof>*

**lemma** *unrep-preserves-contains*:

**assumes**  $n \in ids\ g$

**assumes**  $g \oplus e \rightsquigarrow (g', n')$

**shows**  $n \in \text{ids } g'$   
*<proof>*

**lemma** *unique-preserves-closure*:

**assumes** *wf-closed*  $g$   
**assumes** *unique*  $g$   $(\text{node}, s)$   $(g', n)$   
**assumes** *set*  $(\text{inputs-of } \text{node}) \subseteq \text{ids } g \wedge$   
    *set*  $(\text{successors-of } \text{node}) \subseteq \text{ids } g \wedge$   
     $\text{node} \neq \text{NoNode}$   
**shows** *wf-closed*  $g'$   
*<proof>*

**lemma** *unrep-preserves-closure*:

**assumes** *wf-closed*  $g$   
**assumes**  $g \oplus e \rightsquigarrow (g', n)$   
**shows** *wf-closed*  $g'$   
*<proof>*

**inductive-cases** *ConstUnrepE*:  $g \oplus (\text{ConstantExpr } x) \rightsquigarrow (g', n)$

**definition** *constant-value* **where**

*constant-value* =  $(\text{IntVal } 32 \ 0)$

**definition** *bad-graph* **where**

*bad-graph* = *irgraph* [  
     $(0, \text{AbsNode } 1, \text{constantAsStamp } \text{constant-value}),$   
     $(1, \text{RefNode } 2, \text{constantAsStamp } \text{constant-value}),$   
     $(2, \text{ConstantNode } \text{constant-value}, \text{constantAsStamp } \text{constant-value})$   
]

**end**

## 8 Control-flow Semantics

**theory** *IRStepObj*

**imports**

*TreeToGraph*

*Graph.Class*

**begin**

### 8.1 Object Heap

The heap model we introduce maps field references to object instances to runtime values. We use the  $H[f][p]$  heap representation. See *\cite{heap-reps-2011}*.

We also introduce the `DynamicHeap` type which allocates new object references sequentially storing the next free object reference as 'Free'.

*heapdef*

```
type-synonym ('a, 'b) Heap = 'a ⇒ 'b ⇒ Value
type-synonym Free = nat
type-synonym ('a, 'b) DynamicHeap = ('a, 'b) Heap × Free

fun h-load-field :: 'a ⇒ 'b ⇒ ('a, 'b) DynamicHeap ⇒ Value where
  h-load-field f r (h, n) = h f r

fun h-store-field :: 'a ⇒ 'b ⇒ Value ⇒ ('a, 'b) DynamicHeap ⇒ ('a, 'b)
  DynamicHeap where
  h-store-field f r v (h, n) = (h(f := ((h f)(r := v))), n)

fun h-new-inst :: (string, objref) DynamicHeap ⇒ string ⇒ (string, objref)
  DynamicHeap × Value where
  h-new-inst (h, n) className = (h-store-field "class" (Some n) (ObjStr
  className) (h,n+1), (ObjRef (Some n)))

type-synonym FieldRefHeap = (string, objref) DynamicHeap
```

```
definition new-heap :: ('a, 'b) DynamicHeap where
  new-heap = ((λf. λp. UndefVal), 0)
```

## 8.2 Intraprocedural Semantics

```
fun find-index :: 'a ⇒ 'a list ⇒ nat where
  find-index - [] = 0 |
  find-index v (x # xs) = (if (x=v) then 0 else find-index v xs + 1)
```

```
inductive indexof :: 'a list ⇒ nat ⇒ 'a ⇒ bool where
  find-index x xs = i ⇒ indexof xs i x
```

```
lemma indexof-det:
  indexof xs i x ⇒ indexof xs i' x ⇒ i = i'
  ⟨proof⟩
```

```
code-pred (modes: i ⇒ o ⇒ i ⇒ bool) indexof ⟨proof⟩
```

```
notation (latex output)
  indexof (-!- = -)
```

```
fun phi-list :: IRGraph ⇒ ID ⇒ ID list where
  phi-list g n =
    (filter (λx.(is-PhiNode (kind g x)))
    (sorted-list-of-set (usages g n)))
```

**fun** *set-phis* :: *ID list* ⇒ *Value list* ⇒ *MapState* ⇒ *MapState* **where**  
*set-phis* [] [] *m* = *m* |  
*set-phis* (*n* # *ns*) (*v* # *vs*) *m* = (*set-phis* *ns* *vs* (*m*(*n* := *v*))) |  
*set-phis* [] (*v* # *vs*) *m* = *m* |  
*set-phis* (*x* # *ns*) [] *m* = *m*

**definition**

*fun-add* :: ('*a* ⇒ '*b*) ⇒ ('*a* → '*b*) ⇒ ('*a* ⇒ '*b*) (**infixl** ++<sub>*f*</sub> 100) **where**  
*f1* ++<sub>*f*</sub> *f2* = (λ*x*. case *f2* *x* of *None* ⇒ *f1* *x* | *Some* *y* ⇒ *y*)

**definition** *upds* :: ('*a* ⇒ '*b*) ⇒ '*a* list ⇒ '*b* list ⇒ ('*a* ⇒ '*b*) (-/'(- [→] -/' 900)  
**where**

*upds* *m* *ns* *vs* = *m* ++<sub>*f*</sub> (*map-of* (*rev* (*zip* *ns* *vs*)))

**lemma** *fun-add-empty*:

*xs* ++<sub>*f*</sub> (*map-of* []) = *xs*  
⟨*proof*⟩

**lemma** *upds-inc*:

*m*(*a*#*as* [→] *b*#*bs*) = (*m*(*a*:=*b*))(*as*[→]*bs*)  
⟨*proof*⟩

**lemma** *upds-compose*:

*a* ++<sub>*f*</sub> *map-of* (*rev* (*zip* (*n* # *ns*) (*v* # *vs*))) = *a*(*n* := *v*) ++<sub>*f*</sub> *map-of* (*rev* (*zip* *ns* *vs*))  
⟨*proof*⟩

**lemma** *set-phis* *ns* *vs* = (λ*m*. *upds* *m* *ns* *vs*)  
⟨*proof*⟩

**fun** *is-PhiKind* :: *IRGraph* ⇒ *ID* ⇒ *bool* **where**

*is-PhiKind* *g* *nid* = *is-PhiNode* (*kind* *g* *nid*)

**definition** *filter-phis* :: *IRGraph* ⇒ *ID* ⇒ *ID list* **where**

*filter-phis* *g* *merge* = (*filter* (*is-PhiKind* *g*) (*sorted-list-of-set* (*usages* *g* *merge*)))

**definition** *phi-inputs* :: *IRGraph* ⇒ *ID list* ⇒ *nat* ⇒ *ID list* **where**

*phi-inputs* *g* *phis* *i* = (*map* (λ*n*. (*inputs-of* (*kind* *g* *n*))!(*i* + 1)) *phis*)

Intraprocedural semantics are given as a small-step semantics.

Within the context of a graph, the configuration triple, (*ID*, *MethodState*, *Heap*), is related to the subsequent configuration.

**inductive** *step* :: *IRGraph* ⇒ *Params* ⇒ (*ID* × *MapState* × *FieldRefHeap*) ⇒ (*ID* × *MapState* × *FieldRefHeap*) ⇒ *bool*

(-, - ⊢ - → - 55) **for** *g* *p* **where**

*SequentialNode*:

[[*is-sequential-node* (*kind* *g* *nid*);

$$\begin{aligned} \text{nid}' &= (\text{successors-of } (\text{kind } g \text{ nid}))!0 \\ \implies g, p \vdash (\text{nid}, m, h) &\rightarrow (\text{nid}', m, h) \mid \end{aligned}$$

*FixedGuardNode:*

$$\begin{aligned} \llbracket (\text{kind } g \text{ nid}) &= (\text{FixedGuardNode } \text{cond } \text{before } \text{next}); \\ [g, m, p] \vdash \text{cond} &\mapsto \text{val}; \end{aligned}$$

$$\begin{aligned} \neg(\text{val-to-bool } \text{val}) \rrbracket \\ \implies g, p \vdash (\text{nid}, m, h) &\rightarrow (\text{next}, m, h) \mid \end{aligned}$$

*BytecodeExceptionNode:*

$$\begin{aligned} \llbracket (\text{kind } g \text{ nid}) &= (\text{BytecodeExceptionNode } \text{args } \text{st } \text{nid}'); \\ \text{exceptionType} &= \text{stp-type } (\text{stamp } g \text{ nid}); \\ (h', \text{ref}) &= \text{h-new-inst } h \text{ exceptionType}; \\ m' &= m(\text{nid} := \text{ref}) \rrbracket \\ \implies g, p \vdash (\text{nid}, m, h) &\rightarrow (\text{nid}', m', h') \mid \end{aligned}$$

*IfNode:*

$$\begin{aligned} \llbracket \text{kind } g \text{ nid} &= (\text{IfNode } \text{cond } \text{tb } \text{fb}); \\ [g, m, p] \vdash \text{cond} &\mapsto \text{val}; \\ \text{nid}' &= (\text{if } \text{val-to-bool } \text{val} \text{ then } \text{tb} \text{ else } \text{fb}) \rrbracket \\ \implies g, p \vdash (\text{nid}, m, h) &\rightarrow (\text{nid}', m, h) \mid \end{aligned}$$

*EndNodes:*

$$\begin{aligned} \llbracket \text{is-AbstractEndNode} &(\text{kind } g \text{ nid}); \\ \text{merge} &= \text{any-usage } g \text{ nid}; \\ \text{is-AbstractMergeNode} &(\text{kind } g \text{ merge}); \\ \\ \text{indexof } (\text{inputs-of } &(\text{kind } g \text{ merge})) \text{ } i \text{ nid}; \\ \text{phis} &= \text{filter-phis } g \text{ merge}; \\ \text{inps} &= \text{phi-inputs } g \text{ phis } i; \\ [g, m, p] \vdash \text{inps} &[\mapsto] \text{vs}; \\ \\ m' &= (m(\text{phis}[\mapsto]\text{vs})) \rrbracket \\ \implies g, p \vdash (\text{nid}, m, h) &\rightarrow (\text{merge}, m', h) \mid \end{aligned}$$

*NewArrayNode:*

$$\begin{aligned} \llbracket \text{kind } g \text{ nid} &= (\text{NewArrayNode } \text{len } \text{st } \text{nid}'); \\ [g, m, p] \vdash \text{len} &\mapsto \text{length}'; \\ \\ \text{arrayType} &= \text{stp-type } (\text{stamp } g \text{ nid}); \\ (h', \text{ref}) &= \text{h-new-inst } h \text{ arrayType}; \\ \text{ref} &= \text{ObjRef } \text{refNo}; \\ h'' &= \text{h-store-field } \text{refNo} \text{ (intval-new-array } \text{length}' \text{ arrayType) } h'; \\ \\ m' &= m(\text{nid} := \text{ref}) \rrbracket \\ \implies g, p \vdash (\text{nid}, m, h) &\rightarrow (\text{nid}', m', h'') \mid \end{aligned}$$



*ArrayLengthNode:*

$$\begin{aligned} & \llbracket \text{kind } g \text{ nid} = (\text{ArrayLengthNode } x \text{ nid}') \rrbracket; \\ & [g, m, p] \vdash x \mapsto \text{ObjRef } \text{ref}; \\ & \text{h-load-field } \text{''''} \text{ ref } h = \text{arrayVal}; \\ & \text{length}' = \text{array-length } (\text{arrayVal}); \\ & m' = m(\text{nid} := \text{length}') \\ \implies & g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h) \mid \end{aligned}$$

*LoadIndexedNode:*

$$\begin{aligned} & \llbracket \text{kind } g \text{ nid} = (\text{LoadIndexedNode } \text{index guard array nid}') \rrbracket; \\ & [g, m, p] \vdash \text{index} \mapsto \text{indexVal}; \\ & [g, m, p] \vdash \text{array} \mapsto \text{ObjRef } \text{ref}; \\ & \text{h-load-field } \text{''''} \text{ ref } h = \text{arrayVal}; \\ & \text{loaded} = \text{intval-load-index arrayVal indexVal}; \\ & m' = m(\text{nid} := \text{loaded}) \\ \implies & g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h) \mid \end{aligned}$$

*StoreIndexedNode:*

$$\begin{aligned} & \llbracket \text{kind } g \text{ nid} = (\text{StoreIndexedNode } \text{check val st index guard array nid}') \rrbracket; \\ & [g, m, p] \vdash \text{index} \mapsto \text{indexVal}; \\ & [g, m, p] \vdash \text{array} \mapsto \text{ObjRef } \text{ref}; \\ & [g, m, p] \vdash \text{val} \mapsto \text{value}; \\ & \text{h-load-field } \text{''''} \text{ ref } h = \text{arrayVal}; \\ & \text{updated} = \text{intval-store-index arrayVal indexVal value}; \\ & h' = \text{h-store-field } \text{''''} \text{ ref updated } h; \\ & m' = m(\text{nid} := \text{updated}) \\ \implies & g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h') \mid \end{aligned}$$

*NewInstanceNode:*

$$\begin{aligned} & \llbracket \text{kind } g \text{ nid} = (\text{NewInstanceNode } \text{nid cname obj nid}') \rrbracket; \\ & (h', \text{ref}) = \text{h-new-inst } h \text{ cname}; \\ & m' = m(\text{nid} := \text{ref}) \\ \implies & g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h') \mid \end{aligned}$$

*LoadFieldNode:*

$$\begin{aligned} & \llbracket \text{kind } g \text{ nid} = (\text{LoadFieldNode } \text{nid } f (\text{Some obj } \text{nid}') \rrbracket; \\ & [g, m, p] \vdash \text{obj} \mapsto \text{ObjRef } \text{ref}; \\ & m' = m(\text{nid} := \text{h-load-field } f \text{ ref } h) \\ \implies & g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h) \mid \end{aligned}$$

*SignedDivNode:*

$$\begin{aligned} & \llbracket \text{kind } g \text{ nid} = (\text{SignedDivNode } \text{nid } x \text{ y zero sb next}) \rrbracket; \\ & [g, m, p] \vdash x \mapsto v1; \\ & [g, m, p] \vdash y \mapsto v2; \end{aligned}$$

$$m' = m(\text{nid} := \text{intval-div } v1 \ v2) \\ \Rightarrow g, p \vdash (\text{nid}, m, h) \rightarrow (\text{next}, m', h) \mid$$

*SignedRemNode:*

$$\llbracket \text{kind } g \ \text{nid} = (\text{SignedRemNode } \text{nid } x \ y \ \text{zero } \text{sb } \text{next}); \\ [g, m, p] \vdash x \mapsto v1; \\ [g, m, p] \vdash y \mapsto v2; \\ m' = m(\text{nid} := \text{intval-mod } v1 \ v2) \rrbracket \\ \Rightarrow g, p \vdash (\text{nid}, m, h) \rightarrow (\text{next}, m', h) \mid$$

*StaticLoadFieldNode:*

$$\llbracket \text{kind } g \ \text{nid} = (\text{LoadFieldNode } \text{nid } f \ \text{None } \text{nid}'); \\ m' = m(\text{nid} := \text{h-load-field } f \ \text{None } h) \rrbracket \\ \Rightarrow g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h) \mid$$

*StoreFieldNode:*

$$\llbracket \text{kind } g \ \text{nid} = (\text{StoreFieldNode } \text{nid } f \ \text{newval} - (\text{Some } \text{obj}) \ \text{nid}'); \\ [g, m, p] \vdash \text{newval} \mapsto \text{val}; \\ [g, m, p] \vdash \text{obj} \mapsto \text{ObjRef } \text{ref}; \\ h' = \text{h-store-field } f \ \text{ref } \text{val } h; \\ m' = m(\text{nid} := \text{val}) \rrbracket \\ \Rightarrow g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h') \mid$$

*StaticStoreFieldNode:*

$$\llbracket \text{kind } g \ \text{nid} = (\text{StoreFieldNode } \text{nid } f \ \text{newval} - \text{None } \text{nid}'); \\ [g, m, p] \vdash \text{newval} \mapsto \text{val}; \\ h' = \text{h-store-field } f \ \text{None } \text{val } h; \\ m' = m(\text{nid} := \text{val}) \rrbracket \\ \Rightarrow g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h')$$

**code-pred** (*modes:  $i \Rightarrow i \Rightarrow i * i * i \Rightarrow o * o * o \Rightarrow \text{bool}$* ) *step* *(proof)*

### 8.3 Interprocedural Semantics

**type-synonym** *Signature* = *string*

**type-synonym** *Program* = *Signature*  $\rightarrow$  *IRGraph*

**type-synonym** *System* = *Program*  $\times$  *Classes*

**function** *dynamic-lookup* :: *System*  $\Rightarrow$  *string*  $\Rightarrow$  *string*  $\Rightarrow$  *string list*  $\Rightarrow$  *IRGraph*  
*option where*

$$\text{dynamic-lookup } (P, cl) \ \text{cn} \ \text{mn} \ \text{path} = ( \\ \text{if } (\text{cn} = \text{"None"} \vee \text{cn} \notin \text{set } (\text{Class.mapJVMFunc } \text{class-name } cl)) \vee \text{path} = [] \\ \text{then } (P \ \text{mn}) \\ \text{else } ($$

$$\text{let } \text{method-index} = (\text{find-index } (\text{get-simple-signature } \text{mn}) (\text{CLsimple-signatures} \\ \text{cn } cl)) \ \text{in}$$

$$\text{let } \text{parent} = \text{hd } \text{path} \ \text{in}$$

```

      if (method-index = length (CLsimple-signatures cn cl))
        then (dynamic-lookup (P, cl) parent mn (tl path))
        else (P (nth (map method-unique-name (CLget-Methods cn cl))
method-index))
    )
  )

```

*<proof>*

**termination** *dynamic-lookup* *<proof>*

**inductive** *step-top* :: *System*  $\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*  $\times$  *FieldRefHeap*  $\Rightarrow$

(*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*  $\times$

*FieldRefHeap*  $\Rightarrow$  *bool*

(-  $\vdash$  -  $\longrightarrow$  - 55)

**for** *S* **where**

*Lift*:

```

[[g, p  $\vdash$  (nid, m, h)  $\rightarrow$  (nid', m', h')]]
 $\implies$  (S)  $\vdash$  ((g,nid,m,p)#stk, h)  $\longrightarrow$  ((g,nid',m',p)#stk, h') |

```

*InvokeNodeStepStatic*:

```

[[is-Invoke (kind g nid);
  callTarget = ir-callTarget (kind g nid);
  kind g callTarget = (MethodCallTargetNode targetMethod actuals invoke-kind);
   $\neg$ (hasReceiver invoke-kind);
  Some targetGraph = (dynamic-lookup S "None" targetMethod []);
  [g, m, p]  $\vdash$  actuals [map] p']]
 $\implies$  (S)  $\vdash$  ((g,nid,m,p)#stk, h)  $\longrightarrow$  ((targetGraph,0,new-map-state,p')#(g,nid,m,p)#stk,
h) |

```

*InvokeNodeStep*:

```

[[is-Invoke (kind g nid);
  callTarget = ir-callTarget (kind g nid);
  kind g callTarget = (MethodCallTargetNode targetMethod arguments invoke-kind);
  hasReceiver invoke-kind;
  [g, m, p]  $\vdash$  arguments [map] p';
  ObjRef self = hd p';
  ObjStr cname = (h-load-field "class" self h);
  S = (P,cl);
  Some targetGraph = dynamic-lookup S cname targetMethod (class-parents
(CLget-JVMClass cname cl))]]
 $\implies$  (S)  $\vdash$  ((g,nid,m,p)#stk, h)  $\longrightarrow$  ((targetGraph,0,new-map-state,p')#(g,nid,m,p)#stk,
h) |

```

*ReturnNode*:

```

[[kind g nid = (ReturnNode (Some expr) -);

```

$[g, m, p] \vdash \text{expr} \mapsto v;$

$m'_c = m_c(\text{nid}_c := v);$

$\text{nid}'_c = (\text{successors-of } (\text{kind } g_c \text{ nid}_c))!0]$

$\implies (S) \vdash ((g, \text{nid}, m, p) \# (g_c, \text{nid}_c, m_c, p_c) \# \text{stk}, h) \longrightarrow ((g_c, \text{nid}'_c, m'_c, p_c) \# \text{stk}, h)$

|

*ReturnNodeVoid:*

$[[\text{kind } g \text{ nid} = (\text{ReturnNode } \text{None } -);$

$\text{nid}'_c = (\text{successors-of } (\text{kind } g_c \text{ nid}_c))!0]$

$\implies (S) \vdash ((g, \text{nid}, m, p) \# (g_c, \text{nid}_c, m_c, p_c) \# \text{stk}, h) \longrightarrow ((g_c, \text{nid}'_c, m_c, p_c) \# \text{stk}, h) |$

*UnwindNode:*

$[[\text{kind } g \text{ nid} = (\text{UnwindNode } \text{exception});$

$[g, m, p] \vdash \text{exception} \mapsto e;$

$\text{kind } g_c \text{ nid}_c = (\text{InvokeWithExceptionNode } \text{----- } \text{exEdge});$

$m'_c = m_c(\text{nid}_c := e)]$

$\implies (S) \vdash ((g, \text{nid}, m, p) \# (g_c, \text{nid}_c, m_c, p_c) \# \text{stk}, h) \longrightarrow ((g_c, \text{exEdge}, m'_c, p_c) \# \text{stk}, h)$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *step-top*  $\langle \text{proof} \rangle$

## 8.4 Big-step Execution

**type-synonym** *Trace* = (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*

**fun** *has-return* :: *MapState*  $\Rightarrow$  *bool* **where**

*has-return* *m* = (*m* 0  $\neq$  *UndefVal*)

**inductive** *exec* :: *System*

$\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*  $\times$  *FieldRefHeap*

$\Rightarrow$  *Trace*

$\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*  $\times$  *FieldRefHeap*

$\Rightarrow$  *Trace*

$\Rightarrow$  *bool*

(-  $\vdash$  - | -  $\longrightarrow$  \* - | -)

**for** *P* **where**

$[[P \vdash (((g, \text{nid}, m, p) \# xs), h) \longrightarrow (((g', \text{nid}', m', p') \# ys), h');$

$\neg(\text{has-return } m');$

$l' = (l @ [(g, \text{nid}, m, p)]);$

$\text{exec } P (((g', \text{nid}', m', p') \# ys), h') \text{ } l' \text{ next-state } l'']$

$\implies \text{exec } P (((g, \text{nid}, m, p) \# xs), h) \text{ } l \text{ next-state } l''$

$$\begin{array}{l} | \\ \llbracket P \vdash (((g, \text{nid}, m, p) \# xs), h) \longrightarrow (((g', \text{nid}', m', p') \# ys), h'); \\ \text{has-return } m'; \\ \\ l' = (l @ \llbracket (g, \text{nid}, m, p) \rrbracket) \\ \implies \text{exec } P \llbracket (((g, \text{nid}, m, p) \# xs), h) \ l \ \llbracket (((g', \text{nid}', m', p') \# ys), h') \ l' \\ \text{code-pred (modes: } i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool as } \text{Exec) exec } \langle \text{proof} \rangle \end{array}$$

**inductive** *exec-debug* :: *System*

$$\begin{array}{l} \Rightarrow (\text{IRGraph} \times \text{ID} \times \text{MapState} \times \text{Params}) \text{ list} \times \text{FieldRefHeap} \\ \Rightarrow \text{nat} \\ \Rightarrow (\text{IRGraph} \times \text{ID} \times \text{MapState} \times \text{Params}) \text{ list} \times \text{FieldRefHeap} \\ \Rightarrow \text{bool} \end{array}$$

(-+-->\*-\* -)

**where**

$$\begin{array}{l} \llbracket n > 0; \\ p \vdash s \longrightarrow s'; \\ \text{exec-debug } p \ s' \ (n - 1) \ s' \rrbracket \\ \implies \text{exec-debug } p \ s \ n \ s'' \ | \end{array}$$

$\llbracket n = 0 \rrbracket$

$\implies \text{exec-debug } p \ s \ n \ s$

**code-pred** (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *exec-debug*  $\langle \text{proof} \rangle$

### 8.4.1 Heap Testing

**definition** *p3*:: *Params* **where**

*p3* = [*IntVal* 32 3]

**fun** *graphToSystem* :: *IRGraph*  $\Rightarrow$  *System* **where**

*graphToSystem* *graph* = (( $\lambda x$ . *Some graph*), *JVMClasses* [])

**values** {(*prod.fst*(*prod.snd* (*prod.snd* (*hd* (*prod.fst* *res*)))) 0

| *res*. (*graphToSystem* *eg2-sq*)  $\vdash$  ((*eg2-sq*, 0, *new-map-state*, *p3*), (*eg2-sq*, 0, *new-map-state*, *p3*), *new-heap*)  $\rightarrow^* 2^* \text{res}$ }

**definition** *field-sq* :: *string* **where**

*field-sq* = "sq"

**definition** *eg3-sq* :: *IRGraph* **where**

$$\begin{array}{l} \text{eg3-sq} = \text{irgraph} [ \\ \quad (0, \text{StartNode } \text{None } 4, \text{VoidStamp}), \\ \quad (1, \text{ParameterNode } 0, \text{default-stamp}), \\ \quad (3, \text{MulNode } 1 \ 1, \text{default-stamp}), \\ \quad (4, \text{StoreFieldNode } 4 \ \text{field-sq } 3 \ \text{None } \text{None } 5, \text{VoidStamp}), \\ \quad (5, \text{ReturnNode } (\text{Some } 3) \ \text{None}, \text{default-stamp}) \\ ] \end{array}$$

**values** {*h-load-field field-sq None (prod.snd res)*  
 | *res. (graphToSystem eg3-sq) ⊢ ((eg3-sq, 0, new-map-state, p3), (eg3-sq, 0, new-map-state, p3)], new-heap) →\*3\* res*}

**definition** *eg4-sq* :: *IRGraph* **where**

```

eg4-sq = irgraph [
  (0, StartNode None 4, VoidStamp),
  (1, ParameterNode 0, default-stamp),
  (3, MulNode 1 1, default-stamp),
  (4, NewInstanceNode 4 "obj-class" None 5, ObjectStamp "obj-class" True True False),
  (5, StoreFieldNode 5 field-sq 3 None (Some 4) 6, VoidStamp),
  (6, ReturnNode (Some 3) None, default-stamp)
]

```

**values** {*h-load-field field-sq (Some 0) (prod.snd res)*  
 | *res. (graphToSystem (eg4-sq)) ⊢ ((eg4-sq, 0, new-map-state, p3), (eg4-sq, 0, new-map-state, p3)], new-heap) →\*3\* res*}

**end**

## 8.5 Control-flow Semantics Theorems

**theory** *IRStepThms*

**imports**

*IRStepObj*

*TreeToGraphThms*

**begin**

We prove that within the same graph, a configuration triple will always transition to the same subsequent configuration. Therefore, our step semantics is deterministic.

### 8.5.1 Control-flow Step is Deterministic

**theorem** *stepDet'*:

$$\begin{aligned}
 (g, p \vdash \text{state} \rightarrow \text{next}) &\implies \\
 (g, p \vdash \text{state} \rightarrow \text{next}') &\implies \text{next} = \text{next}' \\
 \langle \text{proof} \rangle
 \end{aligned}$$

**theorem** *stepDet*:

$$\begin{aligned}
 (g, p \vdash (nid, m, h) \rightarrow \text{next}) &\implies \\
 (\forall \text{next}'. ((g, p \vdash (nid, m, h) \rightarrow \text{next}') \implies \text{next} = \text{next}')) & \\
 \langle \text{proof} \rangle
 \end{aligned}$$

**lemma** *stepRefNode*:

$\llbracket \text{kind } g \text{ nid} = \text{RefNode } \text{nid}' \rrbracket \implies g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h)$   
*<proof>*

**lemma** *IfNodeStepCases*:

**assumes**  $\text{kind } g \text{ nid} = \text{IfNode } \text{cond } \text{tb } \text{fb}$   
**assumes**  $g \vdash \text{cond} \simeq \text{condE}$   
**assumes**  $[m, p] \vdash \text{condE} \mapsto v$   
**assumes**  $g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h)$   
**shows**  $\text{nid}' \in \{\text{tb}, \text{fb}\}$   
*<proof>*

**lemma** *IfNodeSeq*:

**shows**  $\text{kind } g \text{ nid} = \text{IfNode } \text{cond } \text{tb } \text{fb} \longrightarrow \neg(\text{is-sequential-node } (\text{kind } g \text{ nid}))$   
*<proof>*

**lemma** *IfNodeCond*:

**assumes**  $\text{kind } g \text{ nid} = \text{IfNode } \text{cond } \text{tb } \text{fb}$   
**assumes**  $g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h)$   
**shows**  $\exists \text{ condE } v. ((g \vdash \text{cond} \simeq \text{condE}) \wedge ([m, p] \vdash \text{condE} \mapsto v))$   
*<proof>*

**lemma** *step-in-ids*:

**assumes**  $g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h')$   
**shows**  $\text{nid} \in \text{ids } g$   
*<proof>*

**end**

## 9 Proof Infrastructure

### 9.1 Bisimulation

**theory** *Bisimulation*

**imports**

*Stuttering*

**begin**

**inductive** *weak-bisimilar* ::  $ID \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow \text{bool}$

$(- . - \sim -)$  **for** *nid* **where**

$\llbracket \forall P'. (g \ m \ p \ h \vdash \text{nid} \rightsquigarrow P') \longrightarrow (\exists Q'. (g' \ m \ p \ h \vdash \text{nid} \rightsquigarrow Q') \wedge P' = Q');$   
 $\forall Q'. (g' \ m \ p \ h \vdash \text{nid} \rightsquigarrow Q') \longrightarrow (\exists P'. (g \ m \ p \ h \vdash \text{nid} \rightsquigarrow P') \wedge P' = Q') \rrbracket$   
 $\implies \text{nid} . g \sim g'$

A strong bisimulation between no-op transitions

**inductive** *strong-noop-bisimilar* ::  $ID \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow \text{bool}$

(- | - ~ -) **for** *nid* **where**  
 $\llbracket \forall P'. (g, p \vdash (nid, m, h) \rightarrow P') \longrightarrow (\exists Q'. (g', p \vdash (nid, m, h) \rightarrow Q') \wedge P' = Q') \rrbracket$ ;  
 $\forall Q'. (g', p \vdash (nid, m, h) \rightarrow Q') \longrightarrow (\exists P'. (g, p \vdash (nid, m, h) \rightarrow P') \wedge P' = Q')$   
 $\implies nid \mid g \sim g'$

**lemma** *lockstep-strong-bisimulation*:

**assumes**  $g' = \text{replace-node } nid \text{ node } g$   
**assumes**  $g, p \vdash (nid, m, h) \rightarrow (nid', m, h)$   
**assumes**  $g', p \vdash (nid, m, h) \rightarrow (nid', m, h)$   
**shows**  $nid \mid g \sim g'$   
 $\langle \text{proof} \rangle$

**lemma** *no-step-bisimulation*:

**assumes**  $\forall m p h nid' m' h'. \neg(g, p \vdash (nid, m, h) \rightarrow (nid', m', h'))$   
**assumes**  $\forall m p h nid' m' h'. \neg(g', p \vdash (nid, m, h) \rightarrow (nid', m', h'))$   
**shows**  $nid \mid g \sim g'$   
 $\langle \text{proof} \rangle$

**end**

## 9.2 Graph Rewriting

**theory**

*Rewrites*

**imports**

*Stuttering*

**begin**

**fun** *replace-usages* ::  $ID \Rightarrow ID \Rightarrow IRGraph \Rightarrow IRGraph$  **where**

*replace-usages*  $nid \ nid' \ g = \text{replace-node } nid \ (\text{RefNode } nid', \text{stamp } g \ nid') \ g$

**lemma** *replace-usages-effect*:

**assumes**  $g' = \text{replace-usages } nid \ nid' \ g$   
**shows**  $\text{kind } g' \ nid = \text{RefNode } nid'$   
 $\langle \text{proof} \rangle$

**lemma** *replace-usages-changeonly*:

**assumes**  $nid \in \text{ids } g$   
**assumes**  $g' = \text{replace-usages } nid \ nid' \ g$   
**shows**  $\text{changeonly } \{nid\} \ g \ g'$   
 $\langle \text{proof} \rangle$

**lemma** *replace-usages-unchanged*:

**assumes**  $nid \in \text{ids } g$   
**assumes**  $g' = \text{replace-usages } nid \ nid' \ g$   
**shows**  $\text{unchanged } (\text{ids } g - \{nid\}) \ g \ g'$   
 $\langle \text{proof} \rangle$



**fun** *nextNid* :: *IRGraph*  $\Rightarrow$  *ID* **where**

*nextNid* *g* = (*Max* (*ids* *g*)) + 1

**lemma** *max-plus-one*:

**fixes** *c* :: *ID set*

**shows**  $\llbracket \text{finite } c; c \neq \{\} \rrbracket \implies (\text{Max } c) + 1 \notin c$

*<proof>*

**lemma** *ids-finite*:

*finite* (*ids* *g*)

*<proof>*

**lemma** *nextNidNotIn*:

*ids* *g*  $\neq \{\}$   $\longrightarrow$  *nextNid* *g*  $\notin$  *ids* *g*

*<proof>*

**fun** *bool-to-val-width1* :: *bool*  $\Rightarrow$  *Value* **where**

*bool-to-val-width1* *True* = (*IntVal* 1 1) |

*bool-to-val-width1* *False* = (*IntVal* 1 0)

**fun** *constantCondition* :: *bool*  $\Rightarrow$  *ID*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph* **where**

*constantCondition* *val* *nid* (*IfNode* *cond* *t* *f*) *g* =

(*let* (*g'*, *nid'*) = *Predicate.the* (*unrepE* *g* (*ConstantExpr* (*bool-to-val-width1* *val*))))

*in*

*replace-node* *nid* (*IfNode* *nid'* *t* *f*, *stamp* *g* *nid*) *g'* |

*constantCondition* *cond* *nid* - *g* = *g*

**inductive-cases** *unrepUnaryE*:

*unrep* *g* (*UnaryExpr* *op* *e*) (*g'*, *nid*)

**inductive-cases** *unrepBinaryE*:

*unrep* *g* (*BinaryExpr* *op* *e1* *e2*) (*g'*, *nid*)

**inductive-cases** *unrepConditionalE*:

*unrep* *g* (*ConditionalExpr* *c* *t* *f*) (*g'*, *nid*)

**inductive-cases** *unrepParamE*:

*unrep* *g* (*ParameterExpr* *i* *s*) (*g'*, *nid*)

**inductive-cases** *unrepConstE*:

*unrep* *g* (*ConstantExpr* *c*) (*g'*, *nid*)

**inductive-cases** *unrepLeafE*:

*unrep* *g* (*LeafExpr* *n* *s*) (*g'*, *nid*)

**inductive-cases** *unrepVariableE*:

*unrep* *g* (*VariableExpr* *v* *s*) (*g'*, *nid*)

**inductive-cases** *unrepConstVarE*:

*unrep* *g* (*ConstantVar* *c*) (*g'*, *nid*)

**lemma** *uniqueDet*:

**assumes** *unique* *g* *e* (*g'*<sub>1</sub>, *nid*<sub>1</sub>)

**assumes** *unique* *g* *e* (*g'*<sub>2</sub>, *nid*<sub>2</sub>)

**shows** *g'*<sub>1</sub> = *g'*<sub>2</sub>  $\wedge$  *nid*<sub>1</sub> = *nid*<sub>2</sub>

*<proof>*

**lemma** *unrepDet*:

**assumes** *unrep g e (g'₁, nid₁)*  
**assumes** *unrep g e (g'₂, nid₂)*  
**shows**  $g'_1 = g'_2 \wedge nid_1 = nid_2$   
*<proof>*

**lemma** *unwrapUnrepE*:

**assumes** *unrep g e (g', nid')*  
**shows**  $(g', nid') = \text{Predicate.the } (\text{unrepE } g \ e)$   
*<proof>*

**lemma** *constantCondition-sem*:

**assumes**  $(\text{unrep } g \ (\text{ConstantExpr } (\text{bool-to-val-width1 } \text{val}))) \ (g', \text{nid}')$   
**shows**  $\text{constantCondition } \text{val } \text{nid} \ (\text{IfNode } \text{cond } t \ f) \ g =$   
 $\text{replace-node } \text{nid} \ (\text{IfNode } \text{nid}' \ t \ f, \ \text{stamp } g \ \text{nid}) \ g'$   
*<proof>*

**fun** *wf-insert* :: *IRGraph*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* **where**

*wf-insert g (LeafExpr n s) = is-preevaluated (kind g n) |*  
*wf-insert g (VariableExpr v s) = False |*  
*wf-insert g (ConstantVar v) = False |*  
*wf-insert g - = True*

**lemma** *insertConstUnique*:

$\exists g' \text{nid}'. \text{unique } g \ (\text{ConstantNode } c, s) \ (g', \text{nid}')$   
*<proof>*

**lemma** *insertConst*:

$\exists g' \text{nid}'. \text{unrep } g \ (\text{ConstantExpr } c) \ (g', \text{nid}')$   
*<proof>*

**lemma** *constantConditionTrue*:

**assumes**  $\text{kind } g \ \text{ifcond} = \text{IfNode } \text{cond } t \ f$   
**assumes**  $g' = \text{constantCondition } \text{True } \text{ifcond} \ (\text{kind } g \ \text{ifcond}) \ g$   
**shows**  $g', p \vdash (\text{ifcond}, m, h) \rightarrow (t, m, h)$   
*<proof>*

**lemma** *constantConditionFalse*:

**assumes**  $\text{kind } g \ \text{ifcond} = \text{IfNode } \text{cond } t \ f$   
**assumes**  $g' = \text{constantCondition } \text{False } \text{ifcond} \ (\text{kind } g \ \text{ifcond}) \ g$   
**shows**  $g', p \vdash (\text{ifcond}, m, h) \rightarrow (f, m, h)$   
*<proof>*

**lemma** *diff-forall*:

**assumes**  $\forall n \in \text{ids } g - \{nid\}. \text{cond } n$   
**shows**  $\forall n. n \in \text{ids } g \wedge n \notin \{nid\} \longrightarrow \text{cond } n$   
 $\langle \text{proof} \rangle$

**lemma** *replace-node-changeonly*:  
**assumes**  $g' = \text{replace-node } nid \text{ node } g$   
**shows**  $\text{changeonly } \{nid\} g g'$   
 $\langle \text{proof} \rangle$

**lemma** *add-node-changeonly*:  
**assumes**  $g' = \text{add-node } nid \text{ node } g$   
**shows**  $\text{changeonly } \{nid\} g g'$   
 $\langle \text{proof} \rangle$

**lemma** *constantConditionNoEffect*:  
**assumes**  $\neg(\text{is-IfNode } (kind \ g \ nid))$   
**shows**  $g = \text{constantCondition } b \ nid \ (kind \ g \ nid) \ g$   
 $\langle \text{proof} \rangle$

**lemma** *changeonly-ConstantExpr*:  
**assumes**  $\text{unrep } g \ (\text{ConstantExpr } c) \ (g', \ nid)$   
**shows**  $\text{changeonly } \{\} g g'$   
 $\langle \text{proof} \rangle$

**lemma** *constantCondition-changeonly*:  
**assumes**  $nid \in \text{ids } g$   
**assumes**  $g' = \text{constantCondition } b \ nid \ (kind \ g \ nid) \ g$   
**shows**  $\text{changeonly } \{nid\} g g'$   
 $\langle \text{proof} \rangle$

**lemma** *constantConditionNoIf*:  
**assumes**  $\forall \text{cond } t \ f. \text{kind } g \ \text{ifcond} \neq \text{IfNode } \text{cond } t \ f$   
**assumes**  $g' = \text{constantCondition } \text{val } \text{ifcond} \ (kind \ g \ \text{ifcond}) \ g$   
**shows**  $\exists \text{nid}' . (g \ m \ p \ h \vdash \text{ifcond} \rightsquigarrow \text{nid}') \longleftrightarrow (g' \ m \ p \ h \vdash \text{ifcond} \rightsquigarrow \text{nid}')$   
 $\langle \text{proof} \rangle$

**lemma** *constantConditionValid*:  
**assumes**  $\text{kind } g \ \text{ifcond} = \text{IfNode } \text{cond } t \ f$   
**assumes**  $[g, m, p] \vdash \text{cond} \mapsto v$   
**assumes**  $\text{const} = \text{val-to-bool } v$   
**assumes**  $g' = \text{constantCondition } \text{const } \text{ifcond} \ (kind \ g \ \text{ifcond}) \ g$   
**shows**  $\exists \text{nid}' . (g \ m \ p \ h \vdash \text{ifcond} \rightsquigarrow \text{nid}') \longleftrightarrow (g' \ m \ p \ h \vdash \text{ifcond} \rightsquigarrow \text{nid}')$   
 $\langle \text{proof} \rangle$

**end**

### 9.3 Stuttering

**theory** *Stuttering*

**imports**

*Semantics.IRStepThms*

**begin**

**inductive** *stutter*:: *IRGraph*  $\Rightarrow$  *MapState*  $\Rightarrow$  *Params*  $\Rightarrow$  *FieldRefHeap*  $\Rightarrow$  *ID*  $\Rightarrow$   
*ID*  $\Rightarrow$  *bool* (- - - -  $\vdash$  -  $\rightsquigarrow$  - 55)

**for** *g m p h* **where**

*StutterStep*:

$\llbracket g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \rrbracket$   
 $\implies g \ m \ p \ h \vdash nid \rightsquigarrow nid' \mid$

*Transitive*:

$\llbracket g, p \vdash (nid, m, h) \rightarrow (nid'', m, h);$   
 $g \ m \ p \ h \vdash nid'' \rightsquigarrow nid' \rrbracket$   
 $\implies g \ m \ p \ h \vdash nid \rightsquigarrow nid'$

**lemma** *stuttering-successor*:

**assumes**  $(g, p \vdash (nid, m, h) \rightarrow (nid', m, h))$

**shows**  $\{P'. (g \ m \ p \ h \vdash nid \rightsquigarrow P')\} = \{nid'\} \cup \{nid''. (g \ m \ p \ h \vdash nid' \rightsquigarrow nid'')\}$   
 $\langle proof \rangle$

**end**

### 9.4 Evaluation Stamp Theorems

**theory** *StampEvalThms*

**imports** *Graph.ValueThms*

*Semantics.IRTreeEvalThms*

**begin**

**lemma**

**assumes** *take-bit*  $b \ v = v$

**shows** *signed-take-bit*  $b \ v = v$

$\langle proof \rangle$

**lemma** *unwrap-signed-take-bit*:

**fixes**  $v :: int64$

**assumes**  $0 < b \wedge b \leq 64$

**assumes** *signed-take-bit*  $(b - 1) \ v = v$

**shows** *signed-take-bit* 63  $(Word.rep (signed-take-bit (b - Suc 0) v)) = sint \ v$

$\langle proof \rangle$

**lemma** *unrestricted-new-int-always-valid* [*simp*]:

**assumes**  $0 < b \wedge b \leq 64$

**shows** *valid-value*  $(new-int \ b \ v) (unrestricted-stamp (IntegerStamp \ b \ lo \ hi))$

$\langle proof \rangle$

**lemma** *unary-undef*:  $val = \text{UndefVal} \implies \text{unary-eval } op \text{ } val = \text{UndefVal}$   
 ⟨proof⟩

**lemma** *unary-obj*:  
 $val = \text{ObjRef } x \implies (\text{if } (op = \text{UnaryIsNull}) \text{ then}$   
    $\text{unary-eval } op \text{ } val \neq \text{UndefVal} \text{ else}$   
    $\text{unary-eval } op \text{ } val = \text{UndefVal})$   
 ⟨proof⟩

**lemma** *unrestricted-stamp-valid*:  
**assumes**  $s = \text{unrestricted-stamp } (\text{IntegerStamp } b \text{ } lo \text{ } hi)$   
**assumes**  $0 < b \wedge b \leq 64$   
**shows** *valid-stamp*  $s$   
 ⟨proof⟩

**lemma** *unrestricted-stamp-valid-value* [simp]:  
**assumes**  $1: \text{result} = \text{IntVal } b \text{ } ival$   
**assumes** *take-bit*  $b \text{ } ival = ival$   
**assumes**  $0 < b \wedge b \leq 64$   
**shows** *valid-value*  $\text{result } (\text{unrestricted-stamp } (\text{IntegerStamp } b \text{ } lo \text{ } hi))$   
 ⟨proof⟩

### 9.4.1 Support Lemmas for Integer Stamps and Associated IntVal values

Valid int implies some useful facts.

**lemma** *valid-int-gives*:  
**assumes** *valid-value*  $(\text{IntVal } b \text{ } val) \text{ stamp}$   
**obtains**  $lo \text{ } hi$  **where**  $\text{stamp} = \text{IntegerStamp } b \text{ } lo \text{ } hi \wedge$   
    $\text{valid-stamp } (\text{IntegerStamp } b \text{ } lo \text{ } hi) \wedge$   
    $\text{take-bit } b \text{ } val = val \wedge$   
    $lo \leq \text{int-signed-value } b \text{ } val \wedge \text{int-signed-value } b \text{ } val \leq hi$   
 ⟨proof⟩

And the corresponding lemma where we know the stamp rather than the value.

**lemma** *valid-int-stamp-gives*:  
**assumes** *valid-value*  $val (\text{IntegerStamp } b \text{ } lo \text{ } hi)$   
**obtains**  $ival$  **where**  $val = \text{IntVal } b \text{ } ival \wedge$   
    $\text{valid-stamp } (\text{IntegerStamp } b \text{ } lo \text{ } hi) \wedge$   
    $\text{take-bit } b \text{ } ival = ival \wedge$   
    $lo \leq \text{int-signed-value } b \text{ } ival \wedge \text{int-signed-value } b \text{ } ival \leq hi$   
 ⟨proof⟩

A valid int must have the expected number of bits.

**lemma** *valid-int-same-bits*:  
**assumes** *valid-value*  $(\text{IntVal } b \text{ } val) (\text{IntegerStamp } bits \text{ } lo \text{ } hi)$

**shows**  $b = \text{bits}$   
*<proof>*

A valid value means a valid stamp.

**lemma** *valid-int-valid-stamp*:  
**assumes** *valid-value* (*IntVal b val*) (*IntegerStamp bits lo hi*)  
**shows** *valid-stamp* (*IntegerStamp bits lo hi*)  
*<proof>*

A valid int means a valid non-empty stamp.

**lemma** *valid-int-not-empty*:  
**assumes** *valid-value* (*IntVal b val*) (*IntegerStamp bits lo hi*)  
**shows**  $lo \leq hi$   
*<proof>*

A valid int fits into the given number of bits (and other bits are zero).

**lemma** *valid-int-fits*:  
**assumes** *valid-value* (*IntVal b val*) (*IntegerStamp bits lo hi*)  
**shows** *take-bit bits val = val*  
*<proof>*

**lemma** *valid-int-is-zero-masked*:  
**assumes** *valid-value* (*IntVal b val*) (*IntegerStamp bits lo hi*)  
**shows** *and val (not (mask bits)) = 0*  
*<proof>*

Unsigned ints have bounds 0 up to  $2^{\text{bits}}$ .

**lemma** *valid-int-unsigned-bounds*:  
**assumes** *valid-value* (*IntVal b val*) (*IntegerStamp bits lo hi*)  
  
**shows**  $\text{uint } val < 2^{\text{bits}}$   
*<proof>*

Signed ints have the usual two-complement bounds.

**lemma** *valid-int-signed-upper-bound*:  
**assumes** *valid-value* (*IntVal b val*) (*IntegerStamp bits lo hi*)  
**shows**  $\text{int-signed-value bits } val < 2^{(\text{bits} - 1)}$   
*<proof>*

**lemma** *valid-int-signed-lower-bound*:  
**assumes** *valid-value* (*IntVal b val*) (*IntegerStamp bits lo hi*)  
**shows**  $-(2^{(\text{bits} - 1)}) \leq \text{int-signed-value bits } val$   
*<proof>*

and *bit\_bounds* versions of the above bounds.

**lemma** *valid-int-signed-upper-bit-bound*:  
**assumes** *valid-value* (*IntVal b val*) (*IntegerStamp bits lo hi*)  
**shows**  $\text{int-signed-value bits } val \leq \text{snd } (\text{bit-bounds bits})$

*<proof>*

**lemma** *valid-int-signed-lower-bit-bound:*

**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)

**shows** *fst* (*bit-bounds* *bits*)  $\leq$  *int-signed-value* *bits val*

*<proof>*

Valid values satisfy their stamp bounds.

**lemma** *valid-int-signed-range:*

**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)

**shows** *lo*  $\leq$  *int-signed-value* *bits val*  $\wedge$  *int-signed-value* *bits val*  $\leq$  *hi*

*<proof>*

## 9.4.2 Validity of all Unary Operators

We split the validity proof for unary operators into two lemmas, one for normal unary operators whose output bits equals their input bits, and the other case for the widen and narrow operators.

**lemma** *eval-normal-unary-implies-valid-value:*

**assumes**  $[m,p] \vdash \text{expr} \mapsto \text{val}$

**assumes** *result* = *unary-eval* *op val*

**assumes** *op*: *op*  $\in$  *normal-unary*

**assumes** *notbool*: *op*  $\notin$  *boolean-unary*

**assumes** *notfixed32*: *op*  $\notin$  *unary-fixed-32-ops*

**assumes** *result*  $\neq$  *UndefVal*

**assumes** *valid-value* *val* (*stamp-expr* *expr*)

**shows** *valid-value* *result* (*stamp-expr* (*UnaryExpr* *op expr*))

*<proof>*

**lemma** *narrow-widen-output-bits:*

**assumes** *unary-eval* *op val*  $\neq$  *UndefVal*

**assumes** *op*  $\notin$  *normal-unary*

**assumes** *op*  $\notin$  *boolean-unary*

**assumes** *op*  $\notin$  *unary-fixed-32-ops*

**shows**  $0 < (\text{ir-resultBits } \text{op}) \wedge (\text{ir-resultBits } \text{op}) \leq 64$

*<proof>*

**lemma** *eval-widen-narrow-unary-implies-valid-value:*

**assumes**  $[m,p] \vdash \text{expr} \mapsto \text{val}$

**assumes** *result* = *unary-eval* *op val*

**assumes** *op*: *op*  $\notin$  *normal-unary*

**and** *notbool*: *op*  $\notin$  *boolean-unary*

**and** *notfixed*: *op*  $\notin$  *unary-fixed-32-ops*

**assumes** *result*  $\neq$  *UndefVal*

**assumes** *valid-value* *val* (*stamp-expr* *expr*)

**shows** *valid-value* *result* (*stamp-expr* (*UnaryExpr* *op expr*))

*<proof>*

**lemma** *eval-boolean-unary-implies-valid-value*:  
**assumes**  $[m,p] \vdash \text{expr} \mapsto \text{val}$   
**assumes**  $\text{result} = \text{unary-eval } \text{op } \text{val}$   
**assumes**  $\text{op} \in \text{boolean-unary}$   
**assumes**  $\text{notnorm}: \text{op} \notin \text{normal-unary}$   
**assumes**  $\text{result} \neq \text{UndefVal}$   
**assumes**  $\text{valid-value } \text{val} \text{ (stamp-expr expr)}$   
**shows**  $\text{valid-value } \text{result} \text{ (stamp-expr (UnaryExpr op expr))}$   
 $\langle \text{proof} \rangle$

**lemma** *eval-fixed-unary-32-implies-valid-value*:  
**assumes**  $[m,p] \vdash \text{expr} \mapsto \text{val}$   
**assumes**  $\text{result} = \text{unary-eval } \text{op } \text{val}$   
**assumes**  $\text{op} \in \text{unary-fixed-32-ops}$   
**assumes**  $\text{notnorm}: \text{op} \notin \text{normal-unary}$   
**assumes**  $\text{notbool}: \text{op} \notin \text{boolean-unary}$   
**assumes**  $\text{result} \neq \text{UndefVal}$   
**assumes**  $\text{valid-value } \text{val} \text{ (stamp-expr expr)}$   
**shows**  $\text{valid-value } \text{result} \text{ (stamp-expr (UnaryExpr op expr))}$   
 $\langle \text{proof} \rangle$

**lemma** *eval-unary-implies-valid-value*:  
**assumes**  $[m,p] \vdash \text{expr} \mapsto \text{val}$   
**assumes**  $\text{result} = \text{unary-eval } \text{op } \text{val}$   
**assumes**  $\text{result} \neq \text{UndefVal}$   
**assumes**  $\text{valid-value } \text{val} \text{ (stamp-expr expr)}$   
**shows**  $\text{valid-value } \text{result} \text{ (stamp-expr (UnaryExpr op expr))}$   
 $\langle \text{proof} \rangle$

### 9.4.3 Support Lemmas for Binary Operators

**lemma** *binary-undef*:  $v1 = \text{UndefVal} \vee v2 = \text{UndefVal} \implies \text{bin-eval } \text{op } v1 \ v2 = \text{UndefVal}$   
 $\langle \text{proof} \rangle$

**lemma** *binary-obj*:  $v1 = \text{ObjRef } x \vee v2 = \text{ObjRef } y \implies \text{bin-eval } \text{op } v1 \ v2 = \text{UndefVal}$   
 $\langle \text{proof} \rangle$

Some lemmas about the three different output sizes for binary operators.

**lemma** *bin-eval-bits-binary-shift-ops*:  
**assumes**  $\text{result} = \text{bin-eval } \text{op} \text{ (IntVal } b1 \ v1) \text{ (IntVal } b2 \ v2)$   
**assumes**  $\text{result} \neq \text{UndefVal}$   
**assumes**  $\text{op} \in \text{binary-shift-ops}$   
**shows**  $\exists v. \text{result} = \text{new-int } b1 \ v$   
 $\langle \text{proof} \rangle$

**lemma** *bin-eval-bits-fixed-32-ops*:  
**assumes**  $\text{result} = \text{bin-eval } \text{op} \text{ (IntVal } b1 \ v1) \text{ (IntVal } b2 \ v2)$



**assumes**  $result \neq \text{UndefVal}$   
**assumes**  $op \in \text{binary-fixed-32-ops}$   
**shows**  $\exists v. result = \text{new-int } 32 \ v$   
 $\langle \text{proof} \rangle$

**lemma** *bin-eval-bits-normal-ops*:  
**assumes**  $result = \text{bin-eval } op \ (IntVal \ b1 \ v1) \ (IntVal \ b2 \ v2)$   
**assumes**  $result \neq \text{UndefVal}$   
**assumes**  $op \notin \text{binary-shift-ops}$   
**assumes**  $op \notin \text{binary-fixed-32-ops}$   
**shows**  $\exists v. result = \text{new-int } b1 \ v$   
 $\langle \text{proof} \rangle$

**lemma** *bin-eval-input-bits-equal*:  
**assumes**  $result = \text{bin-eval } op \ (IntVal \ b1 \ v1) \ (IntVal \ b2 \ v2)$   
**assumes**  $result \neq \text{UndefVal}$   
**assumes**  $op \notin \text{binary-shift-ops}$   
**shows**  $b1 = b2$   
 $\langle \text{proof} \rangle$

**lemma** *bin-eval-implies-valid-value*:  
**assumes**  $[m,p] \vdash expr1 \mapsto val1$   
**assumes**  $[m,p] \vdash expr2 \mapsto val2$   
**assumes**  $result = \text{bin-eval } op \ val1 \ val2$   
**assumes**  $result \neq \text{UndefVal}$   
**assumes** *valid-value*  $val1 \ (\text{stamp-expr } expr1)$   
**assumes** *valid-value*  $val2 \ (\text{stamp-expr } expr2)$   
**shows** *valid-value*  $result \ (\text{stamp-expr } (\text{BinaryExpr } op \ expr1 \ expr2))$   
 $\langle \text{proof} \rangle$

#### 9.4.4 Validity of Stamp Meet and Join Operators

**lemma** *stamp-meet-integer-is-valid-stamp*:  
**assumes** *valid-stamp*  $stamp1$   
**assumes** *valid-stamp*  $stamp2$   
**assumes** *is-IntegerStamp*  $stamp1$   
**assumes** *is-IntegerStamp*  $stamp2$   
**shows** *valid-stamp*  $(\text{meet } stamp1 \ stamp2)$   
 $\langle \text{proof} \rangle$

**lemma** *stamp-meet-is-valid-stamp*:  
**assumes** *1: valid-stamp*  $stamp1$   
**assumes** *2: valid-stamp*  $stamp2$   
**shows** *valid-stamp*  $(\text{meet } stamp1 \ stamp2)$   
 $\langle \text{proof} \rangle$

**lemma** *stamp-meet-commutes*:  $\text{meet } stamp1 \ stamp2 = \text{meet } stamp2 \ stamp1$   
 $\langle \text{proof} \rangle$

**lemma** *stamp-meet-is-valid-value1*:  
**assumes** *valid-value val stamp1*  
**assumes** *valid-stamp stamp2*  
**assumes** *stamp1 = IntegerStamp b1 lo1 hi1*  
**assumes** *stamp2 = IntegerStamp b2 lo2 hi2*  
**assumes** *meet stamp1 stamp2 ≠ IllegalStamp*  
**shows** *valid-value val (meet stamp1 stamp2)*  
 $\langle$ *proof* $\rangle$

and the symmetric lemma follows by the commutativity of meet.

**lemma** *stamp-meet-is-valid-value*:  
**assumes** *valid-value val stamp2*  
**assumes** *valid-stamp stamp1*  
**assumes** *stamp1 = IntegerStamp b1 lo1 hi1*  
**assumes** *stamp2 = IntegerStamp b2 lo2 hi2*  
**assumes** *meet stamp1 stamp2 ≠ IllegalStamp*  
**shows** *valid-value val (meet stamp1 stamp2)*  
 $\langle$ *proof* $\rangle$

#### 9.4.5 Validity of conditional expressions

**lemma** *conditional-eval-implies-valid-value*:  
**assumes**  $[m, p] \vdash \text{cond} \mapsto \text{condv}$   
**assumes**  $\text{expr} = (\text{if } \text{val-to-bool } \text{condv} \text{ then } \text{expr1} \text{ else } \text{expr2})$   
**assumes**  $[m, p] \vdash \text{expr} \mapsto \text{val}$   
**assumes**  $\text{val} \neq \text{UndefVal}$   
**assumes** *valid-value condv (stamp-expr cond)*  
**assumes** *valid-value val (stamp-expr expr)*  
**assumes** *compatible (stamp-expr expr1) (stamp-expr expr2)*  
**shows** *valid-value val (stamp-expr (ConditionalExpr cond expr1 expr2))*  
 $\langle$ *proof* $\rangle$

#### 9.4.6 Validity of Whole Expression Tree Evaluation

TODO: find a way to encode that conditional expressions must have compatible (and valid) stamps? One approach would be for all the stamp\_expr operators to require that all input stamps are valid.

**definition** *wf-stamp* :: *IRExpr*  $\Rightarrow$  *bool* **where**  
*wf-stamp e* =  $(\forall m p v. ([m, p] \vdash e \mapsto v) \longrightarrow \text{valid-value } v \text{ (stamp-expr e)})$

**lemma** *stamp-under-defn*:  
**assumes** *stamp-under (stamp-expr x) (stamp-expr y)*  
**assumes** *wf-stamp x*  $\wedge$  *wf-stamp y*  
**assumes**  $([m, p] \vdash x \mapsto xv) \wedge ([m, p] \vdash y \mapsto yv)$   
**shows**  $\text{val-to-bool (bin-eval BinIntegerLessThan } xv \text{ } yv) \vee$   
 $(\text{bin-eval BinIntegerLessThan } xv \text{ } yv) = \text{UndefVal}$   
 $\langle$ *proof* $\rangle$

```

lemma stamp-under-defn-inverse:
  assumes stamp-under (stamp-expr y) (stamp-expr x)
  assumes wf-stamp x  $\wedge$  wf-stamp y
  assumes ( $[m, p] \vdash x \mapsto xv$ )  $\wedge$  ( $[m, p] \vdash y \mapsto yv$ )
  shows  $\neg(\text{val-to-bool } (\text{bin-eval BinIntegerLessThan } xv\ yv)) \vee (\text{bin-eval BinIntegerLessThan } xv\ yv) = \text{UndefVal}$ 
   $\langle \text{proof} \rangle$ 

end

```

## 10 Optimization DSL

### 10.1 Markup

```

theory Markup
  imports Semantics.IRTreeEval Snippets.Snipping
begin

```

```

datatype 'a Rewrite =
  Transform 'a 'a (-  $\mapsto$  - 10) |
  Conditional 'a 'a bool (-  $\mapsto$  - when - 11) |
  Sequential 'a Rewrite 'a Rewrite |
  Transitive 'a Rewrite

```

```

datatype 'a ExtraNotation =
  ConditionalNotation 'a 'a 'a (- ? - : - 50) |
  EqualsNotation 'a 'a (- eq -) |
  ConstantNotation 'a (const - 120) |
  TrueNotation (true) |
  FalseNotation (false) |
  ExclusiveOr 'a 'a (-  $\oplus$  -) |
  LogicNegationNotation 'a (!-) |
  ShortCircuitOr 'a 'a (- || -) |
  Remainder 'a 'a (- % -)

```

```

definition word :: ('a::len) word  $\Rightarrow$  'a word where
  word x = x

```

```

ML-val @{term <x % x>}
ML-file <markup.ML>

```

#### 10.1.1 Expression Markup

```

ML <
  structure IRExprTranslator : DSL-TRANSLATION =
  struct
    fun markup DSL-Tokens.Add = @{term BinaryExpr} $ @{term BinAdd}
      | markup DSL-Tokens.Sub = @{term BinaryExpr} $ @{term BinSub}
      | markup DSL-Tokens.Mul = @{term BinaryExpr} $ @{term BinMul}
  end

```

```

| markup DSL-Tokens.Div = @{term BinaryExpr} $ @{term BinDiv}
| markup DSL-Tokens.Rem = @{term BinaryExpr} $ @{term BinMod}
| markup DSL-Tokens.And = @{term BinaryExpr} $ @{term BinAnd}
| markup DSL-Tokens.Or = @{term BinaryExpr} $ @{term BinOr}
| markup DSL-Tokens.Xor = @{term BinaryExpr} $ @{term BinXor}
| markup DSL-Tokens.ShortCircuitOr = @{term BinaryExpr} $ @{term Bin-
ShortCircuitOr}
| markup DSL-Tokens.Abs = @{term UnaryExpr} $ @{term UnaryAbs}
| markup DSL-Tokens.Less = @{term BinaryExpr} $ @{term BinIntegerLessThan}
| markup DSL-Tokens.Equals = @{term BinaryExpr} $ @{term BinIntegerEquals}
| markup DSL-Tokens.Not = @{term UnaryExpr} $ @{term UnaryNot}
| markup DSL-Tokens.Negate = @{term UnaryExpr} $ @{term UnaryNeg}
| markup DSL-Tokens.LogicNegate = @{term UnaryExpr} $ @{term UnaryLog-
icNegation}
| markup DSL-Tokens.LeftShift = @{term BinaryExpr} $ @{term BinLeftShift}
| markup DSL-Tokens.RightShift = @{term BinaryExpr} $ @{term BinRightShift}
| markup DSL-Tokens.UnsignedRightShift = @{term BinaryExpr} $ @{term Bin-
URightShift}
| markup DSL-Tokens.Conditional = @{term ConditionalExpr}
| markup DSL-Tokens.Constant = @{term ConstantExpr}
| markup DSL-Tokens.TrueConstant = @{term ConstantExpr (IntVal 32 1)}
| markup DSL-Tokens.FalseConstant = @{term ConstantExpr (IntVal 32 0)}
end
structure IRExprMarkup = DSL-Markup(IRExprTranslator);
>

```

*ir expression translation*

```

syntax -expandExpr :: term ⇒ term (exp[-])
parse-translation < [( @{syntax-const -expandExpr} , IREx-
prMarkup.markup-expr []) ] >

```

*ir expression example*

```

value exp[(e1 < e2) ? e1 : e2]

ConditionalExpr (BinaryExpr BinIntegerLessThan (e1::IRExpr)
(e2::IRExpr)) e1 e2

```

### 10.1.2 Value Markup

```

ML <
structure IntValTranslator : DSL-TRANSLATION =
struct
fun markup DSL-Tokens.Add = @{term intval-add}
| markup DSL-Tokens.Sub = @{term intval-sub}
| markup DSL-Tokens.Mul = @{term intval-mul}
| markup DSL-Tokens.Div = @{term intval-div}

```

```

| markup DSL-Tokens.Rem = @{term intval-mod}
| markup DSL-Tokens.And = @{term intval-and}
| markup DSL-Tokens.Or = @{term intval-or}
| markup DSL-Tokens.ShortCircuitOr = @{term intval-short-circuit-or}
| markup DSL-Tokens.Xor = @{term intval-xor}
| markup DSL-Tokens.Abs = @{term intval-abs}
| markup DSL-Tokens.Less = @{term intval-less-than}
| markup DSL-Tokens.Equals = @{term intval-equals}
| markup DSL-Tokens.Not = @{term intval-not}
| markup DSL-Tokens.Negate = @{term intval-negate}
| markup DSL-Tokens.LogicNegate = @{term intval-logic-negation}
| markup DSL-Tokens.LeftShift = @{term intval-left-shift}
| markup DSL-Tokens.RightShift = @{term intval-right-shift}
| markup DSL-Tokens.UnsignedRightShift = @{term intval-uright-shift}
| markup DSL-Tokens.Conditional = @{term intval-conditional}
| markup DSL-Tokens.Constant = @{term IntVal 32}
| markup DSL-Tokens.TrueConstant = @{term IntVal 32 1}
| markup DSL-Tokens.FalseConstant = @{term IntVal 32 0}
end
structure IntValMarkup = DSL-Markup(IntValTranslator);
>

```

*value expression translation*

```

syntax -expandIntVal :: term ⇒ term (val[-])
parse-translation < [( @{syntax-const -expandIntVal} , IntVal-
Markup.markup-expr []) ] >

```

*value expression example*

```

value val[(e1 < e2) ? e1 : e2]

intval-conditional (intval-less-than (e1::Value) (e2::Value)) e1 e2

```

### 10.1.3 Word Markup

**ML** <

```

structure WordTranslator : DSL-TRANSLATION =
struct
fun markup DSL-Tokens.Add = @{term plus}
| markup DSL-Tokens.Sub = @{term minus}
| markup DSL-Tokens.Mul = @{term times}
| markup DSL-Tokens.Div = @{term signed-divide}
| markup DSL-Tokens.Rem = @{term signed-modulo}
| markup DSL-Tokens.And = @{term Bit-Operations.semiring-bit-operations-class.and}
| markup DSL-Tokens.Or = @{term or}
| markup DSL-Tokens.Xor = @{term xor}
| markup DSL-Tokens.Abs = @{term abs}
| markup DSL-Tokens.Less = @{term less}

```

```

| markup DSL-Tokens.Equals = @{term HOL.eq}
| markup DSL-Tokens.Not = @{term not}
| markup DSL-Tokens.Negate = @{term uminus}
| markup DSL-Tokens.LogicNegate = @{term logic-negate}
| markup DSL-Tokens.LeftShift = @{term shiftl}
| markup DSL-Tokens.RightShift = @{term signed-shiftr}
| markup DSL-Tokens.UnsignedRightShift = @{term shiftr}
| markup DSL-Tokens.Constant = @{term word}
| markup DSL-Tokens.TrueConstant = @{term 1}
| markup DSL-Tokens.FalseConstant = @{term 0}
end
structure WordMarkup = DSL-Markup(WordTranslator);
>

```

*word expression translation*

```

syntax -expandWord :: term ⇒ term (bin[-])
parse-translation < [( @{syntax-const -expandWord} , Word-
Markup.markup-expr []) ] >

```

*word expression example*

```

value bin[x & y | z]

intval-conditional (intval-less-than (e1::Value) (e2::Value)) e1 e2

```

```

value bin[-x]
value val[-x]
value exp[-x]

```

```

value bin[!x]
value val[!x]
value exp[!x]

```

```

value bin[¬x]
value val[¬x]
value exp[¬x]

```

```

value bin[~x]
value val[~x]
value exp[~x]

```

```

value ~x

```

```

end

```

## 10.2 Optimization Phases

```

theory Phase
imports Main

```

```

begin

ML-file map.ML
ML-file phase.ML

end

```

### 10.3 Canonicalization DSL

```

theory Canonicalization
  imports
    Markup
    Phase
    HOL-Eisbach.Eisbach
  keywords
    phase :: thy-decl and
    terminating :: quasi-command and
    print-phases :: diag and
    export-phases :: thy-decl and
    optimization :: thy-goal-defn
begin

print-methods

ML <
datatype 'a Rewrite =
  Transform of 'a * 'a |
  Conditional of 'a * 'a * term |
  Sequential of 'a Rewrite * 'a Rewrite |
  Transitive of 'a Rewrite

type rewrite = {
  name: binding,
  rewrite: term Rewrite,
  proofs: thm list,
  code: thm list,
  source: term
}

structure RewriteRule : Rule =
struct
type T = rewrite;

(*
fun pretty-rewrite ctxt (Transform (from, to)) =
  Pretty.block [
    Syntax.pretty-term ctxt from,
    Pretty.str "\u2192",
    Syntax.pretty-term ctxt to

```

```

]
| pretty-rewrite ctxt (Conditional (from, to, cond)) =
  Pretty.block [
    Syntax.pretty-term ctxt from,
    Pretty.str ↦ ,
    Syntax.pretty-term ctxt to,
    Pretty.str when ,
    Syntax.pretty-term ctxt cond
  ]
| pretty-rewrite - - = Pretty.str not implemented*)

fun pretty-thm ctxt thm =
  (Proof-Context.pretty-fact ctxt (, [thm]))

fun pretty ctxt obligations t =
  let
    val is-skipped = Thm-Deps.has-skip-proof (#proofs t);

    val warning = (if is-skipped
                    then [Pretty.str (proof skipped), Pretty.brk 0]
                    else []);

    val obligations = (if obligations
                       then [Pretty.big-list
                            obligations:
                            (map (pretty-thm ctxt) (#proofs t)),
                            Pretty.brk 0]
                       else []);

    fun pretty-bind binding =
      Pretty.markup
        (Position.markup (Binding.pos-of binding) Markup.position)
        [Pretty.str (Binding.name-of binding)];

    in
      Pretty.block ([
        pretty-bind (#name t), Pretty.str : ,
        Syntax.pretty-term ctxt (#source t), Pretty.fbrk
      ] @ obligations @ warning)
    end
  end

structure RewritePhase = DSL-Phase(RewriteRule);

val - =
  Outer-Syntax.command command-keyword <phase> enter an optimization phase
  (Parse.binding --| Parse.*** terminating -- Parse.const --| Parse.begin
   >> (Toplevel.begin-main-target true o RewritePhase.setup));

```



```

fun print-phases print-obligations ctxt =
  let
    val thy = Proof-Context.theory-of ctxt;
    fun print phase = RewritePhase.pretty print-obligations phase ctxt
  in
    map print (RewritePhase.phases thy)
  end

fun print-optimizations print-obligations thy =
  print-phases print-obligations thy |> Pretty.writeln-chunks

val - =
  Outer-Syntax.command command-keyword ⟨print-phases⟩
  print debug information for optimizations
  (Parse.opt-bang >>
   (fn b => Toplevel.keep ((print-optimizations b) o Toplevel.context-of)));

fun export-phases thy name =
  let
    val state = Toplevel.make-state (SOME thy);
    val ctxt = Toplevel.context-of state;
    val content = Pretty.string-of (Pretty.chunks (print-phases false ctxt));
    val cleaned = YXML.content-of content;

    val filename = Path.explode (name ^ ".rules");
    val directory = Path.explode optimizations;
    val path = Path.binding (
      Path.append directory filename,
      Position.none);
    val thy' = thy |> Generated-Files.add-files (path, (Bytes.string content));

    val - = Export.export thy' path [YXML.parse cleaned];

    val - = writeln (Export.message thy' (Path.basic optimizations));
  in
    thy'
  end

val - =
  Outer-Syntax.command command-keyword ⟨export-phases⟩
  export information about encoded optimizations
  (Parse.path >>
   (fn name => Toplevel.theory (fn state => export-phases state name)))
>

```

**ML-file** *rewrites.ML*

### 10.3.1 Semantic Preservation Obligation

**fun** *rewrite-preservation* :: *IRExpr Rewrite*  $\Rightarrow$  *bool* **where**  
  *rewrite-preservation* (*Transform* *x y*) = (*y*  $\leq$  *x*) |  
  *rewrite-preservation* (*Conditional* *x y cond*) = (*cond*  $\longrightarrow$  (*y*  $\leq$  *x*)) |  
  *rewrite-preservation* (*Sequential* *x y*) = (*rewrite-preservation* *x*  $\wedge$  *rewrite-preservation* *y*) |  
  *rewrite-preservation* (*Transitive* *x*) = *rewrite-preservation* *x*

### 10.3.2 Termination Obligation

**fun** *rewrite-termination* :: *IRExpr Rewrite*  $\Rightarrow$  (*IRExpr*  $\Rightarrow$  *nat*)  $\Rightarrow$  *bool* **where**  
  *rewrite-termination* (*Transform* *x y*) *trm* = (*trm* *x*  $>$  *trm* *y*) |  
  *rewrite-termination* (*Conditional* *x y cond*) *trm* = (*cond*  $\longrightarrow$  (*trm* *x*  $>$  *trm* *y*)) |  
  *rewrite-termination* (*Sequential* *x y*) *trm* = (*rewrite-termination* *x* *trm*  $\wedge$  *rewrite-termination* *y* *trm*) |  
  *rewrite-termination* (*Transitive* *x*) *trm* = *rewrite-termination* *x* *trm*

**fun** *intval* :: *Value Rewrite*  $\Rightarrow$  *bool* **where**  
  *intval* (*Transform* *x y*) = (*x*  $\neq$  *UndefVal*  $\wedge$  *y*  $\neq$  *UndefVal*  $\longrightarrow$  *x* = *y*) |  
  *intval* (*Conditional* *x y cond*) = (*cond*  $\longrightarrow$  (*x* = *y*)) |  
  *intval* (*Sequential* *x y*) = (*intval* *x*  $\wedge$  *intval* *y*) |  
  *intval* (*Transitive* *x*) = *intval* *x*

### 10.3.3 Standard Termination Measure

**fun** *size* :: *IRExpr*  $\Rightarrow$  *nat* **where**  
  *unary-size*:  
  *size* (*UnaryExpr* *op x*) = (*size* *x*) + 2 |  
  
  *bin-const-size*:  
  *size* (*BinaryExpr* *op x* (*ConstantExpr* *cy*)) = (*size* *x*) + 2 |  
  *bin-size*:  
  *size* (*BinaryExpr* *op x y*) = (*size* *x*) + (*size* *y*) + 2 |  
  *cond-size*:  
  *size* (*ConditionalExpr* *c t f*) = (*size* *c*) + (*size* *t*) + (*size* *f*) + 2 |  
  *const-size*:  
  *size* (*ConstantExpr* *c*) = 1 |  
  *param-size*:  
  *size* (*ParameterExpr* *ind s*) = 2 |  
  *leaf-size*:  
  *size* (*LeafExpr* *nid s*) = 2 |  
  *size* (*ConstantVar* *c*) = 2 |  
  *size* (*VariableExpr* *x s*) = 2

### 10.3.4 Automated Tactics

**named-theorems** *size-simps* *size simplification rules*

**method** *unfold-optimization* =

```

(unfold rewrite-preservation.simps, unfold rewrite-termination.simps,
 unfold intval.simps,
 rule conjE, simp, simp del: le-expr-def, force?)
| (unfold rewrite-preservation.simps, unfold rewrite-termination.simps,
 rule conjE, simp, simp del: le-expr-def, force?)

```

```

method unfold-size =
  (((unfold size.simps, simp add: size-simps del: le-expr-def)?
   ; (simp add: size-simps del: le-expr-def)?
   ; (auto simp: size-simps)?
   ; (unfold size.simps)?[1])

```

### print-methods

```

ML <
  structure System : RewriteSystem =
  struct
    val preservation = @{const rewrite-preservation};
    val termination = @{const rewrite-termination};
    val intval = @{const intval};
  end

  structure DSL = DSL-Rewrites(System);

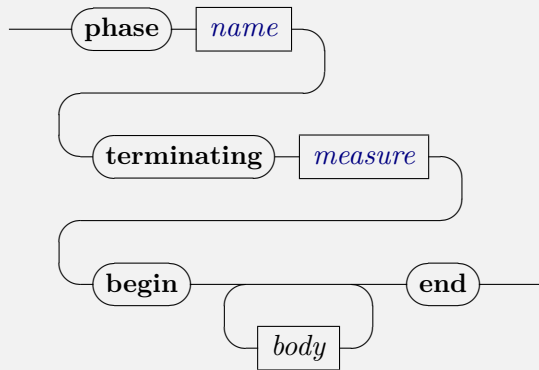
  val - =
    Outer-Syntax.local-theory-to-proof command-keyword <optimization>
    define an optimization and open proof obligation
    (Parse-Spec.thm-name : -- Parse.term
     >> DSL.rewrite-cmd);
  >

```

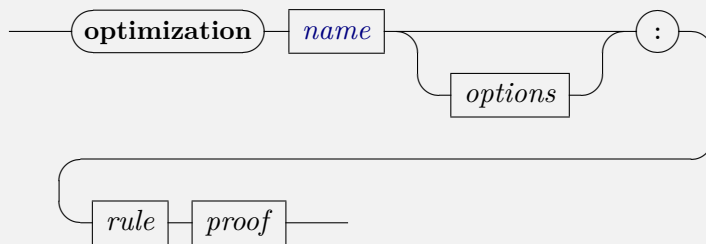
**ML-file** ~/~/src/Doc/antiquote-setup.ML

PhaseRail

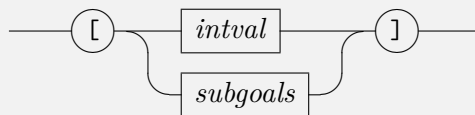
*phase*



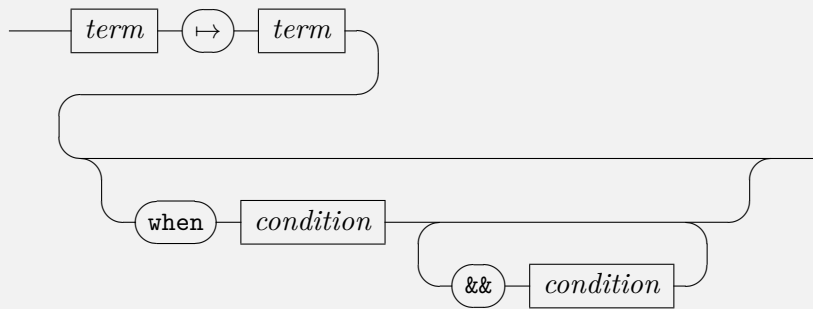
*optimization*



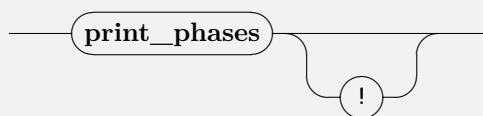
*options*



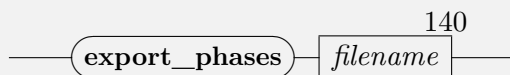
*rule*



*print-phases*



*export-phases*



*gencode*



**phase** *name* *terminating* *measure* opens a new optimization phase

**print-syntax**

**end**

## 11 Canonicalization Optimizations

**theory** *Common*

**imports**

*Optimization.DSL.Canonicalization*

*Semantics.IRTreeEvalThms*

**begin**

**lemma** *size-pos*[*size-simps*]:  $0 < \text{size } y$   
*<proof>*

**lemma** *size-non-add*[*size-simps*]:  $\text{size } (\text{BinaryExpr } \text{op } a \ b) = \text{size } a + \text{size } b + 2$   
 $\longleftrightarrow \neg(\text{is-ConstantExpr } b)$   
*<proof>*

**lemma** *size-non-const*[*size-simps*]:  
 $\neg \text{is-ConstantExpr } y \implies 1 < \text{size } y$   
*<proof>*

**lemma** *size-binary-const*[*size-simps*]:  
 $\text{size } (\text{BinaryExpr } \text{op } a \ b) = \text{size } a + 2 \longleftrightarrow (\text{is-ConstantExpr } b)$   
*<proof>*

**lemma** *size-flip-binary*[*size-simps*]:  
 $\neg(\text{is-ConstantExpr } y) \longrightarrow \text{size } (\text{BinaryExpr } \text{op } (\text{ConstantExpr } x) \ y) > \text{size } (\text{BinaryExpr } \text{op } y \ (\text{ConstantExpr } x))$   
*<proof>*

**lemma** *size-binary-lhs-a*[*size-simps*]:  
 $\text{size } (\text{BinaryExpr } \text{op } (\text{BinaryExpr } \text{op}' \ a \ b) \ c) > \text{size } a$   
*<proof>*

**lemma** *size-binary-lhs-b*[*size-simps*]:  
 $\text{size } (\text{BinaryExpr } \text{op } (\text{BinaryExpr } \text{op}' \ a \ b) \ c) > \text{size } b$   
*<proof>*

**lemma** *size-binary-lhs-c*[*size-simps*]:  
 $\text{size } (\text{BinaryExpr } \text{op } (\text{BinaryExpr } \text{op}' \ a \ b) \ c) > \text{size } c$   
*<proof>*

**lemma** *size-binary-rhs-a*[*size-simps*]:  
 $\text{size } (\text{BinaryExpr } \text{op } c \ (\text{BinaryExpr } \text{op}' \ a \ b)) > \text{size } a$   
*<proof>*

**lemma** *size-binary-rhs-b*[*size-simps*]:

*size* (BinaryExpr op c (BinaryExpr op' a b)) > *size* b  
<proof>

**lemma** *size-binary-rhs-c*[*size-simps*]:  
*size* (BinaryExpr op c (BinaryExpr op' a b)) > *size* c  
<proof>

**lemma** *size-binary-lhs*[*size-simps*]:  
*size* (BinaryExpr op x y) > *size* x  
<proof>

**lemma** *size-binary-rhs*[*size-simps*]:  
*size* (BinaryExpr op x y) > *size* y  
<proof>

**lemmas** *arith*[*size-simps*] = *Suc-leI add-strict-increasing order-less-trans trans-less-add2*

**definition** *well-formed-equal* :: Value ⇒ Value ⇒ bool  
(**infix** ≈ 50) **where**  
*well-formed-equal* v<sub>1</sub> v<sub>2</sub> = (v<sub>1</sub> ≠ UndefVal → v<sub>1</sub> = v<sub>2</sub>)

**lemma** *well-formed-equal-defn* [*simp*]:  
*well-formed-equal* v<sub>1</sub> v<sub>2</sub> = (v<sub>1</sub> ≠ UndefVal → v<sub>1</sub> = v<sub>2</sub>)  
<proof>

**end**

## 11.1 AbsNode Phase

**theory** *AbsPhase*  
**imports**  
*Common Proofs.StampEvalThms*  
**begin**

**phase** *AbsNode*  
**terminating** *size*  
**begin**

Note:

We can't use (<*s*) for reasoning about *intval-less-than*. (<*s*) will always treat the 64<sup>th</sup> bit as the sign flag while *intval-less-than* uses the *b*<sup>th</sup> bit depending on the size of the word.

**value** *val*[*new-int 32 0 < new-int 32 4294967286*] — 0 < -10 = False  
**value** (0::*int64*) <*s 4294967286* — 0 < 4294967286 = True

**lemma** *signed-equiv*:  
**assumes** *b* > 0 ∧ *b* ≤ 64

**shows** *val-to-bool* (val[*new-int* *b v* < *new-int* *b v'*]) = (*int-signed-value* *b v* < *int-signed-value* *b v'*)  
 ⟨*proof*⟩

**lemma** *val-abs-pos*:  
**assumes** *val-to-bool*(val[(*new-int* *b 0*) < (*new-int* *b v*)])  
**shows** *intval-abs* (*new-int* *b v*) = (*new-int* *b v*)  
 ⟨*proof*⟩

**lemma** *val-abs-neg*:  
**assumes** *val-to-bool*(val[(*new-int* *b v*) < (*new-int* *b 0*)])  
**shows** *intval-abs* (*new-int* *b v*) = *intval-negate* (*new-int* *b v*)  
 ⟨*proof*⟩

**lemma** *val-bool-unwrap*:  
*val-to-bool* (*bool-to-val* *v*) = *v*  
 ⟨*proof*⟩

**lemma** *take-bit-64*:  
**assumes**  $0 < b \wedge b \leq 64$   
**assumes** *take-bit* *b v* = *v*  
**shows** *take-bit* 64 *v* = *take-bit* *b v*  
 ⟨*proof*⟩

A special value exists for the maximum negative integer as its negation is itself. We can define the value as *set-bit* ((*b::nat*) - (*1::nat*)) (*0::64 word*) for any bit-width, *b*.

**value** (*set-bit* 1 0)::2 word — 2  
**value** -(*set-bit* 1 0)::2 word — 2  
**value** (*set-bit* 31 0)::32 word — 2147483648  
**value** -(*set-bit* 31 0)::32 word — 2147483648

**lemma** *negative-def*:  
**fixes** *v* :: '*a*::len word  
**assumes**  $v < s$  0  
**shows** *bit* *v* (LENGTH('a) - 1)  
 ⟨*proof*⟩

**lemma** *positive-def*:  
**fixes** *v* :: '*a*::len word  
**assumes**  $0 < s$  *v*  
**shows** ¬(*bit* *v* (LENGTH('a) - 1))  
 ⟨*proof*⟩

**lemma** *negative-lower-bound*:

**fixes**  $v :: 'a::\text{len word}$   
**assumes**  $(2^\wedge(\text{LENGTH}('a) - 1)) < s \ v$   
**assumes**  $v < s \ 0$   
**shows**  $0 < s \ (-v)$   
 $\langle \text{proof} \rangle$

**lemma** *min-int*:  
**fixes**  $x :: 'a::\text{len word}$   
**assumes**  $x < s \ 0$   
**assumes**  $x \neq (2^\wedge(\text{LENGTH}('a) - 1))$   
**shows**  $2^\wedge(\text{LENGTH}('a) - 1) < s \ x$   
 $\langle \text{proof} \rangle$

**lemma** *negate-min-int*:  
**fixes**  $v :: 'a::\text{len word}$   
**assumes**  $v = (2^\wedge(\text{LENGTH}('a) - 1))$   
**shows**  $v = (-v)$   
 $\langle \text{proof} \rangle$

**fun** *abs* ::  $'a::\text{len word} \Rightarrow 'a \ \text{word}$  **where**  
 $\text{abs } x = (\text{if } x < s \ 0 \ \text{then } (-x) \ \text{else } x)$

**lemma**  
 $\text{abs}(\text{abs}(x)) = \text{abs}(x)$   
**for**  $x :: 'a::\text{len word}$   
 $\langle \text{proof} \rangle$

We need to do the same proof at the value level.

**lemma** *invert-intval*:  
**assumes** *int-signed-value*  $b \ v < 0$   
**assumes**  $b > 0 \ \wedge \ b \leq 64$   
**assumes** *take-bit*  $b \ v = v$   
**assumes**  $v \neq (2^\wedge(b - 1))$   
**shows**  $0 < \text{int-signed-value } b \ (-v)$   
 $\langle \text{proof} \rangle$

**lemma** *negate-max-negative*:  
**assumes**  $b > 0 \ \wedge \ b \leq 64$   
**assumes** *take-bit*  $b \ v = v$   
**assumes**  $v = (2^\wedge(b - 1))$   
**shows** *new-int*  $b \ v = \text{intval-negate } (\text{new-int } b \ v)$   
 $\langle \text{proof} \rangle$

**lemma** *val-abs-always-pos*:  
**assumes**  $b > 0 \ \wedge \ b \leq 64$   
**assumes** *take-bit*  $b \ v = v$   
**assumes**  $v \neq (2^\wedge(b - 1))$



**assumes** *intval-abs* (*new-int* *b* *v*) = (*new-int* *b* *v'*)  
**shows** *val-to-bool* (*val*[(*new-int* *b* 0) < (*new-int* *b* *v'*)]  $\vee$  *val-to-bool* (*val*[(*new-int* *b* 0) *eq* (*new-int* *b* *v'*)]])  
 <proof>

**lemma** *intval-abs-elim*:

**assumes** *intval-abs* *x*  $\neq$  *UndefVal*

**shows**  $\exists t v . x = \text{IntVal } t v \wedge$

*intval-abs* *x* = *new-int* *t* (if *int-signed-value* *t* *v* < 0 then  $-v$  else *v*)

<proof>

**lemma** *wf-abs-new-int*:

**assumes** *intval-abs* (*IntVal* *t* *v*)  $\neq$  *UndefVal*

**shows** *intval-abs* (*IntVal* *t* *v*) = *new-int* *t* *v*  $\vee$  *intval-abs* (*IntVal* *t* *v*) = *new-int* *t* ( $-v$ )

<proof>

**lemma** *mono-undef-abs*:

**assumes** *intval-abs* (*intval-abs* *x*)  $\neq$  *UndefVal*

**shows** *intval-abs* *x*  $\neq$  *UndefVal*

<proof>

**lemma** *val-abs-idem*:

**assumes** *valid-value* *x* (*IntegerStamp* *b* *l* *h*)

**assumes** *val*[*abs*(*abs*(*x*))]  $\neq$  *UndefVal*

**shows** *val*[*abs*(*abs*(*x*))] = *val*[*abs* *x*]

<proof>

**Optimisations end**

**end**

## 11.2 AddNode Phase

**theory** *AddPhase*

**imports**

*Common*

**begin**

**phase** *AddNode*

**terminating** *size*

**begin**

**lemma** *binadd-commute*:

**assumes** *bin-eval* *BinAdd* *x* *y*  $\neq$  *UndefVal*

**shows** *bin-eval* *BinAdd* *x* *y* = *bin-eval* *BinAdd* *y* *x*

<proof>

**optimization** *AddShiftConstantRight*:  $((\text{const } v) + y) \mapsto y + (\text{const } v)$  when  $\neg(\text{is-ConstantExpr } y)$   
 ⟨proof⟩

**optimization** *AddShiftConstantRight2*:  $((\text{const } v) + y) \mapsto y + (\text{const } v)$  when  $\neg(\text{is-ConstantExpr } y)$   
 ⟨proof⟩

**lemma** *is-neutral-0* [simp]:  
 assumes  $\text{val}[(\text{IntVal } b \ x) + (\text{IntVal } b \ 0)] \neq \text{UndefVal}$   
 shows  $\text{val}[(\text{IntVal } b \ x) + (\text{IntVal } b \ 0)] = (\text{new-int } b \ x)$   
 ⟨proof⟩

**lemma** *AddNeutral-Exp*:  
 shows  $\text{exp}[(e + (\text{const } (\text{IntVal } 32 \ 0)))] \geq \text{exp}[e]$   
 ⟨proof⟩

**optimization** *AddNeutral*:  $(e + (\text{const } (\text{IntVal } 32 \ 0))) \mapsto e$   
 ⟨proof⟩

**ML-val**  $\langle @\{\text{term } \langle x = y \rangle\} \rangle$

**lemma** *NeutralLeftSubVal*:  
 assumes  $e1 = \text{new-int } b \ ival$   
 shows  $\text{val}[(e1 - e2) + e2] \approx e1$   
 ⟨proof⟩

**lemma** *RedundantSubAdd-Exp*:  
 shows  $\text{exp}[(a - b) + b] \geq a$   
 ⟨proof⟩

**optimization** *RedundantSubAdd*:  $((e1 - e2) + e2) \mapsto e1$   
 ⟨proof⟩

**lemma** *allE2*:  $(\forall x \ y. P \ x \ y) \implies (P \ a \ b \implies R) \implies R$   
 ⟨proof⟩

**lemma** *just-goal2*:  
 assumes  $(\forall a \ b. (\text{val}[(a - b) + b] \neq \text{UndefVal} \wedge a \neq \text{UndefVal} \longrightarrow \text{val}[(a - b) + b] = a))$   
 shows  $(\text{exp}[(e1 - e2) + e2]) \geq e1$   
 ⟨proof⟩

**optimization** *RedundantSubAdd2*:  $e2 + (e1 - e2) \mapsto e1$

*<proof>*

**lemma** *AddToSubHelperLowLevel*:  
  **shows**  $val[-e + y] = val[y - e]$  (**is**  $?x = ?y$ )  
  *<proof>*

**print-phases**

**lemma** *val-redundant-add-sub*:  
  **assumes**  $a = new-int\ bb\ ival$   
  **assumes**  $val[b + a] \neq UndefVal$   
  **shows**  $val[(b + a) - b] = a$   
  *<proof>*

**lemma** *val-add-right-negate-to-sub*:  
  **assumes**  $val[x + e] \neq UndefVal$   
  **shows**  $val[x + (-e)] = val[x - e]$   
  *<proof>*

**lemma** *exp-add-left-negate-to-sub*:  
   $exp[-e + y] \geq exp[y - e]$   
  *<proof>*

**lemma** *RedundantAddSub-Exp*:  
  **shows**  $exp[(b + a) - b] \geq a$   
  *<proof>*

Optimisations

**optimization** *RedundantAddSub*:  $(b + a) - b \mapsto a$   
  *<proof>*

**optimization** *AddRightNegateToSub*:  $x + -e \mapsto x - e$   
  *<proof>*

**optimization** *AddLeftNegateToSub*:  $-e + y \mapsto y - e$   
  *<proof>*

**end**

**end**

### 11.3 AndNode Phase

**theory** *AndPhase*

**imports**

*Common*

*Proofs.StampEvalThms*

**begin**

**context** *stamp-mask*

**begin**

**lemma** *AndCommute-Val:*

**assumes**  $val[x \ \& \ y] \neq \text{UndefVal}$

**shows**  $val[x \ \& \ y] = val[y \ \& \ x]$

*<proof>*

**lemma** *AndCommute-Exp:*

**shows**  $exp[x \ \& \ y] \geq exp[y \ \& \ x]$

*<proof>*

**lemma** *AndRightFallthrough:*  $((\text{and } (\text{not } (\downarrow x)) (\uparrow y)) = 0) \longrightarrow exp[x \ \& \ y] \geq exp[y]$

*<proof>*

**lemma** *AndLeftFallthrough:*  $((\text{and } (\text{not } (\downarrow y)) (\uparrow x)) = 0) \longrightarrow exp[x \ \& \ y] \geq exp[x]$

*<proof>*

**end**

**phase** *AndNode*

**terminating** *size*

**begin**

**lemma** *bin-and-nots:*

$(\sim x \ \& \ \sim y) = (\sim(x \ | \ y))$

*<proof>*

**lemma** *bin-and-neutral:*

$(x \ \& \ \sim \text{False}) = x$

*<proof>*

**lemma** *val-and-equal*:

**assumes**  $x = \text{new-int } b \ v$   
**and**  $\text{val}[x \ \& \ x] \neq \text{UndefVal}$   
**shows**  $\text{val}[x \ \& \ x] = x$   
*<proof>*

**lemma** *val-and-nots*:

$\text{val}[\sim x \ \& \ \sim y] = \text{val}[\sim(x \ | \ y)]$   
*<proof>*

**lemma** *val-and-neutral*:

**assumes**  $x = \text{new-int } b \ v$   
**and**  $\text{val}[x \ \& \ \sim(\text{new-int } b' \ 0)] \neq \text{UndefVal}$   
**shows**  $\text{val}[x \ \& \ \sim(\text{new-int } b' \ 0)] = x$   
*<proof>*

**lemma** *val-and-zero*:

**assumes**  $x = \text{new-int } b \ v$   
**shows**  $\text{val}[x \ \& \ (\text{IntVal } b \ 0)] = \text{IntVal } b \ 0$   
*<proof>*

**lemma** *exp-and-equal*:

$\text{exp}[x \ \& \ x] \geq \text{exp}[x]$   
*<proof>*

**lemma** *exp-and-nots*:

$\text{exp}[\sim x \ \& \ \sim y] \geq \text{exp}[\sim(x \ | \ y)]$   
*<proof>*

**lemma** *exp-sign-extend*:

**assumes**  $e = (1 \lll \text{In}) - 1$   
**shows**  $\text{BinaryExpr } \text{BinAnd } (\text{UnaryExpr } (\text{UnarySignExtend } \text{In } \text{Out}) \ x)$   
 $\quad (\text{ConstantExpr } (\text{new-int } b \ e))$   
 $\quad \geq (\text{UnaryExpr } (\text{UnaryZeroExtend } \text{In } \text{Out}) \ x)$   
*<proof>*

**lemma** *exp-and-neutral*:

**assumes** *wf-stamp*  $x$   
**assumes** *stamp-expr*  $x = \text{IntegerStamp } b \ \text{lo} \ \text{hi}$   
**shows**  $\text{exp}[(x \ \& \ \sim(\text{const } (\text{IntVal } b \ 0)))] \geq x$   
*<proof>*

**lemma** *val-and-commute*[simp]:

$val[x \& y] = val[y \& x]$

$\langle proof \rangle$

Optimisations

**optimization** *AndEqual*:  $x \& x \mapsto x$

$\langle proof \rangle$

**optimization** *AndShiftConstantRight*:  $((const\ x) \& y) \mapsto y \& (const\ x)$   
when  $\neg(is-ConstantExpr\ y)$

$\langle proof \rangle$

**optimization** *AndNots*:  $(\sim x) \& (\sim y) \mapsto \sim(x \mid y)$

$\langle proof \rangle$

**optimization** *AndSignExtend*:  $BinaryExpr\ BinAnd\ (UnaryExpr\ (UnarySignExtend\ In\ Out)\ x)$

$\mapsto (UnaryExpr\ (UnaryZeroExtend\ In\ Out)\ x)$   
when  $(e = (1 \ll In) - 1)$

$\langle proof \rangle$

**optimization** *AndNeutral*:  $(x \& \sim(const\ (IntVal\ b\ 0))) \mapsto x$

when  $(wf-stamp\ x \wedge stamp-expr\ x = IntegerStamp\ b\ lo\ hi)$

$\langle proof \rangle$

**optimization** *AndRightFallThrough*:  $(x \& y) \mapsto y$

when  $((and\ (not\ (IRExpr-down\ x))\ (IRExpr-up\ y)) = 0)$

$\langle proof \rangle$

**optimization** *AndLeftFallThrough*:  $(x \& y) \mapsto x$

when  $((and\ (not\ (IRExpr-down\ y))\ (IRExpr-up\ x)) = 0)$

$\langle proof \rangle$

**end**

**end**

## 11.4 BinaryNode Phase

**theory** *BinaryNode*

**imports**

*Common*

**begin**

**phase** *BinaryNode*

**terminating** *size*  
**begin**

**optimization** *BinaryFoldConstant*:  $BinaryExpr\ op\ (const\ v1)\ (const\ v2) \mapsto ConstantExpr\ (bin\text{-}eval\ op\ v1\ v2)$   
 $\langle proof \rangle$

**end**

**end**

## 11.5 ConditionalNode Phase

**theory** *ConditionalPhase*

**imports**

*Common*

*Proofs.StampEvalThms*

**begin**

**phase** *ConditionalNode*

**terminating** *size*

**begin**

**lemma** *negates*:  $\exists v\ b.\ e = IntVal\ b\ v \wedge b > 0 \implies val\text{-}to\text{-}bool\ (val[e]) \longleftrightarrow \neg(val\text{-}to\text{-}bool\ (val[!e]))$   
 $\langle proof \rangle$

**lemma** *negation-condition-intval*:

**assumes**  $e = IntVal\ b\ ie$

**assumes**  $0 < b$

**shows**  $val[(!e)\ ?\ x : y] = val[e\ ?\ y : x]$

$\langle proof \rangle$

**lemma** *negation-preserve-eval*:

**assumes**  $[m, p] \vdash exp[!e] \mapsto v$

**shows**  $\exists v'. ([m, p] \vdash exp[e] \mapsto v') \wedge v = val[!v']$

$\langle proof \rangle$

**lemma** *negation-preserve-eval-intval*:

**assumes**  $[m, p] \vdash exp[!e] \mapsto v$

**shows**  $\exists v'\ b\ vv. ([m, p] \vdash exp[e] \mapsto v') \wedge v' = IntVal\ b\ vv \wedge b > 0$

$\langle proof \rangle$

**optimization** *NegateConditionFlipBranches*:  $((!e)\ ?\ x : y) \mapsto (e\ ?\ y : x)$

$\langle proof \rangle$

**optimization** *DefaultTrueBranch*:  $(true\ ?\ x : y) \mapsto x$   $\langle proof \rangle$

**optimization** *DefaultFalseBranch*:  $(false\ ?\ x : y) \mapsto y$   $\langle proof \rangle$

**optimization** *ConditionalEqualBranches*:  $(e \ ? \ x : x) \mapsto x$   $\langle$ *proof* $\rangle$

**optimization** *condition-bounds-x*:  $((u < v) \ ? \ x : y) \mapsto x$   
when  $(\text{stamp-under } (\text{stamp-expr } u) (\text{stamp-expr } v) \wedge \text{wf-stamp } u \wedge \text{wf-stamp } v)$   
 $\langle$ *proof* $\rangle$

**optimization** *condition-bounds-y*:  $((u < v) \ ? \ x : y) \mapsto y$   
when  $(\text{stamp-under } (\text{stamp-expr } v) (\text{stamp-expr } u) \wedge \text{wf-stamp } u \wedge \text{wf-stamp } v)$   
 $\langle$ *proof* $\rangle$

**lemma** *val-optimise-integer-test*:

**assumes**  $\exists v. x = \text{IntVal } 32 \ v$

**shows**  $\text{val}[(x \ \& \ (\text{IntVal } 32 \ 1)) \ \text{eq} \ (\text{IntVal } 32 \ 0)] \ ? \ (\text{IntVal } 32 \ 0) : (\text{IntVal } 32 \ 1)]$

=

$\text{val}[x \ \& \ \text{IntVal } 32 \ 1]$

$\langle$ *proof* $\rangle$

**optimization** *ConditionalEliminateKnownLess*:  $((x < y) \ ? \ x : y) \mapsto x$   
when  $(\text{stamp-under } (\text{stamp-expr } x) (\text{stamp-expr } y) \wedge \text{wf-stamp } x \wedge \text{wf-stamp } y)$   
 $\langle$ *proof* $\rangle$

**lemma** *ExpIntBecomesIntVal*:

**assumes**  $\text{stamp-expr } x = \text{IntegerStamp } b \ xl \ xh$

**assumes**  $\text{wf-stamp } x$

**assumes** *valid-value*  $v$   $(\text{IntegerStamp } b \ xl \ xh)$

**assumes**  $[m,p] \vdash x \mapsto v$

**shows**  $\exists xv. v = \text{IntVal } b \ xv$

$\langle$ *proof* $\rangle$

**lemma** *intval-self-is-true*:

**assumes**  $yv \neq \text{UndefVal}$

**assumes**  $yv = \text{IntVal } b \ yvv$

**shows** *intval-equals*  $yv \ yv = \text{IntVal } 32 \ 1$

$\langle$ *proof* $\rangle$

**lemma** *intval-commute*:

**assumes** *intval-equals*  $yv \ xv \neq \text{UndefVal}$

**assumes** *intval-equals*  $xv \ yv \neq \text{UndefVal}$

**shows** *intval-equals*  $yv \ xv = \text{intval-equals } xv \ yv$

$\langle$ *proof* $\rangle$

**definition** *isBoolean* :: *IRExpr*  $\Rightarrow$  *bool* **where**



$isBoolean\ e = (\forall\ m\ p\ cond.\ (([m,p] \vdash e \mapsto cond) \longrightarrow (cond \in \{IntVal\ 32\ 0, IntVal\ 32\ 1\})))$

**lemma** *preserveBoolean*:

**assumes**  $isBoolean\ c$   
**shows**  $isBoolean\ exp[!c]$   
 $\langle proof \rangle$

**optimization** *ConditionalIntegerEquals-1*:  $exp[BinaryExpr\ BinIntegerEquals\ (c\ ?\ x : y)\ (x)] \mapsto c$

*when*  $stamp\text{-}expr\ x = IntegerStamp\ b\ xl\ xh \wedge$   
 $wf\text{-}stamp\ x \wedge$   
 $stamp\text{-}expr\ y = IntegerStamp\ b\ yl\ yh \wedge$   
 $wf\text{-}stamp\ y \wedge$   
 $(alwaysDistinct\ (stamp\text{-}expr\ x)\ (stamp\text{-}expr\ y)) \wedge$   
 $isBoolean\ c$   
 $\langle proof \rangle$

**lemma** *negation-preserve-eval0*:

**assumes**  $[m, p] \vdash exp[e] \mapsto v$   
**assumes**  $isBoolean\ e$   
**shows**  $\exists v'. ([m, p] \vdash exp[!e] \mapsto v')$   
 $\langle proof \rangle$

**lemma** *negation-preserve-eval2*:

**assumes**  $([m, p] \vdash exp[e] \mapsto v)$   
**assumes**  $(isBoolean\ e)$   
**shows**  $\exists v'. ([m, p] \vdash exp[!e] \mapsto v') \wedge v = val[!v]$   
 $\langle proof \rangle$

**optimization** *ConditionalIntegerEquals-2*:  $exp[BinaryExpr\ BinIntegerEquals\ (c\ ?\ x : y)\ (y)] \mapsto (!c)$

*when*  $stamp\text{-}expr\ x = IntegerStamp\ b\ xl\ xh \wedge$   
 $wf\text{-}stamp\ x \wedge$   
 $stamp\text{-}expr\ y = IntegerStamp\ b\ yl\ yh \wedge$   
 $wf\text{-}stamp\ y \wedge$   
 $(alwaysDistinct\ (stamp\text{-}expr\ x)\ (stamp\text{-}expr\ y)) \wedge$   
 $isBoolean\ c$   
 $\langle proof \rangle$

**optimization** *ConditionalExtractCondition*:  $exp[(c\ ?\ true : false)] \mapsto c$

*when*  $isBoolean\ c$   
 $\langle proof \rangle$

**optimization** *ConditionalExtractCondition2*:  $exp[(c\ ?\ false : true)] \mapsto !c$

*when*  $isBoolean\ c$

$\langle proof \rangle$

**optimization** *ConditionalEqualIsRHS*:  $((x \text{ eq } y) ? x : y) \mapsto y$   
 $\langle proof \rangle$

**optimization** *normalizeX*:  $((x \text{ eq } \text{const } (\text{IntVal } 32 \ 0)) ?$   
 $(\text{const } (\text{IntVal } 32 \ 0)) : (\text{const } (\text{IntVal } 32 \ 1))) \mapsto x$   
when *stamp-expr*  $x = \text{IntegerStamp } 32 \ 0 \ 1 \wedge$  *wf-stamp*  $x \wedge$   
*isBoolean*  $x$   
 $\langle proof \rangle$

**optimization** *normalizeX2*:  $((x \text{ eq } (\text{const } (\text{IntVal } 32 \ 1))) ?$   
 $(\text{const } (\text{IntVal } 32 \ 1)) : (\text{const } (\text{IntVal } 32 \ 0))) \mapsto x$   
when  $(x = \text{ConstantExpr } (\text{IntVal } 32 \ 0) \mid$   
 $(x = \text{ConstantExpr } (\text{IntVal } 32 \ 1))) \langle proof \rangle$

**optimization** *flipX*:  $((x \text{ eq } (\text{const } (\text{IntVal } 32 \ 0))) ?$   
 $(\text{const } (\text{IntVal } 32 \ 1)) : (\text{const } (\text{IntVal } 32 \ 0))) \mapsto x \oplus (\text{const}$   
 $(\text{IntVal } 32 \ 1))$   
when  $(x = \text{ConstantExpr } (\text{IntVal } 32 \ 0) \mid$   
 $(x = \text{ConstantExpr } (\text{IntVal } 32 \ 1))) \langle proof \rangle$

**optimization** *flipX2*:  $((x \text{ eq } (\text{const } (\text{IntVal } 32 \ 1))) ?$   
 $(\text{const } (\text{IntVal } 32 \ 0)) : (\text{const } (\text{IntVal } 32 \ 1))) \mapsto x \oplus (\text{const}$   
 $(\text{IntVal } 32 \ 1))$   
when  $(x = \text{ConstantExpr } (\text{IntVal } 32 \ 0) \mid$   
 $(x = \text{ConstantExpr } (\text{IntVal } 32 \ 1))) \langle proof \rangle$

**lemma** *stamp-of-default*:

**assumes** *stamp-expr*  $x = \text{default-stamp}$

**assumes** *wf-stamp*  $x$

**shows**  $([m, p] \vdash x \mapsto v) \longrightarrow (\exists vv. v = \text{IntVal } 32 \ vv)$

$\langle proof \rangle$

**optimization** *OptimiseIntegerTest*:

$((x \ \& \ (\text{const } (\text{IntVal } 32 \ 1))) \text{ eq } (\text{const } (\text{IntVal } 32 \ 0))) ?$

$(\text{const } (\text{IntVal } 32 \ 0)) : (\text{const } (\text{IntVal } 32 \ 1))) \mapsto$

$x \ \& \ (\text{const } (\text{IntVal } 32 \ 1))$

when  $(\text{stamp-expr } x = \text{default-stamp} \wedge \text{wf-stamp } x)$

$\langle proof \rangle$

**optimization** *opt-optimise-integer-test-2*:

$((x \ \& \ (\text{const } (\text{IntVal } 32 \ 1))) \text{ eq } (\text{const } (\text{IntVal } 32 \ 0))) ?$

$(\text{const } (\text{IntVal } 32 \ 0)) : (\text{const } (\text{IntVal } 32 \ 1))) \mapsto x$

when (x = ConstantExpr (IntVal 32 0) | (x = ConstantExpr (IntVal 32 1))) <proof>

**end**

**end**

## 11.6 MulNode Phase

**theory** *MulPhase*

**imports**

*Common*

*Proofs.StampEvalThms*

**begin**

**fun** *mul-size* :: *IRExpr* ⇒ *nat* **where**

*mul-size* (*UnaryExpr op e*) = (*mul-size e*) + 2 |

*mul-size* (*BinaryExpr BinMul x y*) = ((*mul-size x*) + (*mul-size y*) + 2) \* 2 |

*mul-size* (*BinaryExpr op x y*) = (*mul-size x*) + (*mul-size y*) + 2 |

*mul-size* (*ConditionalExpr cond t f*) = (*mul-size cond*) + (*mul-size t*) + (*mul-size f*) + 2 |

*mul-size* (*ConstantExpr c*) = 1 |

*mul-size* (*ParameterExpr ind s*) = 2 |

*mul-size* (*LeafExpr nid s*) = 2 |

*mul-size* (*ConstantVar c*) = 2 |

*mul-size* (*VariableExpr x s*) = 2

**phase** *MulNode*

**terminating** *mul-size*

**begin**

**lemma** *bin-eliminate-redundant-negative*:

*uminus* (x :: 'a::len word) \* *uminus* (y :: 'a::len word) = x \* y

<proof>

**lemma** *bin-multiply-identity*:

(x :: 'a::len word) \* 1 = x

<proof>

**lemma** *bin-multiply-eliminate*:

(x :: 'a::len word) \* 0 = 0

<proof>

**lemma** *bin-multiply-negative*:  
( $x :: 'a::len\ word$ ) \* *uminus* 1 = *uminus* x  
⟨*proof*⟩

**lemma** *bin-multiply-power-2*:  
( $x :: 'a::len\ word$ ) \* ( $2^j$ ) =  $x \ll j$   
⟨*proof*⟩

**lemma** *take-bit64[simp]*:  
**fixes**  $w :: int64$   
**shows** *take-bit* 64 w = w  
⟨*proof*⟩

**lemma** *mergeTakeBit*:  
**fixes**  $a :: nat$   
**fixes**  $b\ c :: 64\ word$   
**shows** *take-bit* a (*take-bit* a b) \* *take-bit* a c =  
*take-bit* a (b \* c)  
⟨*proof*⟩

**lemma** *val-eliminate-redundant-negative*:  
**assumes**  $val[-x * -y] \neq Undefined$   
**shows**  $val[-x * -y] = val[x * y]$   
⟨*proof*⟩

**lemma** *val-multiply-neutral*:  
**assumes**  $x = new-int\ b\ v$   
**shows**  $val[x * (IntVal\ b\ 1)] = x$   
⟨*proof*⟩

**lemma** *val-multiply-zero*:  
**assumes**  $x = new-int\ b\ v$   
**shows**  $val[x * (IntVal\ b\ 0)] = IntVal\ b\ 0$   
⟨*proof*⟩

**lemma** *val-multiply-negative*:  
**assumes**  $x = new-int\ b\ v$   
**shows**  $val[x * -(IntVal\ b\ 1)] = val[-x]$   
⟨*proof*⟩

**lemma** *val-MulPower2*:  
**fixes**  $i :: 64\ word$   
**assumes**  $y = IntVal\ 64\ (2^{\text{unat}(i)})$   
**and**  $0 < i$

**and**  $i < 64$   
**and**  $\text{val}[x * y] \neq \text{UndefVal}$   
**shows**  $\text{val}[x * y] = \text{val}[x \ll \text{IntVal } 64 \ i]$   
 $\langle \text{proof} \rangle$

**lemma** *val-MulPower2Add1*:

**fixes**  $i :: 64 \ \text{word}$   
**assumes**  $y = \text{IntVal } 64 \ ((2 \wedge \text{unat}(i)) + 1)$   
**and**  $0 < i$   
**and**  $i < 64$   
**and**  $\text{val-to-bool}(\text{val}[\text{IntVal } 64 \ 0 < x])$   
**and**  $\text{val-to-bool}(\text{val}[\text{IntVal } 64 \ 0 < y])$   
**shows**  $\text{val}[x * y] = \text{val}[(x \ll \text{IntVal } 64 \ i) + x]$   
 $\langle \text{proof} \rangle$

**lemma** *val-MulPower2Sub1*:

**fixes**  $i :: 64 \ \text{word}$   
**assumes**  $y = \text{IntVal } 64 \ ((2 \wedge \text{unat}(i)) - 1)$   
**and**  $0 < i$   
**and**  $i < 64$   
**and**  $\text{val-to-bool}(\text{val}[\text{IntVal } 64 \ 0 < x])$   
**and**  $\text{val-to-bool}(\text{val}[\text{IntVal } 64 \ 0 < y])$   
**shows**  $\text{val}[x * y] = \text{val}[(x \ll \text{IntVal } 64 \ i) - x]$   
 $\langle \text{proof} \rangle$

**lemma** *val-distribute-multiplication*:

**assumes**  $x = \text{IntVal } b \ xx \wedge q = \text{IntVal } b \ qq \wedge a = \text{IntVal } b \ aa$   
**assumes**  $\text{val}[x * (q + a)] \neq \text{UndefVal}$   
**assumes**  $\text{val}[(x * q) + (x * a)] \neq \text{UndefVal}$   
**shows**  $\text{val}[x * (q + a)] = \text{val}[(x * q) + (x * a)]$   
 $\langle \text{proof} \rangle$

**lemma** *val-distribute-multiplication64*:

**assumes**  $x = \text{new-int } 64 \ xx \wedge q = \text{new-int } 64 \ qq \wedge a = \text{new-int } 64 \ aa$   
**shows**  $\text{val}[x * (q + a)] = \text{val}[(x * q) + (x * a)]$   
 $\langle \text{proof} \rangle$

**lemma** *val-MulPower2AddPower2*:

**fixes**  $i \ j :: 64 \ \text{word}$   
**assumes**  $y = \text{IntVal } 64 \ ((2 \wedge \text{unat}(i)) + (2 \wedge \text{unat}(j)))$   
**and**  $0 < i$   
**and**  $0 < j$   
**and**  $i < 64$   
**and**  $j < 64$   
**and**  $x = \text{new-int } 64 \ xx$

**shows**  $val[x * y] = val[(x \ll IntVal\ 64\ i) + (x \ll IntVal\ 64\ j)]$   
 ⟨proof⟩

**thm-oracles** *val-MulPower2AddPower2*

**lemma** *exp-multiply-zero-64*:

**shows**  $exp[x * (const\ (IntVal\ b\ 0))] \geq ConstantExpr\ (IntVal\ b\ 0)$   
 ⟨proof⟩

**lemma** *exp-multiply-neutral*:

$exp[x * (const\ (IntVal\ b\ 1))] \geq x$   
 ⟨proof⟩

**thm-oracles** *exp-multiply-neutral*

**lemma** *exp-multiply-negative*:

$exp[x * -(const\ (IntVal\ b\ 1))] \geq exp[-x]$   
 ⟨proof⟩

**lemma** *exp-MulPower2*:

**fixes**  $i :: 64\ word$   
**assumes**  $y = ConstantExpr\ (IntVal\ 64\ (2 \wedge unat(i)))$   
**and**  $0 < i$   
**and**  $i < 64$   
**and**  $exp[x > (const\ IntVal\ b\ 0)]$   
**and**  $exp[y > (const\ IntVal\ b\ 0)]$   
**shows**  $exp[x * y] \geq exp[x \ll ConstantExpr\ (IntVal\ 64\ i)]$   
 ⟨proof⟩

**lemma** *exp-MulPower2Add1*:

**fixes**  $i :: 64\ word$   
**assumes**  $y = ConstantExpr\ (IntVal\ 64\ ((2 \wedge unat(i)) + 1))$   
**and**  $0 < i$   
**and**  $i < 64$   
**and**  $exp[x > (const\ IntVal\ b\ 0)]$   
**and**  $exp[y > (const\ IntVal\ b\ 0)]$   
**shows**  $exp[x * y] \geq exp[(x \ll ConstantExpr\ (IntVal\ 64\ i)) + x]$   
 ⟨proof⟩

**lemma** *exp-MulPower2Sub1*:

**fixes**  $i :: 64\ word$   
**assumes**  $y = ConstantExpr\ (IntVal\ 64\ ((2 \wedge unat(i)) - 1))$   
**and**  $0 < i$   
**and**  $i < 64$   
**and**  $exp[x > (const\ IntVal\ b\ 0)]$   
**and**  $exp[y > (const\ IntVal\ b\ 0)]$   
**shows**  $exp[x * y] \geq exp[(x \ll ConstantExpr\ (IntVal\ 64\ i)) - x]$   
 ⟨proof⟩

**lemma** *exp-MulPower2AddPower2*:  
**fixes**  $i\ j :: 64\ \text{word}$   
**assumes**  $y = \text{ConstantExpr}\ (\text{IntVal}\ 64\ ((2 \wedge \text{unat}(i)) + (2 \wedge \text{unat}(j))))$   
**and**  $0 < i$   
**and**  $0 < j$   
**and**  $i < 64$   
**and**  $j < 64$   
**and**  $\text{exp}[x > (\text{const}\ \text{IntVal}\ b\ 0)]$   
**and**  $\text{exp}[y > (\text{const}\ \text{IntVal}\ b\ 0)]$   
**shows**  $\text{exp}[x * y] \geq \text{exp}[(x \ll \text{ConstantExpr}\ (\text{IntVal}\ 64\ i)) + (x \ll \text{ConstantExpr}\ (\text{IntVal}\ 64\ j))]$   
 $\langle \text{proof} \rangle$

**lemma** *greaterConstant*:  
**fixes**  $a\ b :: 64\ \text{word}$   
**assumes**  $a > b$   
**and**  $y = \text{ConstantExpr}\ (\text{IntVal}\ 32\ a)$   
**and**  $x = \text{ConstantExpr}\ (\text{IntVal}\ 32\ b)$   
**shows**  $\text{exp}[\text{BinaryExpr}\ \text{BinIntegerLessThan}\ y\ x] \geq \text{exp}[\text{const}\ (\text{new-int}\ 32\ 0)]$   
 $\langle \text{proof} \rangle$

**lemma** *exp-distribute-multiplication*:  
**assumes**  $\text{stamp-expr}\ x = \text{IntegerStamp}\ b\ xl\ xh$   
**assumes**  $\text{stamp-expr}\ q = \text{IntegerStamp}\ b\ ql\ qh$   
**assumes**  $\text{stamp-expr}\ y = \text{IntegerStamp}\ b\ yl\ yh$   
**assumes**  $\text{wf-stamp}\ x$   
**assumes**  $\text{wf-stamp}\ q$   
**assumes**  $\text{wf-stamp}\ y$   
**shows**  $\text{exp}[(x * q) + (x * y)] \geq \text{exp}[x * (q + y)]$   
 $\langle \text{proof} \rangle$

Optimisations

**optimization** *EliminateRedundantNegative*:  $-x * -y \mapsto x * y$   
 $\langle \text{proof} \rangle$

**optimization** *MulNeutral*:  $x * \text{ConstantExpr}\ (\text{IntVal}\ b\ 1) \mapsto x$   
 $\langle \text{proof} \rangle$

**optimization** *MulEliminator*:  $x * \text{ConstantExpr}\ (\text{IntVal}\ b\ 0) \mapsto \text{const}\ (\text{IntVal}\ b\ 0)$   
 $\langle \text{proof} \rangle$

**optimization** *MulNegate*:  $x * -(\text{const}\ (\text{IntVal}\ b\ 1)) \mapsto -x$   
 $\langle \text{proof} \rangle$

**fun** *isNonZero* ::  $\text{Stamp} \Rightarrow \text{bool}$  **where**

*isNonZero* (*IntegerStamp* *b lo hi*) = (*lo* > 0) |  
*isNonZero* - = *False*

**lemma** *isNonZero-defn*:

**assumes** *isNonZero* (*stamp-expr* *x*)

**assumes** *wf-stamp* *x*

**shows** ( $[m, p] \vdash x \mapsto v \longrightarrow (\exists vv\ b. (v = \text{IntVal } b\ vv \wedge \text{val-to-bool val}[(\text{IntVal } b\ 0) < v]))$ )  
 <proof>

**lemma** *ExpIntBecomesIntValArbitrary*:

**assumes** *stamp-expr* *x* = *IntegerStamp* *b xl xh*

**assumes** *wf-stamp* *x*

**assumes** *valid-value* *v* (*IntegerStamp* *b xl xh*)

**assumes**  $[m, p] \vdash x \mapsto v$

**shows**  $\exists xv. v = \text{IntVal } b\ xv$

<proof>

**optimization** *MulPower2*:  $x * y \mapsto x \ll \text{const } (\text{IntVal } 64\ i)$

when ( $i > 0 \wedge \text{stamp-expr } x = \text{IntegerStamp } 64\ xl\ xh \wedge$

*wf-stamp* *x*  $\wedge$

$64 > i \wedge$

$y = \text{exp}[\text{const } (\text{IntVal } 64\ (2 \wedge \text{unat}(i)))]$ )

<proof>

**optimization** *MulPower2Add1*:  $x * y \mapsto (x \ll \text{const } (\text{IntVal } 64\ i)) + x$

when ( $i > 0 \wedge \text{stamp-expr } x = \text{IntegerStamp } 64\ xl\ xh \wedge$

*wf-stamp* *x*  $\wedge$

$64 > i \wedge$

$y = \text{ConstantExpr } (\text{IntVal } 64\ ((2 \wedge \text{unat}(i)) + 1))$ )

<proof>

**optimization** *MulPower2Sub1*:  $x * y \mapsto (x \ll \text{const } (\text{IntVal } 64\ i)) - x$

when ( $i > 0 \wedge \text{stamp-expr } x = \text{IntegerStamp } 64\ xl\ xh \wedge$

*wf-stamp* *x*  $\wedge$

$64 > i \wedge$

$y = \text{ConstantExpr } (\text{IntVal } 64\ ((2 \wedge \text{unat}(i)) - 1))$ )

<proof>

**end**

**end**

## 11.7 Experimental AndNode Phase

**theory** *NewAnd*

**imports**

*Common*



*Graph.JavaLong*

**begin**

**lemma** *intval-distribute-and-over-or:*

$val[z \& (x \mid y)] = val[(z \& x) \mid (z \& y)]$   
*<proof>*

**lemma** *exp-distribute-and-over-or:*

$exp[z \& (x \mid y)] \geq exp[(z \& x) \mid (z \& y)]$   
*<proof>*

**lemma** *intval-and-commute:*

$val[x \& y] = val[y \& x]$   
*<proof>*

**lemma** *intval-or-commute:*

$val[x \mid y] = val[y \mid x]$   
*<proof>*

**lemma** *intval-xor-commute:*

$val[x \oplus y] = val[y \oplus x]$   
*<proof>*

**lemma** *exp-and-commute:*

$exp[x \& z] \geq exp[z \& x]$   
*<proof>*

**lemma** *exp-or-commute:*

$exp[x \mid y] \geq exp[y \mid x]$   
*<proof>*

**lemma** *exp-xor-commute:*

$exp[x \oplus y] \geq exp[y \oplus x]$   
*<proof>*

**lemma** *intval-eliminate-y:*

**assumes**  $val[y \& z] = IntVal\ b\ 0$   
**shows**  $val[(x \mid y) \& z] = val[x \& z]$   
*<proof>*

**lemma** *intval-and-associative:*

$val[(x \& y) \& z] = val[x \& (y \& z)]$   
*<proof>*

**lemma** *intval-or-associative:*

$val[(x \mid y) \mid z] = val[x \mid (y \mid z)]$   
*<proof>*

**lemma** *intval-xor-associative:*

$val[(x \oplus y) \oplus z] = val[x \oplus (y \oplus z)]$   
*<proof>*

**lemma** *exp-and-associative:*

$exp[(x \& y) \& z] \geq exp[x \& (y \& z)]$   
*<proof>*

**lemma** *exp-or-associative:*

$exp[(x | y) | z] \geq exp[x | (y | z)]$   
*<proof>*

**lemma** *exp-xor-associative:*

$exp[(x \oplus y) \oplus z] \geq exp[x \oplus (y \oplus z)]$   
*<proof>*

**lemma** *intval-and-absorb-or:*

**assumes**  $\exists b v . x = new-int\ b\ v$   
**assumes**  $val[x \& (x | y)] \neq UndefinedVal$   
**shows**  $val[x \& (x | y)] = val[x]$   
*<proof>*

**lemma** *intval-or-absorb-and:*

**assumes**  $\exists b v . x = new-int\ b\ v$   
**assumes**  $val[x | (x \& y)] \neq UndefinedVal$   
**shows**  $val[x | (x \& y)] = val[x]$   
*<proof>*

**lemma** *exp-and-absorb-or:*

$exp[x \& (x | y)] \geq exp[x]$   
*<proof>*

**lemma** *exp-or-absorb-and:*

$exp[x | (x \& y)] \geq exp[x]$   
*<proof>*

**lemma**

**assumes**  $y = 0$   
**shows**  $x + y = or\ x\ y$   
*<proof>*

**lemma** *no-overlap-or:*

**assumes**  $and\ x\ y = 0$   
**shows**  $x + y = or\ x\ y$   
*<proof>*

**context** *stamp-mask*  
**begin**

**lemma** *intval-up-and-zero-implies-zero*:  
  **assumes** *and* ( $\uparrow x$ ) ( $\uparrow y$ ) = 0  
  **assumes**  $[m, p] \vdash x \mapsto xv$   
  **assumes**  $[m, p] \vdash y \mapsto yv$   
  **assumes**  $val[xv \ \& \ yv] \neq \text{UndefVal}$   
  **shows**  $\exists b . val[xv \ \& \ yv] = \text{new-int } b \ 0$   
   $\langle \text{proof} \rangle$

**lemma** *exp-eliminate-y*:  
  *and* ( $\uparrow y$ ) ( $\uparrow z$ ) = 0  $\longrightarrow$   $\text{exp}[x \ | \ y] \ \& \ z \geq \text{exp}[x \ \& \ z]$   
   $\langle \text{proof} \rangle$

**lemma** *leadingZeroBounds*:  
  **fixes**  $x :: 'a::\text{len word}$   
  **assumes**  $n = \text{numberOfLeadingZeros } x$   
  **shows**  $0 \leq n \wedge n \leq \text{Nat.size } x$   
   $\langle \text{proof} \rangle$

**lemma** *above-nth-not-set*:  
  **fixes**  $x :: \text{int64}$   
  **assumes**  $n = 64 - \text{numberOfLeadingZeros } x$   
  **shows**  $j > n \longrightarrow \neg(\text{bit } x \ j)$   
   $\langle \text{proof} \rangle$

**no-notation** *LogicNegationNotation* (!-)

**lemma** *zero-horner*:  
  *horner-sum of-bool* 2 ( $\text{map } (\lambda x. \text{False}) \ xs$ ) = 0  
   $\langle \text{proof} \rangle$

**lemma** *zero-map*:  
  **assumes**  $j \leq n$   
  **assumes**  $\forall i. j \leq i \longrightarrow \neg(f \ i)$   
  **shows**  $\text{map } f \ [0..<n] = \text{map } f \ [0..<j] \ @ \ \text{map } (\lambda x. \text{False}) \ [j..<n]$   
   $\langle \text{proof} \rangle$

**lemma** *map-join-horner*:  
  **assumes**  $\text{map } f \ [0..<n] = \text{map } f \ [0..<j] \ @ \ \text{map } (\lambda x. \text{False}) \ [j..<n]$   
  **shows** *horner-sum of-bool* (2::*a*::*len word*) ( $\text{map } f \ [0..<n]$ ) = *horner-sum of-bool*  
  2 ( $\text{map } f \ [0..<j]$ )  
   $\langle \text{proof} \rangle$

**lemma** *split-horner*:

**assumes**  $j \leq n$

**assumes**  $\forall i. j \leq i \longrightarrow \neg(f\ i)$

**shows** *horner-sum of-bool* ( $2::'a::\text{len word}$ ) ( $\text{map } f\ [0..<n]$ ) = *horner-sum of-bool*  
 $2\ (\text{map } f\ [0..<j])$   
(*proof*)

**lemma** *transfer-map*:

**assumes**  $\forall i. i < n \longrightarrow f\ i = f'\ i$

**shows** ( $\text{map } f\ [0..<n]$ ) = ( $\text{map } f'\ [0..<n]$ )

(*proof*)

**lemma** *transfer-horner*:

**assumes**  $\forall i. i < n \longrightarrow f\ i = f'\ i$

**shows** *horner-sum of-bool* ( $2::'a::\text{len word}$ ) ( $\text{map } f\ [0..<n]$ ) = *horner-sum of-bool*  
 $2\ (\text{map } f'\ [0..<n])$   
(*proof*)

**lemma** *L1*:

**assumes**  $n = 64 - \text{numberOfLeadingZeros } (\uparrow z)$

**assumes**  $[m, p] \vdash z \mapsto \text{IntVal } b\ zv$

**shows**  $\text{and } v\ zv = \text{and } (v \bmod 2^{\wedge}n)\ zv$

(*proof*)

**lemma** *up-mask-upper-bound*:

**assumes**  $[m, p] \vdash x \mapsto \text{IntVal } b\ xv$

**shows**  $xv \leq (\uparrow x)$

(*proof*)

**lemma** *L2*:

**assumes**  $\text{numberOfLeadingZeros } (\uparrow z) + \text{numberOfTrailingZeros } (\uparrow y) \geq 64$

**assumes**  $n = 64 - \text{numberOfLeadingZeros } (\uparrow z)$

**assumes**  $[m, p] \vdash z \mapsto \text{IntVal } b\ zv$

**assumes**  $[m, p] \vdash y \mapsto \text{IntVal } b\ yv$

**shows**  $yv \bmod 2^{\wedge}n = 0$

(*proof*)

**thm-oracles** *L1 L2*

**lemma** *unfold-binary-width-add*:

**shows** ( $[m, p] \vdash \text{BinaryExpr BinAdd } xe\ ye \mapsto \text{IntVal } b\ val$ ) = ( $\exists\ x\ y.$

$([m, p] \vdash xe \mapsto \text{IntVal } b\ x) \wedge$

$([m, p] \vdash ye \mapsto \text{IntVal } b\ y) \wedge$

$(\text{IntVal } b\ val = \text{bin-eval BinAdd } (\text{IntVal } b\ x)\ (\text{IntVal } b\ y)) \wedge$

$(\text{IntVal } b\ val \neq \text{UndefVal})$

$)$ ) (**is**  $?L = ?R$ )

(*proof*)

**lemma** *unfold-binary-width-and*:

**shows**  $([m,p] \vdash \text{BinaryExpr BinAnd } xe \ ye \mapsto \text{IntVal } b \ \text{val}) = (\exists \ x \ y.$   
     $(([m,p] \vdash xe \mapsto \text{IntVal } b \ x) \wedge$   
     $([m,p] \vdash ye \mapsto \text{IntVal } b \ y) \wedge$   
     $(\text{IntVal } b \ \text{val} = \text{bin-eval BinAnd } (\text{IntVal } b \ x) (\text{IntVal } b \ y)) \wedge$   
     $(\text{IntVal } b \ \text{val} \neq \text{UndefVal})$   
     $)$ ) **(is ?L = ?R)**  
*<proof>*

**lemma** *mod-dist-over-add-right*:

**fixes**  $a \ b \ c :: \text{int64}$   
**fixes**  $n :: \text{nat}$   
**assumes**  $0 < n$   
**assumes**  $n < 64$   
**shows**  $(a + b \ \text{mod } 2^n) \ \text{mod } 2^n = (a + b) \ \text{mod } 2^n$   
*<proof>*

**lemma** *numberOfLeadingZeros-range*:

$0 \leq \text{numberOfLeadingZeros } n \wedge \text{numberOfLeadingZeros } n \leq \text{Nat.size } n$   
*<proof>*

**lemma** *improved-opt*:

**assumes**  $\text{numberOfLeadingZeros } (\uparrow z) + \text{numberOfTrailingZeros } (\uparrow y) \geq 64$   
**shows**  $\text{exp}[(x + y) \ \& \ z] \geq \text{exp}[x \ \& \ z]$   
*<proof>*

**thm-oracles** *improved-opt*

**end**

**phase** *NewAnd*

**terminating** *size*

**begin**

**optimization** *redundant-lhs-y-or*:  $((x \mid y) \ \& \ z) \mapsto x \ \& \ z$   
     $\text{when } (((\text{and } (\text{IRExpr-up } y) (\text{IRExpr-up } z)) = 0))$   
*<proof>*

**optimization** *redundant-lhs-x-or*:  $((x \mid y) \ \& \ z) \mapsto y \ \& \ z$   
     $\text{when } (((\text{and } (\text{IRExpr-up } x) (\text{IRExpr-up } z)) = 0))$   
*<proof>*

**optimization** *redundant-rhs-y-or*:  $(z \ \& \ (x \mid y)) \mapsto z \ \& \ x$   
     $\text{when } (((\text{and } (\text{IRExpr-up } y) (\text{IRExpr-up } z)) = 0))$

*<proof>*

**optimization** *redundant-rhs-x-or*:  $(z \& (x \mid y)) \mapsto z \& y$   
when  $((\text{and } (\text{IRExpr-up } x) (\text{IRExpr-up } z)) = 0)$   
*<proof>*

**end**

**end**

## 11.8 NotNode Phase

**theory** *NotPhase*

**imports**

*Common*

**begin**

**phase** *NotNode*

**terminating** *size*

**begin**

**lemma** *bin-not-cancel*:

$\text{bin}[\neg(\neg(e))] = \text{bin}[e]$

*<proof>*

**lemma** *val-not-cancel*:

**assumes**  $\text{val}[\sim(\text{new-int } b \ v)] \neq \text{UndefVal}$

**shows**  $\text{val}[\sim(\sim(\text{new-int } b \ v))] = (\text{new-int } b \ v)$

*<proof>*

**lemma** *exp-not-cancel*:

$\text{exp}[\sim(\sim a)] \geq \text{exp}[a]$

*<proof>*

Optimisations

**optimization** *NotCancel*:  $\text{exp}[\sim(\sim a)] \mapsto a$

*<proof>*

**end**

**end**

## 11.9 OrNode Phase

**theory** *OrPhase*

```

imports
  Common
begin

```

```

context stamp-mask
begin

```

Taking advantage of the truth table of or operations.

#	x	y	$x y$
1	0	0	0
2	0	1	1
3	1	0	1
4	1	1	1

If row 2 never applies, that is,  $\text{canBeZero } x \ \& \ \text{canBeOne } y = 0$ , then  $(x|y) = x$ .

Likewise, if row 3 never applies,  $\text{canBeZero } y \ \& \ \text{canBeOne } x = 0$ , then  $(x|y) = y$ .

```

lemma OrLeftFallthrough:
  assumes  $(\text{and } (\text{not } (\downarrow x)) (\uparrow y)) = 0$ 
  shows  $\text{exp}[x | y] \geq \text{exp}[x]$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma OrRightFallthrough:
  assumes  $(\text{and } (\text{not } (\downarrow y)) (\uparrow x)) = 0$ 
  shows  $\text{exp}[x | y] \geq \text{exp}[y]$ 
   $\langle \text{proof} \rangle$ 

```

```

end

```

```

phase OrNode
  terminating size
begin

```

```

lemma bin-or-equal:
   $\text{bin}[x | x] = \text{bin}[x]$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma bin-shift-const-right-helper:
   $x | y = y | x$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma bin-or-not-operands:
   $(\sim x | \sim y) = (\sim(x \ \& \ y))$ 
   $\langle \text{proof} \rangle$ 

```

**lemma** *val-or-equal*:

**assumes**  $x = \text{new-int } b \ v$   
**and**  $\text{val}[x \mid x] \neq \text{UndefVal}$   
**shows**  $\text{val}[x \mid x] = \text{val}[x]$   
*<proof>*

**lemma** *val-elim-redundant-false*:

**assumes**  $x = \text{new-int } b \ v$   
**and**  $\text{val}[x \mid \text{false}] \neq \text{UndefVal}$   
**shows**  $\text{val}[x \mid \text{false}] = \text{val}[x]$   
*<proof>*

**lemma** *val-shift-const-right-helper*:

$\text{val}[x \mid y] = \text{val}[y \mid x]$   
*<proof>*

**lemma** *val-or-not-operands*:

$\text{val}[\sim x \mid \sim y] = \text{val}[\sim(x \ \& \ y)]$   
*<proof>*

**lemma** *exp-or-equal*:

$\text{exp}[x \mid x] \geq \text{exp}[x]$   
*<proof>*

**lemma** *exp-elim-redundant-false*:

$\text{exp}[x \mid \text{false}] \geq \text{exp}[x]$   
*<proof>*

Optimisations

**optimization** *OrEqual*:  $x \mid x \mapsto x$

*<proof>*

**optimization** *OrShiftConstantRight*:  $((\text{const } x) \mid y) \mapsto y \mid (\text{const } x)$  when  $\neg(\text{is-ConstantExpr } y)$

*<proof>*

**optimization** *EliminateRedundantFalse*:  $x \mid \text{false} \mapsto x$

*<proof>*

**optimization** *OrNotOperands*:  $(\sim x \mid \sim y) \mapsto \sim(x \ \& \ y)$

*<proof>*

**optimization** *OrLeftFallthrough*:

$x \mid y \mapsto x$  when  $((\text{and } (\text{not } (\text{IExpr-down } x)) (\text{IExpr-up } y)) = 0)$   
*<proof>*

**optimization** *OrRightFallthrough*:



$x \mid y \mapsto y$  when  $((\text{and } (\text{not } (\text{IExpr-down } y)) (\text{IExpr-up } x)) = 0)$   
 $\langle \text{proof} \rangle$

**end**

**end**

## 11.10 ShiftNode Phase

**theory** *ShiftPhase*

**imports**

*Common*

**begin**

**phase** *ShiftNode*

**terminating** *size*

**begin**

**fun** *intval-log2* :: *Value*  $\Rightarrow$  *Value* **where**

*intval-log2* (*IntVal* *b v*) = *IntVal* *b* (*word-of-int* (*SOME* *e. v=2<sup>e</sup>*)) |  
*intval-log2* - = *UndefVal*

**fun** *in-bounds* :: *Value*  $\Rightarrow$  *int*  $\Rightarrow$  *int*  $\Rightarrow$  *bool* **where**

*in-bounds* (*IntVal* *b v*) *l h* = (*l* < *sint* *v*  $\wedge$  *sint* *v* < *h*) |  
*in-bounds* - *l h* = *False*

**lemma**

**assumes** *in-bounds* (*intval-log2* *val-c*) 0 32

**shows**  $\text{val}[x \ll (\text{intval-log2 } \text{val-c})] = \text{val}[x * \text{val-c}]$

$\langle \text{proof} \rangle$

**lemma** *e-intval*:

$n = \text{intval-log2 } \text{val-c} \wedge \text{in-bounds } n \ 0 \ 32 \longrightarrow$

$\text{val}[x \ll (\text{intval-log2 } \text{val-c})] = \text{val}[x * \text{val-c}]$

$\langle \text{proof} \rangle$

**optimization** *e*:

$x * (\text{const } c) \mapsto x \ll (\text{const } n)$  when  $(n = \text{intval-log2 } c \wedge \text{in-bounds } n \ 0 \ 32)$

$\langle \text{proof} \rangle$

**end**

**end**

## 11.11 SignedDivNode Phase

**theory** *SignedDivPhase*

**imports**

*Common*

```

begin

phase SignedDivNode
  terminating size
begin

lemma val-division-by-one-is-self-32:
  assumes  $x = \text{new-int } 32 \ v$ 
  shows  $\text{intval-div } x \ (\text{IntVal } 32 \ 1) = x$ 
  <proof>

```

```
end
```

```
end
```

## 11.12 SignedRemNode Phase

```

theory SignedRemPhase
  imports
    Common
begin

phase SignedRemNode
  terminating size
begin

lemma val-remainder-one:
  assumes  $\text{intval-mod } x \ (\text{IntVal } 32 \ 1) \neq \text{UndefVal}$ 
  shows  $\text{intval-mod } x \ (\text{IntVal } 32 \ 1) = \text{IntVal } 32 \ 0$ 
  <proof>

```

```
value word-of-int (sint (x2::32 word) smod 1)
```

```
end
```

```
end
```

## 11.13 SubNode Phase

```

theory SubPhase
  imports
    Common
    Proofs.StampEvalThms
begin

```

**phase** *SubNode*  
**terminating** *size*  
**begin**

**lemma** *bin-sub-after-right-add:*  
**shows**  $((x::('a::len) \text{ word}) + (y::('a::len) \text{ word})) - y = x$   
 $\langle \text{proof} \rangle$

**lemma** *sub-self-is-zero:*  
**shows**  $(x::('a::len) \text{ word}) - x = 0$   
 $\langle \text{proof} \rangle$

**lemma** *bin-sub-then-left-add:*  
**shows**  $(x::('a::len) \text{ word}) - (x + (y::('a::len) \text{ word})) = -y$   
 $\langle \text{proof} \rangle$

**lemma** *bin-sub-then-left-sub:*  
**shows**  $(x::('a::len) \text{ word}) - (x - (y::('a::len) \text{ word})) = y$   
 $\langle \text{proof} \rangle$

**lemma** *bin-subtract-zero:*  
**shows**  $(x :: 'a::len \text{ word}) - (0 :: 'a::len \text{ word}) = x$   
 $\langle \text{proof} \rangle$

**lemma** *bin-sub-negative-value:*  
 $(x :: ('a::len) \text{ word}) - (-(y :: ('a::len) \text{ word})) = x + y$   
 $\langle \text{proof} \rangle$

**lemma** *bin-sub-self-is-zero:*  
 $(x :: ('a::len) \text{ word}) - x = 0$   
 $\langle \text{proof} \rangle$

**lemma** *bin-sub-negative-const:*  
 $(x :: 'a::len \text{ word}) - (-(y :: 'a::len \text{ word})) = x + y$   
 $\langle \text{proof} \rangle$

**lemma** *val-sub-after-right-add-2:*  
**assumes**  $x = \text{new-int } b \ v$   
**assumes**  $\text{val}[(x + y) - y] \neq \text{UndefVal}$   
**shows**  $\text{val}[(x + y) - y] = x$   
 $\langle \text{proof} \rangle$

**lemma** *val-sub-after-left-sub:*  
**assumes**  $\text{val}[(x - y) - x] \neq \text{UndefVal}$   
**shows**  $\text{val}[(x - y) - x] = \text{val}[-y]$   
 $\langle \text{proof} \rangle$

**lemma** *val-sub-then-left-sub*:

**assumes**  $y = \text{new-int } b \ v$

**assumes**  $\text{val}[x - (x - y)] \neq \text{UndefVal}$

**shows**  $\text{val}[x - (x - y)] = y$

*<proof>*

**lemma** *val-subtract-zero*:

**assumes**  $x = \text{new-int } b \ v$

**assumes**  $\text{val}[x - (\text{IntVal } b \ 0)] \neq \text{UndefVal}$

**shows**  $\text{val}[x - (\text{IntVal } b \ 0)] = x$

*<proof>*

**lemma** *val-zero-subtract-value*:

**assumes**  $x = \text{new-int } b \ v$

**assumes**  $\text{val}[(\text{IntVal } b \ 0) - x] \neq \text{UndefVal}$

**shows**  $\text{val}[(\text{IntVal } b \ 0) - x] = \text{val}[-x]$

*<proof>*

**lemma** *val-sub-then-left-add*:

**assumes**  $\text{val}[x - (x + y)] \neq \text{UndefVal}$

**shows**  $\text{val}[x - (x + y)] = \text{val}[-y]$

*<proof>*

**lemma** *val-sub-negative-value*:

**assumes**  $\text{val}[x - (-y)] \neq \text{UndefVal}$

**shows**  $\text{val}[x - (-y)] = \text{val}[x + y]$

*<proof>*

**lemma** *val-sub-self-is-zero*:

**assumes**  $x = \text{new-int } b \ v \wedge \text{val}[x - x] \neq \text{UndefVal}$

**shows**  $\text{val}[x - x] = \text{new-int } b \ 0$

*<proof>*

**lemma** *val-sub-negative-const*:

**assumes**  $y = \text{new-int } b \ v \wedge \text{val}[x - (-y)] \neq \text{UndefVal}$

**shows**  $\text{val}[x - (-y)] = \text{val}[x + y]$

*<proof>*

**lemma** *exp-sub-after-right-add*:

**shows**  $\text{exp}[(x + y) - y] \geq x$

*<proof>*

**lemma** *exp-sub-after-right-add2*:

**shows**  $\text{exp}[(x + y) - x] \geq y$

*<proof>*

**lemma** *exp-sub-negative-value*:

$\text{exp}[x - (-y)] \geq \text{exp}[x + y]$

$\langle proof \rangle$

**lemma** *exp-sub-then-left-sub*:

$exp[x - (x - y)] \geq y$

$\langle proof \rangle$

**thm-oracles** *exp-sub-then-left-sub*

**lemma** *SubtractZero-Exp*:

$exp[(x - (const IntVal b 0))] \geq x$

$\langle proof \rangle$

**lemma** *ZeroSubtractValue-Exp*:

**assumes** *wf-stamp x*

**assumes** *stamp-expr x = IntegerStamp b lo hi*

**assumes**  $\neg(is-ConstantExpr x)$

**shows**  $exp[(const IntVal b 0) - x] \geq exp[-x]$

$\langle proof \rangle$

Optimisations

**optimization** *SubAfterAddRight*:  $((x + y) - y) \mapsto x$

$\langle proof \rangle$

**optimization** *SubAfterAddLeft*:  $((x + y) - x) \mapsto y$

$\langle proof \rangle$

**optimization** *SubAfterSubLeft*:  $((x - y) - x) \mapsto -y$

$\langle proof \rangle$

**optimization** *SubThenAddLeft*:  $(x - (x + y)) \mapsto -y$

$\langle proof \rangle$

**optimization** *SubThenAddRight*:  $(y - (x + y)) \mapsto -x$

$\langle proof \rangle$

**optimization** *SubThenSubLeft*:  $(x - (x - y)) \mapsto y$

$\langle proof \rangle$

**optimization** *SubtractZero*:  $(x - (const IntVal b 0)) \mapsto x$

$\langle proof \rangle$

**thm-oracles** *SubtractZero*

**optimization** *SubNegativeValue*:  $(x - (-y)) \mapsto x + y$

$\langle proof \rangle$

**thm-oracles** *SubNegativeValue*

**lemma** *negate-idempotent*:

**assumes**  $x = \text{IntVal } b \ v \wedge \text{take-bit } b \ v = v$

**shows**  $x = \text{val}[-(-x)]$

*<proof>*

**optimization** *ZeroSubtractValue*:  $((\text{const IntVal } b \ 0) - x) \mapsto (-x)$   
when  $(\text{wf-stamp } x \wedge \text{stamp-expr } x = \text{IntegerStamp } b \ lo$

$hi \wedge \neg(\text{is-ConstantExpr } x))$

*<proof>*

**optimization** *SubSelfIsZero*:  $(x - x) \mapsto \text{const IntVal } b \ 0$  when  
 $(\text{wf-stamp } x \wedge \text{stamp-expr } x = \text{IntegerStamp } b \ lo \ hi)$

*<proof>*

**end**

**end**

## 11.14 XorNode Phase

**theory** *XorPhase*

**imports**

*Common*

*Proofs.StampEvalThms*

**begin**

**phase** *XorNode*

**terminating** *size*

**begin**

**lemma** *bin-xor-self-is-false*:

$\text{bin}[x \oplus x] = 0$

*<proof>*

**lemma** *bin-xor-commute*:

$\text{bin}[x \oplus y] = \text{bin}[y \oplus x]$

*<proof>*

**lemma** *bin-eliminate-redundant-false*:

$\text{bin}[x \oplus 0] = \text{bin}[x]$

*<proof>*

**lemma** *val-xor-self-is-false*:  
**assumes**  $val[x \oplus x] \neq \text{UndefVal}$   
**shows**  $val\text{-to-bool } (val[x \oplus x]) = \text{False}$   
 $\langle \text{proof} \rangle$

**lemma** *val-xor-self-is-false-2*:  
**assumes**  $val[x \oplus x] \neq \text{UndefVal}$   
**and**  $x = \text{IntVal } 32 \ v$   
**shows**  $val[x \oplus x] = \text{bool-to-val } \text{False}$   
 $\langle \text{proof} \rangle$

**lemma** *val-xor-self-is-false-3*:  
**assumes**  $val[x \oplus x] \neq \text{UndefVal} \wedge x = \text{IntVal } 64 \ v$   
**shows**  $val[x \oplus x] = \text{IntVal } 64 \ 0$   
 $\langle \text{proof} \rangle$

**lemma** *val-xor-commute*:  
 $val[x \oplus y] = val[y \oplus x]$   
 $\langle \text{proof} \rangle$

**lemma** *val-eliminate-redundant-false*:  
**assumes**  $x = \text{new-int } b \ v$   
**assumes**  $val[x \oplus (\text{bool-to-val } \text{False})] \neq \text{UndefVal}$   
**shows**  $val[x \oplus (\text{bool-to-val } \text{False})] = x$   
 $\langle \text{proof} \rangle$

**lemma** *exp-xor-self-is-false*:  
**assumes**  $wf\text{-stamp } x \wedge \text{stamp-expr } x = \text{default-stamp}$   
**shows**  $exp[x \oplus x] \geq exp[\text{false}]$   
 $\langle \text{proof} \rangle$

**lemma** *exp-eliminate-redundant-false*:  
**shows**  $exp[x \oplus \text{false}] \geq exp[x]$   
 $\langle \text{proof} \rangle$

Optimisations

**optimization** *XorSelfIsFalse*:  $(x \oplus x) \mapsto \text{false}$  when  
 $(wf\text{-stamp } x \wedge \text{stamp-expr } x = \text{default-stamp})$   
 $\langle \text{proof} \rangle$

**optimization** *XorShiftConstantRight*:  $((\text{const } x) \oplus y) \mapsto y \oplus (\text{const } x)$  when  
 $\neg(\text{is-ConstantExpr } y)$   
 $\langle \text{proof} \rangle$

**optimization** *EliminateRedundantFalse*:  $(x \oplus \text{false}) \mapsto x$

*<proof>*

**end**

**end**

## 12 Conditional Elimination Phase

This theory presents the specification of the `ConditionalElimination` phase within the GraalVM compiler. The `ConditionalElimination` phase simplifies any condition of an *if* statement that can be implied by the conditions that dominate it. Such that if condition A implies that condition B *must* be true, the condition B is simplified to `true`.

```
if (A) {  
  if (B) {  
    ...  
  }  
}
```

We begin by defining the individual implication rules used by the phase in 12.1. These rules are then lifted to the rewriting of a condition within an *if* statement in ???. The traversal algorithm used by the compiler is specified in ???.

```
theory ConditionalElimination  
imports  
  Semantics.IRTreeEvalThms  
  Proofs.Rewrites  
  Proofs.Bisimulation  
  OptimizationDSL.Markup  
begin  
  
declare [[show-types=false]]
```

### 12.1 Implication Rules

The set of rules used for determining whether a condition,  $q_1$ , implies another condition,  $q_2$ , must be true or false.

#### 12.1.1 Structural Implication

The first method for determining if a condition can be implied by another condition, is structural implication. That is, by looking at the structure



of the conditions, we can determine the truth value. For instance,  $x \equiv y$  implies that  $x < y$  cannot be true.

**inductive**

*impliesx* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* (-  $\Rightarrow$  -) **and**  
*impliesnot* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* (-  $\Rightarrow \neg$  -) **where**  
*same*:  $q \Rightarrow q$  |  
*eq-not-less*:  $\text{exp}[x \text{ eq } y] \Rightarrow \neg \text{exp}[x < y]$  |  
*eq-not-less'*:  $\text{exp}[x \text{ eq } y] \Rightarrow \neg \text{exp}[y < x]$  |  
*less-not-less*:  $\text{exp}[x < y] \Rightarrow \neg \text{exp}[y < x]$  |  
*less-not-eq*:  $\text{exp}[x < y] \Rightarrow \neg \text{exp}[x \text{ eq } y]$  |  
*less-not-eq'*:  $\text{exp}[x < y] \Rightarrow \neg \text{exp}[y \text{ eq } x]$  |  
*negate-true*:  $\llbracket x \Rightarrow \neg y \rrbracket \Longrightarrow x \Rightarrow \text{exp}[!y]$  |  
*negate-false*:  $\llbracket x \Rightarrow y \rrbracket \Longrightarrow x \Rightarrow \neg \text{exp}[!y]$

**inductive** *implies-complete* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool option*  $\Rightarrow$  *bool* **where**

*implies*:  
 $x \Rightarrow y \Longrightarrow \text{implies-complete } x \ y \ (\text{Some } \text{True})$  |  
*impliesnot*:  
 $x \Rightarrow \neg y \Longrightarrow \text{implies-complete } x \ y \ (\text{Some } \text{False})$  |  
*fail*:  
 $\neg((x \Rightarrow y) \vee (x \Rightarrow \neg y)) \Longrightarrow \text{implies-complete } x \ y \ \text{None}$

The relation  $q_1 \Rightarrow q_2$  requires that the implication  $q_1 \longrightarrow q_2$  is known true (i.e. universally valid). The relation  $q_1 \Rightarrow \neg q_2$  requires that the implication  $q_1 \longrightarrow q_2$  is known false (i.e.  $q_1 \longrightarrow \neg q_2$  is universally valid). If neither  $q_1 \Rightarrow q_2$  nor  $q_1 \Rightarrow \neg q_2$  then the status is unknown and the condition cannot be simplified.

**fun** *implies-valid* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* (**infix**  $\rightsquigarrow$  50) **where**

*implies-valid*  $q_1 \ q_2 =$   
 $(\forall m \ p \ v1 \ v2. ([m, p] \vdash q_1 \mapsto v1) \wedge ([m, p] \vdash q_2 \mapsto v2) \longrightarrow$   
 $(\text{val-to-bool } v1 \longrightarrow \text{val-to-bool } v2))$

**fun** *impliesnot-valid* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* (**infix**  $\rightsquigarrow$  50) **where**

*impliesnot-valid*  $q_1 \ q_2 =$   
 $(\forall m \ p \ v1 \ v2. ([m, p] \vdash q_1 \mapsto v1) \wedge ([m, p] \vdash q_2 \mapsto v2) \longrightarrow$   
 $(\text{val-to-bool } v1 \longrightarrow \neg \text{val-to-bool } v2))$

The relation  $q_1 \rightsquigarrow q_2$  means  $q_1 \longrightarrow q_2$  is universally valid, and the relation  $q_1 \rightsquigarrow \neg q_2$  means  $q_1 \longrightarrow \neg q_2$  is universally valid.

**lemma** *eq-not-less-val*:

$\text{val-to-bool}(\text{val}[v1 \text{ eq } v2]) \longrightarrow \neg \text{val-to-bool}(\text{val}[v1 < v2])$   
 $\langle \text{proof} \rangle$

**lemma** *eq-not-less'-val*:

$\text{val-to-bool}(\text{val}[v1 \text{ eq } v2]) \longrightarrow \neg \text{val-to-bool}(\text{val}[v2 < v1])$   
 $\langle \text{proof} \rangle$

**lemma** *less-not-less-val*:

$val\text{-to-bool}(val[v1 < v2]) \longrightarrow \neg val\text{-to-bool}(val[v2 < v1])$   
 $\langle proof \rangle$

**lemma** *less-not-eq-val*:

$val\text{-to-bool}(val[v1 < v2]) \longrightarrow \neg val\text{-to-bool}(val[v1 eq v2])$   
 $\langle proof \rangle$

**lemma** *logic-negate-type*:

**assumes**  $[m, p] \vdash UnaryExpr UnaryLogicNegation x \mapsto v$   
**shows**  $\exists b v2. [m, p] \vdash x \mapsto IntVal b v2$   
 $\langle proof \rangle$

**lemma** *intval-logic-negation-inverse*:

**assumes**  $b > 0$   
**assumes**  $x = IntVal b v$   
**shows**  $val\text{-to-bool} (intval\text{-logic-negation } x) \longleftrightarrow \neg(val\text{-to-bool } x)$   
 $\langle proof \rangle$

**lemma** *logic-negation-relation-tree*:

**assumes**  $[m, p] \vdash y \mapsto val$   
**assumes**  $[m, p] \vdash UnaryExpr UnaryLogicNegation y \mapsto invval$   
**shows**  $val\text{-to-bool } val \longleftrightarrow \neg(val\text{-to-bool } invval)$   
 $\langle proof \rangle$

The following theorem show that the known true/false rules are valid.

**theorem** *implies-impliesnot-valid*:

**shows**  $((q1 \Rightarrow q2) \longrightarrow (q1 \mapsto q2)) \wedge$   
 $((q1 \Rightarrow \neg q2) \longrightarrow (q1 \mapsto q2))$   
**(is**  $(?imp \longrightarrow ?val) \wedge (?notimp \longrightarrow ?notval)$   
 $\langle proof \rangle$

### 12.1.2 Type Implication

The second mechanism to determine whether a condition implies another is to use the type information of the relevant nodes. For instance,  $x < (4::'a)$  implies  $x < (10::'a)$ . We can show this by strengthening the type, stamp, of the node  $x$  such that the upper bound is  $4::'a$ . Then we the second condition is reached, we know that the condition must be true by the upperbound.

The following relation corresponds to the `UnaryOpLogicNode.tryFold` and `BinaryOpLogicNode.tryFold` methods and their associated concrete implementations.

We track the refined stamps by mapping nodes to Stamps, the second parameter to *tryFold*.

**inductive** *tryFold* ::  $IRNode \Rightarrow (ID \Rightarrow Stamp) \Rightarrow bool \Rightarrow bool$

**where**

$\llbracket alwaysDistinct (stamps x) (stamps y) \rrbracket$   
 $\implies tryFold (IntegerEqualsNode x y) stamps False \mid$

```

[[neverDistinct (stamps x) (stamps y)]]
  ⇒ tryFold (IntegerEqualsNode x y) stamps True |
[[is-IntegerStamp (stamps x);
  is-IntegerStamp (stamps y);
  stpi-upper (stamps x) < stpi-lower (stamps y)]]
  ⇒ tryFold (IntegerLessThanNode x y) stamps True |
[[is-IntegerStamp (stamps x);
  is-IntegerStamp (stamps y);
  stpi-lower (stamps x) ≥ stpi-upper (stamps y)]]
  ⇒ tryFold (IntegerLessThanNode x y) stamps False

```

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ) *tryFold*  $\langle \text{proof} \rangle$

Prove that, when the stamp map is valid, the *tryFold* relation correctly predicts the output value with respect to our evaluation semantics.

**inductive-cases** *StepE*:

$g, p \vdash (nid, m, h) \rightarrow (nid', m', h)$

**lemma** *is-stamp-empty-valid*:

**assumes** *is-stamp-empty s*  
**shows**  $\neg(\exists \text{val. valid-value val s})$   
 $\langle \text{proof} \rangle$

**lemma** *join-valid*:

**assumes** *is-IntegerStamp s1*  $\wedge$  *is-IntegerStamp s2*  
**assumes** *valid-stamp s1*  $\wedge$  *valid-stamp s2*  
**shows**  $(\text{valid-value } v \text{ s1} \wedge \text{valid-value } v \text{ s2}) = \text{valid-value } v \text{ (join s1 s2)}$  (**is** *?lhs*  
 $=$  *?rhs*)  
 $\langle \text{proof} \rangle$

**lemma** *alwaysDistinct-evaluate*:

**assumes** *wf-stamp g stamps*  
**assumes** *alwaysDistinct (stamps x) (stamps y)*  
**assumes** *is-IntegerStamp (stamps x)*  $\wedge$  *is-IntegerStamp (stamps y)*  $\wedge$  *valid-stamp*  
 $(\text{stamps } x) \wedge \text{valid-stamp } (\text{stamps } y)$   
**shows**  $\neg(\exists \text{val. } ([g, m, p] \vdash x \mapsto \text{val}) \wedge ([g, m, p] \vdash y \mapsto \text{val}))$   
 $\langle \text{proof} \rangle$

**lemma** *alwaysDistinct-valid*:

**assumes** *wf-stamp g stamps*  
**assumes** *kind g nid = (IntegerEqualsNode x y)*  
**assumes**  $[g, m, p] \vdash \text{nid} \mapsto v$   
**assumes** *alwaysDistinct (stamps x) (stamps y)*  
**shows**  $\neg(\text{val-to-bool } v)$   
 $\langle \text{proof} \rangle$

**thm-oracles** *alwaysDistinct-valid*

**lemma** *unwrap-valid*:

**assumes**  $0 < b \wedge b \leq 64$   
**assumes**  $\text{take-bit } (b::\text{nat}) \text{ (} vv::64 \text{ word)} = vv$   
**shows**  $(vv::64 \text{ word}) = \text{take-bit } b \text{ (word-of-int (int-signed-value (b::nat) (vv::64 word)))}$   
 <proof>

**lemma** *asConstant-valid*:  
**assumes**  $\text{asConstant } s = \text{val}$   
**assumes**  $\text{val} \neq \text{UndefVal}$   
**assumes**  $\text{valid-value } v \ s$   
**shows**  $v = \text{val}$   
 <proof>

**lemma** *neverDistinct-valid*:  
**assumes**  $\text{wf-stamp } g \ \text{stamps}$   
**assumes**  $\text{kind } g \ \text{nid} = (\text{IntegerEqualsNode } x \ y)$   
**assumes**  $[g, m, p] \vdash \text{nid} \mapsto v$   
**assumes**  $\text{neverDistinct } (\text{stamps } x) \ (\text{stamps } y)$   
**shows**  $\text{val-to-bool } v$   
 <proof>

**lemma** *stampUnder-valid*:  
**assumes**  $\text{wf-stamp } g \ \text{stamps}$   
**assumes**  $\text{kind } g \ \text{nid} = (\text{IntegerLessThanNode } x \ y)$   
**assumes**  $[g, m, p] \vdash \text{nid} \mapsto v$   
**assumes**  $\text{stpi-upper } (\text{stamps } x) < \text{stpi-lower } (\text{stamps } y)$   
**shows**  $\text{val-to-bool } v$   
 <proof>

**lemma** *stampOver-valid*:  
**assumes**  $\text{wf-stamp } g \ \text{stamps}$   
**assumes**  $\text{kind } g \ \text{nid} = (\text{IntegerLessThanNode } x \ y)$   
**assumes**  $[g, m, p] \vdash \text{nid} \mapsto v$   
**assumes**  $\text{stpi-lower } (\text{stamps } x) \geq \text{stpi-upper } (\text{stamps } y)$   
**shows**  $\neg(\text{val-to-bool } v)$   
 <proof>

**theorem** *tryFoldTrue-valid*:  
**assumes**  $\text{wf-stamp } g \ \text{stamps}$   
**assumes**  $\text{tryFold } (\text{kind } g \ \text{nid}) \ \text{stamps } \text{True}$   
**assumes**  $[g, m, p] \vdash \text{nid} \mapsto v$   
**shows**  $\text{val-to-bool } v$   
 <proof>

**theorem** *tryFoldFalse-valid*:  
**assumes**  $\text{wf-stamp } g \ \text{stamps}$   
**assumes**  $\text{tryFold } (\text{kind } g \ \text{nid}) \ \text{stamps } \text{False}$   
**assumes**  $[g, m, p] \vdash \text{nid} \mapsto v$   
**shows**  $\neg(\text{val-to-bool } v)$

*<proof>*

## 12.2 Lift rules

**inductive** *condset-implies* :: *IRExpr set*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool* **where**

*impliesTrue*:

$(\exists ce \in \text{conds} . (ce \Rightarrow \text{cond})) \Longrightarrow \text{condset-implies } \text{conds } \text{cond } \text{True} \mid$

*impliesFalse*:

$(\exists ce \in \text{conds} . (ce \Rightarrow \neg \text{cond})) \Longrightarrow \text{condset-implies } \text{conds } \text{cond } \text{False}$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ) *condset-implies* *<proof>*

The *cond-implies* function lifts the structural and type implication rules to the one relation.

**fun** *conds-implies* :: *IRExpr set*  $\Rightarrow$  (*ID*  $\Rightarrow$  *Stamp*)  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool option* **where**

*conds-implies* *conds* *stamps* *condNode* *cond* =

(*if* *condset-implies* *conds* *cond* *True*  $\vee$  *tryFold* *condNode* *stamps* *True*  
then *Some True*

*else if* *condset-implies* *conds* *cond* *False*  $\vee$  *tryFold* *condNode* *stamps* *False*  
then *Some False*

*else None*)

Perform conditional elimination rewrites on the graph for a particular node by lifting the individual implication rules to a relation that rewrites the condition of *if* statements to constant values.

In order to determine conditional eliminations appropriately the rule needs two data structures produced by static analysis. The first parameter is the set of IRNodes that we know result in a true value when evaluated. The second parameter is a mapping from node identifiers to the flow-sensitive stamp.

**inductive** *ConditionalEliminationStep* ::

*IRExpr set*  $\Rightarrow$  (*ID*  $\Rightarrow$  *Stamp*)  $\Rightarrow$  *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool*

**where**

*impliesTrue*:

$\llbracket \text{kind } g \text{ ifcond} = (\text{IfNode } cid \text{ } t \text{ } f);$

$g \vdash cid \simeq \text{cond};$

$\text{condNode} = \text{kind } g \text{ } cid;$

$\text{conds-implies } \text{conds } \text{stamps } \text{condNode } \text{cond} = (\text{Some } \text{True});$

$g' = \text{constantCondition } \text{True } \text{ifcond } (\text{kind } g \text{ } \text{ifcond}) \text{ } g$

$\rrbracket \Longrightarrow \text{ConditionalEliminationStep } \text{conds } \text{stamps } \text{ifcond } g \text{ } g' \mid$

*impliesFalse*:

$\llbracket \text{kind } g \text{ ifcond} = (\text{IfNode } cid \text{ } t \text{ } f);$

$g \vdash cid \simeq \text{cond};$

$\text{condNode} = \text{kind } g \text{ } cid;$

$\text{conds-implies } \text{conds } \text{stamps } \text{condNode } \text{cond} = (\text{Some } \text{False});$

$g' = \text{constantCondition } \text{False } \text{ifcond } (\text{kind } g \text{ } \text{ifcond}) \text{ } g$

]]  $\implies$  *ConditionalEliminationStep* *conds stamps ifcond g g' |*

*unknown:*

[[*kind g ifcond = (IfNode cid t f);*  
*g  $\vdash$  cid  $\simeq$  cond;*  
*condNode = kind g cid;*  
*conds-implies conds stamps condNode cond = None*  
 ]] $\implies$  *ConditionalEliminationStep* *conds stamps ifcond g g |*

*notIfNode:*

$\neg(\text{is-IfNode } (\text{kind } g \text{ ifcond})) \implies$   
*ConditionalEliminationStep* *conds stamps ifcond g g*

**code-pred** (*modes: i  $\Rightarrow$  i  $\Rightarrow$  i  $\Rightarrow$  i  $\Rightarrow$  o  $\Rightarrow$  bool*) *ConditionalEliminationStep*  
 <proof>

**thm** *ConditionalEliminationStep.equation*

### 12.3 Control-flow Graph Traversal

**type-synonym** *Seen = ID set*

**type-synonym** *Condition = IRExpr*

**type-synonym** *Conditions = Condition list*

**type-synonym** *StampFlow = (ID  $\Rightarrow$  Stamp) list*

**type-synonym** *ToVisit = ID list*

*nextEdge* helps determine which node to traverse next by returning the first successor edge that isn't in the set of already visited nodes. If there is not an appropriate successor, *None* is returned instead.

**fun** *nextEdge* :: *Seen  $\Rightarrow$  ID  $\Rightarrow$  IRGraph  $\Rightarrow$  ID option* **where**  
*nextEdge seen nid g =*  
 (*let nids = (filter ( $\lambda$ nid'. nid'  $\notin$  seen) (successors-of (kind g nid))) in*  
 (*if length nids > 0 then Some (hd nids) else None*))

*pred* determines which node, if any, acts as the predecessor of another.

Merge nodes represent a special case wherein the predecessor exists as an input edge of the merge node, to simplify the traversal we treat only the first input end node as the predecessor, ignoring that multiple nodes may act as a successor.

For all other nodes, the predecessor is the first element of the predecessors set. Note that in a well-formed graph there should only be one element in the predecessor set.

**fun** *preds* :: *IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID list* **where**  
*preds g nid = (case kind g nid of*  
 (*MergeNode ends - -)  $\Rightarrow$  ends |*  
 -  $\Rightarrow$   
*sorted-list-of-set (IRGraph.predecessors g nid)*

)

```
fun pred :: IRGraph ⇒ ID ⇒ ID option where
  pred g nid = (case preds g nid of [] ⇒ None | x # xs ⇒ Some x)
```

When the basic block of an if statement is entered, we know that the condition of the preceding if statement must be true. As in the GraalVM compiler, we introduce the `registerNewCondition` function which roughly corresponds to `ConditionalEliminationPhase.registerNewCondition`. This method updates the flow-sensitive stamp information based on the condition which we know must be true.

```
fun clip-upper :: Stamp ⇒ int ⇒ Stamp where
  clip-upper (IntegerStamp b l h) c =
    (if c < h then (IntegerStamp b l c) else (IntegerStamp b l h)) |
  clip-upper s c = s
fun clip-lower :: Stamp ⇒ int ⇒ Stamp where
  clip-lower (IntegerStamp b l h) c =
    (if l < c then (IntegerStamp b c h) else (IntegerStamp b l c)) |
  clip-lower s c = s
```

```
fun max-lower :: Stamp ⇒ Stamp ⇒ Stamp where
  max-lower (IntegerStamp b1 xl xh) (IntegerStamp b2 yl yh) =
    (IntegerStamp b1 (max xl yl) xh) |
  max-lower xs ys = xs
```

```
fun min-higher :: Stamp ⇒ Stamp ⇒ Stamp where
  min-higher (IntegerStamp b1 xl xh) (IntegerStamp b2 yl yh) =
    (IntegerStamp b1 yl (min xh yh)) |
  min-higher xs ys = ys
```

```
fun registerNewCondition :: IRGraph ⇒ IRNode ⇒ (ID ⇒ Stamp) ⇒ (ID ⇒ Stamp) where
```

— constrain equality by joining the stamps

```
registerNewCondition g (IntegerEqualsNode x y) stamps =
  (stamps
   (x := join (stamps x) (stamps y)))
  (y := join (stamps x) (stamps y)) |
```

— constrain less than by removing overlapping stamps

```
registerNewCondition g (IntegerLessThanNode x y) stamps =
  (stamps
   (x := clip-upper (stamps x) ((stpi-lower (stamps y)) - 1)))
  (y := clip-lower (stamps y) ((stpi-upper (stamps x)) + 1)) |
```

```
registerNewCondition g (LogicNegationNode c) stamps =
```

```
(case (kind g c) of
  (IntegerLessThanNode x y) ⇒
  (stamps
   (x := max-lower (stamps x) (stamps y)))
   (y := min-higher (stamps x) (stamps y))
  | - ⇒ stamps) |
```

```
registerNewCondition g - stamps = stamps
```

```

fun hdOr :: 'a list  $\Rightarrow$  'a  $\Rightarrow$  'a where
  hdOr (x # xs) de = x |
  hdOr [] de = de

```

```

type-synonym DominatorCache = (ID, ID set) map

```

**inductive**

```

  dominators-all :: IRGraph  $\Rightarrow$  DominatorCache  $\Rightarrow$  ID  $\Rightarrow$  ID set set  $\Rightarrow$  ID list  $\Rightarrow$ 
  DominatorCache  $\Rightarrow$  ID set set  $\Rightarrow$  ID list  $\Rightarrow$  bool and
  dominators :: IRGraph  $\Rightarrow$  DominatorCache  $\Rightarrow$  ID  $\Rightarrow$  (ID set  $\times$  DominatorCache)
 $\Rightarrow$  bool where

```

```

  [[pre = []]]
   $\Rightarrow$  dominators-all g c nid doms pre c doms pre |

```

```

  [[pre = pr # xs;
    (dominators g c pr (doms', c'));
    dominators-all g c' pr (doms  $\cup$  {doms'}) xs c'' doms'' pre]]
   $\Rightarrow$  dominators-all g c nid doms pre c'' doms'' pre' |

```

```

  [[preds g nid = []]]
   $\Rightarrow$  dominators g c nid ({nid}, c) |

```

```

  [[c nid = None;
    preds g nid = x # xs;
    dominators-all g c nid {} (preds g nid) c' doms pre';
    c'' = c'(nid  $\mapsto$  ({nid}  $\cup$  ( $\cap$  doms)))]
   $\Rightarrow$  dominators g c nid (({nid}  $\cup$  ( $\cap$  doms)), c'') |

```

```

  [[c nid = Some doms]]
   $\Rightarrow$  dominators g c nid (doms, c)

```

— Trying to simplify by removing the 3rd case won't work. A base case for root nodes is required as  $\cap \emptyset = \text{coset } []$  which swallows anything unioned with it.

```

value  $\cap$  ({::nat set set)
value  $- \cap$  ({::nat set set)
value  $\cap$  ({}, {0}::nat set set)
value {0::nat}  $\cup$  ( $\cap$  {})

```

```

code-pred (modes: i  $\Rightarrow$  i  $\Rightarrow$  i  $\Rightarrow$  i  $\Rightarrow$  i  $\Rightarrow$  o  $\Rightarrow$  o  $\Rightarrow$  o  $\Rightarrow$  bool) dominators-all
<proof>

```

```

code-pred (modes: i  $\Rightarrow$  i  $\Rightarrow$  i  $\Rightarrow$  o  $\Rightarrow$  bool) dominators <proof>

```

**definition** ConditionalEliminationTest13-testSnippet2-initial :: IRGraph **where**  
 ConditionalEliminationTest13-testSnippet2-initial = irgraph [



```

(0, (StartNode (Some 2) 8), VoidStamp),
(1, (ParameterNode 0), IntegerStamp 32 (-2147483648) (2147483647)),
(2, (FrameState [] None None None), IllegalStamp),
(3, (ConstantNode (new-int 32 (0))), IntegerStamp 32 (0) (0)),
(4, (ConstantNode (new-int 32 (1))), IntegerStamp 32 (1) (1)),
(5, (IntegerLessThanNode 1 4), VoidStamp),
(6, (BeginNode 13), VoidStamp),
(7, (BeginNode 23), VoidStamp),
(8, (IfNode 5 7 6), VoidStamp),
(9, (ConstantNode (new-int 32 (-1))), IntegerStamp 32 (-1) (-1)),
(10, (IntegerEqualsNode 1 9), VoidStamp),
(11, (BeginNode 17), VoidStamp),
(12, (BeginNode 15), VoidStamp),
(13, (IfNode 10 12 11), VoidStamp),
(14, (ConstantNode (new-int 32 (-2))), IntegerStamp 32 (-2) (-2)),
(15, (StoreFieldNode 15 "org.graalvm.compiler.core.test.ConditionalEliminationTestBase::sink2"
14 (Some 16) None 19), VoidStamp),
(16, (FrameState [] None None None), IllegalStamp),
(17, (EndNode), VoidStamp),
(18, (MergeNode [17, 19] (Some 20) 21), VoidStamp),
(19, (EndNode), VoidStamp),
(20, (FrameState [] None None None), IllegalStamp),
(21, (StoreFieldNode 21 "org.graalvm.compiler.core.test.ConditionalEliminationTestBase::sink1"
3 (Some 22) None 25), VoidStamp),
(22, (FrameState [] None None None), IllegalStamp),
(23, (EndNode), VoidStamp),
(24, (MergeNode [23, 25] (Some 26) 27), VoidStamp),
(25, (EndNode), VoidStamp),
(26, (FrameState [] None None None), IllegalStamp),
(27, (StoreFieldNode 27 "org.graalvm.compiler.core.test.ConditionalEliminationTestBase::sink0"
9 (Some 28) None 29), VoidStamp),
(28, (FrameState [] None None None), IllegalStamp),
(29, (ReturnNode None None), VoidStamp)
]

```

**values** {(snd x) 13 | x. dominators ConditionalEliminationTest13-testSnippet2-initial  
Map.empty 25 x}

### inductive

*condition-of* :: IRGraph ⇒ ID ⇒ (IRExpr × IRNode) option ⇒ bool **where**  
[[Some ifcond = pred g nid;  
kind g ifcond = IfNode cond t f;

*i* = find-index nid (successors-of (kind g ifcond));  
*c* = (if i = 0 then kind g cond else LogicNegationNode cond);

```

    rep g cond ce;
    ce' = (if i = 0 then ce else UnaryExpr UnaryLogicNegation ce)]
    ==> condition-of g nid (Some (ce', c)) |

```

```

[[pred g nid = None]] ==> condition-of g nid None |
[[pred g nid = Some nid';
  ¬(is-IfNode (kind g nid'))]] ==> condition-of g nid None

```

**code-pred** (modes:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) condition-of <proof>

**fun** conditions-of-dominators :: IRGraph  $\Rightarrow$  ID list  $\Rightarrow$  Conditions  $\Rightarrow$  Conditions  
**where**

```

conditions-of-dominators g [] cds = cds |
conditions-of-dominators g (nid # nids) cds =
  (case (Predicate.the (condition-of-i-i-o g nid)) of
    None  $\Rightarrow$  conditions-of-dominators g nids cds |
    Some (expr, -)  $\Rightarrow$  conditions-of-dominators g nids (expr # cds))

```

**fun** stamps-of-dominators :: IRGraph  $\Rightarrow$  ID list  $\Rightarrow$  StampFlow  $\Rightarrow$  StampFlow  
**where**

```

stamps-of-dominators g [] stamps = stamps |
stamps-of-dominators g (nid # nids) stamps =
  (case (Predicate.the (condition-of-i-i-o g nid)) of
    None  $\Rightarrow$  stamps-of-dominators g nids stamps |
    Some (-, node)  $\Rightarrow$  stamps-of-dominators g nids
      ((registerNewCondition g node (hd stamps)) # stamps))

```

**inductive**

analyse :: IRGraph  $\Rightarrow$  DominatorCache  $\Rightarrow$  ID  $\Rightarrow$  (Conditions  $\times$  StampFlow  $\times$  DominatorCache)  $\Rightarrow$  bool **where**

```

[[dominators g c nid (doms, c');
  conditions-of-dominators g (sorted-list-of-set doms) [] = conds;
  stamps-of-dominators g (sorted-list-of-set doms) [stamp g] = stamps]]
==> analyse g c nid (conds, stamps, c')

```

**code-pred** (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) analyse <proof>

**values** {x. dominators ConditionalEliminationTest13-testSnippet2-initial Map.empty 13 x}

**values** {(conds, stamps, c).

analyse ConditionalEliminationTest13-testSnippet2-initial Map.empty 13 (conds,

```

stamps, c)}
values {(hd stamps) 1 | conds stamps c .
analyse ConditionalEliminationTest13-testSnippet2-initial Map.empty 13 (conds,
stamps, c)}
values {(hd stamps) 1 | conds stamps c .
analyse ConditionalEliminationTest13-testSnippet2-initial Map.empty 27 (conds,
stamps, c)}

```

```

fun next-nid :: IRGraph ⇒ ID set ⇒ ID ⇒ ID option where
  next-nid g seen nid = (case (kind g nid) of
    (EndNode) ⇒ Some (any-usage g nid) |
    - ⇒ nextEdge seen nid g)

```

**inductive** Step

```

:: IRGraph ⇒ (ID × Seen) ⇒ (ID × Seen) option ⇒ bool

```

**for** g **where**

— We can find a successor edge that is not in seen, go there

```

[[seen' = {nid} ∪ seen;

```

```

  Some nid' = next-nid g seen' nid;

```

```

  nid' ∉ seen]]

```

```

⇒ Step g (nid, seen) (Some (nid', seen')) |

```

— We cannot find a successor edge that is not in seen, give back None

```

[[seen' = {nid} ∪ seen;

```

```

  None = next-nid g seen' nid]]

```

```

⇒ Step g (nid, seen) None |

```

— We've already seen this node, give back None

```

[[seen' = {nid} ∪ seen;

```

```

  Some nid' = next-nid g seen' nid;

```

```

  nid' ∈ seen]] ⇒ Step g (nid, seen) None

```

**code-pred** (modes:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) Step ⟨proof⟩

```

fun nextNode :: IRGraph ⇒ Seen ⇒ (ID × Seen) option where

```

```

  nextNode g seen =

```

```

    (let toSee = sorted-list-of-set {n ∈ ids g. n ∉ seen} in

```

```

      case toSee of [] ⇒ None | (x # xs) ⇒ Some (x, seen ∪ {x}))

```

```

values {x. Step ConditionalEliminationTest13-testSnippet2-initial (17, {17,11,25,21,18,19,15,12,13,6,29,27,2
x}

```

The *ConditionalEliminationPhase* relation is responsible for combining the individual traversal steps from the *Step* relation and the optimizations from the *ConditionalEliminationStep* relation to perform a transformation of the whole graph.

**inductive** *ConditionalEliminationPhase*  
 $:: (\text{Seen} \times \text{DominatorCache}) \Rightarrow \text{IRGraph} \Rightarrow \text{IRGraph} \Rightarrow \text{bool}$   
**where**

— Can do a step and optimise for the current node  
 $\llbracket \text{nextNode } g \text{ seen} = \text{Some } (nid, \text{seen}') \rrbracket$

$\text{analyse } g \text{ c } nid \text{ (conds, flow, c')};$   
 $\text{ConditionalEliminationStep } (\text{set conds}) \text{ (hd flow) } nid \text{ } g \text{ } g';$

$\text{ConditionalEliminationPhase } (\text{seen}', \text{c}') \text{ } g' \text{ } g'' \rrbracket$   
 $\implies \text{ConditionalEliminationPhase } (\text{seen}, \text{c}) \text{ } g \text{ } g'' \mid$

$\llbracket \text{nextNode } g \text{ seen} = \text{None} \rrbracket$   
 $\implies \text{ConditionalEliminationPhase } (\text{seen}, \text{c}) \text{ } g \text{ } g$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *ConditionalEliminationPhase*  $\langle \text{proof} \rangle$

**definition** *runConditionalElimination*  $:: \text{IRGraph} \Rightarrow \text{IRGraph}$  **where**  
 $\text{runConditionalElimination } g =$   
 $(\text{Predicate.the } (\text{ConditionalEliminationPhase-}i\text{-}i\text{-}o \text{ } (\{\}, \text{Map.empty}) \text{ } g))$

**values**  $\{(doms, c') \mid doms \text{ } c'\}$   
 $\text{dominators } \text{ConditionalEliminationTest13-testSnippet2-initial } \text{Map.empty } 6 \text{ } (doms,$   
 $c')\}$

**values**  $\{(conds, stamps, c) \mid conds \text{ } stamps \text{ } c\}$   
 $\text{analyse } \text{ConditionalEliminationTest13-testSnippet2-initial } \text{Map.empty } 6 \text{ } (conds, stamps,$   
 $c)\}$

**value**  
 $(\text{nextNode}$   
 $\text{ConditionalEliminationTest13-testSnippet2-initial } \{0,1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21\})$

**lemma** *IfNodeStepE*:  $g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \implies$   
 $(\bigwedge \text{cond } tb \text{ } fb \text{ } val.$   
 $\text{kind } g \text{ } nid = \text{IfNode } \text{cond } tb \text{ } fb \implies$   
 $nid' = (\text{if } val\text{-to-bool } val \text{ then } tb \text{ else } fb) \implies$   
 $[g, m, p] \vdash \text{cond} \mapsto val \implies m' = m)$   
 $\langle \text{proof} \rangle$

**lemma** *ifNodeHasCondEvalStutter*:  
**assumes**  $(g \text{ } m \text{ } p \text{ } h \vdash nid \rightsquigarrow nid')$   
**assumes**  $\text{kind } g \text{ } nid = \text{IfNode } \text{cond } t \text{ } f$   
**shows**  $\exists v. ([g, m, p] \vdash \text{cond} \mapsto v)$   
 $\langle \text{proof} \rangle$

**lemma** *ifNodeHasCondEval*:

**assumes**  $(g, p \vdash (nid, m, h) \rightarrow (nid', m', h'))$   
**assumes**  $kind\ g\ nid = IfNode\ cond\ t\ f$   
**shows**  $\exists v. ([g, m, p] \vdash cond \mapsto v)$   
 $\langle proof \rangle$

**lemma** *replace-if-t*:

**assumes**  $kind\ g\ nid = IfNode\ cond\ tb\ fb$   
**assumes**  $[g, m, p] \vdash cond \mapsto bool$   
**assumes**  $val\text{-}to\text{-}bool\ bool$   
**assumes**  $g': g' = replace\text{-}usages\ nid\ tb\ g$   
**shows**  $\exists nid'. (g\ m\ p\ h \vdash nid \rightsquigarrow nid') \longleftrightarrow (g'\ m\ p\ h \vdash nid \rightsquigarrow nid')$   
 $\langle proof \rangle$

**lemma** *replace-if-t-imp*:

**assumes**  $kind\ g\ nid = IfNode\ cond\ tb\ fb$   
**assumes**  $[g, m, p] \vdash cond \mapsto bool$   
**assumes**  $val\text{-}to\text{-}bool\ bool$   
**assumes**  $g': g' = replace\text{-}usages\ nid\ tb\ g$   
**shows**  $\exists nid'. (g\ m\ p\ h \vdash nid \rightsquigarrow nid') \longrightarrow (g'\ m\ p\ h \vdash nid \rightsquigarrow nid')$   
 $\langle proof \rangle$

**lemma** *replace-if-f*:

**assumes**  $kind\ g\ nid = IfNode\ cond\ tb\ fb$   
**assumes**  $[g, m, p] \vdash cond \mapsto bool$   
**assumes**  $\neg(val\text{-}to\text{-}bool\ bool)$   
**assumes**  $g': g' = replace\text{-}usages\ nid\ fb\ g$   
**shows**  $\exists nid'. (g\ m\ p\ h \vdash nid \rightsquigarrow nid') \longleftrightarrow (g'\ m\ p\ h \vdash nid \rightsquigarrow nid')$   
 $\langle proof \rangle$

Prove that the individual conditional elimination rules are correct with respect to preservation of stuttering steps.

**lemma** *ConditionalEliminationStepProof*:

**assumes**  $wg: wf\text{-}graph\ g$   
**assumes**  $ws: wf\text{-}stamps\ g$   
**assumes**  $wv: wf\text{-}values\ g$   
**assumes**  $nid: nid \in ids\ g$   
**assumes**  $conds\text{-}valid: \forall c \in conds. \exists v. ([m, p] \vdash c \mapsto v) \wedge val\text{-}to\text{-}bool\ v$   
**assumes**  $ce: ConditionalEliminationStep\ conds\ stamps\ nid\ g\ g'$   
  
**shows**  $\exists nid'. (g\ m\ p\ h \vdash nid \rightsquigarrow nid') \longrightarrow (g'\ m\ p\ h \vdash nid \rightsquigarrow nid')$   
 $\langle proof \rangle$

Prove that the individual conditional elimination rules are correct with respect to finding a bisimulation between the unoptimized and optimized graphs.

**lemma** *ConditionalEliminationStepProofBisimulation*:

**assumes**  $wf: wf\text{-}graph\ g \wedge wf\text{-}stamp\ g\ stamps \wedge wf\text{-}values\ g$

**assumes** *nid*:  $nid \in ids\ g$   
**assumes** *conds-valid*:  $\forall c \in conds . \exists v. ([m, p] \vdash c \mapsto v) \wedge val\text{-to-bool}\ v$   
**assumes** *ce*: *ConditionalEliminationStep* *conds* *stamps* *nid* *g* *g'*  
**assumes** *gstep*:  $\exists h\ nid'. (g, p \vdash (nid, m, h) \rightarrow (nid', m, h))$   
  
**shows**  $nid \mid g \sim g'$   
 $\langle proof \rangle$

## experiment begin

**lemma** *inverse-succ*:  
 $\forall n' \in (succ\ g\ n). n \in ids\ g \longrightarrow n \in (predecessors\ g\ n')$   
 $\langle proof \rangle$

**lemma** *sequential-successors*:  
**assumes** *is-sequential-node* *n*  
**shows**  $successors\text{-of}\ n \neq []$   
 $\langle proof \rangle$

**lemma** *nid'-succ*:  
**assumes**  $nid \in ids\ g$   
**assumes**  $\neg(is\text{-AbstractEndNode}\ (kind\ g\ nid0))$   
**assumes**  $g, p \vdash (nid0, m0, h0) \rightarrow (nid, m, h)$   
**shows**  $nid \in succ\ g\ nid0$   
 $\langle proof \rangle$

**lemma** *nid'-pred*:  
**assumes**  $nid \in ids\ g$   
**assumes**  $\neg(is\text{-AbstractEndNode}\ (kind\ g\ nid0))$   
**assumes**  $g, p \vdash (nid0, m0, h0) \rightarrow (nid, m, h)$   
**shows**  $nid0 \in predecessors\ g\ nid$   
 $\langle proof \rangle$

**definition** *wf-pred*:  
 $wf\text{-pred}\ g = (\forall n \in ids\ g. card\ (predecessors\ g\ n) = 1)$

**lemma**  
**assumes**  $\neg(is\text{-AbstractMergeNode}\ (kind\ g\ n'))$   
**assumes** *wf-pred* *g*  
**shows**  $\exists v. predecessors\ g\ n = \{v\} \wedge pred\ g\ n' = Some\ v$   
 $\langle proof \rangle$

**lemma** *inverse-succ1*:  
**assumes**  $\neg(is\text{-AbstractEndNode}\ (kind\ g\ n'))$   
**assumes** *wf-pred* *g*  
**shows**  $\forall n' \in (succ\ g\ n). n \in ids\ g \longrightarrow Some\ n = (pred\ g\ n')$

$\langle proof \rangle$

**lemma** *BeginNodeFlow*:

**assumes**  $g, p \vdash (nid0, m0, h0) \rightarrow (nid, m, h)$

**assumes**  $Some\ ifcond = pred\ g\ nid$

**assumes**  $kind\ g\ ifcond = IfNode\ cond\ t\ f$

**assumes**  $i = find-index\ nid\ (successors-of\ (kind\ g\ ifcond))$

**shows**  $i = 0 \longleftrightarrow ([g, m, p] \vdash cond \mapsto v) \wedge val-to-bool\ v$

$\langle proof \rangle$

**end**

**end**