

# Verifying term graph optimizations using Isabelle/HOL

Isabelle/HOL Theories

April 17, 2024

## Abstract

Our objective is to formally verify the correctness of the hundreds of expression optimization rules used within the GraalVM compiler. When defining the semantics of a programming language, expressions naturally form abstract syntax trees, or, terms. However, in order to facilitate sharing of common subexpressions, modern compilers represent expressions as term graphs. Defining the semantics of term graphs is more complicated than defining the semantics of their equivalent term representations. More significantly, defining optimizations directly on term graphs and proving semantics preservation is considerably more complicated than on the equivalent term representations. On terms, optimizations can be expressed as conditional term rewriting rules, and proofs that the rewrites are semantics preserving are relatively straightforward. In this paper, we explore an approach to using term rewrites to verify term graph transformations of optimizations within the GraalVM compiler. This approach significantly reduces the overall verification effort and allows for simpler encoding of optimization rules.

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# 1 Additional Theorems about Computer Words

**theory** *JavaWords*

**imports**

*HOL-Library.Word*

*HOL-Library.Signed-Division*

*HOL-Library.Float*

*HOL-Library.LaTeXsugar*

**begin**

Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints, and char is 16-bit unsigned. E.g. an 8-bit stamp has a default range of -128..+127. And a 1-bit stamp has a default range of -1..0, surprisingly.

During calculations the smaller sizes are sign-extended to 32 bits.

**type-synonym** *int64* = 64 word — long

**type-synonym** *int32* = 32 word — int

**type-synonym** *int16* = 16 word — short

**type-synonym** *int8* = 8 word — char

**type-synonym** *int1* = 1 word — boolean

**abbreviation** *valid-int-widths* :: nat set **where**

*valid-int-widths* ≡ {1, 8, 16, 32, 64}

**type-synonym** *iwidth* = nat

**fun** *bit-bounds* :: nat ⇒ (int × int) **where**

*bit-bounds* bits = (((2 ^ bits) div 2) \* -1, ((2 ^ bits) div 2) - 1)

**definition** *logic-negate* :: ('a::len) word ⇒ 'a word **where**

*logic-negate* x = (if x = 0 then 1 else 0)

**fun** *int-signed-value* :: iwidth ⇒ int64 ⇒ int **where**

*int-signed-value* b v = sint (signed-take-bit (b - 1) v)

**fun** *int-unsigned-value* :: iwidth ⇒ int64 ⇒ int **where**

*int-unsigned-value* b v = uint v

A convenience function for directly constructing -1 values of a given bit size.

**fun** *neg-one* :: iwidth ⇒ int64 **where**

*neg-one* b = mask b

## 1.1 Bit-Shifting Operators

**definition** *shiffl* (infix << 75) **where**

*shiffl* w n = (push-bit n) w

**lemma** *shiffl-power*[simp]: (x::('a::len) word) \* (2 ^ j) = x << j

**unfolding** *shiffl-def* **apply** (induction j)

**apply simp unfolding funpow-Suc-right**  
**by** (*metis (no-types, opaque-lifting) push-bit-eq-mult*)

**lemma** ( $x::('a::len) \text{ word} * ((2 \hat{^} j) + 1) = x \ll j + x$ )  
**by** (*simp add: distrib-left*)

**lemma** ( $x::('a::len) \text{ word} * ((2 \hat{^} j) - 1) = x \ll j - x$ )  
**by** (*simp add: right-diff-distrib*)

**lemma** ( $x::('a::len) \text{ word} * ((2 \hat{^} j) + (2 \hat{^} k)) = x \ll j + x \ll k$ )  
**by** (*simp add: distrib-left*)

**lemma** ( $x::('a::len) \text{ word} * ((2 \hat{^} j) - (2 \hat{^} k)) = x \ll j - x \ll k$ )  
**by** (*simp add: right-diff-distrib*)

Unsigned shift right.

**definition** *shiftr* (**infix** >>> 75) **where**  
*shiftr w n = drop-bit n w*

**corollary** ( $255 :: 8 \text{ word} >>> (2 :: nat) = 63$ ) **by** *code-simp*

Signed shift right.

**definition** *sshiftr* ::  $'a :: len \text{ word} \Rightarrow nat \Rightarrow 'a :: len \text{ word}$  (**infix** >> 75) **where**  
*sshiftr w n = word-of-int ((sint w) div (2  $\hat{^}$  n))*

**corollary** ( $128 :: 8 \text{ word} >> 2 = 0xE0$ ) **by** *code-simp*

## 1.2 Fixed-width Word Theories

### 1.2.1 Support Lemmas for Upper/Lower Bounds

**lemma** *size32*:  $size\ v = 32$  **for**  $v :: 32 \text{ word}$   
**by** (*smt (verit, del-insts) mult.commute One-nat-def add.right-neutral add-Suc-right numeral-2-eq-2 len-of-numeral-defs(2,3) mult.right-neutral mult-Suc-right numeral-Bit0 size-word.rep-eq*)

**lemma** *size64*:  $size\ v = 64$  **for**  $v :: 64 \text{ word}$   
**by** (*metis numeral-times-numeral semiring-norm(12) semiring-norm(13) size32 len-of-numeral-defs(3) size-word.rep-eq*)

**lemma** *lower-bounds-equiv*:  
**assumes**  $0 < N$   
**shows**  $\neg(((2::int) \hat{^} (N-1))) = (2::int) \hat{^} N \text{ div } 2 * - 1$   
**by** (*simp add: assms int-power-div-base*)

**lemma** *upper-bounds-equiv*:

```

assumes  $0 < N$ 
shows  $(2::int) \wedge (N-1) = (2::int) \wedge N \text{ div } 2$ 
by (simp add: assms int-power-div-base)

```

Some min/max bounds for 64-bit words

```

lemma bit-bounds-min64:  $((fst (bit-bounds 64))) \leq (sint (v::int64))$ 
unfolding bit-bounds.simps fst-def
using sint-ge[of v] by simp

```

```

lemma bit-bounds-max64:  $((snd (bit-bounds 64))) \geq (sint (v::int64))$ 
unfolding bit-bounds.simps fst-def
using sint-lt[of v] by simp

```

Extend these min/max bounds to extracting smaller signed words using *signed\_take\_bit*.

Note: we could use *signed* to convert between bit-widths, instead of *signed\_take\_bit*. But that would have to be done separately for each bit-width type.

```

corollary sint(signed-take-bit 7 (128 :: int8)) = -128 by code-simp

```

```

ML-val  $\langle @\{thm\ signed-take-bit-decr-length-iff\} \rangle$ 
declare  $[[show-types=true]]$ 
ML-val  $\langle @\{thm\ signed-take-bit-int-less-exp\} \rangle$ 

```

```

lemma signed-take-bit-int-less-exp-word:
fixes ival :: 'a :: len word
assumes  $n < LENGTH('a)$ 
shows  $sint(signed-take-bit n ival) < (2::int) \wedge n$ 
apply transfer using assms apply auto
by (metis min.commute signed-take-bit-signed-take-bit signed-take-bit-int-less-exp)

```

```

lemma signed-take-bit-int-greater-eq-minus-exp-word:
fixes ival :: 'a :: len word
assumes  $n < LENGTH('a)$ 
shows  $-(2 \wedge n) \leq sint(signed-take-bit n ival)$ 
apply transfer using assms apply auto
by (metis min.commute signed-take-bit-signed-take-bit signed-take-bit-int-greater-eq-minus-exp)

```

```

lemma signed-take-bit-range:
fixes ival :: 'a :: len word
assumes  $n < LENGTH('a)$ 
assumes  $val = sint(signed-take-bit n ival)$ 
shows  $-(2 \wedge n) \leq val \wedge val < 2 \wedge n$ 
using signed-take-bit-int-greater-eq-minus-exp-word signed-take-bit-int-less-exp-word
using assms by blast

```

A *bit\_bounds* version of the above lemma.

**lemma** *signed-take-bit-bounds*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n \leq \text{LENGTH}('a)$   
**assumes**  $0 < n$   
**assumes**  $val = \text{sint}(\text{signed-take-bit } (n - 1) \text{ ival})$   
**shows**  $\text{fst } (\text{bit-bounds } n) \leq val \wedge val \leq \text{snd } (\text{bit-bounds } n)$   
**using** *assms signed-take-bit-range lower-bounds-equiv upper-bounds-equiv*  
**by** (*metis bit-bounds.simps fst-conv less-imp-diff-less nat-less-le sint-ge sint-lt snd-conv zle-diff1-eq*)

**lemma** *signed-take-bit-bounds64*:  
**fixes** *ival* :: int64  
**assumes**  $n \leq 64$   
**assumes**  $0 < n$   
**assumes**  $val = \text{sint}(\text{signed-take-bit } (n - 1) \text{ ival})$   
**shows**  $\text{fst } (\text{bit-bounds } n) \leq val \wedge val \leq \text{snd } (\text{bit-bounds } n)$   
**using** *assms signed-take-bit-bounds*  
**by** (*metis size64 word-size*)

**lemma** *int-signed-value-bounds*:  
**assumes**  $b1 \leq 64$   
**assumes**  $0 < b1$   
**shows**  $\text{fst } (\text{bit-bounds } b1) \leq \text{int-signed-value } b1 \text{ } v2 \wedge$   
 $\text{int-signed-value } b1 \text{ } v2 \leq \text{snd } (\text{bit-bounds } b1)$   
**using** *assms int-signed-value.simps signed-take-bit-bounds64* **by** *blast*

**lemma** *int-signed-value-range*:  
**fixes** *ival* :: int64  
**assumes**  $val = \text{int-signed-value } n \text{ ival}$   
**shows**  $-(2 \wedge (n - 1)) \leq val \wedge val < 2 \wedge (n - 1)$   
**using** *assms apply auto*  
**apply** (*smt (verit, ccfv-threshold) sint-greater-eq diff-less len-gt-0 power-strict-increasing*  
*power-less-imp-less-exp signed-take-bit-range len-num1 One-nat-def*)  
**by** (*smt (verit, ccfv-threshold) neg-equal-0-iff-equal power-0 signed-minus-1 sint-0*  
*not-gr-zero*  
*word-exp-length-eq-0 diff-less diff-zero len-gt-0 sint-less power-strict-increasing*  
*signed-take-bit-range power-less-imp-less-exp*)

Some lemmas to relate (int) bit bounds to bit-shifting values.

**lemma** *bit-bounds-lower*:  
**assumes**  $0 < \text{bits}$   
**shows**  $\text{word-of-int } (\text{fst } (\text{bit-bounds } \text{bits})) = ((-1) \ll (\text{bits} - 1))$   
**unfolding** *bit-bounds.simps fst-conv*  
**by** (*metis (mono-tags, opaque-lifting) assms(1) mult-1 mult-minus1-right mult-minus-left*  
*of-int-minus of-int-power shiftl-power upper-bounds-equiv word-numeral-alt*)

**lemma** *two-exp-div*:  
**assumes**  $0 < \text{bits}$



```

shows ((2::int) ^ bits div (2::int)) = (2::int) ^ (bits - Suc 0)
using assms by (auto simp: int-power-div-base)

```

```

declare [[show-types]]

```

Some lemmas about unsigned words smaller than 64-bit, for zero-extend operators.

```

lemma take-bit-smaller-range:
  fixes ival :: 'a :: len word
  assumes  $n < \text{LENGTH}('a)$ 
  assumes  $val = \text{sint}(\text{take-bit } n \text{ ival})$ 
  shows  $0 \leq val \wedge val < (2::int) ^ n$ 
  by (simp add: assms signed-take-bit-eq)

```

```

lemma take-bit-same-size-nochange:
  fixes ival :: 'a :: len word
  assumes  $n = \text{LENGTH}('a)$ 
  shows  $ival = \text{take-bit } n \text{ ival}$ 
  by (simp add: assms)

```

A simplification lemma for *new\_int*, showing that upper bits can be ignored.

```

lemma take-bit-redundant[simp]:
  fixes ival :: 'a :: len word
  assumes  $0 < n$ 
  assumes  $n < \text{LENGTH}('a)$ 
  shows  $\text{signed-take-bit } (n - 1) (\text{take-bit } n \text{ ival}) = \text{signed-take-bit } (n - 1) \text{ ival}$ 
proof -
  have  $\neg (n \leq n - 1)$  using assms by arith
  then have  $\bigwedge i. \text{signed-take-bit } (n - 1) (\text{take-bit } n \text{ } i) = \text{signed-take-bit } (n - 1) \text{ } i$ 
    using signed-take-bit-take-bit by (metis (mono-tags))
  then show thesis
    by blast
qed

```

```

lemma take-bit-same-size-range:
  fixes ival :: 'a :: len word
  assumes  $n = \text{LENGTH}('a)$ 
  assumes  $ival2 = \text{take-bit } n \text{ ival}$ 
  shows  $-(2 ^ n \text{ div } 2) \leq \text{sint } ival2 \wedge \text{sint } ival2 < 2 ^ n \text{ div } 2$ 
  using assms lower-bounds-equiv sint-ge sint-lt by auto

```

```

lemma take-bit-same-bounds:
  fixes ival :: 'a :: len word
  assumes  $n = \text{LENGTH}('a)$ 
  assumes  $ival2 = \text{take-bit } n \text{ ival}$ 
  shows  $\text{fst } (\text{bit-bounds } n) \leq \text{sint } ival2 \wedge \text{sint } ival2 \leq \text{snd } (\text{bit-bounds } n)$ 
  unfolding bit-bounds.simps
  using assms take-bit-same-size-range
  by force

```

Next we show that casting a word to a wider word preserves any upper/lower bounds. (These lemmas may not be needed any more, since we are not using `scast` now?)

**lemma** *scast-max-bound*:  
**assumes**  $\text{sint } (v :: 'a :: \text{len word}) < M$   
**assumes**  $\text{LENGTH}'a < \text{LENGTH}'b$   
**shows**  $\text{sint } ((\text{scast } v) :: 'b :: \text{len word}) < M$   
**using** *assms unfolding Word.scast-eq Word.sint-sbintrunc'* **by** (*simp add: sint-uint*)

**lemma** *scast-min-bound*:  
**assumes**  $M \leq \text{sint } (v :: 'a :: \text{len word})$   
**assumes**  $\text{LENGTH}'a < \text{LENGTH}'b$   
**shows**  $M \leq \text{sint } ((\text{scast } v) :: 'b :: \text{len word})$   
**using** *assms unfolding Word.scast-eq Word.sint-sbintrunc'* **by** (*simp add: sint-uint*)

**lemma** *scast-bigger-max-bound*:  
**assumes**  $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$   
**shows**  $\text{sint } \text{result} < 2 \wedge \text{LENGTH}'a \text{ div } 2$   
**using** *assms apply auto*  
**by** (*smt (verit, ccfv-SIG) assms len-gt-0 signed-scast-eq signed-take-bit-int-greater-self-iff sint-ge sint-less upper-bounds-equiv sint-lt upper-bounds-equiv scast-max-bound*)

**lemma** *scast-bigger-min-bound*:  
**assumes**  $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$   
**shows**  $-(2 \wedge \text{LENGTH}'a \text{ div } 2) \leq \text{sint } \text{result}$   
**by** (*metis upper-bounds-equiv assms len-gt-0 nat-less-le not-less scast-max-bound scast-min-bound sint-ge*)

**lemma** *scast-bigger-bit-bounds*:  
**assumes**  $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$   
**shows**  $\text{fst } (\text{bit-bounds } (\text{LENGTH}'a)) \leq \text{sint } \text{result} \wedge \text{sint } \text{result} \leq \text{snd } (\text{bit-bounds } (\text{LENGTH}'a))$   
**using** *assms scast-bigger-min-bound scast-bigger-max-bound*  
**by** *auto*

## 1.2.2 Support lemmas for take bit and signed take bit.

Lemmas for removing redundant `take_bit` wrappers.

**lemma** *take-bit-dist-addL*[*simp*]:  
**fixes**  $x :: 'a :: \text{len word}$   
**shows**  $\text{take-bit } b (\text{take-bit } b x + y) = \text{take-bit } b (x + y)$   
**proof** (*induction b*)  
**case**  $0$   
**then show** *?case*  
**by** *simp*  
**next**  
**case** (*Suc b*)

**then show** *?case*  
**by** (*simp add: add.commute mask-eqs(2) take-bit-eq-mask*)  
**qed**

**lemma** *take-bit-dist-addR[simp]*:  
**fixes**  $x :: 'a :: \text{len word}$   
**shows**  $\text{take-bit } b (x + \text{take-bit } b y) = \text{take-bit } b (x + y)$   
**using** *take-bit-dist-addL* **by** (*metis add.commute*)

**lemma** *take-bit-dist-subL[simp]*:  
**fixes**  $x :: 'a :: \text{len word}$   
**shows**  $\text{take-bit } b (\text{take-bit } b x - y) = \text{take-bit } b (x - y)$   
**by** (*metis take-bit-dist-addR uminus-add-conv-diff*)

**lemma** *take-bit-dist-subR[simp]*:  
**fixes**  $x :: 'a :: \text{len word}$   
**shows**  $\text{take-bit } b (x - \text{take-bit } b y) = \text{take-bit } b (x - y)$   
**using** *take-bit-dist-subL*  
**by** (*metis (no-types, opaque-lifting) diff-add-cancel diff-right-commute diff-self*)

**lemma** *take-bit-dist-neg[simp]*:  
**fixes**  $ix :: 'a :: \text{len word}$   
**shows**  $\text{take-bit } b (- \text{take-bit } b ix) = \text{take-bit } b (- ix)$   
**by** (*metis diff-0 take-bit-dist-subR*)

**lemma** *signed-take-bit[simp]*:  
**fixes**  $x :: 'a :: \text{len word}$   
**assumes**  $0 < b$   
**shows**  $\text{signed-take-bit } (b - 1) (\text{take-bit } b x) = \text{signed-take-bit } (b - 1) x$   
**using** *assms apply auto*  
**by** (*smt (verit, ccfv-threshold) Suc-diff-1 assms lessI linorder-not-less signed-take-bit-take-bit diff-Suc-less Suc-pred One-nat-def*)

**lemma** *mod-larger-ignore*:  
**fixes**  $a :: \text{int}$   
**fixes**  $m n :: \text{nat}$   
**assumes**  $n < m$   
**shows**  $(a \bmod 2^m) \bmod 2^n = a \bmod 2^n$   
**by** (*meson assms le-imp-power-dvd less-or-eq-imp-le mod-mod-cancel*)

**lemma** *mod-dist-over-add*:  
**fixes**  $a b c :: \text{int64}$   
**fixes**  $n :: \text{nat}$   
**assumes**  $1: 0 < n$   
**assumes**  $2: n < 64$   
**shows**  $(a \bmod 2^n + b) \bmod 2^n = (a + b) \bmod 2^n$   
**proof** –  
**have**  $3: (0 :: \text{int64}) < 2^n$

```

    using assms by (simp add: size64 word-2p-lem)
  then show ?thesis
    unfolding word-mod-2p-is-mask[OF 3]
    apply transfer
  by (metis (no-types, opaque-lifting) and.right-idem take-bit-add take-bit-eq-mask)
qed

```

### 1.3 Java min and max operators on 64-bit values

Java uses signed comparison, so we define a convenient abbreviation for this to avoid accidental mistakes, because by default the Isabelle min/max will assume unsigned words.

**abbreviation** *javaMin64* :: *int64*  $\Rightarrow$  *int64*  $\Rightarrow$  *int64* **where**  
*javaMin64 a b*  $\equiv$  (*if a  $\leq$  b then a else b*)

**abbreviation** *javaMax64* :: *int64*  $\Rightarrow$  *int64*  $\Rightarrow$  *int64* **where**  
*javaMax64 a b*  $\equiv$  (*if a  $\leq$  b then b else a*)

**end**

## 2 java.lang.Long

Utility functions from the Java Long class that Graal occasionally makes use of.

```

theory JavaLong
  imports JavaWords
           HOL-Library.FSet
begin

```

**lemma** *negative-all-set-32*:  
 $n < 32 \implies \text{bit } (-1::\text{int}32) n$   
**apply** *transfer* **by** *auto*

**definition** *MaxOrNeg* :: *nat set*  $\Rightarrow$  *int* **where**  
*MaxOrNeg s* = (*if s = {} then -1 else Max s*)

**definition** *MinOrHighest* :: *nat set*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat* **where**  
*MinOrHighest s m* = (*if s = {} then m else Min s*)

**lemma** *MaxOrNegEmpty*:  
 $\text{MaxOrNeg } s = -1 \iff s = \{\}$   
**unfolding** *MaxOrNeg-def* **by** *auto*

### 2.1 Long.highestOneBit

**definition** *highestOneBit* :: (*'a::len*) *word*  $\Rightarrow$  *int* **where**

$highestOneBit\ v = MaxOrNeg\ \{n.\ bit\ v\ n\}$

**lemma** *highestOneBitInvar*:

$highestOneBit\ v = j \implies (\forall i::nat.\ (int\ i > j \longrightarrow \neg (bit\ v\ i)))$

**apply** (*induction size v; auto*) **unfolding** *highestOneBit-def*

**by** (*metis linorder-not-less MaxOrNeg-def empty-iff finite-bit-word mem-Collect-eq of-nat-mono Max-ge*)

**lemma** *highestOneBitNeg*:

$highestOneBit\ v = -1 \longleftrightarrow v = 0$

**unfolding** *highestOneBit-def MaxOrNeg-def*

**by** (*metis Collect-empty-eq-bot bit-0-eq bit-word-eqI int-ops(2) negative-eq-positive one-neq-zero*)

**lemma** *higherBitsFalse*:

**fixes**  $v :: 'a :: len\ word$

**shows**  $i > size\ v \implies \neg (bit\ v\ i)$

**by** (*simp add: bit-word.rep-eq size-word.rep-eq*)

**lemma** *highestOneBitN*:

**assumes**  $bit\ v\ n$

**assumes**  $\forall i::nat.\ (int\ i > n \longrightarrow \neg (bit\ v\ i))$

**shows**  $highestOneBit\ v = n$

**unfolding** *highestOneBit-def MaxOrNeg-def*

**by** (*metis Max-ge Max-in all-not-in-conv assms(1) assms(2) finite-bit-word mem-Collect-eq of-nat-less-iff order-less-le*)

**lemma** *highestOneBitSize*:

**assumes**  $bit\ v\ n$

**assumes**  $n = size\ v$

**shows**  $highestOneBit\ v = n$

**by** (*metis assms(1) assms(2) not-bit-length wsst-TYs(3)*)

**lemma** *highestOneBitMax*:

$highestOneBit\ v < size\ v$

**unfolding** *highestOneBit-def MaxOrNeg-def*

**using** *higherBitsFalse*

**by** (*simp add: bit-imp-le-length size-word.rep-eq*)

**lemma** *highestOneBitAtLeast*:

**assumes**  $bit\ v\ n$

**shows**  $highestOneBit\ v \geq n$

**proof** (*induction size v*)

**case** 0

**then show** ?case **by** *simp*

**next**

**case** (*Suc x*)

**then have**  $\forall i.\ bit\ v\ i \longrightarrow i < Suc\ x$

**by** (*simp add: bit-imp-le-length wsst-TYs(3)*)  
**then show** *?case*  
**unfolding** *highestOneBit-def MaxOrNeg-def*  
**using** *assms* **by** *auto*  
**qed**

**lemma** *highestOneBitElim:*  
*highestOneBit v = n*  
 $\implies ((n = -1 \wedge v = 0) \vee (n \geq 0 \wedge \text{bit } v \ n))$   
**unfolding** *highestOneBit-def MaxOrNeg-def*  
**by** (*metis Max-in finite-bit-word le0 le-minus-one-simps(3) mem-Collect-eq of-nat-0-le-iff of-nat-eq-iff*)

A recursive implementation of `highestOneBit` that is suitable for code generation.

**fun** *highestOneBitRec* :: *nat*  $\Rightarrow$  (*'a::len*) *word*  $\Rightarrow$  *int* **where**  
*highestOneBitRec n v =*  
*(if bit v n then n*  
*else if n = 0 then -1*  
*else highestOneBitRec (n - 1) v)*

**lemma** *highestOneBitRecTrue:*  
*highestOneBitRec n v = j  $\implies j \geq 0 \implies \text{bit } v \ j$*   
**proof** (*induction n*)  
**case** *0*  
**then show** *?case*  
**by** (*metis diff-0 highestOneBitRec.simps leD of-nat-0-eq-iff of-nat-0-le-iff zle-diff1-eq*)

**next**  
**case** (*Suc n*)  
**then show** *?case*  
**by** (*metis diff-Suc-1 highestOneBitRec.elims nat.discI nat-int*)  
**qed**

**lemma** *highestOneBitRecN:*  
**assumes** *bit v n*  
**shows** *highestOneBitRec n v = n*  
**by** (*simp add: assms*)

**lemma** *highestOneBitRecMax:*  
*highestOneBitRec n v  $\leq n$*   
**by** (*induction n; simp*)

**lemma** *highestOneBitRecElim:*  
**assumes** *highestOneBitRec n v = j*  
**shows**  $((j = -1 \wedge v = 0) \vee (j \geq 0 \wedge \text{bit } v \ j))$   
**using** *assms highestOneBitRecTrue* **by** *blast*

**lemma** *highestOneBitRecZero:*

$v = 0 \implies \text{highestOneBitRec } (\text{size } v) v = -1$   
**by** (*induction rule: highestOneBitRec.induct; simp*)

**lemma** *highestOneBitRecLess*:  
**assumes**  $\neg \text{bit } v n$   
**shows**  $\text{highestOneBitRec } n v = \text{highestOneBitRec } (n - 1) v$   
**using** *assms* **by** *force*

Some lemmas that use masks to restrict highestOneBit and relate it to highestOneBitRec.

**lemma** *highestOneBitMask*:  
**assumes**  $\text{size } v = n$   
**shows**  $\text{highestOneBit } v = \text{highestOneBit } (\text{and } v (\text{mask } n))$   
**by** (*metis assms dual-order.refl lt2p-lem mask-eq-iff size-word.rep-eq*)

**lemma** *maskSmaller*:  
**fixes**  $v :: 'a :: \text{len word}$   
**assumes**  $\neg \text{bit } v n$   
**shows**  $\text{and } v (\text{mask } (\text{Suc } n)) = \text{and } v (\text{mask } n)$   
**unfolding** *bit-eq-iff*  
**by** (*metis assms bit-and-iff bit-mask-iff less-Suc-eq*)

**lemma** *highestOneBitSmaller*:  
**assumes**  $\text{size } v = \text{Suc } n$   
**assumes**  $\neg \text{bit } v n$   
**shows**  $\text{highestOneBit } v = \text{highestOneBit } (\text{and } v (\text{mask } n))$   
**by** (*metis assms highestOneBitMask maskSmaller*)

**lemma** *highestOneBitRecMask*:  
**shows**  $\text{highestOneBit } (\text{and } v (\text{mask } (\text{Suc } n))) = \text{highestOneBitRec } n v$   
**proof** (*induction n*)  
**case**  $0$   
**then have**  $\text{highestOneBit } (\text{and } v (\text{mask } (\text{Suc } 0))) = \text{highestOneBitRec } 0 v$   
**apply** *auto*  
**apply** (*smt (verit, ccfv-threshold) neg-equal-zero negative-eq-positive bit-1-iff*  
*bit-and-iff*  
*highestOneBitN*)  
**by** (*simp add: bit-iff-and-push-bit-not-eq-0 highestOneBitNeg*)  
**then show** *?case*  
**by** *presburger*  
**next**  
**case**  $(\text{Suc } n)$   
**then show** *?case*  
**proof** (*cases bit v (Suc n)*)  
**case** *True*  
**have**  $1: \text{highestOneBitRec } (\text{Suc } n) v = \text{Suc } n$   
**by** (*simp add: True*)  
**have**  $\forall i::\text{nat}. (\text{int } i > (\text{Suc } n) \longrightarrow \neg (\text{bit } (\text{and } v (\text{mask } (\text{Suc } (\text{Suc } n)))) i))$   
**by** (*simp add: bit-and-iff bit-mask-iff*)

```

then have 2: highestOneBit (and v (mask (Suc (Suc n)))) = Suc n
using True highestOneBitN
by (metis bit-take-bit-iff lessI take-bit-eq-mask)
then show ?thesis
using 1 2 by auto
next
case False
then show ?thesis
by (simp add: Suc maskSmaller)
qed
qed

```

Finally - we can use the mask lemmas to relate `highestOneBitRec` to its spec.

```

lemma highestOneBitImpl[code]:
  highestOneBit v = highestOneBitRec (size v) v
by (metis highestOneBitMask highestOneBitRecMask maskSmaller not-bit-length
  wsst-TYs(3))

```

```

lemma highestOneBit (0x5 :: int8) = 2 by code-simp

```

## 2.2 Long.lowestOneBit

```

definition lowestOneBit :: ('a::len) word  $\Rightarrow$  nat where
  lowestOneBit v = MinOrHighest {n . bit v n} (size v)

```

```

lemma max-bit: bit (v::('a::len) word) n  $\implies$  n < size v
by (simp add: bit-imp-le-length size-word.rep-eq)

```

```

lemma max-set-bit: MaxOrNeg {n . bit (v::('a::len) word) n} < Nat.size v
using max-bit unfolding MaxOrNeg-def
by force

```

## 2.3 Long.numberOfLeadingZeros

```

definition numberOfLeadingZeros :: ('a::len) word  $\Rightarrow$  nat where
  numberOfLeadingZeros v = nat (Nat.size v - highestOneBit v - 1)

```

```

lemma MaxOrNeg-neg: MaxOrNeg {} = -1
by (simp add: MaxOrNeg-def)

```

```

lemma MaxOrNeg-max: s  $\neq$  {}  $\implies$  MaxOrNeg s = Max s
by (simp add: MaxOrNeg-def)

```

```

lemma zero-no-bits:
  {n . bit 0 n} = {}
by simp

```

```

lemma highestOneBit (0::64 word) = -1

```



**by** (*simp add: MaxOrNeg-neg highestOneBit-def*)

**lemma** *numberOfLeadingZeros (0::64 word) = 64*  
**unfolding** *numberOfLeadingZeros-def* **by** (*simp add: highestOneBitImpl size64*)

**lemma** *highestOneBit-top: Max {highestOneBit (v::64 word)} < 64*  
**unfolding** *highestOneBit-def*  
**by** (*metis Max-singleton int-eq-iff-numeral max-set-bit size64*)

**lemma** *numberOfLeadingZeros-top: Max {numberOfLeadingZeros (v::64 word)} ≤ 64*  
**unfolding** *numberOfLeadingZeros-def*  
**using** *size64*  
**by** (*simp add: MaxOrNeg-def highestOneBit-def nat-le-iff*)

**lemma** *numberOfLeadingZeros-range: 0 ≤ numberOfLeadingZeros a ∧ numberOfLeadingZeros a ≤ Nat.size a*  
**unfolding** *numberOfLeadingZeros-def* **apply** *auto*  
**apply** (*induction highestOneBit a*) **apply** (*simp add: numberOfLeadingZeros-def*)  
**by** (*metis (mono-tags, opaque-lifting) leD negative-zless int-eq-iff diff-right-commute diff-self*  
*diff-zero nat-le-iff le-iff-diff-le-0 minus-diff-eq nat-0-le nat-le-linear of-nat-0-le-iff*  
*MaxOrNeg-def highestOneBit-def*)

**lemma** *leadingZerosAddHighestOne: numberOfLeadingZeros v + highestOneBit v = Nat.size v - 1*  
**unfolding** *numberOfLeadingZeros-def highestOneBit-def*  
**using** *MaxOrNeg-def int-nat-eq int-ops(6) max-bit order-less-irrefl* **by** *fastforce*

## 2.4 Long.numberOfTrailingZeros

**definition** *numberOfTrailingZeros :: ('a::len) word ⇒ nat* **where**  
*numberOfTrailingZeros v = lowestOneBit v*

**lemma** *lowestOneBit-bot: lowestOneBit (0::64 word) = 64*  
**unfolding** *lowestOneBit-def MinOrHighest-def*  
**by** (*simp add: size64*)

**lemma** *bit-zero-set-in-top: bit (-1::'a::len word) 0*  
**by** *auto*

**lemma** *nat-bot-set: (0::nat) ∈ xs → (∀ x ∈ xs . 0 ≤ x)*  
**by** *fastforce*

**lemma** *numberOfTrailingZeros (0::64 word) = 64*  
**unfolding** *numberOfTrailingZeros-def*  
**using** *lowestOneBit-bot* **by** *simp*

## 2.5 Long.reverseBytes

**fun** *reverseBytes-fun* :: ('a::len) word ⇒ nat ⇒ ('a::len) word ⇒ ('a::len) word  
**where**  
  *reverseBytes-fun* v b flip = (if (b = 0) then (flip) else  
    (reverseBytes-fun (v >> 8) (b - 8) (or (flip << 8) (take-bit 8  
v))))

## 2.6 Long.bitCount

**definition** *bitCount* :: ('a::len) word ⇒ nat **where**  
  *bitCount* v = card {n . bit v n}

**fun** *bitCount-fun* :: ('a::len) word ⇒ nat ⇒ nat **where**  
  *bitCount-fun* v n = (if (n = 0) then  
    (if (bit v n) then 1 else 0) else  
    if (bit v n) then (1 + *bitCount-fun* (v) (n - 1))  
    else (0 + *bitCount-fun* (v) (n - 1)))

**lemma** *bitCount 0 = 0*  
  **unfolding** *bitCount-def*  
  **by** (*metis card.empty zero-no-bits*)

## 2.7 Long.zeroCount

**definition** *zeroCount* :: ('a::len) word ⇒ nat **where**  
  *zeroCount* v = card {n. n < Nat.size v ∧ ¬(bit v n)}

**lemma** *zeroCount-finite*: *finite* {n. n < Nat.size v ∧ ¬(bit v n)}  
  **using** *finite-nat-set-iff-bounded* **by** *blast*

**lemma** *negone-set*:  
  *bit* (-1::('a::len) word) n ↔ n < LENGTH('a)  
  **by** *simp*

**lemma** *negone-all-bits*:  
  {n . bit (-1::('a::len) word) n} = {n . 0 ≤ n ∧ n < LENGTH('a)}  
  **using** *negone-set*  
  **by** *auto*

**lemma** *bitCount-finite*:  
  *finite* {n . bit (v::('a::len) word) n}  
  **by** *simp*

**lemma** *card-of-range*:  
  x = card {n . 0 ≤ n ∧ n < x}  
  **by** *simp*

**lemma** *range-of-nat*:

$\{(n::nat) . 0 \leq n \wedge n < x\} = \{n . n < x\}$   
**by** *simp*

**lemma** *finite-range*:  
*finite*  $\{n::nat . n < x\}$   
**by** *simp*

**lemma** *range-eq*:  
**fixes**  $x y :: nat$   
**shows**  $card \{y..<x\} = card \{y<..x\}$   
**using** *card-atLeastLessThan card-greaterThanAtMost* **by** *presburger*

**lemma** *card-of-range-bound*:  
**fixes**  $x y :: nat$   
**assumes**  $x > y$   
**shows**  $x - y = card \{n . y < n \wedge n \leq x\}$   
**proof** –  
**have** *finite*: *finite*  $\{n . y \leq n \wedge n < x\}$   
**by** *auto*  
**have** *nonempty*:  $\{n . y \leq n \wedge n < x\} \neq \{\}$   
**using** *assms* **by** *blast*  
**have** *simp*:  $\{n . y < n \wedge n \leq x\} = \{y<..x\}$   
**by** *auto*  
**have**  $x - y = card \{y<..x\}$   
**by** *auto*  
**then show** *?thesis*  
**unfolding** *simp* **by** *blast*  
**qed**

**lemma** *bitCount*  $(-1::('a::len) word) = LENGTH('a)$   
**unfolding** *bitCount-def* **using** *card-of-range*  
**by** (*metis* (*no-types*, *lifting*) *Collect-cong negone-all-bits*)

**lemma** *bitCount-range*:  
**fixes**  $n :: ('a::len) word$   
**shows**  $0 \leq bitCount n \wedge bitCount n \leq Nat.size n$   
**unfolding** *bitCount-def*  
**by** (*metis* *atLeastLessThan-iff bot-nat-0.extremum max-bit mem-Collect-eq subsetI subset-eq-atLeast0-lessThan-card*)

**lemma** *zerosAboveHighestOne*:  
 $n > highestOneBit a \implies \neg(bit a n)$   
**unfolding** *highestOneBit-def MaxOrNeg-def*  
**by** (*metis* (*mono-tags*, *opaque-lifting*) *Collect-empty-eq Max-ge finite-bit-word less-le-not-le mem-Collect-eq of-nat-le-iff*)

**lemma** *zerosBelowLowestOne*:  
**assumes**  $n < lowestOneBit a$

```

shows  $\neg(\text{bit } a \ n)$ 
proof (cases {i. bit a i} = {})
  case True
  then show ?thesis by simp
next
  case False
  have  $n < \text{Min } (\text{Collect } (\text{bit } a)) \implies \neg \text{bit } a \ n$ 
  using False by auto
  then show ?thesis
  by (metis False MinOrHighest-def assms lowestOneBit-def)
qed

```

```

lemma union-bit-sets:
  fixes  $a :: ('a::\text{len}) \ \text{word}$ 
  shows  $\{n . n < \text{Nat.size } a \wedge \text{bit } a \ n\} \cup \{n . n < \text{Nat.size } a \wedge \neg(\text{bit } a \ n)\} = \{n . n < \text{Nat.size } a\}$ 
  by fastforce

```

```

lemma disjoint-bit-sets:
  fixes  $a :: ('a::\text{len}) \ \text{word}$ 
  shows  $\{n . n < \text{Nat.size } a \wedge \text{bit } a \ n\} \cap \{n . n < \text{Nat.size } a \wedge \neg(\text{bit } a \ n)\} = \{\}$ 
  by blast

```

```

lemma qualified-bitCount:
   $\text{bitCount } v = \text{card } \{n . n < \text{Nat.size } v \wedge \text{bit } v \ n\}$ 
  by (metis (no-types, lifting) Collect-cong bitCount-def max-bit)

```

```

lemma card-eq:
  assumes  $\text{finite } x \wedge \text{finite } y \wedge \text{finite } z$ 
  assumes  $x \cup y = z$ 
  assumes  $y \cap x = \{\}$ 
  shows  $\text{card } z - \text{card } y = \text{card } x$ 
  using assms add-diff-cancel-right' card-Un-disjoint
  by (metis inf commute)

```

```

lemma card-add:
  assumes  $\text{finite } x \wedge \text{finite } y \wedge \text{finite } z$ 
  assumes  $x \cup y = z$ 
  assumes  $y \cap x = \{\}$ 
  shows  $\text{card } x + \text{card } y = \text{card } z$ 
  using assms card-Un-disjoint
  by (metis inf commute)

```

```

lemma card-add-inverses:
  assumes  $\text{finite } \{n . Q \ n \wedge \neg(P \ n)\} \wedge \text{finite } \{n . Q \ n \wedge P \ n\} \wedge \text{finite } \{n . Q \ n\}$ 
  shows  $\text{card } \{n . Q \ n \wedge P \ n\} + \text{card } \{n . Q \ n \wedge \neg(P \ n)\} = \text{card } \{n . Q \ n\}$ 
  apply (rule card-add)
  using assms apply simp

```

```

apply auto[1]
by auto

```

**lemma** *ones-zero-sum-to-width*:

$bitCount\ a + zeroCount\ a = Nat.size\ a$

**proof** –

**have** *add-cards*:  $card\ \{n.\ (\lambda n.\ n < size\ a)\ n \wedge (bit\ a\ n)\} + card\ \{n.\ (\lambda n.\ n < size\ a)\ n \wedge \neg(bit\ a\ n)\} = card\ \{n.\ (\lambda n.\ n < size\ a)\ n\}$

**apply** (*rule card-add-inverses*) **by** *simp*

**then have** ... =  $Nat.size\ a$

**by** *auto*

**then show** *?thesis*

**unfolding** *bitCount-def zeroCount-def* **using** *max-bit*

**by** (*metis (mono-tags, lifting) Collect-cong add-cards*)

**qed**

**lemma** *intersect-bitCount-helper*:

$card\ \{n.\ n < Nat.size\ a\} - bitCount\ a = card\ \{n.\ n < Nat.size\ a \wedge \neg(bit\ a\ n)\}$

**proof** –

**have** *size-def*:  $Nat.size\ a = card\ \{n.\ n < Nat.size\ a\}$

**using** *card-of-range* **by** *simp*

**have** *bitCount-def*:  $bitCount\ a = card\ \{n.\ n < Nat.size\ a \wedge bit\ a\ n\}$

**using** *qualified-bitCount* **by** *auto*

**have** *disjoint*:  $\{n.\ n < Nat.size\ a \wedge bit\ a\ n\} \cap \{n.\ n < Nat.size\ a \wedge \neg(bit\ a\ n)\} = \{\}$

**using** *disjoint-bit-sets* **by** *auto*

**have** *union*:  $\{n.\ n < Nat.size\ a \wedge bit\ a\ n\} \cup \{n.\ n < Nat.size\ a \wedge \neg(bit\ a\ n)\} = \{n.\ n < Nat.size\ a\}$

**using** *union-bit-sets* **by** *auto*

**show** *?thesis*

**unfolding** *bitCount-def*

**apply** (*rule card-eq*)

**using** *finite-range* **apply** *simp*

**using** *union* **apply** *blast*

**using** *disjoint* **by** *simp*

**qed**

**lemma** *intersect-bitCount*:

$Nat.size\ a - bitCount\ a = card\ \{n.\ n < Nat.size\ a \wedge \neg(bit\ a\ n)\}$

**using** *card-of-range intersect-bitCount-helper* **by** *auto*

**hide-fact** *intersect-bitCount-helper*

**end**

### 3 Operator Semantics

**theory** *Values*

**imports**

*JavaLong*  
**begin**

In order to properly implement the IR semantics we first introduce a type that represents runtime values. These runtime values represent the full range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and arrays.

Note that Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints, and char is 16-bit unsigned. E.g. an 8-bit stamp has a default range of -128..+127. And a 1-bit stamp has a default range of -1..0, surprisingly.

During calculations the smaller sizes are sign-extended to 32 bits, but explicit widening nodes will do that, so most binary calculations should see equal input sizes.

An object reference is an option type where the *None* object reference points to the static fields. This is examined more closely in our definition of the heap.

**type-synonym** *objref* = *nat option*  
**type-synonym** *length* = *nat*

**datatype** (*discs-sels*) *Value* =  
  *UndefVal* |

*IntVal iwidth int64* |

*ObjRef objref* |

*ObjStr string* |

*ArrayVal length Value list*

**fun** *intval-bits* :: *Value*  $\Rightarrow$  *nat* **where**  
  *intval-bits* (*IntVal b v*) = *b*

**fun** *intval-word* :: *Value*  $\Rightarrow$  *int64* **where**  
  *intval-word* (*IntVal b v*) = *v*

Converts an integer word into a Java value.

**fun** *new-int* :: *iwidth*  $\Rightarrow$  *int64*  $\Rightarrow$  *Value* **where**  
  *new-int b w* = *IntVal b (take-bit b w)*

Converts an integer word into a Java value, iff the two types are equal.

**fun** *new-int-bin* :: *iwidth*  $\Rightarrow$  *iwidth*  $\Rightarrow$  *int64*  $\Rightarrow$  *Value* **where**  
  *new-int-bin b1 b2 w* = (*if b1=b2 then new-int b1 w else UndefVal*)

```
fun array-length :: Value  $\Rightarrow$  Value where
  array-length (ArrayVal len list) = new-int 32 (word-of-nat len)
```

```
fun wf-bool :: Value  $\Rightarrow$  bool where
  wf-bool (IntVal b w) = (b = 1) |
  wf-bool - = False
```

```
fun val-to-bool :: Value  $\Rightarrow$  bool where
  val-to-bool (IntVal b val) = (if val = 0 then False else True) |
  val-to-bool val = False
```

```
fun bool-to-val :: bool  $\Rightarrow$  Value where
  bool-to-val True = (IntVal 32 1) |
  bool-to-val False = (IntVal 32 0)
```

Converts an Isabelle bool into a Java value, iff the two types are equal.

```
fun bool-to-val-bin :: iwidth  $\Rightarrow$  iwidth  $\Rightarrow$  bool  $\Rightarrow$  Value where
  bool-to-val-bin t1 t2 b = (if t1 = t2 then bool-to-val b else.UndefVal)
```

```
fun is-int-val :: Value  $\Rightarrow$  bool where
  is-int-val v = is-IntVal v
```

```
lemma neg-one-value[simp]: new-int b (neg-one b) = IntVal b (mask b)
by simp
```

```
lemma neg-one-signed[simp]:
assumes 0 < b
shows int-signed-value b (neg-one b) = -1
using assms apply auto
by (metis (no-types, lifting) Suc-pred diff-Suc-1 signed-take-bit assms signed-minus-1
  int-signed-value.simps mask-eq-take-bit-minus-one signed-take-bit-of-minus-1)
```

```
lemma word-unsigned:
shows  $\forall$  b1 v1. (IntVal b1 (word-of-int (int-unsigned-value b1 v1))) = IntVal b1
v1
by simp
```

### 3.1 Arithmetic Operators

We need to introduce arithmetic operations which agree with the JVM.

Within the JVM, bytecode arithmetic operations are performed on 32 or 64 bit integers, unboxing where appropriate.

The following collection of intval functions correspond to the JVM arithmetic operations. We merge the 32 and 64 bit operations into a single function, even though the stamp of each IRNode tells us exactly what the bit widths will be. These merged functions make it easier to do the instan-

tiation of Value as 'plus', etc. It might be worse for reasoning, because it could cause more case analysis, but this does not seem to be a problem in practice.

```
fun intval-add :: Value ⇒ Value ⇒ Value where
  intval-add (IntVal b1 v1) (IntVal b2 v2) =
    (if b1 = b2 then IntVal b1 (take-bit b1 (v1+v2)) else UndefVal) |
  intval-add - - = UndefVal
```

```
fun intval-sub :: Value ⇒ Value ⇒ Value where
  intval-sub (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (v1-v2) |
  intval-sub - - = UndefVal
```

```
fun intval-mul :: Value ⇒ Value ⇒ Value where
  intval-mul (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (v1*v2) |
  intval-mul - - = UndefVal
```

```
fun intval-div :: Value ⇒ Value ⇒ Value where
  intval-div (IntVal b1 v1) (IntVal b2 v2) =
    (if v2 = 0 then UndefVal else
     new-int-bin b1 b2 (word-of-int
      ((int-signed-value b1 v1) sdiv (int-signed-value b2 v2)))) |
  intval-div - - = UndefVal
```

```
value intval-div (IntVal 32 5) (IntVal 32 0)
```

```
fun intval-mod :: Value ⇒ Value ⇒ Value where
  intval-mod (IntVal b1 v1) (IntVal b2 v2) =
    (if v2 = 0 then UndefVal else
     new-int-bin b1 b2 (word-of-int
      ((int-signed-value b1 v1) smod (int-signed-value b2 v2)))) |
  intval-mod - - = UndefVal
```

```
fun intval-mul-high :: Value ⇒ Value ⇒ Value where
  intval-mul-high (IntVal b1 v1) (IntVal b2 v2) = (
    if (b1 = b2 ∧ b1 = 64) then (
      if (((int-signed-value b1 v1) < 0) ∨ ((int-signed-value b2 v2) < 0))
      then (
        let x1 = (v1 >> 32)           in
        let x2 = (and v1 4294967295)  in
        let y1 = (v2 >> 32)           in
        let y2 = (and v2 4294967295)  in
        let z2 = (x2 * y2)             in
```



```

let t = (x1 * y2 + (z2 >>> 32)) in
let z1 = (and t 4294967295) in
let z0 = (t >> 32) in
let z1 = (z1 + (x2 * y1)) in

```

```

let result = (x1 * y1 + z0 + (z1 >> 32)) in

```

```

(new-int b1 result)
) else (

```

```

let x1 = (v1 >>> 32) in
let y1 = (v2 >>> 32) in
let x2 = (and v1 4294967295) in
let y2 = (and v2 4294967295) in
let A = (x1 * y1) in
let B = (x2 * y2) in
let C = ((x1 + x2) * (y1 + y2)) in
let K = (C - A - B) in

```

```

let result = (((B >>> 32) + K) >>> 32) + A in

```

```

(new-int b1 result)
)
) else (
if (b1 = b2 ∧ b1 = 32) then (

```

```

let newv1 = (word-of-int (int-signed-value b1 v1)) in
let newv2 = (word-of-int (int-signed-value b1 v2)) in
let r = (newv1 * newv2) in

```

```

let result = (r >> 32) in

```

```

(new-int b1 result)
) else UndefVal
) |
intval-mul-high - - = UndefVal

```

```

fun intval-reverse-bytes :: Value ⇒ Value where
  intval-reverse-bytes (IntVal b1 v1) = (new-int b1 (reverseBytes-fun v1 b1 0)) |
  intval-reverse-bytes - = UndefVal

```

```

fun intval-bit-count :: Value ⇒ Value where
  intval-bit-count (IntVal b1 v1) = (new-int 32 (word-of-nat (bitCount-fun v1 64)))
|
  intval-bit-count - = UndefVal

```

```

fun intval-negate :: Value ⇒ Value where
  intval-negate (IntVal t v) = new-int t (- v) |

```

*intval-negate - = UndefVal*

**fun** *intval-abs* :: *Value*  $\Rightarrow$  *Value* **where**  
*intval-abs* (*IntVal* *t v*) = *new-int* *t* (if *int-signed-value* *t v* < 0 then - *v* else *v*) |  
*intval-abs - = UndefVal*

TODO: clarify which widths this should work on: just 1-bit or all?

**fun** *intval-logic-negation* :: *Value*  $\Rightarrow$  *Value* **where**  
*intval-logic-negation* (*IntVal* *b v*) = *new-int* *b* (*logic-negate* *v*) |  
*intval-logic-negation - = UndefVal*

### 3.2 Bitwise Operators

**fun** *intval-and* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-and* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *new-int-bin* *b1 b2* (*and* *v1 v2*) |  
*intval-and - - = UndefVal*

**fun** *intval-or* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-or* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *new-int-bin* *b1 b2* (*or* *v1 v2*) |  
*intval-or - - = UndefVal*

**fun** *intval-xor* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-xor* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *new-int-bin* *b1 b2* (*xor* *v1 v2*) |  
*intval-xor - - = UndefVal*

**fun** *intval-not* :: *Value*  $\Rightarrow$  *Value* **where**  
*intval-not* (*IntVal* *t v*) = *new-int* *t* (*not* *v*) |  
*intval-not - = UndefVal*

### 3.3 Comparison Operators

**fun** *intval-short-circuit-or* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-short-circuit-or* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *bool-to-val-bin* *b1 b2* (((*v1*  
 $\neq 0$ )  $\vee$  (*v2*  $\neq 0$ ))) |  
*intval-short-circuit-or - - = UndefVal*

**fun** *intval-equals* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-equals* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *bool-to-val-bin* *b1 b2* (*v1* = *v2*) |  
*intval-equals - - = UndefVal*

**fun** *intval-less-than* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-less-than* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) =  
*bool-to-val-bin* *b1 b2* (*int-signed-value* *b1 v1* < *int-signed-value* *b2 v2*) |  
*intval-less-than - - = UndefVal*

**fun** *intval-below* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-below* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *bool-to-val-bin* *b1 b2* (*v1* < *v2*) |  
*intval-below - - = UndefVal*

```

fun intval-conditional :: Value ⇒ Value ⇒ Value ⇒ Value where
  intval-conditional cond tv fv = (if (val-to-bool cond) then tv else fv)

fun intval-is-null :: Value ⇒ Value where
  intval-is-null (ObjRef (v)) = (if (v=(None)) then bool-to-val True else bool-to-val
  False) |
  intval-is-null - = UndefVal

fun intval-test :: Value ⇒ Value ⇒ Value where
  intval-test (IntVal b1 v1) (IntVal b2 v2) = bool-to-val-bin b1 b2 ((and v1 v2) =
  0) |
  intval-test - - = UndefVal

fun intval-normalize-compare :: Value ⇒ Value ⇒ Value where
  intval-normalize-compare (IntVal b1 v1) (IntVal b2 v2) =
  (if (b1 = b2) then new-int 32 (if (v1 < v2) then -1 else (if (v1 = v2) then 0
  else 1))
  else UndefVal) |
  intval-normalize-compare - - = UndefVal

fun find-index :: 'a ⇒ 'a list ⇒ nat where
  find-index - [] = 0 |
  find-index v (x # xs) = (if (x=v) then 0 else find-index v xs + 1)

definition default-values :: Value list where
  default-values = [new-int 32 0, new-int 64 0, ObjRef None]

definition short-types-32 :: string list where
  short-types-32 = ["Z", "I", "C", "B", "S"]

definition short-types-64 :: string list where
  short-types-64 = ["J"]

fun default-value :: string ⇒ Value where
  default-value n = (if (find-index n short-types-32) < (length short-types-32)
  then (default-values!0) else
  (if (find-index n short-types-64) < (length short-types-64)
  then (default-values!1)
  else (default-values!2)))

fun populate-array :: nat ⇒ Value list ⇒ string ⇒ Value list where
  populate-array len a s = (if (len = 0) then (a)
  else (a @ (populate-array (len-1) [default-value s] s)))

fun intval-new-array :: Value ⇒ string ⇒ Value where

```

```

intval-new-array (IntVal b1 v1) s = (ArrayVal (nat (int-signed-value b1 v1))
      (populate-array (nat (int-signed-value b1 v1)) [] s)) |
intval-new-array - - = UndefVal

```

```

fun intval-load-index :: Value ⇒ Value ⇒ Value where
  intval-load-index (ArrayVal len cons) (IntVal b1 v1) = (if (v1 ≥ (word-of-nat
len)) then (UndefVal)
      else (cons!(nat (int-signed-value b1
v1)))) |
  intval-load-index - - = UndefVal

```

```

fun intval-store-index :: Value ⇒ Value ⇒ Value ⇒ Value where
  intval-store-index (ArrayVal len cons) (IntVal b1 v1) val =
      (if (v1 ≥ (word-of-nat len)) then (UndefVal)
      else (ArrayVal len (list-update cons (nat (int-signed-value b1
v1)) (val)))) |
  intval-store-index - - - = UndefVal

```

```

lemma intval-equals-result:
  assumes intval-equals v1 v2 = r
  assumes r ≠ UndefVal
  shows r = IntVal 32 0 ∨ r = IntVal 32 1

```

```

proof -
  obtain b1 i1 where i1: v1 = IntVal b1 i1
    by (metis assms intval-bits.elims intval-equals.simps(2,3,4,5))
  obtain b2 i2 where i2: v2 = IntVal b2 i2
    by (smt (z3) assms intval-equals.elims)
  then have b1 = b2
    by (metis i1 assms bool-to-val-bin.elims intval-equals.simps(1))
  then show ?thesis
    using assms(1) bool-to-val.elims i1 i2 by auto
qed

```

### 3.4 Narrowing and Widening Operators

Note: we allow these operators to have `inBits=outBits`, because the Graal compiler also seems to allow that case, even though it should rarely / never arise in practice.

Some sanity checks that `take_bitN` and `signed_take_bit(N-1)` match up as expected.

```

corollary sint (signed-take-bit 0 (1 :: int32)) = -1 by code-simp
corollary sint (signed-take-bit 7 ((256 + 128) :: int64)) = -128 by code-simp
corollary sint (take-bit 7 ((256 + 128 + 64) :: int64)) = 64 by code-simp
corollary sint (take-bit 8 ((256 + 128 + 64) :: int64)) = 128 + 64 by code-simp

```

```

fun intval-narrow :: nat ⇒ nat ⇒ Value ⇒ Value where
  intval-narrow inBits outBits (IntVal b v) =
    (if inBits = b ∧ 0 < outBits ∧ outBits ≤ inBits ∧ inBits ≤ 64

```

```

    then new-int outBits v
  else UndefVal) |
  intval-narrow - - - = UndefVal

```

```

fun intval-sign-extend :: nat ⇒ nat ⇒ Value ⇒ Value where
  intval-sign-extend inBits outBits (IntVal b v) =
    (if inBits = b ∧ 0 < inBits ∧ inBits ≤ outBits ∧ outBits ≤ 64
     then new-int outBits (signed-take-bit (inBits - 1) v)
     else UndefVal) |
  intval-sign-extend - - - = UndefVal

```

```

fun intval-zero-extend :: nat ⇒ nat ⇒ Value ⇒ Value where
  intval-zero-extend inBits outBits (IntVal b v) =
    (if inBits = b ∧ 0 < inBits ∧ inBits ≤ outBits ∧ outBits ≤ 64
     then new-int outBits (take-bit inBits v)
     else UndefVal) |
  intval-zero-extend - - - = UndefVal

```

Some well-formedness results to help reasoning about narrowing and widening operators

**lemma** *intval-narrow-ok*:

```

assumes intval-narrow inBits outBits val ≠ UndefVal
shows 0 < outBits ∧ outBits ≤ inBits ∧ inBits ≤ 64 ∧ outBits ≤ 64 ∧
  is-IntVal val ∧
  intval-bits val = inBits
using assms apply (cases val; auto) apply (meson le-trans)+ by presburger

```

**lemma** *intval-sign-extend-ok*:

```

assumes intval-sign-extend inBits outBits val ≠ UndefVal
shows 0 < inBits ∧
  inBits ≤ outBits ∧ outBits ≤ 64 ∧
  is-IntVal val ∧
  intval-bits val = inBits
by (metis intval-bits.simps intval-sign-extend.elims is-IntVal-def assms)

```

**lemma** *intval-zero-extend-ok*:

```

assumes intval-zero-extend inBits outBits val ≠ UndefVal
shows 0 < inBits ∧
  inBits ≤ outBits ∧ outBits ≤ 64 ∧
  is-IntVal val ∧
  intval-bits val = inBits
by (metis intval-bits.simps intval-zero-extend.elims is-IntVal-def assms)

```

### 3.5 Bit-Shifting Operators

Note that Java shift operators use unary numeric promotion, unlike other binary operators, which use binary numeric promotion (see the Java language reference manual). This means that the left-hand input determines the output size, while the right-hand input can be any size.

```
fun shift-amount :: iwidth ⇒ int64 ⇒ nat where
  shift-amount b val = unat (and val (if b = 64 then 0x3F else 0x1f))
```

```
fun intval-left-shift :: Value ⇒ Value ⇒ Value where
  intval-left-shift (IntVal b1 v1) (IntVal b2 v2) = new-int b1 (v1 << shift-amount
b1 v2) |
  intval-left-shift - - = UndefVal
```

Signed shift is more complex, because we sometimes have to insert 1 bits at the correct point, which is at b1 bits.

```
fun intval-right-shift :: Value ⇒ Value ⇒ Value where
  intval-right-shift (IntVal b1 v1) (IntVal b2 v2) =
    (let shift = shift-amount b1 v2 in
     let ones = and (mask b1) (not (mask (b1 - shift) :: int64)) in
     (if int-signed-value b1 v1 < 0
      then new-int b1 (or ones (v1 >>> shift))
      else new-int b1 (v1 >>> shift))) |
  intval-right-shift - - = UndefVal
```

```
fun intval-uright-shift :: Value ⇒ Value ⇒ Value where
  intval-uright-shift (IntVal b1 v1) (IntVal b2 v2) = new-int b1 (v1 >>> shift-amount
b1 v2) |
  intval-uright-shift - - = UndefVal
```

### 3.5.1 Examples of Narrowing / Widening Functions

**experiment begin**

**corollary** *intval-narrow 32 8 (IntVal 32 (256 + 128)) = IntVal 8 128 by simp*

**corollary** *intval-narrow 32 8 (IntVal 32 (-2)) = IntVal 8 254 by simp*

**corollary** *intval-narrow 32 1 (IntVal 32 (-2)) = IntVal 1 0 by simp*

**corollary** *intval-narrow 32 1 (IntVal 32 (-3)) = IntVal 1 1 by simp*

**corollary** *intval-narrow 32 8 (IntVal 64 (-2)) = UndefVal by simp*

**corollary** *intval-narrow 64 8 (IntVal 32 (-2)) = UndefVal by simp*

**corollary** *intval-narrow 64 8 (IntVal 64 254) = IntVal 8 254 by simp*

**corollary** *intval-narrow 64 8 (IntVal 64 (256+127)) = IntVal 8 127 by simp*

**corollary** *intval-narrow 64 64 (IntVal 64 (-2)) = IntVal 64 (-2) by simp*

**end**

**experiment begin**

**corollary** *intval-sign-extend 8 32 (IntVal 8 (256 + 128)) = IntVal 32 (2<sup>32</sup> - 128) by simp*

**corollary** *intval-sign-extend 8 32 (IntVal 8 (-2)) = IntVal 32 (2<sup>32</sup> - 2) by simp*

**corollary** *intval-sign-extend 1 32 (IntVal 1 (-2)) = IntVal 32 0 by simp*

**corollary** *intval-sign-extend 1 32 (IntVal 1 (-3)) = IntVal 32 (mask 32) by simp*

**corollary** *intval-sign-extend* 8 32 (*IntVal* 64 254) = *UndefVal* **by** *simp*  
**corollary** *intval-sign-extend* 8 64 (*IntVal* 32 254) = *UndefVal* **by** *simp*  
**corollary** *intval-sign-extend* 8 64 (*IntVal* 8 254) = *IntVal* 64 (-2) **by** *simp*  
**corollary** *intval-sign-extend* 32 64 (*IntVal* 32 ( $2^{32} - 2$ )) = *IntVal* 64 (-2) **by**  
*simp*  
**corollary** *intval-sign-extend* 64 64 (*IntVal* 64 (-2)) = *IntVal* 64 (-2) **by** *simp*  
**end**

**experiment begin**

**corollary** *intval-zero-extend* 8 32 (*IntVal* 8 (256 + 128)) = *IntVal* 32 128 **by**  
*simp*  
**corollary** *intval-zero-extend* 8 32 (*IntVal* 8 (-2)) = *IntVal* 32 254 **by** *simp*  
**corollary** *intval-zero-extend* 1 32 (*IntVal* 1 (-1)) = *IntVal* 32 1 **by** *simp*  
**corollary** *intval-zero-extend* 1 32 (*IntVal* 1 (-2)) = *IntVal* 32 0 **by** *simp*

**corollary** *intval-zero-extend* 8 32 (*IntVal* 64 (-2)) = *UndefVal* **by** *simp*  
**corollary** *intval-zero-extend* 8 64 (*IntVal* 64 (-2)) = *UndefVal* **by** *simp*  
**corollary** *intval-zero-extend* 8 64 (*IntVal* 8 254) = *IntVal* 64 254 **by** *simp*  
**corollary** *intval-zero-extend* 32 64 (*IntVal* 32 ( $2^{32} - 2$ )) = *IntVal* 64 ( $2^{32} - 2$ ) **by** *simp*  
**corollary** *intval-zero-extend* 64 64 (*IntVal* 64 (-2)) = *IntVal* 64 (-2) **by** *simp*  
**end**

**experiment begin**

**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 0) = *IntVal* 8 128 **by** *eval*  
**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 1) = *IntVal* 8 192 **by** *eval*  
**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 2) = *IntVal* 8 224 **by** *eval*  
**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 8) = *IntVal* 8 255 **by** *eval*  
**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 31) = *IntVal* 8 255 **by** *eval*  
**end**

**lemma** *intval-add-sym*:

**shows** *intval-add* a b = *intval-add* b a

**by** (*induction* a; *induction* b; *auto simp: add commute*)

**lemma** *intval-add* (*IntVal* 32 ( $2^{31} - 1$ )) (*IntVal* 32 ( $2^{31} - 1$ )) = *IntVal* 32 ( $2^{32} - 2$ )

**by** *eval*

**lemma** *intval-add* (*IntVal* 64 ( $2^{31} - 1$ )) (*IntVal* 64 ( $2^{31} - 1$ )) = *IntVal* 64 4294967294

**by** *eval*

end

### 3.6 Fixed-width Word Theories

```
theory ValueThms
  imports Values
begin
```

#### 3.6.1 Support Lemmas for Upper/Lower Bounds

```
lemma size32: size v = 32 for v :: 32 word
  by (smt (verit, del-insts) size-word.rep-eq numeral-Bit0 numeral-2-eq-2 mult-Suc-right
  One-nat-def
      mult.commute len-of-numeral-defs(2,3) mult.right-neutral)
```

```
lemma size64: size v = 64 for v :: 64 word
  by (simp add: size64)
```

```
lemma lower-bounds-equiv:
  assumes 0 < N
  shows -(((2::int) ^ (N-1))) = (2::int) ^ N div 2 * - 1
  by (simp add: assms int-power-div-base)
```

```
lemma upper-bounds-equiv:
  assumes 0 < N
  shows (2::int) ^ (N-1) = (2::int) ^ N div 2
  by (simp add: assms int-power-div-base)
```

Some min/max bounds for 64-bit words

```
lemma bit-bounds-min64: ((fst (bit-bounds 64))) ≤ (sint (v::int64))
  using sint-ge[of v] by simp
```

```
lemma bit-bounds-max64: ((snd (bit-bounds 64))) ≥ (sint (v::int64))
  using sint-lt[of v] by simp
```

Extend these min/max bounds to extracting smaller signed words using `signed_take_bit`.

Note: we could use `signed` to convert between bit-widths, instead of `signed_take_bit`. But that would have to be done separately for each bit-width type.

```
value sint(signed-take-bit 7 (128 :: int8))
```

```
ML-val <@{thm signed-take-bit-decr-length-iff}>
declare [[show-types=true]]
ML-val <@{thm signed-take-bit-int-less-exp}>
```

```
lemma signed-take-bit-int-less-exp-word:
```



**fixes**  $ival :: 'a :: \text{len word}$   
**assumes**  $n < \text{LENGTH}('a)$   
**shows**  $\text{sint}(\text{signed-take-bit } n \text{ } ival) < (2::\text{int}) ^ n$   
**apply** *transfer*  
**by** (*smt (verit) not-take-bit-negative signed-take-bit-eq-take-bit-shift*  
*signed-take-bit-int-less-exp take-bit-int-greater-self-iff*)

**lemma** *signed-take-bit-int-greater-eq-minus-exp-word*:  
**fixes**  $ival :: 'a :: \text{len word}$   
**assumes**  $n < \text{LENGTH}('a)$   
**shows**  $-(2 ^ n) \leq \text{sint}(\text{signed-take-bit } n \text{ } ival)$   
**using** *signed-take-bit-int-greater-eq-minus-exp-word assms* **by** *blast*

**lemma** *signed-take-bit-range*:  
**fixes**  $ival :: 'a :: \text{len word}$   
**assumes**  $n < \text{LENGTH}('a)$   
**assumes**  $val = \text{sint}(\text{signed-take-bit } n \text{ } ival)$   
**shows**  $-(2 ^ n) \leq val \wedge val < 2 ^ n$   
**by** (*auto simp add: assms signed-take-bit-int-greater-eq-minus-exp-word*  
*signed-take-bit-int-less-exp-word*)

A *bit\_bounds* version of the above lemma.

**lemma** *signed-take-bit-bounds*:  
**fixes**  $ival :: 'a :: \text{len word}$   
**assumes**  $n \leq \text{LENGTH}('a)$   
**assumes**  $0 < n$   
**assumes**  $val = \text{sint}(\text{signed-take-bit } (n - 1) \text{ } ival)$   
**shows**  $\text{fst } (\text{bit-bounds } n) \leq val \wedge val \leq \text{snd } (\text{bit-bounds } n)$   
**by** (*metis bit-bounds.simps fst-conv less-imp-diff-less nat-less-le sint-ge sint-lt*  
*snd-conv*  
*zle-diff1-eq upper-bounds-equiv lower-bounds-equiv signed-take-bit-range assms*)

**lemma** *signed-take-bit-bounds64*:  
**fixes**  $ival :: \text{int64}$   
**assumes**  $n \leq 64$   
**assumes**  $0 < n$   
**assumes**  $val = \text{sint}(\text{signed-take-bit } (n - 1) \text{ } ival)$   
**shows**  $\text{fst } (\text{bit-bounds } n) \leq val \wedge val \leq \text{snd } (\text{bit-bounds } n)$   
**by** (*metis size64 word-size signed-take-bit-bounds assms*)

**lemma** *int-signed-value-bounds*:  
**assumes**  $b1 \leq 64$   
**assumes**  $0 < b1$   
**shows**  $\text{fst } (\text{bit-bounds } b1) \leq \text{int-signed-value } b1 \text{ } v2 \wedge$   
 $\text{int-signed-value } b1 \text{ } v2 \leq \text{snd } (\text{bit-bounds } b1)$   
**using** *signed-take-bit-bounds64* **by** (*simp add: assms*)

**lemma** *int-signed-value-range*:

```

fixes ival :: int64
assumes val = int-signed-value n ival
shows - (2 ^ (n - 1)) ≤ val ∧ val < 2 ^ (n - 1)
using assms int-signed-value-range by blast

```

Some lemmas about unsigned words smaller than 64-bit, for zero-extend operators.

```

lemma take-bit-smaller-range:
  fixes ival :: 'a :: len word
  assumes n < LENGTH('a)
  assumes val = sint(take-bit n ival)
  shows 0 ≤ val ∧ val < (2::int) ^ n
  by (simp add: assms signed-take-bit-eq)

```

```

lemma take-bit-same-size-nochange:
  fixes ival :: 'a :: len word
  assumes n = LENGTH('a)
  shows ival = take-bit n ival
  by (simp add: assms)

```

A simplification lemma for `new_int`, showing that upper bits can be ignored.

```

lemma take-bit-redundant[simp]:
  fixes ival :: 'a :: len word
  assumes 0 < n
  assumes n < LENGTH('a)
  shows signed-take-bit (n - 1) (take-bit n ival) = signed-take-bit (n - 1) ival
proof -
  have ¬ (n ≤ n - 1)
    using assms by simp
  then have ∧i . signed-take-bit (n - 1) (take-bit n i) = signed-take-bit (n - 1) i
    by (metis (mono-tags) signed-take-bit-take-bit)
  then show ?thesis
    by simp
qed

```

```

lemma take-bit-same-size-range:
  fixes ival :: 'a :: len word
  assumes n = LENGTH('a)
  assumes ival2 = take-bit n ival
  shows - (2 ^ n div 2) ≤ sint ival2 ∧ sint ival2 < 2 ^ n div 2
  using lower-bounds-equiv sint-ge sint-lt by (auto simp add: assms)

```

```

lemma take-bit-same-bounds:
  fixes ival :: 'a :: len word
  assumes n = LENGTH('a)
  assumes ival2 = take-bit n ival
  shows fst (bit-bounds n) ≤ sint ival2 ∧ sint ival2 ≤ snd (bit-bounds n)
  using assms take-bit-same-size-range by force

```

Next we show that casting a word to a wider word preserves any upper/lower bounds. (These lemmas may not be needed any more, since we are not using `scast` now?)

**lemma** *scast-max-bound*:  
**assumes**  $\text{sint } (v :: 'a :: \text{len word}) < M$   
**assumes**  $\text{LENGTH}('a) < \text{LENGTH}('b)$   
**shows**  $\text{sint } ((\text{scast } v) :: 'b :: \text{len word}) < M$   
**using** *scast-max-bound* *assms* **by** *fast*

**lemma** *scast-min-bound*:  
**assumes**  $M \leq \text{sint } (v :: 'a :: \text{len word})$   
**assumes**  $\text{LENGTH}('a) < \text{LENGTH}('b)$   
**shows**  $M \leq \text{sint } ((\text{scast } v) :: 'b :: \text{len word})$   
**by** (*simp* *add: scast-min-bound* *assms*)

**lemma** *scast-bigger-max-bound*:  
**assumes**  $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$   
**shows**  $\text{sint } \text{result} < 2^{\text{LENGTH}('a) \text{ div } 2}$   
**using** *assms* *scast-bigger-max-bound* **by** *blast*

**lemma** *scast-bigger-min-bound*:  
**assumes**  $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$   
**shows**  $-(2^{\text{LENGTH}('a) \text{ div } 2}) \leq \text{sint } \text{result}$   
**using** *scast-bigger-min-bound* *assms* **by** *blast*

**lemma** *scast-bigger-bit-bounds*:  
**assumes**  $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$   
**shows**  $\text{fst } (\text{bit-bounds } (\text{LENGTH}('a))) \leq \text{sint } \text{result} \wedge \text{sint } \text{result} \leq \text{snd } (\text{bit-bounds } (\text{LENGTH}('a)))$   
**by** (*auto* *simp* *add: scast-bigger-max-bound* *scast-bigger-min-bound* *assms*)

Results about *new\_int*.

**lemma** *new-int-take-bits*:  
**assumes**  $\text{IntVal } b \text{ val} = \text{new-int } b \text{ ival}$   
**shows**  $\text{take-bit } b \text{ val} = \text{val}$   
**using** *assms* **by** *simp*

### 3.6.2 Support lemmas for take bit and signed take bit.

Lemmas for removing redundant `take_bit` wrappers.

**lemma** *take-bit-dist-addL*[*simp*]:  
**fixes**  $x :: 'a :: \text{len word}$   
**shows**  $\text{take-bit } b (\text{take-bit } b \ x + y) = \text{take-bit } b (x + y)$   
**proof** (*induction*  $b$ )  
**case**  $0$   
**then show** *?case*  
**by** *simp*

```

next
  case (Suc b)
  then show ?case
    by (simp add: add.commute mask-eqs(2) take-bit-eq-mask)
qed

lemma take-bit-dist-addR[simp]:
  fixes x :: 'a :: len word
  shows take-bit b (x + take-bit b y) = take-bit b (x + y)
  by (metis add.commute take-bit-dist-addL)

lemma take-bit-dist-subL[simp]:
  fixes x :: 'a :: len word
  shows take-bit b (take-bit b x - y) = take-bit b (x - y)
  by (metis take-bit-dist-addR uminus-add-conv-diff)

lemma take-bit-dist-subR[simp]:
  fixes x :: 'a :: len word
  shows take-bit b (x - take-bit b y) = take-bit b (x - y)
  by (metis (no-types) take-bit-dist-subL diff-add-cancel diff-right-commute diff-self)

lemma take-bit-dist-neg[simp]:
  fixes ix :: 'a :: len word
  shows take-bit b (- take-bit b ix) = take-bit b (- ix)
  by (metis diff-0 take-bit-dist-subR)

lemma signed-take-bit[simp]:
  fixes x :: 'a :: len word
  assumes 0 < b
  shows signed-take-bit (b - 1) (take-bit b x) = signed-take-bit (b - 1) x
  using signed-take-bit assms by blast

lemma mod-larger-ignore:
  fixes a :: int
  fixes m n :: nat
  assumes n < m
  shows (a mod 2 ^ m) mod 2 ^ n = a mod 2 ^ n
  using mod-larger-ignore assms by blast

lemma mod-dist-over-add:
  fixes a b c :: int64
  fixes n :: nat
  assumes 1: 0 < n
  assumes 2: n < 64
  shows (a mod 2 ^ n + b) mod 2 ^ n = (a + b) mod 2 ^ n
proof -
  have 3: (0 :: int64) < 2 ^ n
  by (simp add: size64 word-2p-lem assms)

```

```

then show ?thesis
  unfolding word-mod-2p-is-mask[OF 3] apply transfer
  by (metis (no-types, opaque-lifting) and.right-idem take-bit-add take-bit-eq-mask)
qed

end

```

## 4 Stamp Typing

```

theory Stamp
  imports Values
begin

```

The GraalVM compiler uses the Stamp class to store range and type information for a given node in the IR graph. We model the Stamp class as a datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

```

datatype Stamp =
  VoidStamp
  | IntegerStamp (stp-bits: nat) (stpi-lower: int) (stpi-upper: int)

  | KlassPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | MethodCountersPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | MethodPointersStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | ObjectStamp (stp-type: string) (stp-exactType: bool) (stp-nonNull: bool) (stp-alwaysNull:
bool)
  | RawPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | IllegalStamp

```

To help with supporting masks in future, this constructor allows masks but ignores them.

```

abbreviation IntegerStampM :: nat ⇒ int ⇒ int ⇒ int64 ⇒ int64 ⇒ Stamp
where
  IntegerStampM b lo hi down up ≡ IntegerStamp b lo hi

```

```

fun is-stamp-empty :: Stamp ⇒ bool where
  is-stamp-empty (IntegerStamp b lower upper) = (upper < lower) |

  is-stamp-empty x = False

```

Just like the IntegerStamp class, we need to know that our lo/hi bounds fit into the given number of bits (either signed or unsigned). Our integer stamps have infinite lo/hi bounds, so if the lower bound is non-negative, we

can assume that all values are positive, and the integer bits of a related value can be interpreted as unsigned. This is similar (but slightly more general) to what IntegerStamp.java does with its test: if (sameSignBounds()) in the unsignedUpperBound() method.

Note that this is a bit different and more accurate than what StampFactory.forUnsignedInteger does (it widens large unsigned ranges to the max signed range to allow all bit patterns) because its lo/hi values are only 64-bit.

```
fun valid-stamp :: Stamp ⇒ bool where
  valid-stamp (IntegerStamp bits lo hi) =
    (0 < bits ∧ bits ≤ 64 ∧
     fst (bit-bounds bits) ≤ lo ∧ lo ≤ snd (bit-bounds bits) ∧
     fst (bit-bounds bits) ≤ hi ∧ hi ≤ snd (bit-bounds bits)) |
  valid-stamp s = True
```

**experiment begin**

```
corollary bit-bounds 1 = (-1, 0) by simp
end
```

— A stamp which includes the full range of the type

```
fun unrestricted-stamp :: Stamp ⇒ Stamp where
  unrestricted-stamp VoidStamp = VoidStamp |
  unrestricted-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (fst
(bit-bounds bits)) (snd (bit-bounds bits))) |

  unrestricted-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
False False) |
  unrestricted-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
False False) |
  unrestricted-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
False False) |
  unrestricted-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" False False False) |
  unrestricted-stamp - = IllegalStamp
```

```
fun is-stamp-unrestricted :: Stamp ⇒ bool where
  is-stamp-unrestricted s = (s = unrestricted-stamp s)
```

— A stamp which provides type information but has an empty range of values

```
fun empty-stamp :: Stamp ⇒ Stamp where
  empty-stamp VoidStamp = VoidStamp |
  empty-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (snd (bit-bounds
bits)) (fst (bit-bounds bits))) |
```

```

    empty-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
nonNull alwaysNull) |
    empty-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
nonNull alwaysNull) |
    empty-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
nonNull alwaysNull) |
    empty-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" True True False) |
    empty-stamp stamp = IllegalStamp

```

— Calculate the meet stamp of two stamps

```

fun meet :: Stamp ⇒ Stamp ⇒ Stamp where
    meet VoidStamp VoidStamp = VoidStamp |
    meet (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
        if b1 ≠ b2 then IllegalStamp else
        (IntegerStamp b1 (min l1 l2) (max u1 u2))
    ) |
    meet (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
        KlassPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 an2) = (
        MethodCountersPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
        MethodPointersStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet s1 s2 = IllegalStamp

```

— Calculate the join stamp of two stamps

```

fun join :: Stamp ⇒ Stamp ⇒ Stamp where
    join VoidStamp VoidStamp = VoidStamp |
    join (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
        if b1 ≠ b2 then IllegalStamp else
        (IntegerStamp b1 (max l1 l2) (min u1 u2))
    ) |
    join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
        if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
        then (empty-stamp (KlassPointerStamp nn1 an1))
        else (KlassPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
    ) |
    join (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp nn2
an2) = (
        if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
        then (empty-stamp (MethodCountersPointerStamp nn1 an1))

```

```

    else (MethodCountersPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (MethodPointersStamp nn1 an1))
    else (MethodPointersStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join s1 s2 = IllegalStamp

```

— In certain circumstances a stamp provides enough information to evaluate a value as a stamp, the `asConstant` function converts the stamp to a value where one can be inferred.

```

fun asConstant :: Stamp ⇒ Value where
  asConstant (IntegerStamp b l h) = (if l = h then new-int b (word-of-int l) else
  UndefVal) |
  asConstant - = UndefVal

```

— Determine if two stamps never have value overlaps i.e. their join is empty

```

fun alwaysDistinct :: Stamp ⇒ Stamp ⇒ bool where
  alwaysDistinct stamp1 stamp2 = is-stamp-empty (join stamp1 stamp2)

```

— Determine if two stamps must always be the same value i.e. two equal constants

```

fun neverDistinct :: Stamp ⇒ Stamp ⇒ bool where
  neverDistinct stamp1 stamp2 = (asConstant stamp1 = asConstant stamp2 ∧
  asConstant stamp1 ≠ UndefVal)

```

```

fun constantAsStamp :: Value ⇒ Stamp where
  constantAsStamp (IntVal b v) = (IntegerStamp b (int-signed-value b v) (int-signed-value
  b v)) |
  constantAsStamp (ObjRef (None)) = ObjectStamp "" False False True |
  constantAsStamp (ObjRef (Some n)) = ObjectStamp "" False True False |

  constantAsStamp - = IllegalStamp

```

— Define when a runtime value is valid for a stamp. The stamp bounds must be valid, and val must be zero-extended.

```

fun valid-value :: Value ⇒ Stamp ⇒ bool where
  valid-value (IntVal b1 val) (IntegerStamp b l h) =
    (if b1 = b then
      valid-stamp (IntegerStamp b l h) ∧
      take-bit b val = val ∧
      l ≤ int-signed-value b val ∧ int-signed-value b val ≤ h
    else False) |

  valid-value (ObjRef ref) (ObjectStamp klass exact nonNull alwaysNull) =
    ((alwaysNull → ref = None) ∧ (ref = None → ¬ nonNull)) |
  valid-value stamp val = False

```



```
definition wf-value :: Value ⇒ bool where
  wf-value v = valid-value v (constantAsStamp v)
```

```
lemma unfold-wf-value[simp]:
  wf-value v ⇒ valid-value v (constantAsStamp v)
by (simp add: wf-value-def)
```

```
fun compatible :: Stamp ⇒ Stamp ⇒ bool where
  compatible (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) =
    (b1 = b2 ∧ valid-stamp (IntegerStamp b1 lo1 hi1) ∧ valid-stamp (IntegerStamp
b2 lo2 hi2)) |
  compatible (VoidStamp) (VoidStamp) = True |
  compatible - - = False
```

```
fun stamp-under :: Stamp ⇒ Stamp ⇒ bool where
  stamp-under (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) = (hi1 < lo2)
|
  stamp-under - - = False
```

— The most common type of stamp within the compiler (apart from the `VoidStamp`) is a 32 bit integer stamp with an unrestricted range. We use `default-stamp` as it is a frequently used stamp.

```
definition default-stamp :: Stamp where
  default-stamp = (unrestricted-stamp (IntegerStamp 32 0 0))
```

```
value valid-value (IntVal 8 (255)) (IntegerStamp 8 (-128) 127)
end
```

## 5 Graph Representation

### 5.1 IR Graph Nodes

```
theory IRNodes
  imports
    Values
begin
```

The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each `IRNode` constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The `inputs_of` and `successors_of` functions partition those labelled refer-

ences into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write INPUT (or special case thereof) instead of ID for input edges, and SUCC instead of ID for control-flow successor edges. Optional edges are handled as "INPUT option" etc.

```
datatype IRInvokeKind =
  Interface | Special | Static | Virtual
```

```
fun isDirect :: IRInvokeKind ⇒ bool where
  isDirect Interface = False |
  isDirect Special = True |
  isDirect Static = True |
  isDirect Virtual = False
```

```
fun hasReceiver :: IRInvokeKind ⇒ bool where
  hasReceiver Static = False |
  hasReceiver - = True
```

```
type-synonym ID = nat
type-synonym INPUT = ID
type-synonym INPUT-ASSOC = ID
type-synonym INPUT-STATE = ID
type-synonym INPUT-GUARD = ID
type-synonym INPUT-COND = ID
type-synonym INPUT-EXT = ID
type-synonym SUCC = ID
```

```
datatype (discs-sels) IRNode =
  AbsNode (ir-value: INPUT)
  | AddNode (ir-x: INPUT) (ir-y: INPUT)
  | AndNode (ir-x: INPUT) (ir-y: INPUT)
  | ArrayLengthNode (ir-value: INPUT) (ir-next: SUCC)
  | BeginNode (ir-next: SUCC)
  | BitCountNode (ir-value: INPUT)
  | BytecodeExceptionNode (ir-arguments: INPUT list) (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
  | ConditionalNode (ir-condition: INPUT-COND) (ir-trueValue: INPUT) (ir-falseValue: INPUT)
  | ConstantNode (ir-const: Value)
  | ControlFlowAnchorNode (ir-next: SUCC)
  | DynamicNewArrayNode (ir-elementType: INPUT) (ir-length: INPUT) (ir-voidClass-opt: INPUT option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
  | EndNode
  | ExceptionObjectNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
```

- | *FixedGuardNode* (*ir-condition*: INPUT-COND) (*ir-stateBefore-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *FrameState* (*ir-monitorIds*: INPUT-ASSOC list) (*ir-outerFrameState-opt*: INPUT-STATE option) (*ir-values-opt*: INPUT list option) (*ir-virtualObjectMappings-opt*: INPUT-STATE list option)
- | *IfNode* (*ir-condition*: INPUT-COND) (*ir-trueSuccessor*: SUCC) (*ir-falseSuccessor*: SUCC)
- | *IntegerBelowNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *IntegerEqualsNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *IntegerLessThanNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *IntegerMulHighNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *IntegerNormalizeCompareNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *IntegerTestNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *InvokeNode* (*ir-nid*: ID) (*ir-callTarget*: INPUT-EXT) (*ir-classInit-opt*: INPUT option) (*ir-stateDuring-opt*: INPUT-STATE option) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *InvokeWithExceptionNode* (*ir-nid*: ID) (*ir-callTarget*: INPUT-EXT) (*ir-classInit-opt*: INPUT option) (*ir-stateDuring-opt*: INPUT-STATE option) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC) (*ir-exceptionEdge*: SUCC)
- | *IsNullNode* (*ir-value*: INPUT)
- | *KillingBeginNode* (*ir-next*: SUCC)
- | *LeftShiftNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *LoadFieldNode* (*ir-nid*: ID) (*ir-field*: string) (*ir-object-opt*: INPUT option) (*ir-next*: SUCC)
- | *LoadIndexedNode* (*ir-index*: INPUT) (*ir-guard-opt*: INPUT-GUARD option) (*ir-value*: INPUT) (*ir-next*: SUCC)
- | *LogicNegationNode* (*ir-value*: INPUT-COND)
- | *LoopBeginNode* (*ir-ends*: INPUT-ASSOC list) (*ir-overflowGuard-opt*: INPUT-GUARD option) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *LoopEndNode* (*ir-loopBegin*: INPUT-ASSOC)
- | *LoopExitNode* (*ir-loopBegin*: INPUT-ASSOC) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *MergeNode* (*ir-ends*: INPUT-ASSOC list) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *MethodCallTargetNode* (*ir-targetMethod*: string) (*ir-arguments*: INPUT list) (*ir-invoke-kind*: IRInvokeKind)
- | *MulNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *NarrowNode* (*ir-inputBits*: nat) (*ir-resultBits*: nat) (*ir-value*: INPUT)
- | *NegateNode* (*ir-value*: INPUT)
- | *NewArrayNode* (*ir-length*: INPUT) (*ir-stateBefore-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *NewInstanceNode* (*ir-nid*: ID) (*ir-instanceClass*: string) (*ir-stateBefore-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *NotNode* (*ir-value*: INPUT)
- | *OrNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *ParameterNode* (*ir-index*: nat)
- | *PiNode* (*ir-object*: INPUT) (*ir-guard-opt*: INPUT-GUARD option)
- | *ReturnNode* (*ir-result-opt*: INPUT option) (*ir-memoryMap-opt*: INPUT-EXT

```

option)
| ReverseBytesNode (ir-value: INPUT)
| RightShiftNode (ir-x: INPUT) (ir-y: INPUT)
| ShortCircuitOrNode (ir-x: INPUT-COND) (ir-y: INPUT-COND)
| SignExtendNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
| SignedDivNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt: IN-
PUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)

| SignedFloatingIntegerDivNode (ir-x: INPUT) (ir-y: INPUT)
| SignedFloatingIntegerRemNode (ir-x: INPUT) (ir-y: INPUT)
| SignedRemNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt:
INPUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
| StartNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
| StoreFieldNode (ir-nid: ID) (ir-field: string) (ir-value: INPUT) (ir-stateAfter-opt:
INPUT-STATE option) (ir-object-opt: INPUT option) (ir-next: SUCC)
| StoreIndexedNode (ir-storeCheck: INPUT-GUARD option) (ir-value: ID) (ir-stateAfter-opt:
INPUT-STATE option) (ir-index: INPUT) (ir-guard-opt: INPUT-GUARD option)
(ir-array: INPUT) (ir-next: SUCC)
| SubNode (ir-x: INPUT) (ir-y: INPUT)
| UnsignedRightShiftNode (ir-x: INPUT) (ir-y: INPUT)
| UnwindNode (ir-exception: INPUT)
| ValuePhiNode (ir-nid: ID) (ir-values: INPUT list) (ir-merge: INPUT-ASSOC)

| ValueProxyNode (ir-value: INPUT) (ir-loopExit: INPUT-ASSOC)
| XorNode (ir-x: INPUT) (ir-y: INPUT)
| ZeroExtendNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
| NoNode

| RefNode (ir-ref:ID)

```

```

fun opt-to-list :: 'a option ⇒ 'a list where
  opt-to-list None = [] |
  opt-to-list (Some v) = [v]

```

```

fun opt-list-to-list :: 'a list option ⇒ 'a list where
  opt-list-to-list None = [] |
  opt-list-to-list (Some x) = x

```

The following functions, `inputs_of` and `successors_of`, are automatically generated from the GraalVM compiler. Their purpose is to partition the node edges into input or successor edges.

```

fun inputs-of :: IRNode ⇒ ID list where
  inputs-of-AbsNode:
  inputs-of (AbsNode value) = [value] |
  inputs-of-AddNode:

```

*inputs-of* (*AddNode* *x y*) = [*x, y*] |  
*inputs-of-AndNode*:  
*inputs-of* (*AndNode* *x y*) = [*x, y*] |  
*inputs-of-ArrayLengthNode*:  
*inputs-of* (*ArrayLengthNode* *x next*) = [*x*] |  
*inputs-of-BeginNode*:  
*inputs-of* (*BeginNode* *next*) = [] |  
*inputs-of-BitCountNode*:  
*inputs-of* (*BitCountNode* *value*) = [*value*] |  
*inputs-of-BytecodeExceptionNode*:  
*inputs-of* (*BytecodeExceptionNode* *arguments stateAfter next*) = *arguments* @  
(*opt-to-list stateAfter*) |  
*inputs-of-ConditionalNode*:  
*inputs-of* (*ConditionalNode* *condition trueValue falseValue*) = [*condition, true-*  
*Value, falseValue*] |  
*inputs-of-ConstantNode*:  
*inputs-of* (*ConstantNode* *const*) = [] |  
*inputs-of-ControlFlowAnchorNode*:  
*inputs-of* (*ControlFlowAnchorNode* *n*) = [] |  
*inputs-of-DynamicNewArrayNode*:  
*inputs-of* (*DynamicNewArrayNode* *elementType length0 voidClass stateBefore*  
*next*) = [*elementType, length0*] @ (*opt-to-list voidClass*) @ (*opt-to-list stateBefore*)  
|  
*inputs-of-EndNode*:  
*inputs-of* (*EndNode*) = [] |  
*inputs-of-ExceptionObjectNode*:  
*inputs-of* (*ExceptionObjectNode* *stateAfter next*) = (*opt-to-list stateAfter*) |  
*inputs-of-FixedGuardNode*:  
*inputs-of* (*FixedGuardNode* *condition stateBefore next*) = [*condition*] |  
*inputs-of-FrameState*:  
*inputs-of* (*FrameState* *monitorIds outerFrameState values virtualObjectMappings*)  
= *monitorIds* @ (*opt-to-list outerFrameState*) @ (*opt-list-to-list values*) @ (*opt-list-to-list*  
*virtualObjectMappings*) |  
*inputs-of-IfNode*:  
*inputs-of* (*IfNode* *condition trueSuccessor falseSuccessor*) = [*condition*] |  
*inputs-of-IntegerBelowNode*:  
*inputs-of* (*IntegerBelowNode* *x y*) = [*x, y*] |  
*inputs-of-IntegerEqualsNode*:  
*inputs-of* (*IntegerEqualsNode* *x y*) = [*x, y*] |  
*inputs-of-IntegerLessThanNode*:  
*inputs-of* (*IntegerLessThanNode* *x y*) = [*x, y*] |  
*inputs-of-IntegerMulHighNode*:  
*inputs-of* (*IntegerMulHighNode* *x y*) = [*x, y*] |  
*inputs-of-IntegerNormalizeCompareNode*:  
*inputs-of* (*IntegerNormalizeCompareNode* *x y*) = [*x, y*] |  
*inputs-of-IntegerTestNode*:  
*inputs-of* (*IntegerTestNode* *x y*) = [*x, y*] |  
*inputs-of-InvokeNode*:  
*inputs-of* (*InvokeNode* *nid0 callTarget classInit stateDuring stateAfter next*) =

*callTarget # (opt-to-list classInit) @ (opt-to-list stateDuring) @ (opt-to-list stateAfter)*  
 |  
*inputs-of-InvokeWithExceptionNode:*  
*inputs-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring stateAfter*  
*next exceptionEdge) = callTarget # (opt-to-list classInit) @ (opt-to-list stateDur-*  
*ing) @ (opt-to-list stateAfter) |*  
*inputs-of-IsNullNode:*  
*inputs-of (IsNullNode value) = [value] |*  
*inputs-of-KillingBeginNode:*  
*inputs-of (KillingBeginNode next) = [] |*  
*inputs-of-LeftShiftNode:*  
*inputs-of (LeftShiftNode x y) = [x, y] |*  
*inputs-of-LoadFieldNode:*  
*inputs-of (LoadFieldNode nid0 field object next) = (opt-to-list object) |*  
*inputs-of-LoadIndexedNode:*  
*inputs-of (LoadIndexedNode index guard x next) = [x] |*  
*inputs-of-LogicNegationNode:*  
*inputs-of (LogicNegationNode value) = [value] |*  
*inputs-of-LoopBeginNode:*  
*inputs-of (LoopBeginNode ends overflowGuard stateAfter next) = ends @ (opt-to-list*  
*overflowGuard) @ (opt-to-list stateAfter) |*  
*inputs-of-LoopEndNode:*  
*inputs-of (LoopEndNode loopBegin) = [loopBegin] |*  
*inputs-of-LoopExitNode:*  
*inputs-of (LoopExitNode loopBegin stateAfter next) = loopBegin # (opt-to-list*  
*stateAfter) |*  
*inputs-of-MergeNode:*  
*inputs-of (MergeNode ends stateAfter next) = ends @ (opt-to-list stateAfter) |*  
*inputs-of-MethodCallTargetNode:*  
*inputs-of (MethodCallTargetNode targetMethod arguments invoke-kind) = argu-*  
*ments |*  
*inputs-of-MulNode:*  
*inputs-of (MulNode x y) = [x, y] |*  
*inputs-of-NarrowNode:*  
*inputs-of (NarrowNode inputBits resultBits value) = [value] |*  
*inputs-of-NegateNode:*  
*inputs-of (NegateNode value) = [value] |*  
*inputs-of-NewArrayNode:*  
*inputs-of (NewArrayNode length0 stateBefore next) = length0 # (opt-to-list state-*  
*Before) |*  
*inputs-of-NewInstanceNode:*  
*inputs-of (NewInstanceNode nid0 instanceClass stateBefore next) = (opt-to-list*  
*stateBefore) |*  
*inputs-of-NotNode:*  
*inputs-of (NotNode value) = [value] |*  
*inputs-of-OrNode:*  
*inputs-of (OrNode x y) = [x, y] |*  
*inputs-of-ParameterNode:*  
*inputs-of (ParameterNode index) = [] |*

*inputs-of-PiNode:*  
*inputs-of (PiNode object guard) = object # (opt-to-list guard) |*  
*inputs-of-ReturnNode:*  
*inputs-of (ReturnNode result memoryMap) = (opt-to-list result) @ (opt-to-list memoryMap) |*  
*inputs-of-ReverseBytesNode:*  
*inputs-of (ReverseBytesNode value) = [value] |*  
*inputs-of-RightShiftNode:*  
*inputs-of (RightShiftNode x y) = [x, y] |*  
*inputs-of-ShortCircuitOrNode:*  
*inputs-of (ShortCircuitOrNode x y) = [x, y] |*  
*inputs-of-SignExtendNode:*  
*inputs-of (SignExtendNode inputBits resultBits value) = [value] |*  
*inputs-of-SignedDivNode:*  
*inputs-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [x, y] @ (opt-to-list zeroCheck) @ (opt-to-list stateBefore) |*  
*inputs-of-SignedFloatingIntegerDivNode:*  
*inputs-of (SignedFloatingIntegerDivNode x y) = [x, y] |*  
*inputs-of-SignedFloatingIntegerRemNode:*  
*inputs-of (SignedFloatingIntegerRemNode x y) = [x, y] |*  
*inputs-of-SignedRemNode:*  
*inputs-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [x, y] @ (opt-to-list zeroCheck) @ (opt-to-list stateBefore) |*  
*inputs-of-StartNode:*  
*inputs-of (StartNode stateAfter next) = (opt-to-list stateAfter) |*  
*inputs-of-StoreFieldNode:*  
*inputs-of (StoreFieldNode nid0 field value stateAfter object next) = value # (opt-to-list stateAfter) @ (opt-to-list object) |*  
*inputs-of-StoreIndexedNode:*  
*inputs-of (StoreIndexedNode check val st index guard array nid') = [val, array] |*  
*inputs-of-SubNode:*  
*inputs-of (SubNode x y) = [x, y] |*  
*inputs-of-UnsignedRightShiftNode:*  
*inputs-of (UnsignedRightShiftNode x y) = [x, y] |*  
*inputs-of-UnwindNode:*  
*inputs-of (UnwindNode exception) = [exception] |*  
*inputs-of-ValuePhiNode:*  
*inputs-of (ValuePhiNode nid0 values merge) = merge # values |*  
*inputs-of-ValueProxyNode:*  
*inputs-of (ValueProxyNode value loopExit) = [value, loopExit] |*  
*inputs-of-XorNode:*  
*inputs-of (XorNode x y) = [x, y] |*  
*inputs-of-ZeroExtendNode:*  
*inputs-of (ZeroExtendNode inputBits resultBits value) = [value] |*  
*inputs-of-NoNode: inputs-of (NoNode) = [] |*

*inputs-of-RefNode: inputs-of (RefNode ref) = [ref]*

```

fun successors-of :: IRNode ⇒ ID list where
  successors-of-AbsNode:
    successors-of (AbsNode value) = [] |
  successors-of-AddNode:
    successors-of (AddNode x y) = [] |
  successors-of-AndNode:
    successors-of (AndNode x y) = [] |
  successors-of-ArrayLengthNode:
    successors-of (ArrayLengthNode x next) = [next] |
  successors-of-BeginNode:
    successors-of (BeginNode next) = [next] |
  successors-of-BitCountNode:
    successors-of (BitCountNode value) = [] |
  successors-of-BytecodeExceptionNode:
    successors-of (BytecodeExceptionNode arguments stateAfter next) = [next] |
  successors-of-ConditionalNode:
    successors-of (ConditionalNode condition trueValue falseValue) = [] |
  successors-of-ConstantNode:
    successors-of (ConstantNode const) = [] |
  successors-of-ControlFlowAnchorNode:
    successors-of (ControlFlowAnchorNode next) = [next] |
  successors-of-DynamicNewArrayNode:
    successors-of (DynamicNewArrayNode elementType length0 voidClass stateBefore
next) = [next] |
  successors-of-EndNode:
    successors-of (EndNode) = [] |
  successors-of-ExceptionObjectNode:
    successors-of (ExceptionObjectNode stateAfter next) = [next] |
  successors-of-FixedGuardNode:
    successors-of (FixedGuardNode condition stateBefore next) = [next] |
  successors-of-FrameState:
    successors-of (FrameState monitorIds outerFrameState values virtualObjectMap-
pings) = [] |
  successors-of-IfNode:
    successors-of (IfNode condition trueSuccessor falseSuccessor) = [trueSuccessor,
falseSuccessor] |
  successors-of-IntegerBelowNode:
    successors-of (IntegerBelowNode x y) = [] |
  successors-of-IntegerEqualsNode:
    successors-of (IntegerEqualsNode x y) = [] |
  successors-of-IntegerLessThanNode:
    successors-of (IntegerLessThanNode x y) = [] |
  successors-of-IntegerMulHighNode:
    successors-of (IntegerMulHighNode x y) = [] |
  successors-of-IntegerNormalizeCompareNode:
    successors-of (IntegerNormalizeCompareNode x y) = [] |
  successors-of-IntegerTestNode:
    successors-of (IntegerTestNode x y) = [] |

```



*successors-of-InvokeNode:*  
*successors-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)*  
 = [next] |

*successors-of-InvokeWithExceptionNode:*  
*successors-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring stateAfter next exceptionEdge)* = [next, exceptionEdge] |

*successors-of-IsNullNode:*  
*successors-of (IsNullNode value)* = [] |

*successors-of-KillingBeginNode:*  
*successors-of (KillingBeginNode next)* = [next] |

*successors-of-LeftShiftNode:*  
*successors-of (LeftShiftNode x y)* = [] |

*successors-of-LoadFieldNode:*  
*successors-of (LoadFieldNode nid0 field object next)* = [next] |

*successors-of-LoadIndexedNode:*  
*successors-of (LoadIndexedNode index guard x next)* = [next] |

*successors-of-LogicNegationNode:*  
*successors-of (LogicNegationNode value)* = [] |

*successors-of-LoopBeginNode:*  
*successors-of (LoopBeginNode ends overflowGuard stateAfter next)* = [next] |

*successors-of-LoopEndNode:*  
*successors-of (LoopEndNode loopBegin)* = [] |

*successors-of-LoopExitNode:*  
*successors-of (LoopExitNode loopBegin stateAfter next)* = [next] |

*successors-of-MergeNode:*  
*successors-of (MergeNode ends stateAfter next)* = [next] |

*successors-of-MethodCallTargetNode:*  
*successors-of (MethodCallTargetNode targetMethod arguments invoke-kind)* = [] |

*successors-of-MulNode:*  
*successors-of (MulNode x y)* = [] |

*successors-of-NarrowNode:*  
*successors-of (NarrowNode inputBits resultBits value)* = [] |

*successors-of-NegateNode:*  
*successors-of (NegateNode value)* = [] |

*successors-of-NewArrayNode:*  
*successors-of (NewArrayNode length0 stateBefore next)* = [next] |

*successors-of-NewInstanceNode:*  
*successors-of (NewInstanceNode nid0 instanceClass stateBefore next)* = [next] |

*successors-of-NotNode:*  
*successors-of (NotNode value)* = [] |

*successors-of-OrNode:*  
*successors-of (OrNode x y)* = [] |

*successors-of-ParameterNode:*  
*successors-of (ParameterNode index)* = [] |

*successors-of-PiNode:*  
*successors-of (PiNode object guard)* = [] |

*successors-of-ReturnNode:*  
*successors-of (ReturnNode result memoryMap)* = [] |

*successors-of-ReverseBytesNode:*  
*successors-of (ReverseBytesNode value) = [] |*  
*successors-of-RightShiftNode:*  
*successors-of (RightShiftNode x y) = [] |*  
*successors-of-ShortCircuitOrNode:*  
*successors-of (ShortCircuitOrNode x y) = [] |*  
*successors-of-SignExtendNode:*  
*successors-of (SignExtendNode inputBits resultBits value) = [] |*  
*successors-of-SignedDivNode:*  
*successors-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [next] |*  
*successors-of-SignedFloatingIntegerDivNode:*  
*successors-of (SignedFloatingIntegerDivNode x y) = [] |*  
*successors-of-SignedFloatingIntegerRemNode:*  
*successors-of (SignedFloatingIntegerRemNode x y) = [] |*  
*successors-of-SignedRemNode:*  
*successors-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [next] |*  
*successors-of-StartNode:*  
*successors-of (StartNode stateAfter next) = [next] |*  
*successors-of-StoreFieldNode:*  
*successors-of (StoreFieldNode nid0 field value stateAfter object next) = [next] |*  
*successors-of-StoreIndexedNode:*  
*successors-of (StoreIndexedNode check val st index guard array next) = [next] |*  
*successors-of-SubNode:*  
*successors-of (SubNode x y) = [] |*  
*successors-of-UnsignedRightShiftNode:*  
*successors-of (UnsignedRightShiftNode x y) = [] |*  
*successors-of-UnwindNode:*  
*successors-of (UnwindNode exception) = [] |*  
*successors-of-ValuePhiNode:*  
*successors-of (ValuePhiNode nid0 values merge) = [] |*  
*successors-of-ValueProxyNode:*  
*successors-of (ValueProxyNode value loopExit) = [] |*  
*successors-of-XorNode:*  
*successors-of (XorNode x y) = [] |*  
*successors-of-ZeroExtendNode:*  
*successors-of (ZeroExtendNode inputBits resultBits value) = [] |*  
*successors-of-NoNode: successors-of (NoNode) = [] |*

*successors-of-RefNode: successors-of (RefNode ref) = [ref]*

**lemma** *inputs-of (FrameState x (Some y) (Some z) None) = x @ [y] @ z*  
**by** *simp*

**lemma** *successors-of (FrameState x (Some y) (Some z) None) = []*  
**by** *simp*

**lemma** *inputs-of (IfNode c t f) = [c]*  
**by** *simp*

**lemma** *successors-of* (*IfNode* *c t f*) = [*t, f*]  
**by** *simp*

**lemma** *inputs-of* (*EndNode*) = []  $\wedge$  *successors-of* (*EndNode*) = []  
**by** *simp*

**end**

## 5.2 IR Graph Node Hierarchy

**theory** *IRNodeHierarchy*  
**imports** *IRNodes*  
**begin**

It is helpful to introduce a node hierarchy into our formalization. Often the GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the *IRNode* class to determine inheritance.

As one would expect, the function *is<ClassName>Type* will be true if the node parameter is a subclass of the *ClassName* within the GraalVM compiler.

These functions have been automatically generated from the compiler.

**fun** *is-EndNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-EndNode* *EndNode* = *True* |  
*is-EndNode* - = *False*

**fun** *is-VirtualState* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-VirtualState* *n* = ((*is-FrameState* *n*))

**fun** *is-BinaryArithmeticNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-BinaryArithmeticNode* *n* = ((*is-AddNode* *n*)  $\vee$  (*is-AndNode* *n*)  $\vee$  (*is-MulNode* *n*)  $\vee$  (*is-OrNode* *n*)  $\vee$  (*is-SubNode* *n*)  $\vee$  (*is-XorNode* *n*)  $\vee$  (*is-IntegerNormalizeCompareNode* *n*)  $\vee$  (*is-IntegerMulHighNode* *n*))

**fun** *is-ShiftNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ShiftNode* *n* = ((*is-LeftShiftNode* *n*)  $\vee$  (*is-RightShiftNode* *n*)  $\vee$  (*is-UnsignedRightShiftNode* *n*))

**fun** *is-BinaryNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-BinaryNode* *n* = ((*is-BinaryArithmeticNode* *n*)  $\vee$  (*is-ShiftNode* *n*))

**fun** *is-AbstractLocalNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractLocalNode* *n* = ((*is-ParameterNode* *n*))

**fun** *is-IntegerConvertNode* :: *IRNode*  $\Rightarrow$  *bool* **where**

*is-IntegerConvertNode*  $n = ((is-NarrowNode\ n) \vee (is-SignExtendNode\ n) \vee (is-ZeroExtendNode\ n))$

**fun** *is-UnaryArithmeticNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-UnaryArithmeticNode*  $n = ((is-AbsNode\ n) \vee (is-NegateNode\ n) \vee (is-NotNode\ n) \vee (is-BitCountNode\ n) \vee (is-ReverseBytesNode\ n))$

**fun** *is-UnaryNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-UnaryNode*  $n = ((is-IntegerConvertNode\ n) \vee (is-UnaryArithmeticNode\ n))$

**fun** *is-PhiNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-PhiNode*  $n = ((is-ValuePhiNode\ n))$

**fun** *is-FloatingGuardedNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-FloatingGuardedNode*  $n = ((is-PiNode\ n))$

**fun** *is-UnaryOpLogicNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-UnaryOpLogicNode*  $n = ((is-IsNullNode\ n))$

**fun** *is-IntegerLowerThanNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-IntegerLowerThanNode*  $n = ((is-IntegerBelowNode\ n) \vee (is-IntegerLessThanNode\ n))$

**fun** *is-CompareNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-CompareNode*  $n = ((is-IntegerEqualsNode\ n) \vee (is-IntegerLowerThanNode\ n))$

**fun** *is-BinaryOpLogicNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-BinaryOpLogicNode*  $n = ((is-CompareNode\ n) \vee (is-IntegerTestNode\ n))$

**fun** *is-LogicNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-LogicNode*  $n = ((is-BinaryOpLogicNode\ n) \vee (is-LogicNegationNode\ n) \vee (is-ShortCircuitOrNode\ n) \vee (is-UnaryOpLogicNode\ n))$

**fun** *is-ProxyNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ProxyNode*  $n = ((is-ValueProxyNode\ n))$

**fun** *is-FloatingNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-FloatingNode*  $n = ((is-AbstractLocalNode\ n) \vee (is-BinaryNode\ n) \vee (is-ConditionalNode\ n) \vee (is-ConstantNode\ n) \vee (is-FloatingGuardedNode\ n) \vee (is-LogicNode\ n) \vee (is-PhiNode\ n) \vee (is-ProxyNode\ n) \vee (is-UnaryNode\ n))$

**fun** *is-AccessFieldNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AccessFieldNode*  $n = ((is-LoadFieldNode\ n) \vee (is-StoreFieldNode\ n))$

**fun** *is-AbstractNewArrayNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractNewArrayNode*  $n = ((is-DynamicNewArrayNode\ n) \vee (is-NewArrayNode\ n))$

**fun** *is-AbstractNewObjectNode* :: *IRNode*  $\Rightarrow$  *bool* **where**

*is-AbstractNewObjectNode*  $n = ((is-AbstractNewArrayNode\ n) \vee (is-NewInstanceNode\ n))$

**fun** *is-AbstractFixedGuardNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractFixedGuardNode*  $n = (is-FixedGuardNode\ n)$

**fun** *is-IntegerDivRemNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-IntegerDivRemNode*  $n = ((is-SignedDivNode\ n) \vee (is-SignedRemNode\ n))$

**fun** *is-FixedBinaryNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-FixedBinaryNode*  $n = (is-IntegerDivRemNode\ n)$

**fun** *is-DeoptimizingFixedWithNextNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-DeoptimizingFixedWithNextNode*  $n = ((is-AbstractNewObjectNode\ n) \vee (is-FixedBinaryNode\ n) \vee (is-AbstractFixedGuardNode\ n))$

**fun** *is-AbstractMemoryCheckpoint* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractMemoryCheckpoint*  $n = ((is-BytecodeExceptionNode\ n) \vee (is-InvokeNode\ n))$

**fun** *is-AbstractStateSplit* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractStateSplit*  $n = ((is-AbstractMemoryCheckpoint\ n))$

**fun** *is-AbstractMergeNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractMergeNode*  $n = ((is-LoopBeginNode\ n) \vee (is-MergeNode\ n))$

**fun** *is-BeginStateSplitNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-BeginStateSplitNode*  $n = ((is-AbstractMergeNode\ n) \vee (is-ExceptionObjectNode\ n) \vee (is-LoopExitNode\ n) \vee (is-StartNode\ n))$

**fun** *is-AbstractBeginNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractBeginNode*  $n = ((is-BeginNode\ n) \vee (is-BeginStateSplitNode\ n) \vee (is-KillingBeginNode\ n))$

**fun** *is-AccessArrayNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AccessArrayNode*  $n = ((is-LoadIndexedNode\ n) \vee (is-StoreIndexedNode\ n))$

**fun** *is-FixedWithNextNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-FixedWithNextNode*  $n = ((is-AbstractBeginNode\ n) \vee (is-AbstractStateSplit\ n) \vee (is-AccessFieldNode\ n) \vee (is-DeoptimizingFixedWithNextNode\ n) \vee (is-ControlFlowAnchorNode\ n) \vee (is-ArrayLengthNode\ n) \vee (is-AccessArrayNode\ n))$

**fun** *is-WithExceptionNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-WithExceptionNode*  $n = ((is-InvokeWithExceptionNode\ n))$

**fun** *is-ControlSplitNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ControlSplitNode*  $n = ((is-IfNode\ n) \vee (is-WithExceptionNode\ n))$

**fun** *is-ControlSinkNode* :: *IRNode*  $\Rightarrow$  *bool* **where**

$is-ControlSinkNode\ n = ((is-ReturnNode\ n) \vee (is-UnwindNode\ n))$

**fun**  $is-AbstractEndNode :: IRNode \Rightarrow bool$  **where**  
 $is-AbstractEndNode\ n = ((is-EndNode\ n) \vee (is-LoopEndNode\ n))$

**fun**  $is-FixedNode :: IRNode \Rightarrow bool$  **where**  
 $is-FixedNode\ n = ((is-AbstractEndNode\ n) \vee (is-ControlSinkNode\ n) \vee (is-ControlSplitNode\ n) \vee (is-FixedWithNextNode\ n))$

**fun**  $is-CallTargetNode :: IRNode \Rightarrow bool$  **where**  
 $is-CallTargetNode\ n = ((is-MethodCallTargetNode\ n))$

**fun**  $is-ValueNode :: IRNode \Rightarrow bool$  **where**  
 $is-ValueNode\ n = ((is-CallTargetNode\ n) \vee (is-FixedNode\ n) \vee (is-FloatingNode\ n))$

**fun**  $is-Node :: IRNode \Rightarrow bool$  **where**  
 $is-Node\ n = ((is-ValueNode\ n) \vee (is-VirtualState\ n))$

**fun**  $is-MemoryKill :: IRNode \Rightarrow bool$  **where**  
 $is-MemoryKill\ n = ((is-AbstractMemoryCheckpoint\ n))$

**fun**  $is-NarrowableArithmeticNode :: IRNode \Rightarrow bool$  **where**  
 $is-NarrowableArithmeticNode\ n = ((is-AbsNode\ n) \vee (is-AddNode\ n) \vee (is-AndNode\ n) \vee (is-MulNode\ n) \vee (is-NegateNode\ n) \vee (is-NotNode\ n) \vee (is-OrNode\ n) \vee (is-ShiftNode\ n) \vee (is-SubNode\ n) \vee (is-XorNode\ n))$

**fun**  $is-AnchoringNode :: IRNode \Rightarrow bool$  **where**  
 $is-AnchoringNode\ n = ((is-AbstractBeginNode\ n))$

**fun**  $is-DeoptBefore :: IRNode \Rightarrow bool$  **where**  
 $is-DeoptBefore\ n = ((is-DeoptimizingFixedWithNextNode\ n))$

**fun**  $is-IndirectCanonicalization :: IRNode \Rightarrow bool$  **where**  
 $is-IndirectCanonicalization\ n = ((is-LogicNode\ n))$

**fun**  $is-IterableNodeType :: IRNode \Rightarrow bool$  **where**  
 $is-IterableNodeType\ n = ((is-AbstractBeginNode\ n) \vee (is-AbstractMergeNode\ n) \vee (is-FrameState\ n) \vee (is-IfNode\ n) \vee (is-IntegerDivRemNode\ n) \vee (is-InvokeWithExceptionNode\ n) \vee (is-LoopBeginNode\ n) \vee (is-LoopExitNode\ n) \vee (is-MethodCallTargetNode\ n) \vee (is-ParameterNode\ n) \vee (is-ReturnNode\ n) \vee (is-ShortCircuitOrNode\ n))$

**fun**  $is-Invoke :: IRNode \Rightarrow bool$  **where**  
 $is-Invoke\ n = ((is-InvokeNode\ n) \vee (is-InvokeWithExceptionNode\ n))$

**fun**  $is-Proxy :: IRNode \Rightarrow bool$  **where**  
 $is-Proxy\ n = ((is-ProxyNode\ n))$

**fun**  $is-ValueProxy :: IRNode \Rightarrow bool$  **where**

$is\text{-}ValueProxy\ n = ((is\text{-}PiNode\ n) \vee (is\text{-}ValueProxyNode\ n))$

**fun**  $is\text{-}ValueNodeInterface :: IRNode \Rightarrow bool$  **where**  
 $is\text{-}ValueNodeInterface\ n = ((is\text{-}ValueNode\ n))$

**fun**  $is\text{-}ArrayLengthProvider :: IRNode \Rightarrow bool$  **where**  
 $is\text{-}ArrayLengthProvider\ n = ((is\text{-}AbstractNewArrayNode\ n) \vee (is\text{-}ConstantNode\ n))$

**fun**  $is\text{-}StampInverter :: IRNode \Rightarrow bool$  **where**  
 $is\text{-}StampInverter\ n = ((is\text{-}IntegerConvertNode\ n) \vee (is\text{-}NegateNode\ n) \vee (is\text{-}NotNode\ n))$

**fun**  $is\text{-}GuardingNode :: IRNode \Rightarrow bool$  **where**  
 $is\text{-}GuardingNode\ n = ((is\text{-}AbstractBeginNode\ n))$

**fun**  $is\text{-}SingleMemoryKill :: IRNode \Rightarrow bool$  **where**  
 $is\text{-}SingleMemoryKill\ n = ((is\text{-}BytecodeExceptionNode\ n) \vee (is\text{-}ExceptionObjectNode\ n) \vee (is\text{-}InvokeNode\ n) \vee (is\text{-}InvokeWithExceptionNode\ n) \vee (is\text{-}KillingBeginNode\ n) \vee (is\text{-}StartNode\ n))$

**fun**  $is\text{-}LIRLowerable :: IRNode \Rightarrow bool$  **where**  
 $is\text{-}LIRLowerable\ n = ((is\text{-}AbstractBeginNode\ n) \vee (is\text{-}AbstractEndNode\ n) \vee (is\text{-}AbstractMergeNode\ n) \vee (is\text{-}BinaryOpLogicNode\ n) \vee (is\text{-}CallTargetNode\ n) \vee (is\text{-}ConditionalNode\ n) \vee (is\text{-}ConstantNode\ n) \vee (is\text{-}IfNode\ n) \vee (is\text{-}InvokeNode\ n) \vee (is\text{-}InvokeWithExceptionNode\ n) \vee (is\text{-}IsNullNode\ n) \vee (is\text{-}LoopBeginNode\ n) \vee (is\text{-}PiNode\ n) \vee (is\text{-}ReturnNode\ n) \vee (is\text{-}SignedDivNode\ n) \vee (is\text{-}SignedRemNode\ n) \vee (is\text{-}UnaryOpLogicNode\ n) \vee (is\text{-}UnwindNode\ n))$

**fun**  $is\text{-}GuardedNode :: IRNode \Rightarrow bool$  **where**  
 $is\text{-}GuardedNode\ n = ((is\text{-}FloatingGuardedNode\ n))$

**fun**  $is\text{-}ArithmeticLIRLowerable :: IRNode \Rightarrow bool$  **where**  
 $is\text{-}ArithmeticLIRLowerable\ n = ((is\text{-}AbsNode\ n) \vee (is\text{-}BinaryArithmeticNode\ n) \vee (is\text{-}IntegerConvertNode\ n) \vee (is\text{-}NotNode\ n) \vee (is\text{-}ShiftNode\ n) \vee (is\text{-}UnaryArithmeticNode\ n))$

**fun**  $is\text{-}SwitchFoldable :: IRNode \Rightarrow bool$  **where**  
 $is\text{-}SwitchFoldable\ n = ((is\text{-}IfNode\ n))$

**fun**  $is\text{-}VirtualizableAllocation :: IRNode \Rightarrow bool$  **where**  
 $is\text{-}VirtualizableAllocation\ n = ((is\text{-}NewArrayNode\ n) \vee (is\text{-}NewInstanceNode\ n))$

**fun**  $is\text{-}Unary :: IRNode \Rightarrow bool$  **where**  
 $is\text{-}Unary\ n = ((is\text{-}LoadFieldNode\ n) \vee (is\text{-}LogicNegationNode\ n) \vee (is\text{-}UnaryNode\ n) \vee (is\text{-}UnaryOpLogicNode\ n))$

**fun**  $is\text{-}FixedNodeInterface :: IRNode \Rightarrow bool$  **where**  
 $is\text{-}FixedNodeInterface\ n = ((is\text{-}FixedNode\ n))$

```

fun is-BinaryCommutative :: IRNode ⇒ bool where
  is-BinaryCommutative n = ((is-AddNode n) ∨ (is-AndNode n) ∨ (is-IntegerEqualsNode
n) ∨ (is-MulNode n) ∨ (is-OrNode n) ∨ (is-XorNode n))

fun is-Canonicalizable :: IRNode ⇒ bool where
  is-Canonicalizable n = ((is-BytecodeExceptionNode n) ∨ (is-ConditionalNode n) ∨
(is-DynamicNewArrayNode n) ∨ (is-PhiNode n) ∨ (is-PiNode n) ∨ (is-ProxyNode
n) ∨ (is-StoreFieldNode n) ∨ (is-ValueProxyNode n))

fun is-UncheckedInterfaceProvider :: IRNode ⇒ bool where
  is-UncheckedInterfaceProvider n = ((is-InvokeNode n) ∨ (is-InvokeWithExceptionNode
n) ∨ (is-LoadFieldNode n) ∨ (is-ParameterNode n))

fun is-Binary :: IRNode ⇒ bool where
  is-Binary n = ((is-BinaryArithmeticNode n) ∨ (is-BinaryNode n) ∨ (is-BinaryOpLogicNode
n) ∨ (is-CompareNode n) ∨ (is-FixedBinaryNode n) ∨ (is-ShortCircuitOrNode n))

fun is-ArithmeticOperation :: IRNode ⇒ bool where
  is-ArithmeticOperation n = ((is-BinaryArithmeticNode n) ∨ (is-IntegerConvertNode
n) ∨ (is-ShiftNode n) ∨ (is-UnaryArithmeticNode n))

fun is-ValueNumberable :: IRNode ⇒ bool where
  is-ValueNumberable n = ((is-FloatingNode n) ∨ (is-ProxyNode n))

fun is-Lowerable :: IRNode ⇒ bool where
  is-Lowerable n = ((is-AbstractNewObjectNode n) ∨ (is-AccessFieldNode n) ∨
(is-BytecodeExceptionNode n) ∨ (is-ExceptionObjectNode n) ∨ (is-IntegerDivRemNode
n) ∨ (is-UnwindNode n))

fun is-Virtualizable :: IRNode ⇒ bool where
  is-Virtualizable n = ((is-IsNullNode n) ∨ (is-LoadFieldNode n) ∨ (is-PiNode n)
∨ (is-StoreFieldNode n) ∨ (is-ValueProxyNode n))

fun is-Simplifiable :: IRNode ⇒ bool where
  is-Simplifiable n = ((is-AbstractMergeNode n) ∨ (is-BeginNode n) ∨ (is-IfNode
n) ∨ (is-LoopExitNode n) ∨ (is-MethodCallTargetNode n) ∨ (is-NewArrayNode n))

fun is-StateSplit :: IRNode ⇒ bool where
  is-StateSplit n = ((is-AbstractStateSplit n) ∨ (is-BeginStateSplitNode n) ∨ (is-StoreFieldNode
n))

fun is-ConvertNode :: IRNode ⇒ bool where
  is-ConvertNode n = ((is-IntegerConvertNode n))

fun is-sequential-node :: IRNode ⇒ bool where
  is-sequential-node (StartNode -) = True |
  is-sequential-node (BeginNode -) = True |

```



```

is-sequential-node (KillingBeginNode -) = True |
is-sequential-node (LoopBeginNode - - -) = True |
is-sequential-node (LoopExitNode - - -) = True |
is-sequential-node (MergeNode - - -) = True |
is-sequential-node (RefNode -) = True |
is-sequential-node (ControlFlowAnchorNode -) = True |
is-sequential-node - = False

```

The following convenience function is useful in determining if two IRNodes are of the same type irregardless of their edges. It will return true if both the node parameters are the same node class.

**fun** *is-same-ir-node-type* :: IRNode ⇒ IRNode ⇒ bool **where**

```

is-same-ir-node-type n1 n2 = (
  ((is-AbsNode n1) ∧ (is-AbsNode n2)) ∨
  ((is-AddNode n1) ∧ (is-AddNode n2)) ∨
  ((is-AndNode n1) ∧ (is-AndNode n2)) ∨
  ((is-BeginNode n1) ∧ (is-BeginNode n2)) ∨
  ((is-BytecodeExceptionNode n1) ∧ (is-BytecodeExceptionNode n2)) ∨
  ((is-ConditionalNode n1) ∧ (is-ConditionalNode n2)) ∨
  ((is-ConstantNode n1) ∧ (is-ConstantNode n2)) ∨
  ((is-DynamicNewArrayNode n1) ∧ (is-DynamicNewArrayNode n2)) ∨
  ((is-EndNode n1) ∧ (is-EndNode n2)) ∨
  ((is-ExceptionObjectNode n1) ∧ (is-ExceptionObjectNode n2)) ∨
  ((is-FrameState n1) ∧ (is-FrameState n2)) ∨
  ((is-IfNode n1) ∧ (is-IfNode n2)) ∨
  ((is-IntegerBelowNode n1) ∧ (is-IntegerBelowNode n2)) ∨
  ((is-IntegerEqualsNode n1) ∧ (is-IntegerEqualsNode n2)) ∨
  ((is-IntegerLessThanNode n1) ∧ (is-IntegerLessThanNode n2)) ∨
  ((is-InvokeNode n1) ∧ (is-InvokeNode n2)) ∨
  ((is-InvokeWithExceptionNode n1) ∧ (is-InvokeWithExceptionNode n2)) ∨
  ((is-IsNullNode n1) ∧ (is-IsNullNode n2)) ∨
  ((is-KillingBeginNode n1) ∧ (is-KillingBeginNode n2)) ∨
  ((is-LeftShiftNode n1) ∧ (is-LeftShiftNode n2)) ∨
  ((is-LoadFieldNode n1) ∧ (is-LoadFieldNode n2)) ∨
  ((is-LogicNegationNode n1) ∧ (is-LogicNegationNode n2)) ∨
  ((is-LoopBeginNode n1) ∧ (is-LoopBeginNode n2)) ∨
  ((is-LoopEndNode n1) ∧ (is-LoopEndNode n2)) ∨
  ((is-LoopExitNode n1) ∧ (is-LoopExitNode n2)) ∨
  ((is-MergeNode n1) ∧ (is-MergeNode n2)) ∨
  ((is-MethodCallTargetNode n1) ∧ (is-MethodCallTargetNode n2)) ∨
  ((is-MulNode n1) ∧ (is-MulNode n2)) ∨
  ((is-NarrowNode n1) ∧ (is-NarrowNode n2)) ∨
  ((is-NegateNode n1) ∧ (is-NegateNode n2)) ∨
  ((is-NewArrayNode n1) ∧ (is-NewArrayNode n2)) ∨
  ((is-NewInstanceNode n1) ∧ (is-NewInstanceNode n2)) ∨
  ((is-NotNode n1) ∧ (is-NotNode n2)) ∨
  ((is-OrNode n1) ∧ (is-OrNode n2)) ∨
  ((is-ParameterNode n1) ∧ (is-ParameterNode n2)) ∨
  ((is-PiNode n1) ∧ (is-PiNode n2)) ∨

```

```

    ((is-ReturnNode n1) ∧ (is-ReturnNode n2)) ∨
    ((is-RightShiftNode n1) ∧ (is-RightShiftNode n2)) ∨
    ((is-ShortCircuitOrNode n1) ∧ (is-ShortCircuitOrNode n2)) ∨
    ((is-SignedDivNode n1) ∧ (is-SignedDivNode n2)) ∨
    ((is-SignedFloatingIntegerDivNode n1) ∧ (is-SignedFloatingIntegerDivNode n2))
  ∨
  ((is-SignedFloatingIntegerRemNode n1) ∧ (is-SignedFloatingIntegerRemNode n2))
  ∨
  ((is-SignedRemNode n1) ∧ (is-SignedRemNode n2)) ∨
  ((is-SignExtendNode n1) ∧ (is-SignExtendNode n2)) ∨
  ((is-StartNode n1) ∧ (is-StartNode n2)) ∨
  ((is-StoreFieldNode n1) ∧ (is-StoreFieldNode n2)) ∨
  ((is-SubNode n1) ∧ (is-SubNode n2)) ∨
  ((is-UnsignedRightShiftNode n1) ∧ (is-UnsignedRightShiftNode n2)) ∨
  ((is-UnwindNode n1) ∧ (is-UnwindNode n2)) ∨
  ((is-ValuePhiNode n1) ∧ (is-ValuePhiNode n2)) ∨
  ((is-ValueProxyNode n1) ∧ (is-ValueProxyNode n2)) ∨
  ((is-XorNode n1) ∧ (is-XorNode n2)) ∨
  ((is-ZeroExtendNode n1) ∧ (is-ZeroExtendNode n2)))

```

**end**

### 5.3 IR Graph Type

```

theory IRGraph
  imports
    IRNodeHierarchy
    Stamp
    HOL-Library.FSet
    HOL.Relation

```

**begin**

This theory defines the main Graal data structure - an entire IR Graph.

IRGraph is defined as a partial map with a finite domain. The finite domain is required to be able to generate code and produce an interpreter.

```

typedef IRGraph = {g :: ID → (IRNode × Stamp) . finite (dom g)}

```

**proof** –

```

  have finite(dom(Map.empty)) ∧ ran Map.empty = {} by auto
  then show ?thesis
    by fastforce

```

**qed**

```

setup-lifting type-definition-IRGraph

```

```

lift-definition ids :: IRGraph ⇒ ID set
  is λg. {nid ∈ dom g . ∃s. g nid = (Some (NoNode, s))} .

```

```

fun with-default :: 'c ⇒ ('b ⇒ 'c) ⇒ (('a → 'b) ⇒ 'a ⇒ 'c) where

```

*with-default def conv* =  $(\lambda m k.$   
*(case m k of None  $\Rightarrow$  def | Some v  $\Rightarrow$  conv v))*

**lift-definition** *kind* :: *IRGraph*  $\Rightarrow$  (*ID*  $\Rightarrow$  *IRNode*)  
**is** *with-default NoNode fst* .

**lift-definition** *stamp* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *Stamp*  
**is** *with-default IllegalStamp snd* .

**lift-definition** *add-node* :: *ID*  $\Rightarrow$  (*IRNode*  $\times$  *Stamp*)  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  
**is**  $\lambda nid k g.$  *if fst k = NoNode then g else g(nid  $\mapsto$  k)* **by** *simp*

**lift-definition** *remove-node* :: *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  
**is**  $\lambda nid g.$  *g(nid := None)* **by** *simp*

**lift-definition** *replace-node* :: *ID*  $\Rightarrow$  (*IRNode*  $\times$  *Stamp*)  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  
**is**  $\lambda nid k g.$  *if fst k = NoNode then g else g(nid  $\mapsto$  k)* **by** *simp*

**lift-definition** *as-list* :: *IRGraph*  $\Rightarrow$  (*ID*  $\times$  *IRNode*  $\times$  *Stamp*) *list*  
**is**  $\lambda g.$  *map ( $\lambda k. (k, \text{the } (g k))$ ) (sorted-list-of-set (dom g))* .

**fun** *no-node* :: (*ID*  $\times$  (*IRNode*  $\times$  *Stamp*)) *list*  $\Rightarrow$  (*ID*  $\times$  (*IRNode*  $\times$  *Stamp*)) *list*  
**where**  
*no-node g* = *filter ( $\lambda n. \text{fst } (snd n) \neq \text{NoNode}$ ) g*

**lift-definition** *irgraph* :: (*ID*  $\times$  (*IRNode*  $\times$  *Stamp*)) *list*  $\Rightarrow$  *IRGraph*  
**is** *map-of*  $\circ$  *no-node*  
**by** (*simp add: finite-dom-map-of*)

**definition** *as-set* :: *IRGraph*  $\Rightarrow$  (*ID*  $\times$  (*IRNode*  $\times$  *Stamp*)) *set* **where**  
*as-set g* =  $\{(n, \text{kind } g n, \text{stamp } g n) \mid n . n \in \text{ids } g\}$

**definition** *true-ids* :: *IRGraph*  $\Rightarrow$  *ID* *set* **where**  
*true-ids g* =  $\text{ids } g - \{n \in \text{ids } g. \exists n'. \text{kind } g n = \text{RefNode } n'\}$

**definition** *domain-subtraction* :: '*a* *set*  $\Rightarrow$  ('*a*  $\times$  '*b*) *set*  $\Rightarrow$  ('*a*  $\times$  '*b*) *set*  
**(infix  $\trianglelefteq$  30) where**  
*domain-subtraction s r* =  $\{(x, y) . (x, y) \in r \wedge x \notin s\}$

**notation** (*latex*)  
*domain-subtraction* ( $- \triangleleft -$ )

**code-datatype** *irgraph*

**fun** *filter-none* **where**  
*filter-none g* =  $\{nid \in \text{dom } g . \nexists s. g \text{ nid} = (\text{Some } (\text{NoNode}, s))\}$

**lemma** *no-node-clears*:

$res = no\text{-}node\ xs \longrightarrow (\forall x \in set\ res.\ fst\ (snd\ x) \neq NoNode)$   
**by** *simp*

**lemma** *dom-eq*:

**assumes**  $\forall x \in set\ xs.\ fst\ (snd\ x) \neq NoNode$   
**shows**  $filter\ none\ (map\ of\ xs) = dom\ (map\ of\ xs)$   
**using** *assms map-of-SomeD by fastforce*

**lemma** *fil-eq*:

$filter\ none\ (map\ of\ (no\text{-}node\ xs)) = set\ (map\ fst\ (no\text{-}node\ xs))$   
**by** (*metis no-node-clears dom-eq dom-map-of-conv-image-fst list.set-map*)

**lemma** *irgraph[code]*:  $ids\ (irgraph\ m) = set\ (map\ fst\ (no\text{-}node\ m))$

**by** (*metis fil-eq Rep-IRGraph eq-onp-same-args filter-none.simps ids.abs-eq irgraph.abs-eq*  
*irgraph.rep-eq mem-Collect-eq*)

**lemma** *[code]*:  $Rep\ IRGraph\ (irgraph\ m) = map\ of\ (no\text{-}node\ m)$

**by** (*simp add: irgraph.rep-eq*)

— Get the inputs set of a given node ID

**fun** *inputs* ::  $IRGraph \Rightarrow ID \Rightarrow ID\ set$  **where**

*inputs* *g* *nid* =  $set\ (inputs\ of\ (kind\ g\ nid))$

— Get the successor set of a given node ID

**fun** *succ* ::  $IRGraph \Rightarrow ID \Rightarrow ID\ set$  **where**

*succ* *g* *nid* =  $set\ (successors\ of\ (kind\ g\ nid))$

— Gives a relation between node IDs - between a node and its input nodes

**fun** *input-edges* ::  $IRGraph \Rightarrow ID\ rel$  **where**

*input-edges* *g* =  $(\bigcup i \in ids\ g.\ \{(i,j) \mid j \in (inputs\ g\ i)\})$

— Find all the nodes in the graph that have *nid* as an input - the usages of *nid*

**fun** *usages* ::  $IRGraph \Rightarrow ID \Rightarrow ID\ set$  **where**

*usages* *g* *nid* =  $\{i.\ i \in ids\ g \wedge nid \in inputs\ g\ i\}$

**fun** *successor-edges* ::  $IRGraph \Rightarrow ID\ rel$  **where**

*successor-edges* *g* =  $(\bigcup i \in ids\ g.\ \{(i,j) \mid j \in (succ\ g\ i)\})$

**fun** *predecessors* ::  $IRGraph \Rightarrow ID \Rightarrow ID\ set$  **where**

*predecessors* *g* *nid* =  $\{i.\ i \in ids\ g \wedge nid \in succ\ g\ i\}$

**fun** *nodes-of* ::  $IRGraph \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID\ set$  **where**

*nodes-of* *g* *sel* =  $\{nid \in ids\ g.\ sel\ (kind\ g\ nid)\}$

**fun** *edge* ::  $(IRNode \Rightarrow 'a) \Rightarrow ID \Rightarrow IRGraph \Rightarrow 'a$  **where**

*edge* *sel* *nid* *g* =  $sel\ (kind\ g\ nid)$

**fun** *filtered-inputs* ::  $IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID\ list$  **where**

*filtered-inputs* *g* *nid* *f* =  $filter\ (f \circ (kind\ g))\ (inputs\ of\ (kind\ g\ nid))$

**fun** *filtered-successors* ::  $IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID\ list$  **where**

*filtered-successors* *g* *nid* *f* =  $filter\ (f \circ (kind\ g))\ (successors\ of\ (kind\ g\ nid))$

**fun** *filtered-usages* ::  $IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID\ set$  **where**

*filtered-usages* *g* *nid* *f* =  $\{n \in (usages\ g\ nid).\ f\ (kind\ g\ n)\}$

**fun** *is-empty* ::  $IRGraph \Rightarrow bool$  **where**

```

is-empty g = (ids g = {})

fun any-usage :: IRGraph ⇒ ID ⇒ ID where
  any-usage g nid = hd (sorted-list-of-set (usages g nid))

lemma ids-some[simp]: x ∈ ids g ⟷ kind g x ≠ NoNode
proof -
  have that: x ∈ ids g ⟶ kind g x ≠ NoNode
  by (auto simp add: kind.rep-eq ids.rep-eq)
  have kind g x ≠ NoNode ⟶ x ∈ ids g
  by (cases Rep-IRGraph g x = None; auto simp add: ids-def kind-def)
  from this that show ?thesis
  by auto
qed

lemma not-in-g:
  assumes nid ∉ ids g
  shows kind g nid = NoNode
  using assms by simp

lemma valid-creation[simp]:
  finite (dom g) ⟷ Rep-IRGraph (Abs-IRGraph g) = g
  by (metis Abs-IRGraph-inverse Rep-IRGraph mem-Collect-eq)

lemma [simp]: finite (ids g)
  using Rep-IRGraph by (simp add: ids.rep-eq)

lemma [simp]: finite (ids (irgraph g))
  by (simp add: finite-dom-map-of)

lemma [simp]: finite (dom g) ⟶ ids (Abs-IRGraph g) = {nid ∈ dom g . ∃ s. g
nid = Some (NoNode, s)}
  by (simp add: ids.rep-eq)

lemma [simp]: finite (dom g) ⟶ kind (Abs-IRGraph g) = (λx . (case g x of None
⇒ NoNode | Some n ⇒ fst n))
  by (simp add: kind.rep-eq)

lemma [simp]: finite (dom g) ⟶ stamp (Abs-IRGraph g) = (λx . (case g x of
None ⇒ IllegalStamp | Some n ⇒ snd n))
  by (simp add: stamp.rep-eq)

lemma [simp]: ids (irgraph g) = set (map fst (no-node g))
  by (simp add: irgraph)

lemma [simp]: kind (irgraph g) = (λnid. (case (map-of (no-node g)) nid of None
⇒ NoNode | Some n ⇒ fst n))
  by (simp add: kind.rep-eq irgraph.rep-eq)

```

**lemma** [simp]:  $\text{stamp } (\text{irgraph } g) = (\lambda \text{nid}. (\text{case } (\text{map-of } (\text{no-node } g)) \text{nid} \text{ of } \text{None} \Rightarrow \text{IllegalStamp} \mid \text{Some } n \Rightarrow \text{snd } n))$

**by** (simp add: stamp.rep-eq irgraph.rep-eq)

**lemma** map-of-upd:  $(\text{map-of } g)(k \mapsto v) = (\text{map-of } ((k, v) \# g))$

**by** simp

**lemma** [code]:  $\text{replace-node } \text{nid } k (\text{irgraph } g) = (\text{irgraph } ((\text{nid}, k) \# g))$

**proof** (cases fst k = NoNode)

**case** True

**then show** ?thesis

**by** (metis (mono-tags, lifting) Rep-IRGraph-inject filter.simps(2) irgraph.abs-eq no-node.simps

replace-node.rep-eq snd-conv)

**next**

**case** False

**then show** ?thesis

**by** (smt (verit, ccfv-SIG) irgraph-def Rep-IRGraph comp-apply eq-onp-same-args filter.simps(2)

id-def irgraph.rep-eq map-fun-apply map-of-upd mem-Collect-eq no-node.elims replace-node-def

replace-node.abs-eq snd-eqD)

**qed**

**lemma** [code]:  $\text{add-node } \text{nid } k (\text{irgraph } g) = (\text{irgraph } (((\text{nid}, k) \# g)))$

**by** (smt (verit) Rep-IRGraph-inject add-node.rep-eq filter.simps(2) irgraph.rep-eq map-of-upd

snd-conv no-node.simps)

**lemma** add-node-lookup:

$\text{gup} = \text{add-node } \text{nid } (k, s) g \longrightarrow$

$(\text{if } k \neq \text{NoNode} \text{ then } \text{kind } \text{gup } \text{nid} = k \wedge \text{stamp } \text{gup } \text{nid} = s \text{ else } \text{kind } \text{gup } \text{nid} = \text{kind } g \text{ nid})$

**proof** (cases k = NoNode)

**case** True

**then show** ?thesis

**by** (simp add: add-node.rep-eq kind.rep-eq)

**next**

**case** False

**then show** ?thesis

**by** (simp add: kind.rep-eq add-node.rep-eq stamp.rep-eq)

**qed**

**lemma** remove-node-lookup:

$\text{gup} = \text{remove-node } \text{nid } g \longrightarrow \text{kind } \text{gup } \text{nid} = \text{NoNode} \wedge \text{stamp } \text{gup } \text{nid} = \text{IllegalStamp}$

**by** (simp add: kind.rep-eq remove-node.rep-eq stamp.rep-eq)

**lemma** *replace-node-lookup*[simp]:  
 $gup = \text{replace-node } nid (k, s) g \wedge k \neq \text{NoNode} \longrightarrow \text{kind } gup \text{ } nid = k \wedge \text{stamp } gup \text{ } nid = s$   
**by** (*simp add: replace-node.rep-eq kind.rep-eq stamp.rep-eq*)

**lemma** *replace-node-unchanged*:  
 $gup = \text{replace-node } nid (k, s) g \longrightarrow (\forall n \in (\text{ids } g - \{nid\}) . n \in \text{ids } g \wedge n \in \text{ids } gup \wedge \text{kind } g \text{ } n = \text{kind } gup \text{ } n)$   
**by** (*simp add: kind.rep-eq replace-node.rep-eq*)

### 5.3.1 Example Graphs

Example 1: empty graph (just a start and end node)

**definition** *start-end-graph*:: *IRGraph* **where**  
 $\text{start-end-graph} = \text{irgraph } [(0, \text{StartNode } \text{None } 1, \text{VoidStamp}), (1, \text{ReturnNode } \text{None } \text{None}, \text{VoidStamp})]$

Example 2: public static int sq(int x) return x \* x;  
[1 P(0)] / [0 Start] [4 \*] | / V / [5 Return]

**definition** *eg2-sq* :: *IRGraph* **where**  
 $\text{eg2-sq} = \text{irgraph } [$   
 $(0, \text{StartNode } \text{None } 5, \text{VoidStamp}),$   
 $(1, \text{ParameterNode } 0, \text{default-stamp}),$   
 $(4, \text{MulNode } 1 \ 1, \text{default-stamp}),$   
 $(5, \text{ReturnNode } (\text{Some } 4) \ \text{None}, \text{default-stamp})$   
 $]$

**value** *input-edges* *eg2-sq*  
**value** *usages* *eg2-sq* 1

**end**

## 6 Data-flow Semantics

**theory** *IRTreeEval*  
**imports**  
*Graph.Stamp*  
**begin**

We define a tree representation of data-flow nodes, as an abstraction of the graph view.

Data-flow trees are evaluated in the context of a method state (currently called MapState in the theories for historical reasons).

The method state consists of the values for each method parameter, references to method parameters use an index of the parameter within the parameter list, as such we store a list of parameter values which are looked up at parameter references.

The method state also stores a mapping of node ids to values. The contents of this mapping is calculated during the traversal of the control flow graph.

As a concrete example, as the *SignedDivNode::'a* can have side-effects (during division by zero), it is treated as part of the control-flow, since the data-flow phase is specified to be side-effect free. As a result, the control-flow semantics for *SignedDivNode::'a* calculates the value of a node and maps the node identifier to the value within the method state. The data-flow semantics then just reads the value stored in the method state for the node.

**type-synonym** *ID* = *nat*

**type-synonym** *MapState* = *ID*  $\Rightarrow$  *Value*

**type-synonym** *Params* = *Value list*

**definition** *new-map-state* :: *MapState* **where**

*new-map-state* = ( $\lambda x.$  *UndefVal*)

## 6.1 Data-flow Tree Representation

**datatype** *IRUnaryOp* =

*UnaryAbs*  
| *UnaryNeg*  
| *UnaryNot*  
| *UnaryLogicNegation*  
| *UnaryNarrow* (*ir-inputBits*: *nat*) (*ir-resultBits*: *nat*)  
| *UnarySignExtend* (*ir-inputBits*: *nat*) (*ir-resultBits*: *nat*)  
| *UnaryZeroExtend* (*ir-inputBits*: *nat*) (*ir-resultBits*: *nat*)  
| *UnaryIsNull*  
| *UnaryReverseBytes*  
| *UnaryBitCount*

**datatype** *IRBinaryOp* =

*BinAdd*  
| *BinSub*  
| *BinMul*  
| *BinDiv*  
| *BinMod*  
| *BinAnd*  
| *BinOr*  
| *BinXor*  
| *BinShortCircuitOr*  
| *BinLeftShift*  
| *BinRightShift*  
| *BinURightShift*  
| *BinIntegerEquals*



```

| BinIntegerLessThan
| BinIntegerBelow
| BinIntegerTest
| BinIntegerNormalizeCompare
| BinIntegerMulHigh

datatype (discs-sels) IRExpr =
  UnaryExpr (ir-uop: IRUnaryOp) (ir-value: IRExpr)
| BinaryExpr (ir-op: IRBinaryOp) (ir-x: IRExpr) (ir-y: IRExpr)
| ConditionalExpr (ir-condition: IRExpr) (ir-trueValue: IRExpr) (ir-falseValue:
IRExpr)

| ParameterExpr (ir-index: nat) (ir-stamp: Stamp)

| LeafExpr (ir-nid: ID) (ir-stamp: Stamp)

| ConstantExpr (ir-const: Value)
| ConstantVar (ir-name: String.literal)
| VariableExpr (ir-name: String.literal) (ir-stamp: Stamp)

fun is-ground :: IRExpr ⇒ bool where
  is-ground (UnaryExpr op e) = is-ground e |
  is-ground (BinaryExpr op e1 e2) = (is-ground e1 ∧ is-ground e2) |
  is-ground (ConditionalExpr b e1 e2) = (is-ground b ∧ is-ground e1 ∧ is-ground
e2) |
  is-ground (ParameterExpr i s) = True |
  is-ground (LeafExpr n s) = True |
  is-ground (ConstantExpr v) = True |
  is-ground (ConstantVar name) = False |
  is-ground (VariableExpr name s) = False

typedef GroundExpr = { e :: IRExpr . is-ground e }
using is-ground.simps(6) by blast

```

## 6.2 Functions for re-calculating stamps

Note: in Java all integer calculations are done as 32 or 64 bit calculations. However, here we generalise the operators to allow any size calculations. Many operators have the same output bits as their inputs. However, the unary integer operators that are not *normal\_unary* are narrowing or widening operators, so the result bits is specified by the operator. The binary integer operators are divided into three groups: (1) *binary\_fixed\_32* operators always output 32 bits, (2) *binary\_shift\_ops* operators output size is determined by their left argument, and (3) other operators output the same number of bits as both their inputs.

**abbreviation** *binary-normal* :: *IRBinaryOp* **set where**  
*binary-normal* ≡ {*BinAdd*, *BinMul*, *BinDiv*, *BinMod*, *BinSub*, *BinAnd*, *BinOr*,

*BinXor*}

**abbreviation** *binary-fixed-32-ops* :: *IRBinaryOp* set **where**

*binary-fixed-32-ops*  $\equiv$  {*BinShortCircuitOr*, *BinIntegerEquals*, *BinIntegerLessThan*,  
*BinIntegerBelow*, *BinIntegerTest*, *BinIntegerNormalizeCompare*}

**abbreviation** *binary-shift-ops* :: *IRBinaryOp* set **where**

*binary-shift-ops*  $\equiv$  {*BinLeftShift*, *BinRightShift*, *BinURightShift*}

**abbreviation** *binary-fixed-ops* :: *IRBinaryOp* set **where**

*binary-fixed-ops*  $\equiv$  {*BinIntegerMulHigh*}

**abbreviation** *normal-unary* :: *IRUnaryOp* set **where**

*normal-unary*  $\equiv$  {*UnaryAbs*, *UnaryNeg*, *UnaryNot*, *UnaryLogicNegation*, *UnaryReverseBytes*}

**abbreviation** *unary-fixed-32-ops* :: *IRUnaryOp* set **where**

*unary-fixed-32-ops*  $\equiv$  {*UnaryBitCount*}

**abbreviation** *boolean-unary* :: *IRUnaryOp* set **where**

*boolean-unary*  $\equiv$  {*UnaryIsNull*}

**lemma** *binary-ops-all*:

**shows**  $op \in \text{binary-normal} \vee op \in \text{binary-fixed-32-ops} \vee op \in \text{binary-fixed-ops}$   
 $\vee op \in \text{binary-shift-ops}$   
**by** (*cases op*; *auto*)

**lemma** *binary-ops-distinct-normal*:

**shows**  $op \in \text{binary-normal} \implies op \notin \text{binary-fixed-32-ops} \wedge op \notin \text{binary-fixed-ops}$   
 $\wedge op \notin \text{binary-shift-ops}$   
**by** *auto*

**lemma** *binary-ops-distinct-fixed-32*:

**shows**  $op \in \text{binary-fixed-32-ops} \implies op \notin \text{binary-normal} \wedge op \notin \text{binary-fixed-ops}$   
 $\wedge op \notin \text{binary-shift-ops}$   
**by** *auto*

**lemma** *binary-ops-distinct-fixed*:

**shows**  $op \in \text{binary-fixed-ops} \implies op \notin \text{binary-fixed-32-ops} \wedge op \notin \text{binary-normal}$   
 $\wedge op \notin \text{binary-shift-ops}$   
**by** *auto*

**lemma** *binary-ops-distinct-shift*:

**shows**  $op \in \text{binary-shift-ops} \implies op \notin \text{binary-fixed-32-ops} \wedge op \notin \text{binary-fixed-ops}$

$\wedge$  *op*  $\notin$  *binary-normal*  
**by** *auto*

**lemma** *unary-ops-distinct*:

**shows** *op*  $\in$  *normal-unary*  $\implies$  *op*  $\notin$  *boolean-unary*  $\wedge$  *op*  $\notin$  *unary-fixed-32-ops*  
**and** *op*  $\in$  *boolean-unary*  $\implies$  *op*  $\notin$  *normal-unary*  $\wedge$  *op*  $\notin$  *unary-fixed-32-ops*  
**and** *op*  $\in$  *unary-fixed-32-ops*  $\implies$  *op*  $\notin$  *boolean-unary*  $\wedge$  *op*  $\notin$  *normal-unary*  
**by** *auto*

**fun** *stamp-unary* :: *IRUnaryOp*  $\Rightarrow$  *Stamp*  $\Rightarrow$  *Stamp* **where**

*stamp-unary* *UnaryIsNull* - = (*IntegerStamp* 32 0 1) |  
*stamp-unary* *op* (*IntegerStamp* *b* *lo* *hi*) =  
  *unrestricted-stamp* (*IntegerStamp*  
    (*if* *op*  $\in$  *normal-unary*     *then* *b* *else*  
      *if* *op*  $\in$  *boolean-unary*    *then* 32 *else*  
      *if* *op*  $\in$  *unary-fixed-32-ops* *then* 32 *else*  
      (*ir-resultBits* *op*)) *lo* *hi*) |

*stamp-unary* *op* - = *IllegalStamp*

**fun** *stamp-binary* :: *IRBinaryOp*  $\Rightarrow$  *Stamp*  $\Rightarrow$  *Stamp*  $\Rightarrow$  *Stamp* **where**

*stamp-binary* *op* (*IntegerStamp* *b1* *lo1* *hi1*) (*IntegerStamp* *b2* *lo2* *hi2*) =  
  (*if* *op*  $\in$  *binary-shift-ops* *then* *unrestricted-stamp* (*IntegerStamp* *b1* *lo1* *hi1*)  
  *else if* *b1*  $\neq$  *b2* *then* *IllegalStamp* *else*  
  (*if* *op*  $\in$  *binary-fixed-32-ops*  
  *then* *unrestricted-stamp* (*IntegerStamp* 32 *lo1* *hi1*)  
  *else* *unrestricted-stamp* (*IntegerStamp* *b1* *lo1* *hi1*))) |

*stamp-binary* *op* - - = *IllegalStamp*

**fun** *stamp-expr* :: *IRExpr*  $\Rightarrow$  *Stamp* **where**

*stamp-expr* (*UnaryExpr* *op* *x*) = *stamp-unary* *op* (*stamp-expr* *x*) |  
*stamp-expr* (*BinaryExpr* *bop* *x* *y*) = *stamp-binary* *bop* (*stamp-expr* *x*) (*stamp-expr* *y*) |  
*stamp-expr* (*ConstantExpr* *val*) = *constantAsStamp* *val* |  
*stamp-expr* (*LeafExpr* *i* *s*) = *s* |  
*stamp-expr* (*ParameterExpr* *i* *s*) = *s* |  
*stamp-expr* (*ConditionalExpr* *c* *t* *f*) = *meet* (*stamp-expr* *t*) (*stamp-expr* *f*)

**export-code** *stamp-unary* *stamp-binary* *stamp-expr*

### 6.3 Data-flow Tree Evaluation

**fun** *unary-eval* :: *IRUnaryOp*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**

*unary-eval* *UnaryAbs* *v* = *intval-abs* *v* |  
*unary-eval* *UnaryNeg* *v* = *intval-negate* *v* |  
*unary-eval* *UnaryNot* *v* = *intval-not* *v* |

*unary-eval UnaryLogicNegation v = intval-logic-negation v |*  
*unary-eval (UnaryNarrow inBits outBits) v = intval-narrow inBits outBits v |*  
*unary-eval (UnarySignExtend inBits outBits) v = intval-sign-extend inBits out-*  
*Bits v |*  
*unary-eval (UnaryZeroExtend inBits outBits) v = intval-zero-extend inBits out-*  
*Bits v |*  
*unary-eval UnaryIsNull v = intval-is-null v |*  
*unary-eval UnaryReverseBytes v = intval-reverse-bytes v |*  
*unary-eval UnaryBitCount v = intval-bit-count v*

**fun** *bin-eval* :: *IRBinaryOp* ⇒ *Value* ⇒ *Value* ⇒ *Value* **where**

*bin-eval BinAdd v1 v2 = intval-add v1 v2 |*  
*bin-eval BinSub v1 v2 = intval-sub v1 v2 |*  
*bin-eval BinMul v1 v2 = intval-mul v1 v2 |*  
*bin-eval BinDiv v1 v2 = intval-div v1 v2 |*  
*bin-eval BinMod v1 v2 = intval-mod v1 v2 |*  
*bin-eval BinAnd v1 v2 = intval-and v1 v2 |*  
*bin-eval BinOr v1 v2 = intval-or v1 v2 |*  
*bin-eval BinXor v1 v2 = intval-xor v1 v2 |*  
*bin-eval BinShortCircuitOr v1 v2 = intval-short-circuit-or v1 v2 |*  
*bin-eval BinLeftShift v1 v2 = intval-left-shift v1 v2 |*  
*bin-eval BinRightShift v1 v2 = intval-right-shift v1 v2 |*  
*bin-eval BinURightShift v1 v2 = intval-uright-shift v1 v2 |*  
*bin-eval BinIntegerEquals v1 v2 = intval-equals v1 v2 |*  
*bin-eval BinIntegerLessThan v1 v2 = intval-less-than v1 v2 |*  
*bin-eval BinIntegerBelow v1 v2 = intval-below v1 v2 |*  
*bin-eval BinIntegerTest v1 v2 = intval-test v1 v2 |*  
*bin-eval BinIntegerNormalizeCompare v1 v2 = intval-normalize-compare v1 v2 |*  
*bin-eval BinIntegerMulHigh v1 v2 = intval-mul-high v1 v2*

**lemma** *defined-eval-is-intval*:

**shows** *bin-eval op x y ≠ UndefVal* ⇒ (*is-IntVal x* ∧ *is-IntVal y*)  
**by** (*cases op*; *cases x*; *cases y*; *auto*)

**lemmas** *eval-thms* =

*intval-abs.simps intval-negate.simps intval-not.simps*  
*intval-logic-negation.simps intval-narrow.simps*  
*intval-sign-extend.simps intval-zero-extend.simps*  
*intval-add.simps intval-mul.simps intval-sub.simps*  
*intval-and.simps intval-or.simps intval-xor.simps*  
*intval-left-shift.simps intval-right-shift.simps*  
*intval-uright-shift.simps intval-equals.simps*  
*intval-less-than.simps intval-below.simps*

**inductive** *not-undef-or-fail* :: *Value* ⇒ *Value* ⇒ *bool* **where**

[[*value* ≠ *UndefVal*]] ⇒ *not-undef-or-fail value value*

**notation** (*latex output*)  
*not-undef-or-fail* (- = -)

**inductive**

*evaltree* :: *MapState* ⇒ *Params* ⇒ *IRExpr* ⇒ *Value* ⇒ *bool* (*[-,-]* ⊢ - ↦ - 55)

**for** *m p* **where**

*ConstantExpr*:

[[*wf-value* *c*]]  
 ⇒ [*m,p*] ⊢ (*ConstantExpr* *c*) ↦ *c* |

*ParameterExpr*:

[[*i* < *length* *p*; *valid-value* (*p!**i*) *s*]]  
 ⇒ [*m,p*] ⊢ (*ParameterExpr* *i* *s*) ↦ *p!**i* |

*ConditionalExpr*:

[[*m,p*] ⊢ *ce* ↦ *cond*;  
*cond* ≠ *UndefVal*;  
*branch* = (*if val-to-bool cond then te else fe*);  
 [*m,p*] ⊢ *branch* ↦ *result*;  
*result* ≠ *UndefVal*;  
  
 [*m,p*] ⊢ *te* ↦ *true*; *true* ≠ *UndefVal*;  
 [*m,p*] ⊢ *fe* ↦ *false*; *false* ≠ *UndefVal*]]  
 ⇒ [*m,p*] ⊢ (*ConditionalExpr* *ce* *te* *fe*) ↦ *result* |

*UnaryExpr*:

[[*m,p*] ⊢ *xe* ↦ *x*;  
*result* = (*unary-eval* *op* *x*);  
*result* ≠ *UndefVal*]]  
 ⇒ [*m,p*] ⊢ (*UnaryExpr* *op* *xe*) ↦ *result* |

*BinaryExpr*:

[[*m,p*] ⊢ *xe* ↦ *x*;  
 [*m,p*] ⊢ *ye* ↦ *y*;  
*result* = (*bin-eval* *op* *x* *y*);  
*result* ≠ *UndefVal*]]  
 ⇒ [*m,p*] ⊢ (*BinaryExpr* *op* *xe* *ye*) ↦ *result* |

*LeafExpr*:

[[*val* = *m* *n*;  
*valid-value* *val* *s*]]  
 ⇒ [*m,p*] ⊢ *LeafExpr* *n* *s* ↦ *val*

**code-pred** (*modes*: *i* ⇒ *i* ⇒ *i* ⇒ *o* ⇒ *bool* as *evalT*)

[*show-steps,show-mode-inference,show-intermediate-results*]  
*evaltree* .

**inductive**

*evaltrees* :: *MapState*  $\Rightarrow$  *Params*  $\Rightarrow$  *IRExpr list*  $\Rightarrow$  *Value list*  $\Rightarrow$  *bool* (*[-,]  $\vdash$  -  $\mapsto$*   
- 55)

**for** *m p* **where**

*EvalNil*:

$[m,p] \vdash [] \mapsto []$  |

*EvalCons*:

$[[m,p] \vdash x \mapsto xval;$

$[m,p] \vdash yy \mapsto yyval]$

$\Rightarrow [m,p] \vdash (x\#yy) \mapsto (xval\#yyval)$

**code-pred** (*modes*: *i*  $\Rightarrow$  *i*  $\Rightarrow$  *i*  $\Rightarrow$  *o*  $\Rightarrow$  *bool as evalTs*)

*evaltrees* .

**definition** *sq-param0* :: *IRExpr where*

*sq-param0* = *BinaryExpr BinMul*

(*ParameterExpr* 0 (*IntegerStamp* 32 (- 2147483648) 2147483647))

(*ParameterExpr* 0 (*IntegerStamp* 32 (- 2147483648) 2147483647))

**values** {*v. evaltree new-map-state [IntVal 32 5] sq-param0 v*}

**declare** *evaltree.intros* [*intro*]

**declare** *evaltrees.intros* [*intro*]

## 6.4 Data-flow Tree Refinement

We define the induced semantic equivalence relation between expressions. Note that syntactic equality implies semantic equivalence, but not vice versa.

**definition** *equiv-exprs* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* (*-  $\doteq$  - 55*) **where**

(*e1  $\doteq$  e2*) = ( $\forall m p v. (([m,p] \vdash e1 \mapsto v) \longleftrightarrow ([m,p] \vdash e2 \mapsto v))$ )

We also prove that this is a total equivalence relation (*equivp equiv-exprs*) (HOL.Equiv\_Relations), so that we can reuse standard results about equivalence relations.

**lemma** *equivp equiv-exprs*

**apply** (*auto simp add: equivp-def equiv-exprs-def*) **by** (*metis equiv-exprs-def*)<sup>+</sup>

We define a refinement ordering over *IRExpr* and show that it is a preorder. Note that it is asymmetric because *e2* may refer to fewer variables than *e1*.

**instantiation** *IRExpr* :: *preorder begin*

**notation** *less-eq* (**infix**  $\sqsubseteq$  65)

**definition**

*le-expr-def* [*simp*]:  
 $(e_2 \leq e_1) \longleftrightarrow (\forall m p v. (([m,p] \vdash e_1 \mapsto v) \longrightarrow ([m,p] \vdash e_2 \mapsto v)))$

**definition**

*lt-expr-def* [*simp*]:  
 $(e_1 < e_2) \longleftrightarrow (e_1 \leq e_2 \wedge \neg (e_1 \doteq e_2))$

**instance proof**

**fix**  $x y z :: IRExpr$   
**show**  $x < y \longleftrightarrow x \leq y \wedge \neg (y \leq x)$  **by** (*simp add: equiv-exprs-def; auto*)  
**show**  $x \leq x$  **by** *simp*  
**show**  $x \leq y \implies y \leq z \implies x \leq z$  **by** *simp*  
**qed**

**end**

**abbreviation** (**output**) *Refines* ::  $IRExpr \Rightarrow IRExpr \Rightarrow bool$  (**infix**  $\sqsupseteq 64$ )  
**where**  $e_1 \sqsupseteq e_2 \equiv (e_2 \leq e_1)$

## 6.5 Stamp Masks

A stamp can contain additional range information in the form of masks. A stamp has an up mask and a down mask, corresponding to the bits that may be set and the bits that must be set.

Examples: A stamp where no range information is known will have; an up mask of -1 as all bits may be set, and a down mask of 0 as no bits must be set.

A stamp known to be one should have; an up mask of 1 as only the first bit may be set, no others, and a down mask of 1 as the first bit must be set and no others.

We currently don't carry mask information in stamps, and instead assume correct masks to prove optimizations.

**locale** *stamp-mask* =  
**fixes**  $up :: IRExpr \Rightarrow int64$  ( $\uparrow$ )  
**fixes**  $down :: IRExpr \Rightarrow int64$  ( $\downarrow$ )  
**assumes**  $up-spec: [m, p] \vdash e \mapsto IntVal b v \implies (and v (not ((ucast (\uparrow e)))) = 0$   
**and**  $down-spec: [m, p] \vdash e \mapsto IntVal b v \implies (and (not v) (ucast (\downarrow e))) = 0$   
**begin**

**lemma** *may-implies-either*:

$[m, p] \vdash e \mapsto IntVal b v \implies bit (\uparrow e) n \implies bit v n = False \vee bit v n = True$   
**by** *simp*

**lemma** *not-may-implies-false*:

$[m, p] \vdash e \mapsto IntVal b v \implies \neg(bit (\uparrow e) n) \implies bit v n = False$   
**by** (*metis (no-types, lifting) bit.double-compl up-spec bit-and-iff bit-not-iff bit-unsigned-iff*)

*down-spec*)

**lemma** *must-implies-true*:

$[m, p] \vdash e \mapsto \text{IntVal } b \ v \implies \text{bit } (\downarrow e) \ n \implies \text{bit } v \ n = \text{True}$

**by** (*metis bit.compl-one bit-and-iff bit-minus-1-iff bit-not-iff impossible-bit ucast-id down-spec*)

**lemma** *not-must-implies-either*:

$[m, p] \vdash e \mapsto \text{IntVal } b \ v \implies \neg(\text{bit } (\downarrow e) \ n) \implies \text{bit } v \ n = \text{False} \vee \text{bit } v \ n = \text{True}$

**by** *simp*

**lemma** *must-implies-may*:

$[m, p] \vdash e \mapsto \text{IntVal } b \ v \implies n < 32 \implies \text{bit } (\downarrow e) \ n \implies \text{bit } (\uparrow e) \ n$

**by** (*meson must-implies-true not-may-implies-false*)

**lemma** *up-mask-and-zero-implies-zero*:

**assumes** *and*  $(\uparrow x) (\uparrow y) = 0$

**assumes**  $[m, p] \vdash x \mapsto \text{IntVal } b \ xv$

**assumes**  $[m, p] \vdash y \mapsto \text{IntVal } b \ yv$

**shows** *and*  $xv \ yv = 0$

**by** (*smt (z3) assms and commute and.right-neutral bit.compl-zero bit.conj-cancel-right ucast-id*

*bit.conj-disj-distrib(1) up-spec word-bw-assocs(1) word-not-dist(2) word-ao-absorbs(8) and-eq-not-not-or*)

**lemma** *not-down-up-mask-and-zero-implies-zero*:

**assumes** *and*  $(\text{not } (\downarrow x)) (\uparrow y) = 0$

**assumes**  $[m, p] \vdash x \mapsto \text{IntVal } b \ xv$

**assumes**  $[m, p] \vdash y \mapsto \text{IntVal } b \ yv$

**shows** *and*  $xv \ yv = yv$

**by** (*metis (no-types, opaque-lifting) assms bit.conj-cancel-left bit.conj-disj-distrib(1,2)*

*bit.de-Morgan-disj ucast-id down-spec or-eq-not-not-and up-spec word-ao-absorbs(2,8) word-bw-lcs(1) word-not-dist(2)*)

**end**

**definition** *IRExpr-up* :: *IRExpr*  $\Rightarrow$  *int64* **where**

*IRExpr-up*  $e = \text{not } 0$

**definition** *IRExpr-down* :: *IRExpr*  $\Rightarrow$  *int64* **where**

*IRExpr-down*  $e = 0$

**lemma** *ucast-zero*:  $(\text{ucast } (0::\text{int64})::\text{int32}) = 0$

**by** *simp*

**lemma** *ucast-minus-one*:  $(\text{ucast } (-1::\text{int64})::\text{int32}) = -1$

**apply** *transfer by auto*



```

interpretation simple-mask: stamp-mask
  IRExpr-up :: IRExpr  $\Rightarrow$  int64
  IRExpr-down :: IRExpr  $\Rightarrow$  int64
  apply unfold-locales
  by (simp add: ucast-minus-one IRExpr-up-def IRExpr-down-def)+

end

```

## 6.6 Data-flow Tree Theorems

```

theory IRTreeEvalThms
  imports
    Graph.ValueThms
    IRTreeEval
begin

```

### 6.6.1 Deterministic Data-flow Evaluation

```

lemma evalDet:
   $[m,p] \vdash e \mapsto v_1 \implies$ 
   $[m,p] \vdash e \mapsto v_2 \implies$ 
   $v_1 = v_2$ 
  apply (induction arbitrary: v2 rule: evaltree.induct) by (elim EvalTreeE; auto)+

```

```

lemma evalAllDet:
   $[m,p] \vdash e \mapsto v_1 \implies$ 
   $[m,p] \vdash e \mapsto v_2 \implies$ 
   $v_1 = v_2$ 
  apply (induction arbitrary: v2 rule: evaltrees.induct)
  apply (elim EvalTreeE; auto)
  using evalDet by force

```

### 6.6.2 Typing Properties for Integer Evaluation Functions

We use three simple typing properties on integer values: *isIntVal32*, *isIntVal64* and the more general *isIntVal*.

```

lemma unary-eval-not-obj-ref:
  shows unary-eval op  $x \neq \text{ObjRef } v$ 
  by (cases op; cases x; auto)

```

```

lemma unary-eval-not-obj-str:
  shows unary-eval op  $x \neq \text{ObjStr } v$ 
  by (cases op; cases x; auto)

```

```

lemma unary-eval-not-array:
  shows unary-eval op  $x \neq \text{ArrayVal } \text{len } v$ 
  by (cases op; cases x; auto)

```

```

lemma unary-eval-int:
  assumes unary-eval op x  $\neq$  UndefVal
  shows is-IntVal (unary-eval op x)
  by (cases unary-eval op x; auto simp add: assms unary-eval-not-obj-ref unary-eval-not-obj-str
    unary-eval-not-array)

lemma bin-eval-int:
  assumes bin-eval op x y  $\neq$  UndefVal
  shows is-IntVal (bin-eval op x y)
  using assms
  apply (cases op; cases x; cases y; auto simp add: is-IntVal-def)
  apply presburger+
  prefer 3 prefer 4
    apply (smt (verit, del-insts) new-int.simps)
      apply (smt (verit, del-insts) new-int.simps)
      apply (meson new-int-bin.simps)+
      apply (meson bool-to-val.elims)
      apply (meson bool-to-val.elims)
      apply (smt (verit, del-insts) new-int.simps)+
  by (metis bool-to-val.elims)+

lemma IntVal0:
  (IntVal 32 0) = (new-int 32 0)
  by auto

lemma IntVal1:
  (IntVal 32 1) = (new-int 32 1)
  by auto

lemma bin-eval-new-int:
  assumes bin-eval op x y  $\neq$  UndefVal
  shows  $\exists b v. (bin-eval op x y) = new-int b v \wedge$ 
     $b = (if\ op \in\ binary-fixed-32-ops\ then\ 32\ else\ intval-bits\ x)$ 
  using is-IntVal-def assms
  proof (cases op)
    case BinAdd
    then show ?thesis
      using assms apply (cases x; cases y; auto) by presburger
  next
    case BinMul
    then show ?thesis
      using assms apply (cases x; cases y; auto) by presburger
  next

```

```

case BinDiv
then show ?thesis
  using assms apply (cases x; cases y; auto)
  by (meson new-int-bin.simps)
next
case BinMod
then show ?thesis
  using assms apply (cases x; cases y; auto)
  by (meson new-int-bin.simps)
next
case BinSub
then show ?thesis
  using assms apply (cases x; cases y; auto) by presburger
next
case BinAnd
then show ?thesis
  using assms apply (cases x; cases y; auto) by (metis take-bit-and)+
next
case BinOr
then show ?thesis
  using assms apply (cases x; cases y; auto) by (metis take-bit-or)+
next
case BinXor
then show ?thesis
  using assms apply (cases x; cases y; auto) by (metis take-bit-xor)+
next
case BinShortCircuitOr
then show ?thesis
  using assms apply (cases x; cases y; auto)
  by (metis IntVal1 bits-mod-0 bool-to-val.elims new-int.simps take-bit-eq-mod)+
next
case BinLeftShift
then show ?thesis
  using assms by (cases x; cases y; auto)
next
case BinRightShift
then show ?thesis
  using assms apply (cases x; cases y; auto) by (smt (verit, del-insts) new-int.simps)+
next
case BinURightShift
then show ?thesis
  using assms by (cases x; cases y; auto)
next
case BinIntegerEquals
then show ?thesis
  using assms apply (cases x; cases y; auto)
  apply (metis (full-types) IntVal0 IntVal1 bool-to-val.simps(1,2) new-int.elims)
by presburger
next

```

```

case BinIntegerLessThan
then show ?thesis
  using assms apply (cases x; cases y; auto)
  apply (metis (no-types, opaque-lifting) bool-to-val.simps(1,2) bool-to-val.elims
new-int.simps
    IntVal1 take-bit-of-0)
  by presburger
next
case BinIntegerBelow
then show ?thesis
  using assms apply (cases x; cases y; auto)
  apply (metis bool-to-val.simps(1,2) bool-to-val.elims new-int.simps IntVal0 Int-
Val1)
  by presburger
next
case BinIntegerTest
then show ?thesis
  using assms apply (cases x; cases y; auto)
  apply (metis bool-to-val.simps(1,2) bool-to-val.elims new-int.simps IntVal0 Int-
Val1)
  by presburger
next
case BinIntegerNormalizeCompare
then show ?thesis
  using assms apply (cases x; cases y; auto) using take-bit-of-0 apply blast
  by (metis IntVal1 intval-word.simps new-int.elims take-bit-minus-one-eq-mask)+
next
case BinIntegerMulHigh
then show ?thesis
  using assms apply (cases x; cases y; auto)
  prefer 2 prefer 5 prefer 8
  apply presburger+
  by metis+
qed

lemma int-stamp:
  assumes is-IntVal v
  shows is-IntegerStamp (constantAsStamp v)
  using assms is-IntVal-def by auto

lemma validStampIntConst:
  assumes v = IntVal b ival
  assumes  $0 < b \wedge b \leq 64$ 
  shows valid-stamp (constantAsStamp v)
proof –
  have bnds: fst (bit-bounds b) ≤ int-signed-value b ival ∧
int-signed-value b ival ≤ snd (bit-bounds b)
  using assms(2) int-signed-value-bounds by simp
  have s: constantAsStamp v = IntegerStamp b (int-signed-value b ival) (int-signed-value

```

```

b ival)
  using assms(1) by simp
  then show ?thesis
    unfolding s valid-stamp.simps using assms(2) bnds by linarith
qed

```

```

lemma validDefIntConst:
  assumes v: v = IntVal b ival
  assumes  $0 < b \wedge b \leq 64$ 
  assumes take-bit b ival = ival
  shows valid-value v (constantAsStamp v)
proof –
  have bnds: fst (bit-bounds b) ≤ int-signed-value b ival ∧
    int-signed-value b ival ≤ snd (bit-bounds b)
    using assms(2) int-signed-value-bounds by simp
  have s: constantAsStamp v = IntegerStamp b (int-signed-value b ival) (int-signed-value
b ival)
    using assms(1) by simp
  then show ?thesis
    using assms validStampIntConst by simp
qed

```

### 6.6.3 Evaluation Results are Valid

A valid value cannot be *UndefVal*.

```

lemma valid-not-undef:
  assumes valid-value val s
  assumes s ≠ VoidStamp
  shows val ≠ UndefVal
  apply (rule valid-value.elims(1)[of val s True]) using assms by auto

```

```

lemma valid-VoidStamp[elim]:
  shows valid-value val VoidStamp ⇒ val = UndefVal
  by simp

```

```

lemma valid-ObjStamp[elim]:
  shows valid-value val (ObjectStamp klass exact nonNull alwaysNull) ⇒ (∃ v.
val = ObjRef v)
  by (metis Value.exhaust valid-value.simps(3,11,12,18))

```

```

lemma valid-int[elim]:
  shows valid-value val (IntegerStamp b lo hi) ⇒ (∃ v. val = IntVal b v)
  using valid-value.elims(2) by fastforce

```

```

lemmas valid-value-elim =
  valid-VoidStamp
  valid-ObjStamp
  valid-int

```

**lemma** *evaltree-not-undef*:  
**fixes**  $m\ p\ e\ v$   
**shows**  $([m,p] \vdash e \mapsto v) \implies v \neq \text{UndefVal}$   
**apply** (*induction rule: evaltree.induct*) **by** (*auto simp add: wf-value-def*)

**lemma** *leafint*:  
**assumes**  $[m,p] \vdash \text{LeafExpr } i\ (\text{IntegerStamp } b\ lo\ hi) \mapsto val$   
**shows**  $\exists b\ v. val = (\text{IntVal } b\ v)$

**proof** –  
**have** *valid-value val (IntegerStamp b lo hi)*  
**using** *assms* **by** (*rule LeafExprE; simp*)  
**then show** *?thesis*  
**by** *auto*

**qed**

**lemma** *default-stamp [simp]*: *default-stamp = IntegerStamp 32 (-2147483648) 2147483647*  
**by** (*auto simp add: default-stamp-def*)

**lemma** *valid-value-signed-int-range [simp]*:  
**assumes** *valid-value val (IntegerStamp b lo hi)*  
**assumes**  $lo < 0$   
**shows**  $\exists v. (val = \text{IntVal } b\ v \wedge$   
 $lo \leq \text{int-signed-value } b\ v \wedge$   
 $\text{int-signed-value } b\ v \leq hi)$   
**by** (*metis valid-value.simps(1) assms(1) valid-int*)

## 6.6.4 Example Data-flow Optimisations

### 6.6.5 Monotonicity of Expression Refinement

We prove that each subexpression position is monotonic. That is, optimizing a subexpression anywhere deep inside a top-level expression also optimizes that top-level expression.

Note that we might also be able to do this via reusing Isabelle’s *mono* operator (HOL.Orderings theory), proving instantiations like *mono(UnaryExpr op)*, but it is not obvious how to do this for both arguments of the binary expressions.

**lemma** *mono-unary*:  
**assumes**  $x \geq x'$   
**shows**  $(\text{UnaryExpr } op\ x) \geq (\text{UnaryExpr } op\ x')$   
**using** *assms* **by** *auto*

**lemma** *mono-binary*:  
**assumes**  $x \geq x'$   
**assumes**  $y \geq y'$

**shows**  $(BinaryExpr\ op\ x\ y) \geq (BinaryExpr\ op\ x'\ y')$   
**using** *BinaryExpr* *assms* **by** *auto*

**lemma** *never-void*:

**assumes**  $[m, p] \vdash x \mapsto xv$   
**assumes** *valid-value*  $xv$  (*stamp-expr*  $xe$ )  
**shows** *stamp-expr*  $xe \neq VoidStamp$   
**using** *assms*(2) **by** *force*

**lemma** *compatible-trans*:

*compatible*  $x\ y \wedge$  *compatible*  $y\ z \implies$  *compatible*  $x\ z$   
**by** (*cases*  $x$ ; *cases*  $y$ ; *cases*  $z$ ; *auto*)

**lemma** *compatible-refl*:

*compatible*  $x\ y \implies$  *compatible*  $y\ x$   
**using** *compatible.elims*(2) **by** *fastforce*

**lemma** *mono-conditional*:

**assumes**  $c \geq c'$   
**assumes**  $t \geq t'$   
**assumes**  $f \geq f'$   
**shows**  $(ConditionalExpr\ c\ t\ f) \geq (ConditionalExpr\ c'\ t'\ f')$   
**proof** (*simp* *only*: *le-expr-def*; (*rule* *allI*) $+$ ; *rule* *impI*)  
**fix**  $m\ p\ v$   
**assume**  $a$ :  $[m, p] \vdash ConditionalExpr\ c\ t\ f \mapsto v$   
**then obtain**  $cond$  **where**  $c$ :  $[m, p] \vdash c \mapsto cond$   
**by** *auto*  
**then have**  $c'$ :  $[m, p] \vdash c' \mapsto cond$   
**using** *assms* **by** *simp*  
  
**then obtain**  $tr$  **where**  $tr$ :  $[m, p] \vdash t \mapsto tr$   
**using**  $a$  **by** *auto*  
**then have**  $tr'$ :  $[m, p] \vdash t' \mapsto tr$   
**using** *assms*(2) **by** *auto*  
**then obtain**  $fa$  **where**  $fa$ :  $[m, p] \vdash f \mapsto fa$   
**using**  $a$  **by** *blast*  
**then have**  $fa'$ :  $[m, p] \vdash f' \mapsto fa$   
**using** *assms*(3) **by** *auto*  
**define**  $branch$  **where**  $b$ :  $branch = (if\ val\ to\ bool\ cond\ then\ t\ else\ f)$   
**define**  $branch'$  **where**  $b'$ :  $branch' = (if\ val\ to\ bool\ cond\ then\ t'\ else\ f')$   
**then have**  $beval$ :  $[m, p] \vdash branch \mapsto v$   
**using**  $a\ b\ c\ evalDet$  **by** *blast*

```

from beval have  $[m,p] \vdash \text{branch}' \mapsto v$ 
  using assms by (auto simp add: b b')
then show  $[m,p] \vdash \text{ConditionalExpr } c' \ t' \ f' \mapsto v$ 
  using c' fa' tr' by (simp add: evaltree-not-undef b' ConditionalExpr)
qed

```

## 6.7 Unfolding rules for evaltree quadruples down to bin-eval level

These rewrite rules can be useful when proving optimizations. They support top-down rewriting of each level of the tree into the lower-level *bin<sub>e</sub>eval* / *unary<sub>e</sub>eval* level, simply by saying *unfoldingunfold<sub>e</sub>evaltree*.

```

lemma unfold-const:
   $([m,p] \vdash \text{ConstantExpr } c \mapsto v) = (\text{wf-value } v \wedge v = c)$ 
by auto

```

```

lemma unfold-binary:
  shows  $([m,p] \vdash \text{BinaryExpr } op \ x \ y \mapsto val) = (\exists \ x \ y.$ 
     $(([m,p] \vdash \ x \mapsto x) \wedge$ 
       $([m,p] \vdash \ y \mapsto y) \wedge$ 
       $(val = \text{bin-eval } op \ x \ y) \wedge$ 
       $(val \neq \text{UndefVal})$ 
     $)) \text{ (is ?L = ?R)}$ 

```

```

proof (intro iffI)
  assume ?L
  show ?R by (rule evaltree.cases[OF ??]; blast+)
next
  assume ?R
  then obtain x y where  $[m,p] \vdash \ x \mapsto x$ 
    and  $[m,p] \vdash \ y \mapsto y$ 
    and  $val = \text{bin-eval } op \ x \ y$ 
    and  $val \neq \text{UndefVal}$ 
  by auto
  then show ?L
    by (rule BinaryExpr)
qed

```

```

lemma unfold-unary:
  shows  $([m,p] \vdash \text{UnaryExpr } op \ x \mapsto val)$ 
     $= (\exists \ x.$ 
       $(([m,p] \vdash \ x \mapsto x) \wedge$ 
         $(val = \text{unary-eval } op \ x) \wedge$ 
         $(val \neq \text{UndefVal})$ 
       $)) \text{ (is ?L = ?R)}$ 
by auto

```



```

lemmas unfold-evaltree =
  unfold-binary
  unfold-unary

```

## 6.8 Lemmas about *new\_\_int* and integer eval results.

```

lemma unary-eval-new-int:

```

```

  assumes def: unary-eval op x ≠ UndefVal

```

```

  shows  $\exists b v. (\text{unary-eval } op \ x = \text{new-int } b \ v \wedge$ 

```

$$\begin{aligned}
 b = & (\text{if } op \in \text{normal-unary} \quad \text{then } \text{intval-bits } x \ \text{else} \\
 & \quad \text{if } op \in \text{boolean-unary} \quad \text{then } 32 \quad \quad \text{else} \\
 & \quad \text{if } op \in \text{unary-fixed-32-ops} \text{ then } 32 \quad \quad \text{else} \\
 & \quad \quad \text{ir-resultBits } op)
 \end{aligned}$$

```

proof (cases op)

```

```

  case UnaryAbs

```

```

  then show ?thesis

```

```

    apply auto

```

```

    by (metis intval-bits.simps intval-abs.simps(1) UnaryAbs def new-int.elims
unary-eval.simps(1)
intval-abs.elims)

```

```

next

```

```

  case UnaryNeg

```

```

  then show ?thesis

```

```

    apply auto

```

```

    by (metis def intval-bits.simps intval-negate.elims new-int.elims unary-eval.simps(2))

```

```

next

```

```

  case UnaryNot

```

```

  then show ?thesis

```

```

    apply auto

```

```

    by (metis intval-bits.simps intval-not.elims new-int.simps unary-eval.simps(3)
def)

```

```

next

```

```

  case UnaryLogicNegation

```

```

  then show ?thesis

```

```

    apply auto

```

```

    by (metis intval-bits.simps UnaryLogicNegation intval-logic-negation.elims new-int.elims
def
unary-eval.simps(4))

```

```

next

```

```

  case (UnaryNarrow x51 x52)

```

```

  then show ?thesis

```

```

    using assms apply auto

```

```

    subgoal premises p

```

```

    proof –

```

```

      obtain xb xv where xv: x = IntVal xb xv

```

```

      by (metis UnaryNarrow def intval-logic-negation.cases intval-narrow.simps(2,3,4,5))

```

```

        unary-eval.simps(5))
    then have evalNotUndef: intval-narrow x51 x52 x ≠ UndefVal
        using p by fast
    then show ?thesis
        by (metis (no-types, lifting) new-int.elims intval-narrow.simps(1) xv)
    qed done
next
case (UnarySignExtend x61 x62)
then show ?thesis
using assms apply auto
subgoal premises p
proof -
obtain xb xv where xv: x = IntVal xb xv
by (metis Value.exhaust intval-sign-extend.simps(2,3,4,5) p(2))
then have evalNotUndef: intval-sign-extend x61 x62 x ≠ UndefVal
using p by fast
then show ?thesis
by (metis intval-sign-extend.simps(1) new-int.elims xv)
qed done
next
case (UnaryZeroExtend x71 x72)
then show ?thesis
using assms apply auto
subgoal premises p
proof -
obtain xb xv where xv: x = IntVal xb xv
by (metis Value.exhaust intval-zero-extend.simps(2,3,4,5) p(2))
then have evalNotUndef: intval-zero-extend x71 x72 x ≠ UndefVal
using p by fast
then show ?thesis
by (metis intval-zero-extend.simps(1) new-int.elims xv)
qed done
next
case UnaryIsNull
then show ?thesis
apply auto
by (metis bool-to-val.simps(1) new-int.simps IntVal0 IntVal1 unary-eval.simps(8)
assms def
intval-is-null.elims bool-to-val.elims)
next
case UnaryReverseBytes
then show ?thesis
apply auto
by (metis intval-bits.simps intval-reverse-bytes.elims new-int.elims unary-eval.simps(9)
def)
next
case UnaryBitCount
then show ?thesis
apply auto

```

by (*metis intval-bit-count.elims new-int.simps unary-eval.simps(10) intval-bit-count.simps(1) def*)

**qed**

**lemma** *new-int-unused-bits-zero*:  
 assumes *IntVal b ival = new-int b ival0*  
 shows *take-bit b ival = ival*  
 by (*simp add: new-int-take-bits assms*)

**lemma** *unary-eval-unused-bits-zero*:  
 assumes *unary-eval op x = IntVal b ival*  
 shows *take-bit b ival = ival*  
 by (*metis unary-eval-new-int Value.inject(1) new-int.elims new-int-unused-bits-zero Value.simps(5) assms*)

**lemma** *bin-eval-unused-bits-zero*:  
 assumes *bin-eval op x y = (IntVal b ival)*  
 shows *take-bit b ival = ival*  
 by (*metis bin-eval-new-int Value.distinct(1) Value.inject(1) new-int.elims new-int-take-bits assms*)

**lemma** *eval-unused-bits-zero*:  
 $[m,p] \vdash xe \mapsto (IntVal b ix) \implies take-bit b ix = ix$   
**proof** (*induction xe*)  
 case (*UnaryExpr x1 xe*)  
 then show ?case  
 by (*auto simp add: unary-eval-unused-bits-zero*)  
**next**  
 case (*BinaryExpr x1 xe1 xe2*)  
 then show ?case  
 by (*auto simp add: bin-eval-unused-bits-zero*)  
**next**  
 case (*ConditionalExpr xe1 xe2 xe3*)  
 then show ?case  
 by (*metis (full-types) EvalTreeE(3)*)  
**next**  
 case (*ParameterExpr i s*)  
 then have *valid-value (p!i) s*  
 by *fastforce*  
 then show ?case  
 by (*metis (no-types, opaque-lifting) Value.distinct(9) intval-bits.simps valid-value.elims(2) local.ParameterExpr ParameterExprE intval-word.simps*)  
**next**  
 case (*LeafExpr x1 x2*)  
 then show ?case  
 apply *auto*  
 by (*metis (no-types, opaque-lifting) intval-bits.simps intval-word.simps valid-value.elims(2)*)

```

      valid-value.simps(18))
next
  case (ConstantExpr x)
  then show ?case
  by (metis EvalTreeE(1) constantAsStamp.simps(1) valid-value.simps(1) wf-value-def)
next
  case (ConstantVar x)
  then show ?case
  by auto
next
  case (VariableExpr x1 x2)
  then show ?case
  by auto
qed

```

**lemma unary-normal-bitsize:**  
**assumes** unary-eval op x = IntVal b ival  
**assumes** op ∈ normal-unary  
**shows** ∃ ix. x = IntVal b ix  
**using** assms **apply** (cases op; auto) **prefer** 5  
**apply** (smt (verit, ccfv-threshold) Value.distinct(1) Value.inject(1) intval-reverse-bytes.elims  
 new-int.simps)  
**by** (metis Value.distinct(1) Value.inject(1) intval-logic-negation.elims new-int.simps  
 intval-not.elims intval-negate.elims intval-abs.elims)+

**lemma unary-not-normal-bitsize:**  
**assumes** unary-eval op x = IntVal b ival  
**assumes** op ∉ normal-unary ∧ op ∉ boolean-unary ∧ op ∉ unary-fixed-32-ops  
**shows** b = ir-resultBits op ∧ 0 < b ∧ b ≤ 64  
**apply** (cases op) **prefer** 8 **prefer** 10 **prefer** 10 **using** assms **apply** blast+  
**by** (smt (verit, ccfv-SIG) Value.distinct(1) assms(1) intval-bits.simps intval-narrow.elims  
 intval-narrow-ok intval-zero-extend.elims linorder-not-less neq0-conv new-int.simps  
 unary-eval.simps(5,6,7) IRUnaryOp.sel(4,5,6) intval-sign-extend.elims)+

**lemma unary-eval-bitsize:**  
**assumes** unary-eval op x = IntVal b ival  
**assumes** 2: x = IntVal bx ix  
**assumes** 0 < bx ∧ bx ≤ 64  
**shows** 0 < b ∧ b ≤ 64  
**using** assms **apply** (cases op; simp)  
**by** (metis Value.distinct(1) Value.inject(1) intval-narrow.simps(1) le-zero-eq int-  
 val-narrow-ok  
 new-int.simps le-zero-eq gr-zeroI)+

**lemma bin-eval-inputs-are-ints:**  
**assumes** bin-eval op x y = IntVal b ix  
**obtains** xb yb xi yi **where** x = IntVal xb xi ∧ y = IntVal yb yi

```

proof –
  have bin-eval op x y ≠ UndefVal
    by (simp add: assms)
  then show ?thesis
    using assms that by (cases op; cases x; cases y; auto)
qed

lemma eval-bits-1-64:
   $[m,p] \vdash xe \mapsto (\text{IntVal } b \text{ ix}) \implies 0 < b \wedge b \leq 64$ 
proof (induction xe arbitrary: b ix)
  case (UnaryExpr op x2)
  then obtain xv where
    xv: ([m,p] ⊢ x2 ↦ xv) ∧
    IntVal b ix = unary-eval op xv
  by (auto simp add: unfold-binary)
  then have  $b = (\text{if } op \in \text{normal-unary} \text{ then } \text{intval-bits } xv \text{ else}$ 
     $\text{if } op \in \text{unary-fixed-32-ops} \text{ then } 32 \text{ else}$ 
     $\text{if } op \in \text{boolean-unary} \text{ then } 32 \text{ else}$ 
     $\text{ir-resultBits } op)$ 
  by (metis Value.disc(1) Value.discI(1) Value.sel(1) new-int.simps unary-eval-new-int)
  then show ?case
  by (metis xv linorder-le-cases linorder-not-less numeral-less-iff semiring-norm(76,78))
  grOI
    unary-normal-bitsize unary-not-normal-bitsize UnaryExpr.IH)
next
  case (BinaryExpr op x y)
  then obtain xv yv where
    xy: ([m,p] ⊢ x ↦ xv) ∧
    ([m,p] ⊢ y ↦ yv) ∧
    IntVal b ix = bin-eval op xv yv
  by (auto simp add: unfold-binary)
  then have def: bin-eval op xv yv ≠ UndefVal and xv: xv ≠ UndefVal and yv ≠
UndefVal
  using evaltree-not-undef xy by (force, blast, blast)
  then have  $b = (\text{if } op \in \text{binary-fixed-32-ops} \text{ then } 32 \text{ else } \text{intval-bits } xv)$ 
  by (metis xy intval-bits.simps new-int.simps bin-eval-new-int)
  then show ?case
  by (smt (verit, best) Value.distinct(9,11,13) BinaryExpr.IH(1) xv bin-eval-inputs-are-ints
xy
    intval-bits.elims le-add-same-cancel1 less-or-eq-imp-le numeral-Bit0 zero-less-numeral)
next
  case (ConditionalExpr xe1 xe2 xe3)
  then show ?case
  by (metis (full-types) EvalTreeE(3))
next
  case (ParameterExpr x1 x2)
  then show ?case
  apply auto
  using valid-value.elims(2)

```

```

    by (metis valid-stamp.simps(1) intval-bits.simps valid-value.simps(18))+
next
case (LeafExpr x1 x2)
then show ?case
  apply auto
  using valid-value.elims(1,2)
  by (metis Value.inject(1) valid-stamp.simps(1) valid-value.simps(18) Value.distinct(9))+
next
case (ConstantExpr x)
then show ?case
  by (metis wf-value-def constantAsStamp.simps(1) valid-stamp.simps(1) valid-value.simps(1)
      EvalTreeE(1))
next
case (ConstantVar x)
then show ?case
  by auto
next
case (VariableExpr x1 x2)
then show ?case
  by auto
qed

```

**lemma** *bin-eval-normal-bits*:

```

assumes op ∈ binary-normal
assumes bin-eval op x y = xy
assumes xy ≠ UndefinedVal
shows ∃ xv yv xyv b. (x = IntVal b xv ∧ y = IntVal b yv ∧ xy = IntVal b xyv)
using assms apply simp
proof (cases op ∈ binary-normal)
case True
then show ?thesis
  proof –
    have operator: xy = bin-eval op x y
      by (simp add: assms(2))
    obtain xv xb where xv: x = IntVal xb xv
    by (metis assms(3) bin-eval-inputs-are-ints bin-eval-int is-IntVal-def operator)
    obtain yv yb where yv: y = IntVal yb yv
    by (metis assms(3) bin-eval-inputs-are-ints bin-eval-int is-IntVal-def operator)
    then have notUndefinedMeansWidthSame: bin-eval op x y ≠ UndefinedVal ⇒ (xb
= yb)
      using assms apply (cases op; auto)
      by (metis intval-xor.simps(1) intval-or.simps(1) intval-div.simps(1) int-
val-mod.simps(1) intval-and.simps(1) intval-sub.simps(1)
          intval-mul.simps(1) intval-add.simps(1) new-int-bin.elims xv)+
    then have inWidthsSame: xb = yb
      using assms(3) operator by auto
    obtain ob xyv where out: xy = IntVal ob xyv
    by (metis Value.collapse(1) assms(3) bin-eval-int operator)
  end
end

```

```

then have  $y b = o b$ 
  using assms apply (cases op; auto)
    apply (simp add: inWidthsSame xv yv)+
    apply (metis assms(3) intval-bits.simps new-int.simps new-int-bin.elims)
    apply (metis xv yv Value.distinct(1) intval-mod.simps(1) new-int.simps
new-int-bin.elims)
    by (simp add: inWidthsSame xv yv)+
  then show ?thesis
  using xv yv inWidthsSame assms out by blast
qed
next
  case False
  then show ?thesis
  using assms by simp
qed

```

**lemma** *unfold-binary-width-bin-normal*:

**assumes**  $op \in \text{binary-normal}$

**shows**  $\bigwedge xv\ yv.$

$\text{IntVal } b\ \text{val} = \text{bin-eval } op\ xv\ yv \implies$

$[m,p] \vdash xe \mapsto xv \implies$

$[m,p] \vdash ye \mapsto yv \implies$

$\text{bin-eval } op\ xv\ yv \neq \text{UndefVal} \implies$

$\exists xa.$

$(([m,p] \vdash xe \mapsto \text{IntVal } b\ xa) \wedge$

$(\exists ya. ([m,p] \vdash ye \mapsto \text{IntVal } b\ ya) \wedge$

$\text{bin-eval } op\ xv\ yv = \text{bin-eval } op\ (\text{IntVal } b\ xa)\ (\text{IntVal } b\ ya)))$

**using** *assms* **apply** *simp*

**subgoal premises**  $p$  **for**  $x\ y$

**proof** –

**obtain**  $xv\ yv$  **where** *eval*:  $([m,p] \vdash xe \mapsto xv) \wedge ([m,p] \vdash ye \mapsto yv)$

**using**  $p(2,3)$  **by** *blast*

**then obtain**  $xa\ bb$  **where**  $xa: xv = \text{IntVal } bb\ xa$

**by** (*metis bin-eval-inputs-are-ints evalDet p(1,2)*)

**then obtain**  $ya\ yb$  **where**  $ya: yv = \text{IntVal } yb\ ya$

**by** (*metis bin-eval-inputs-are-ints evalDet p(1,3) eval*)

**then have** *eqWidth*:  $bb = b$

**by** (*metis intval-bits.simps p(1,2,4) assms eval xa bin-eval-normal-bits evalDet*)

**then obtain**  $xy$  **where** *eval0*:  $\text{bin-eval } op\ x\ y = \text{IntVal } b\ xy$

**by** (*metis p(1)*)

**then have** *sameVals*:  $\text{bin-eval } op\ x\ y = \text{bin-eval } op\ xv\ yv$

**by** (*metis evalDet p(2,3) eval*)

**then have** *notUndefMeansSameWidth*:  $\text{bin-eval } op\ xv\ yv \neq \text{UndefVal} \implies (bb = yb)$

**using** *assms* **apply** (*cases op; auto*)

**by** (*metis intval-add.simps(1) intval-mul.simps(1) intval-div.simps(1) intval-mod.simps(1) intval-sub.simps(1) intval-and.simps(1)*

*intval-or.simps(1) intval-xor.simps(1) new-int-bin.simps xa ya*)+

**have** *unfoldVal*:  $\text{bin-eval } op\ x\ y = \text{bin-eval } op\ (\text{IntVal } bb\ xa)\ (\text{IntVal } yb\ ya)$

```

    unfolding sameVals xa ya by simp
  then have sameWidth: b = yb
    using eqWidth notUndefMeansSameWidth p(4) sameVals by force
  then show ?thesis
    using eqWidth eval xa ya unfoldVal by blast
qed
done

lemma unfold-binary-width:
  assumes op ∈ binary-normal
  shows ([m,p] ⊢ BinaryExpr op xe ye ↦ IntVal b val) = (∃ x y.
    ([m,p] ⊢ xe ↦ IntVal b x) ∧
    ([m,p] ⊢ ye ↦ IntVal b y) ∧
    (IntVal b val = bin-eval op (IntVal b x) (IntVal b y)) ∧
    (IntVal b val ≠ UndefVal)
  ) (is ?L = ?R)
proof (intro iffI)
  assume β: ?L
  show ?R
    apply (rule evaltree.cases[OF β]) apply auto
    apply (cases op ∈ binary-normal)
    using unfold-binary-width-bin-normal assms by force+
next
  assume R: ?R
  then obtain x y where [m,p] ⊢ xe ↦ IntVal b x
    and [m,p] ⊢ ye ↦ IntVal b y
    and new-int b val = bin-eval op (IntVal b x) (IntVal b y)
    and new-int b val ≠ UndefVal
    using bin-eval-unused-bits-zero by force
  then show ?L
    using R by blast
qed

end

```

## 7 Tree to Graph

```

theory TreeToGraph
  imports
    Semantics.IRTreeEval
    Graph.IRGraph
    Snippets.Snipping
begin

```

### 7.1 Subgraph to Data-flow Tree

```

fun find-node-and-stamp :: IRGraph ⇒ (IRNode × Stamp) ⇒ ID option where
  find-node-and-stamp g (n,s) =
    find (λi. kind g i = n ∧ stamp g i = s) (sorted-list-of-set(ids g))

```



**export-code** *find-node-and-stamp*

```
fun is-preevaluated :: IRNode ⇒ bool where  
  is-preevaluated (InvokeNode n - - - -) = True |  
  is-preevaluated (InvokeWithExceptionNode n - - - - -) = True |  
  is-preevaluated (NewInstanceNode n - - -) = True |  
  is-preevaluated (LoadFieldNode n - - -) = True |  
  is-preevaluated (SignedDivNode n - - - - -) = True |  
  is-preevaluated (SignedRemNode n - - - - -) = True |  
  is-preevaluated (ValuePhiNode n - -) = True |  
  is-preevaluated (BytecodeExceptionNode n - -) = True |  
  is-preevaluated (NewArrayNode n - -) = True |  
  is-preevaluated (ArrayLengthNode n -) = True |  
  is-preevaluated (LoadIndexedNode n - - -) = True |  
  is-preevaluated (StoreIndexedNode n - - - - -) = True |  
  is-preevaluated - = False
```

**inductive**

```
rep :: IRGraph ⇒ ID ⇒ IRExpr ⇒ bool (- ⊢ - ≈ - 55)  
for g where
```

*ConstantNode*:

```
[[kind g n = ConstantNode c]]  
⇒ g ⊢ n ≈ (ConstantExpr c) |
```

*ParameterNode*:

```
[[kind g n = ParameterNode i;  
  stamp g n = s]]  
⇒ g ⊢ n ≈ (ParameterExpr i s) |
```

*ConditionalNode*:

```
[[kind g n = ConditionalNode c t f;  
  g ⊢ c ≈ ce;  
  g ⊢ t ≈ te;  
  g ⊢ f ≈ fe]]  
⇒ g ⊢ n ≈ (ConditionalExpr ce te fe) |
```

*AbsNode*:

```
[[kind g n = AbsNode x;  
  g ⊢ x ≈ xe]]  
⇒ g ⊢ n ≈ (UnaryExpr UnaryAbs xe) |
```

*ReverseBytesNode*:

```
[[kind g n = ReverseBytesNode x;  
  g ⊢ x ≈ xe]]  
⇒ g ⊢ n ≈ (UnaryExpr UnaryReverseBytes xe) |
```

*BitCountNode:*  
 $\llbracket \text{kind } g \ n = \text{BitCountNode } x;$   
 $g \vdash x \simeq xe \rrbracket$   
 $\implies g \vdash n \simeq (\text{UnaryExpr } \text{UnaryBitCount } xe) \mid$

*NotNode:*  
 $\llbracket \text{kind } g \ n = \text{NotNode } x;$   
 $g \vdash x \simeq xe \rrbracket$   
 $\implies g \vdash n \simeq (\text{UnaryExpr } \text{UnaryNot } xe) \mid$

*NegateNode:*  
 $\llbracket \text{kind } g \ n = \text{NegateNode } x;$   
 $g \vdash x \simeq xe \rrbracket$   
 $\implies g \vdash n \simeq (\text{UnaryExpr } \text{UnaryNeg } xe) \mid$

*LogicNegationNode:*  
 $\llbracket \text{kind } g \ n = \text{LogicNegationNode } x;$   
 $g \vdash x \simeq xe \rrbracket$   
 $\implies g \vdash n \simeq (\text{UnaryExpr } \text{UnaryLogicNegation } xe) \mid$

*AddNode:*  
 $\llbracket \text{kind } g \ n = \text{AddNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr } \text{BinAdd } xe \ ye) \mid$

*MulNode:*  
 $\llbracket \text{kind } g \ n = \text{MulNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr } \text{BinMul } xe \ ye) \mid$

*DivNode:*  
 $\llbracket \text{kind } g \ n = \text{SignedFloatingIntegerDivNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr } \text{BinDiv } xe \ ye) \mid$

*ModNode:*  
 $\llbracket \text{kind } g \ n = \text{SignedFloatingIntegerRemNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr } \text{BinMod } xe \ ye) \mid$

*SubNode:*  
 $\llbracket \text{kind } g \ n = \text{SubNode } x \ y;$   
 $g \vdash x \simeq xe;$

$$g \vdash y \simeq ye] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinSub } xe \ ye) \mid$$

*AndNode:*

$$[[\text{kind } g \ n = \text{AndNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinAnd } xe \ ye) \mid$$

*OrNode:*

$$[[\text{kind } g \ n = \text{OrNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinOr } xe \ ye) \mid$$

*XorNode:*

$$[[\text{kind } g \ n = \text{XorNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinXor } xe \ ye) \mid$$

*ShortCircuitOrNode:*

$$[[\text{kind } g \ n = \text{ShortCircuitOrNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinShortCircuitOr } xe \ ye) \mid$$

*LeftShiftNode:*

$$[[\text{kind } g \ n = \text{LeftShiftNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinLeftShift } xe \ ye) \mid$$

*RightShiftNode:*

$$[[\text{kind } g \ n = \text{RightShiftNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinRightShift } xe \ ye) \mid$$

*UnsignedRightShiftNode:*

$$[[\text{kind } g \ n = \text{UnsignedRightShiftNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinURightShift } xe \ ye) \mid$$

*IntegerBelowNode:*

$$[[\text{kind } g \ n = \text{IntegerBelowNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]]$$

$\implies g \vdash n \simeq (\text{BinaryExpr BinIntegerBelow } xe \ ye) \mid$

*IntegerEqualsNode:*

$\llbracket \text{kind } g \ n = \text{IntegerEqualsNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr BinIntegerEquals } xe \ ye) \mid$

*IntegerLessThanNode:*

$\llbracket \text{kind } g \ n = \text{IntegerLessThanNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr BinIntegerLessThan } xe \ ye) \mid$

*IntegerTestNode:*

$\llbracket \text{kind } g \ n = \text{IntegerTestNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr BinIntegerTest } xe \ ye) \mid$

*IntegerNormalizeCompareNode:*

$\llbracket \text{kind } g \ n = \text{IntegerNormalizeCompareNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr BinIntegerNormalizeCompare } xe \ ye) \mid$

*IntegerMulHighNode:*

$\llbracket \text{kind } g \ n = \text{IntegerMulHighNode } x \ y;$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye \rrbracket$   
 $\implies g \vdash n \simeq (\text{BinaryExpr BinIntegerMulHigh } xe \ ye) \mid$

*NarrowNode:*

$\llbracket \text{kind } g \ n = \text{NarrowNode } \text{inputBits } \text{resultBits } x;$   
 $g \vdash x \simeq xe \rrbracket$   
 $\implies g \vdash n \simeq (\text{UnaryExpr } (\text{UnaryNarrow } \text{inputBits } \text{resultBits}) \ xe) \mid$

*SignExtendNode:*

$\llbracket \text{kind } g \ n = \text{SignExtendNode } \text{inputBits } \text{resultBits } x;$   
 $g \vdash x \simeq xe \rrbracket$   
 $\implies g \vdash n \simeq (\text{UnaryExpr } (\text{UnarySignExtend } \text{inputBits } \text{resultBits}) \ xe) \mid$

*ZeroExtendNode:*

$\llbracket \text{kind } g \ n = \text{ZeroExtendNode } \text{inputBits } \text{resultBits } x;$   
 $g \vdash x \simeq xe \rrbracket$   
 $\implies g \vdash n \simeq (\text{UnaryExpr } (\text{UnaryZeroExtend } \text{inputBits } \text{resultBits}) \ xe) \mid$

*LeafNode*:  
 $\llbracket \text{is-preevaluated } (kind\ g\ n);$   
 $\text{stamp } g\ n = s \rrbracket$   
 $\implies g \vdash n \simeq (LeafExpr\ n\ s) \mid$

*PiNode*:  
 $\llbracket kind\ g\ n = PiNode\ n'\ guard;$   
 $g \vdash n' \simeq e \rrbracket$   
 $\implies g \vdash n \simeq e \mid$

*RefNode*:  
 $\llbracket kind\ g\ n = RefNode\ n';$   
 $g \vdash n' \simeq e \rrbracket$   
 $\implies g \vdash n \simeq e \mid$

*IsNullNode*:  
 $\llbracket kind\ g\ n = IsNullNode\ v;$   
 $g \vdash v \simeq lfn \rrbracket$   
 $\implies g \vdash n \simeq (UnaryExpr\ UnaryIsNull\ lfn)$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *exprE*) *rep* .

**inductive**

*replist* ::  $IRGraph \Rightarrow ID\ list \Rightarrow IRExpr\ list \Rightarrow \text{bool}$  (-  $\vdash$  - [ $\simeq$ ] - 55)  
**for** *g* **where**

*RepNil*:  
 $g \vdash [] [\simeq] [] \mid$

*RepCons*:  
 $\llbracket g \vdash x \simeq xe;$   
 $g \vdash xs [\simeq] xse \rrbracket$   
 $\implies g \vdash x\#xs [\simeq] xe\#xse$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *exprListE*) *replist* .

**definition** *wf-term-graph* ::  $MapState \Rightarrow Params \Rightarrow IRGraph \Rightarrow ID \Rightarrow \text{bool}$  **where**  
*wf-term-graph* *m p g n* =  $(\exists e. (g \vdash n \simeq e) \wedge (\exists v. ([m, p] \vdash e \mapsto v)))$

**values** {*t*. *eg2-sq*  $\vdash 4 \simeq t$ }

## 7.2 Data-flow Tree to Subgraph

**fun** *unary-node* ::  $IRUnaryOp \Rightarrow ID \Rightarrow IRNode$  **where**  
*unary-node* *UnaryAbs v* = *AbsNode v*  $\mid$

```

unary-node UnaryNot v = NotNode v |
unary-node UnaryNeg v = NegateNode v |
unary-node UnaryLogicNegation v = LogicNegationNode v |
unary-node (UnaryNarrow ib rb) v = NarrowNode ib rb v |
unary-node (UnarySignExtend ib rb) v = SignExtendNode ib rb v |
unary-node (UnaryZeroExtend ib rb) v = ZeroExtendNode ib rb v |
unary-node UnaryIsNull v = IsNullNode v |
unary-node UnaryReverseBytes v = ReverseBytesNode v |
unary-node UnaryBitCount v = BitCountNode v

```

**fun** bin-node :: IRBinaryOp ⇒ ID ⇒ ID ⇒ IRNode **where**

```

bin-node BinAdd x y = AddNode x y |
bin-node BinMul x y = MulNode x y |
bin-node BinDiv x y = SignedFloatingIntegerDivNode x y |
bin-node BinMod x y = SignedFloatingIntegerRemNode x y |
bin-node BinSub x y = SubNode x y |
bin-node BinAnd x y = AndNode x y |
bin-node BinOr x y = OrNode x y |
bin-node BinXor x y = XorNode x y |
bin-node BinShortCircuitOr x y = ShortCircuitOrNode x y |
bin-node BinLeftShift x y = LeftShiftNode x y |
bin-node BinRightShift x y = RightShiftNode x y |
bin-node BinURightShift x y = UnsignedRightShiftNode x y |
bin-node BinIntegerEquals x y = IntegerEqualsNode x y |
bin-node BinIntegerLessThan x y = IntegerLessThanNode x y |
bin-node BinIntegerBelow x y = IntegerBelowNode x y |
bin-node BinIntegerTest x y = IntegerTestNode x y |
bin-node BinIntegerNormalizeCompare x y = IntegerNormalizeCompareNode x y
|
bin-node BinIntegerMulHigh x y = IntegerMulHighNode x y

```

**inductive** fresh-id :: IRGraph ⇒ ID ⇒ bool **where**

```

n ∉ ids g ⇒ fresh-id g n

```

**code-pred** fresh-id .

**fun** get-fresh-id :: IRGraph ⇒ ID **where**

```

get-fresh-id g = last(sorted-list-of-set(ids g)) + 1

```

**export-code** get-fresh-id

**value** get-fresh-id eg2-sq

**value** get-fresh-id (add-node 6 (ParameterNode 2, default-stamp) eg2-sq)

**inductive** unique :: IRGraph ⇒ (IRNode × Stamp) ⇒ (IRGraph × ID) ⇒ bool  
**where**

*Exists:*  
 $\llbracket \text{find-node-and-stamp } g \text{ node} = \text{Some } n \rrbracket$   
 $\implies \text{unique } g \text{ node } (g, n) \mid$   
*New:*  
 $\llbracket \text{find-node-and-stamp } g \text{ node} = \text{None};$   
 $n = \text{get-fresh-id } g;$   
 $g' = \text{add-node } n \text{ node } g \rrbracket$   
 $\implies \text{unique } g \text{ node } (g', n)$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *uniqueE*) *unique* .

### inductive

*unrep* ::  $\text{IRGraph} \Rightarrow \text{IRExpr} \Rightarrow (\text{IRGraph} \times \text{ID}) \Rightarrow \text{bool}$  (-  $\oplus$  -  $\rightsquigarrow$  - 55)  
**where**

*UnrepConstantNode:*  
 $\llbracket \text{unique } g \text{ (ConstantNode } c, \text{ constantAsStamp } c) (g_1, n) \rrbracket$   
 $\implies g \oplus (\text{ConstantExpr } c) \rightsquigarrow (g_1, n) \mid$

*UnrepParameterNode:*  
 $\llbracket \text{unique } g \text{ (ParameterNode } i, s) (g_1, n) \rrbracket$   
 $\implies g \oplus (\text{ParameterExpr } i s) \rightsquigarrow (g_1, n) \mid$

*UnrepConditionalNode:*  
 $\llbracket g \oplus ce \rightsquigarrow (g_1, c);$   
 $g_1 \oplus te \rightsquigarrow (g_2, t);$   
 $g_2 \oplus fe \rightsquigarrow (g_3, f);$   
 $s' = \text{meet (stamp } g_3 t) (\text{stamp } g_3 f);$   
 $\text{unique } g_3 \text{ (ConditionalNode } c t f, s') (g_4, n) \rrbracket$   
 $\implies g \oplus (\text{ConditionalExpr } ce te fe) \rightsquigarrow (g_4, n) \mid$

*UnrepUnaryNode:*  
 $\llbracket g \oplus xe \rightsquigarrow (g_1, x);$   
 $s' = \text{stamp-unary op (stamp } g_1 x);$   
 $\text{unique } g_1 \text{ (unary-node op } x, s') (g_2, n) \rrbracket$   
 $\implies g \oplus (\text{UnaryExpr op } xe) \rightsquigarrow (g_2, n) \mid$

*UnrepBinaryNode:*  
 $\llbracket g \oplus xe \rightsquigarrow (g_1, x);$   
 $g_1 \oplus ye \rightsquigarrow (g_2, y);$   
 $s' = \text{stamp-binary op (stamp } g_2 x) (\text{stamp } g_2 y);$   
 $\text{unique } g_2 \text{ (bin-node op } x y, s') (g_3, n) \rrbracket$   
 $\implies g \oplus (\text{BinaryExpr op } xe ye) \rightsquigarrow (g_3, n) \mid$

*AllLeafNodes:*  
 $\llbracket \text{stamp } g n = s;$   
 $\text{is-preevaluated (kind } g n) \rrbracket$   
 $\implies g \oplus (\text{LeafExpr } n s) \rightsquigarrow (g, n)$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *unrepE*)  
*unrep* .

*uniqueRules*

$$\frac{\text{find-node-and-stamp } (g::\text{IRGraph}) \text{ (node::IRNode} \times \text{Stamp)} = \text{Some } (n::\text{nat})}{\text{unique } g \text{ node } (g, n)}$$

$$\frac{\text{find-node-and-stamp } (g::\text{IRGraph}) \text{ (node::IRNode} \times \text{Stamp)} = \text{None} \\ (n::\text{nat}) = \text{get-fresh-id } g \quad (g'::\text{IRGraph}) = \text{add-node } n \text{ node } g}{\text{unique } g \text{ node } (g', n)}$$



### unrepRules

$$\begin{array}{c}
\frac{\text{unique } (g::IRGraph) \text{ (ConstantNode } (c::Value), \text{ constantAsStamp } c) (g_1::IRGraph, n::nat)}{g \oplus \text{ConstantExpr } c \rightsquigarrow (g_1, n)} \\
\frac{\text{unique } (g::IRGraph) \text{ (ParameterNode } (i::nat), s::Stamp) (g_1::IRGraph, n::nat)}{g \oplus \text{ParameterExpr } i \ s \rightsquigarrow (g_1, n)} \\
\frac{\begin{array}{c} g::IRGraph \oplus ce::IRExpr \rightsquigarrow (g_1::IRGraph, c::nat) \\ g_1 \oplus te::IRExpr \rightsquigarrow (g_2::IRGraph, t::nat) \\ g_2 \oplus fe::IRExpr \rightsquigarrow (g_3::IRGraph, f::nat) \\ (s'::Stamp) = \text{meet } (\text{stamp } g_3 \ t) \ (\text{stamp } g_3 \ f) \\ \text{unique } g_3 \text{ (ConditionalNode } c \ t \ f, s') (g_4::IRGraph, n::nat) \end{array}}{g \oplus \text{ConditionalExpr } ce \ te \ fe \rightsquigarrow (g_4, n)} \\
\frac{\begin{array}{c} g::IRGraph \oplus xe::IRExpr \rightsquigarrow (g_1::IRGraph, x::nat) \\ g_1 \oplus ye::IRExpr \rightsquigarrow (g_2::IRGraph, y::nat) \\ (s'::Stamp) = \text{stamp-binary } (op::IRBinaryOp) \ (\text{stamp } g_2 \ x) \ (\text{stamp } g_2 \ y) \\ \text{unique } g_2 \text{ (bin-node } op \ x \ y, s') (g_3::IRGraph, n::nat) \end{array}}{g \oplus \text{BinaryExpr } op \ xe \ ye \rightsquigarrow (g_3, n)} \\
\frac{\begin{array}{c} g::IRGraph \oplus xe::IRExpr \rightsquigarrow (g_1::IRGraph, x::nat) \\ (s'::Stamp) = \text{stamp-unary } (op::IRUnaryOp) \ (\text{stamp } g_1 \ x) \\ \text{unique } g_1 \text{ (unary-node } op \ x, s') (g_2::IRGraph, n::nat) \end{array}}{g \oplus \text{UnaryExpr } op \ xe \rightsquigarrow (g_2, n)} \\
\frac{\begin{array}{c} \text{stamp } (g::IRGraph) \ (n::nat) = (s::Stamp) \\ \text{is-preevaluated } (\text{kind } g \ n) \end{array}}{g \oplus \text{LeafExpr } n \ s \rightsquigarrow (g, n)}
\end{array}$$

### 7.3 Lift Data-flow Tree Semantics

**inductive** *encodeeval* :: *IRGraph*  $\Rightarrow$  *MapState*  $\Rightarrow$  *Params*  $\Rightarrow$  *ID*  $\Rightarrow$  *Value*  $\Rightarrow$  *bool*

([ $\_$ , $\_$ , $\_$ ]  $\vdash$  -  $\mapsto$  - 50)

**where**

( $g \vdash n \simeq e$ )  $\wedge$  ( $[m,p] \vdash e \mapsto v$ )  $\implies$  ( $[g, m, p] \vdash n \mapsto v$ )

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *encodeeval* .

**inductive** *encodeEvalAll* :: *IRGraph*  $\Rightarrow$  *MapState*  $\Rightarrow$  *Params*  $\Rightarrow$  *ID list*  $\Rightarrow$  *Value list*  $\Rightarrow$  *bool*

([ $\_$ , $\_$ , $\_$ ]  $\vdash$  - [ $\mapsto$ ] - 60) **where**

( $g \vdash nids \ [\simeq] \ es$ )  $\wedge$  ( $[m, p] \vdash es \ [\mapsto] \ vs$ )  $\implies$  ( $[g, m, p] \vdash nids \ [\mapsto] \ vs$ )

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *encodeEvalAll* .

## 7.4 Graph Refinement

**definition** *graph-represents-expression* ::  $IRGraph \Rightarrow ID \Rightarrow IRExpr \Rightarrow \text{bool}$   
 $(- \vdash - \triangleleft - 50)$

**where**

$(g \vdash n \triangleleft e) = (\exists e' . (g \vdash n \simeq e') \wedge (e' \leq e))$

**definition** *graph-refinement* ::  $IRGraph \Rightarrow IRGraph \Rightarrow \text{bool}$  **where**

*graph-refinement*  $g_1 g_2 =$   
 $((ids\ g_1 \subseteq ids\ g_2) \wedge$   
 $(\forall n . n \in ids\ g_1 \longrightarrow (\forall e. (g_1 \vdash n \simeq e) \longrightarrow (g_2 \vdash n \triangleleft e))))$

**lemma** *graph-refinement*:

*graph-refinement*  $g_1 g_2 \implies$

$(\forall n\ m\ p\ v. n \in ids\ g_1 \longrightarrow ([g_1, m, p] \vdash n \mapsto v) \longrightarrow ([g_2, m, p] \vdash n \mapsto v))$

**by** (*meson encodeeval.simps graph-refinement-def graph-represents-expression-def le-expr-def*)

## 7.5 Maximal Sharing

**definition** *maximal-sharing*:

*maximal-sharing*  $g = (\forall n_1\ n_2 . n_1 \in \text{true-ids}\ g \wedge n_2 \in \text{true-ids}\ g \longrightarrow$   
 $(\forall e. (g \vdash n_1 \simeq e) \wedge (g \vdash n_2 \simeq e) \wedge (\text{stamp}\ g\ n_1 = \text{stamp}\ g\ n_2) \longrightarrow n_1 =$   
 $n_2))$

**end**

## 7.6 Formedness Properties

**theory** *Form*

**imports**

*Semantics.TreeToGraph*

**begin**

**definition** *wf-start* **where**

*wf-start*  $g = (0 \in ids\ g \wedge$   
 $is\ StartNode\ (kind\ g\ 0))$

**definition** *wf-closed* **where**

*wf-closed*  $g =$   
 $(\forall n \in ids\ g .$   
 $inputs\ g\ n \subseteq ids\ g \wedge$   
 $succ\ g\ n \subseteq ids\ g \wedge$   
 $kind\ g\ n \neq NoNode)$

**definition** *wf-phis* **where**

*wf-phis*  $g =$

$$\begin{aligned}
& (\forall n \in \text{ids } g. \\
& \quad \text{is-PhiNode } (\text{kind } g \ n) \longrightarrow \\
& \quad \text{length } (\text{ir-values } (\text{kind } g \ n)) \\
& \quad = \text{length } (\text{ir-ends} \\
& \quad \quad (\text{kind } g \ (\text{ir-merge } (\text{kind } g \ n))))))
\end{aligned}$$

**definition** *wf-ends* **where**

$$\begin{aligned}
\text{wf-ends } g & = \\
& (\forall n \in \text{ids } g . \\
& \quad \text{is-AbstractEndNode } (\text{kind } g \ n) \longrightarrow \\
& \quad \text{card } (\text{usages } g \ n) > 0)
\end{aligned}$$

**fun** *wf-graph* :: *IRGraph*  $\Rightarrow$  *bool* **where**

$$\text{wf-graph } g = (\text{wf-start } g \wedge \text{wf-closed } g \wedge \text{wf-phis } g \wedge \text{wf-ends } g)$$

**lemmas** *wf-folds* =

*wf-graph.simps*  
*wf-start-def*  
*wf-closed-def*  
*wf-phis-def*  
*wf-ends-def*

**fun** *wf-stamps* :: *IRGraph*  $\Rightarrow$  *bool* **where**

$$\begin{aligned}
\text{wf-stamps } g & = (\forall n \in \text{ids } g . \\
& \quad (\forall v \ m \ p \ e . (g \vdash n \simeq e) \wedge ([m, p] \vdash e \mapsto v) \longrightarrow \text{valid-value } v \ (\text{stamp-expr } e)))
\end{aligned}$$

**fun** *wf-stamp* :: *IRGraph*  $\Rightarrow$  (*ID*  $\Rightarrow$  *Stamp*)  $\Rightarrow$  *bool* **where**

$$\begin{aligned}
\text{wf-stamp } g \ s & = (\forall n \in \text{ids } g . \\
& \quad (\forall v \ m \ p \ e . (g \vdash n \simeq e) \wedge ([m, p] \vdash e \mapsto v) \longrightarrow \text{valid-value } v \ (s \ n)))
\end{aligned}$$

**lemma** *wf-empty*: *wf-graph start-end-graph*

**unfolding** *wf-folds* **by** (*simp add: start-end-graph-def*)

**lemma** *wf-eg2-sq*: *wf-graph eg2-sq*

**unfolding** *wf-folds* **by** (*simp add: eg2-sq-def*)

**fun** *wf-logic-node-inputs* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *bool* **where**

$$\begin{aligned}
\text{wf-logic-node-inputs } g \ n & = \\
& (\forall \text{inp} \in \text{set } (\text{inputs-of } (\text{kind } g \ n)) . (\forall v \ m \ p . ([g, m, p] \vdash \text{inp} \mapsto v) \longrightarrow \text{wf-bool } v))
\end{aligned}$$

**fun** *wf-values* :: *IRGraph*  $\Rightarrow$  *bool* **where**

$$\begin{aligned}
\text{wf-values } g & = (\forall n \in \text{ids } g . \\
& \quad (\forall v \ m \ p . ([g, m, p] \vdash n \mapsto v) \longrightarrow \\
& \quad \quad (\text{is-LogicNode } (\text{kind } g \ n) \longrightarrow \\
& \quad \quad \quad \text{wf-bool } v \wedge \text{wf-logic-node-inputs } g \ n)))
\end{aligned}$$

**end**

## 7.7 Dynamic Frames

This theory defines two operators, 'unchanged' and 'changeonly', that are useful for specifying which nodes in an IRGraph can change. The dynamic framing idea originates from 'Dynamic Frames' in software verification, started by Ioannis T. Kassios in "Dynamic frames: Support for framing, dependencies and sharing without restrictions", In FM 2006.

**theory** *IRGraphFrames*

**imports**

*Form*

**begin**

**fun** *unchanged* :: *ID set*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool* **where**

*unchanged ns g1 g2* = ( $\forall n . n \in ns \longrightarrow$   
 $(n \in ids\ g1 \wedge n \in ids\ g2 \wedge kind\ g1\ n = kind\ g2\ n \wedge stamp\ g1\ n = stamp\ g2\ n)$ )

**fun** *changeonly* :: *ID set*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool* **where**

*changeonly ns g1 g2* = ( $\forall n . n \in ids\ g1 \wedge n \notin ns \longrightarrow$   
 $(n \in ids\ g1 \wedge n \in ids\ g2 \wedge kind\ g1\ n = kind\ g2\ n \wedge stamp\ g1\ n = stamp\ g2\ n)$ )

**lemma** *node-unchanged*:

**assumes** *unchanged ns g1 g2*

**assumes** *nid*  $\in$  *ns*

**shows** *kind g1 nid* = *kind g2 nid*

**using** *assms* **by** *simp*

**lemma** *other-node-unchanged*:

**assumes** *changeonly ns g1 g2*

**assumes** *nid*  $\in$  *ids g1*

**assumes** *nid*  $\notin$  *ns*

**shows** *kind g1 nid* = *kind g2 nid*

**using** *assms* **by** *simp*

Some notation for input nodes used

**inductive** *eval-uses*:: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID*  $\Rightarrow$  *bool*

**for** *g* **where**

*use0*: *nid*  $\in$  *ids g*

$\implies$  *eval-uses g nid nid* |

*use-inp*: *nid'*  $\in$  *inputs g n*

$\implies$  *eval-uses g nid nid'* |

*use-trans*:  $\llbracket$ *eval-uses g nid nid'*;

*eval-uses g nid' nid'' $\rrbracket$*

$\implies \text{eval-uses } g \text{ nid nid''}$

**fun** *eval-usages* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID set* **where**  
*eval-usages* *g* *nid* = {*n*  $\in$  *ids* *g* . *eval-uses* *g* *nid* *n*}

**lemma** *eval-usages-self*:  
**assumes** *nid*  $\in$  *ids* *g*  
**shows** *nid*  $\in$  *eval-usages* *g* *nid*  
**using** *assms* **by** (*simp* *add*: *ids.rep-eq* *eval-uses.intros*(1))

**lemma** *not-in-g-inputs*:  
**assumes** *nid*  $\notin$  *ids* *g*  
**shows** *inputs* *g* *nid* = {}  
**proof** –  
**have** *k*: *kind* *g* *nid* = *NoNode*  
**using** *assms* **by** (*simp* *add*: *not-in-g*)  
**then show** ?*thesis*  
**by** (*simp* *add*: *k*)  
**qed**

**lemma** *child-member*:  
**assumes** *n* = *kind* *g* *nid*  
**assumes** *n*  $\neq$  *NoNode*  
**assumes** *List.member* (*inputs-of* *n*) *child*  
**shows** *child*  $\in$  *inputs* *g* *nid*  
**by** (*metis* *in-set-member* *inputs.simps* *assms*(1,3))

**lemma** *child-member-in*:  
**assumes** *nid*  $\in$  *ids* *g*  
**assumes** *List.member* (*inputs-of* (*kind* *g* *nid*)) *child*  
**shows** *child*  $\in$  *inputs* *g* *nid*  
**by** (*metis* *child-member* *ids-some* *assms*)

**lemma** *inp-in-g*:  
**assumes** *n*  $\in$  *inputs* *g* *nid*  
**shows** *nid*  $\in$  *ids* *g*  
**proof** –  
**have** *inputs* *g* *nid*  $\neq$  {}  
**by** (*metis* *empty-iff* *empty-set* *assms*)  
**then have** *kind* *g* *nid*  $\neq$  *NoNode*  
**by** (*metis* *not-in-g-inputs* *ids-some*)  
**then show** ?*thesis*  
**by** (*metis* *not-in-g*)  
**qed**

**lemma** *inp-in-g-wf*:  
**assumes** *wf-graph* *g*  
**assumes** *n*  $\in$  *inputs* *g* *nid*

**shows**  $n \in \text{ids } g$   
**using** *assms wf-folds inp-in-g* **by** *blast*

**lemma** *kind-unchanged*:  
**assumes**  $\text{id} \in \text{ids } g1$   
**assumes** *unchanged* (*eval-usages*  $g1 \text{id}$ )  $g1 g2$   
**shows**  $\text{kind } g1 \text{id} = \text{kind } g2 \text{id}$   
**proof** –  
**show** *?thesis*  
**using** *assms eval-usages-self* **by** *simp*  
**qed**

**lemma** *stamp-unchanged*:  
**assumes**  $\text{id} \in \text{ids } g1$   
**assumes** *unchanged* (*eval-usages*  $g1 \text{id}$ )  $g1 g2$   
**shows**  $\text{stamp } g1 \text{id} = \text{stamp } g2 \text{id}$   
**by** (*meson assms eval-usages-self unchanged.elims*(2))

**lemma** *child-unchanged*:  
**assumes**  $\text{child} \in \text{inputs } g1 \text{id}$   
**assumes** *unchanged* (*eval-usages*  $g1 \text{id}$ )  $g1 g2$   
**shows** *unchanged* (*eval-usages*  $g1 \text{child}$ )  $g1 g2$   
**by** (*smt assms eval-usages.simps mem-Collect-eq unchanged.simps use-inp use-trans*)

**lemma** *eval-usages*:  
**assumes**  $us = \text{eval-usages } g \text{id}$   
**assumes**  $\text{id}' \in \text{ids } g$   
**shows**  $\text{eval-uses } g \text{id} \text{id}' \longleftrightarrow \text{id}' \in us$  (**is**  $?P \longleftrightarrow ?Q$ )  
**using** *assms* **by** (*simp add: ids.rep-eq*)

**lemma** *inputs-are-uses*:  
**assumes**  $\text{id}' \in \text{inputs } g \text{id}$   
**shows**  $\text{eval-uses } g \text{id} \text{id}'$   
**by** (*metis assms use-inp*)

**lemma** *inputs-are-usages*:  
**assumes**  $\text{id}' \in \text{inputs } g \text{id}$   
**assumes**  $\text{id}' \in \text{ids } g$   
**shows**  $\text{id}' \in \text{eval-usages } g \text{id}$   
**using** *assms* **by** (*simp add: inputs-are-uses*)

**lemma** *inputs-of-are-usages*:  
**assumes**  $\text{List.member } (\text{inputs-of } (\text{kind } g \text{id})) \text{id}'$   
**assumes**  $\text{id}' \in \text{ids } g$   
**shows**  $\text{id}' \in \text{eval-usages } g \text{id}$   
**by** (*metis assms in-set-member inputs.elims inputs-are-usages*)

**lemma** *usage-includes-inputs*:  
**assumes**  $us = \text{eval-usages } g \text{id}$

```

assumes  $ls = inputs\ g\ nid$ 
assumes  $ls \subseteq ids\ g$ 
shows  $ls \subseteq us$ 
using inputs-are-usages assms by blast

lemma elim-inp-set:
assumes  $k = kind\ g\ nid$ 
assumes  $k \neq NoNode$ 
assumes  $child \in set\ (inputs-of\ k)$ 
shows  $child \in inputs\ g\ nid$ 
using assms by simp

lemma encode-in-ids:
assumes  $g \vdash nid \simeq e$ 
shows  $nid \in ids\ g$ 
using assms apply (induction rule: rep.induct) by fastforce+

lemma eval-in-ids:
assumes  $[g, m, p] \vdash nid \mapsto v$ 
shows  $nid \in ids\ g$ 
using assms encode-in-ids by (auto simp add: encodeeval.simps)

lemma transitive-kind-same:
assumes unchanged (eval-usages  $g1\ nid$ )  $g1\ g2$ 
shows  $\forall\ nid' \in (eval-usages\ g1\ nid) . kind\ g1\ nid' = kind\ g2\ nid'$ 
by (meson unchanged.elims(1) assms)

theorem stay-same-encoding:
assumes nc: unchanged (eval-usages  $g1\ nid$ )  $g1\ g2$ 
assumes  $g1: g1 \vdash nid \simeq e$ 
assumes wf: wf-graph  $g1$ 
shows  $g2 \vdash nid \simeq e$ 
proof –
  have dom:  $nid \in ids\ g1$ 
    using  $g1$  encode-in-ids by simp
  show ?thesis
    using  $g1$  nc wf dom
  proof (induction e rule: rep.induct)
  case (ConstantNode  $n\ c$ )
  then have  $kind\ g2\ n = ConstantNode\ c$ 
    by (metis kind-unchanged)
  then show ?case
    using rep.ConstantNode by presburger
next
  case (ParameterNode  $n\ i\ s$ )
  then have  $kind\ g2\ n = ParameterNode\ i$ 
    by (metis kind-unchanged)
  then show ?case
    by (metis ParameterNode.hyps(2) ParameterNode.prems(1,3) rep.ParameterNode)

```

```

stamp-unchanged)
next
  case (ConditionalNode n c t f ce te fe)
  then have kind g2 n = ConditionalNode c t f
    by (metis kind-unchanged)
  have c ∈ eval-usages g1 n ∧ t ∈ eval-usages g1 n ∧ f ∈ eval-usages g1 n
  by (metis inputs-of-ConditionalNode ConditionalNode.hyps(1,2,3,4) encode-in-ids
inputs.simps
inputs-are-usages list.set-intros(1) set-subset-Cons subset-code(1))
  then show ?case
  by (metis ConditionalNode.hyps(1) ConditionalNode.prem(1) IRNodes.inputs-of-ConditionalNode

⟨kind g2 n = ConditionalNode c t f⟩ child-unchanged inputs.simps list.set-intros(1)

local.ConditionalNode(5,6,7,9) rep.ConditionalNode set-subset-Cons sub-
set-code(1)
unchanged.elims(2))
next
  case (AbsNode n x xe)
  then have kind g2 n = AbsNode x
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
  by (metis inputs-of-AbsNode AbsNode.hyps(1,2) encode-in-ids inputs.simps in-
puts-are-usages
list.set-intros(1))
  then show ?case
  by (metis AbsNode.IH AbsNode.hyps(1) AbsNode.prem(1,3) IRNodes.inputs-of-AbsNode
rep.AbsNode
⟨kind g2 n = AbsNode x⟩ child-member-in child-unchanged local.wf mem-
ber-rec(1)
unchanged.simps)
next
  case (ReverseBytesNode n x xe)
  then have kind g2 n = ReverseBytesNode x
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
  by (metis IRNodes.inputs-of-ReverseBytesNode ReverseBytesNode.hyps(1,2)
encode-in-ids
inputs.simps inputs-are-usages list.set-intros(1))
  then show ?case
  by (metis IRNodes.inputs-of-ReverseBytesNode ReverseBytesNode.IH Reverse-
BytesNode.hyps(1,2)
ReverseBytesNode.prem(1) child-member-in child-unchanged local.wf mem-
ber-rec(1)
⟨kind g2 n = ReverseBytesNode x⟩ encode-in-ids rep.ReverseBytesNode)
next
  case (BitCountNode n x xe)
  then have kind g2 n = BitCountNode x
    by (metis kind-unchanged)

```



```

then have  $x \in \text{eval-usages } g1 \ n$ 
by (metis BitCountNode.hyps(1,2) IRNodes.inputs-of-BitCountNode encode-in-ids
inputs.simps
inputs-are-usages list.set-intros(1))
then show ?case
by (metis BitCountNode.IH BitCountNode.hyps(1,2) BitCountNode.prem(1)
member-rec(1) local.wf
IRNodes.inputs-of-BitCountNode <kind g2 n = BitCountNode x> encode-in-ids
rep.BitCountNode
child-member-in child-unchanged)
next
case (NotNode n x xe)
then have  $\text{kind } g2 \ n = \text{NotNode } x$ 
by (metis kind-unchanged)
then have  $x \in \text{eval-usages } g1 \ n$ 
by (metis inputs-of-NotNode NotNode.hyps(1,2) encode-in-ids inputs.simps in-
puts-are-usages
list.set-intros(1))
then show ?case
by (metis NotNode.IH NotNode.hyps(1) NotNode.prem(1,3) IRNodes.inputs-of-NotNode
rep.NotNode
<kind g2 n = NotNode x> child-member-in child-unchanged local.wf mem-
ber-rec(1)
unchanged.simps)
next
case (NegateNode n x xe)
then have  $\text{kind } g2 \ n = \text{NegateNode } x$ 
by (metis kind-unchanged)
then have  $x \in \text{eval-usages } g1 \ n$ 
by (metis inputs-of-NegateNode NegateNode.hyps(1,2) encode-in-ids inputs.simps
inputs-are-usages
list.set-intros(1))
then show ?case
by (metis IRNodes.inputs-of-NegateNode NegateNode.IH NegateNode.hyps(1)
NegateNode.prem(1,3)
<kind g2 n = NegateNode x> child-member-in child-unchanged local.wf mem-
ber-rec(1)
rep.NegateNode unchanged.elims(1))
next
case (LogicNegationNode n x xe)
then have  $\text{kind } g2 \ n = \text{LogicNegationNode } x$ 
by (metis kind-unchanged)
then have  $x \in \text{eval-usages } g1 \ n$ 
by (metis inputs-of-LogicNegationNode inputs-of-are-usages LogicNegationN-
ode.hyps(1,2)
encode-in-ids member-rec(1))
then show ?case
by (metis IRNodes.inputs-of-LogicNegationNode LogicNegationNode.IH Logic-
NegationNode.hyps(1,2))

```

```

      LogicNegationNode.premis(1) ⟨kind g2 n = LogicNegationNode x⟩ child-unchanged
    encode-in-ids
      inputs.simps list.set-intros(1) local.wf rep.LogicNegationNode)
  next
  case (AddNode n x y xe ye)
  then have kind g2 n = AddNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis AddNode.hyps(1,2,3) IRNodes.inputs-of-AddNode encode-in-ids in-mono
    inputs.simps
      inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
    by (metis AddNode.IH(1,2) AddNode.hyps(1,2,3) AddNode.premis(1) IRN-
    odes.inputs-of-AddNode
      ⟨kind g2 n = AddNode x y⟩ child-unchanged encode-in-ids in-set-member
    inputs.simps
      local.wf member-rec(1) rep.AddNode)
  next
  case (MulNode n x y xe ye)
  then have kind g2 n = MulNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis MulNode.hyps(1,2,3) IRNodes.inputs-of-MulNode encode-in-ids in-mono
    inputs.simps
      inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
    by (metis ⟨kind g2 n = MulNode x y⟩ child-unchanged inputs.simps list.set-intros(1)
    rep.MulNode
      set-subset-Cons subset-iff unchanged.elims(2) inputs-of-MulNode MulN-
    ode(1,4,5,6,7))
  next
  case (DivNode n x y xe ye)
  then have kind g2 n = SignedFloatingIntegerDivNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis DivNode.hyps(1,2,3) IRNodes.inputs-of-SignedFloatingIntegerDivNode
    encode-in-ids in-mono inputs.simps
      inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
    by (metis ⟨kind g2 n = SignedFloatingIntegerDivNode x y⟩ child-unchanged
    inputs.simps list.set-intros(1) rep.DivNode
      set-subset-Cons subset-iff unchanged.elims(2) inputs-of-SignedFloatingIntegerDivNode
    DivNode(1,4,5,6,7))
  next
  case (ModNode n x y xe ye)
  then have kind g2 n = SignedFloatingIntegerRemNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis ModNode.hyps(1,2,3) IRNodes.inputs-of-SignedFloatingIntegerRemNode

```

```

encode-in-ids in-mono inputs.simps
  inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
    by (metis  $\langle$ kind g2 n = SignedFloatingIntegerRemNode x y $\rangle$  child-unchanged
inputs.simps list.set-intros(1) rep.ModNode
      set-subset-Cons subset-iff unchanged.elims(2) inputs-of-SignedFloatingIntegerRemNode
ModNode(1,4,5,6,7))
  next
    case (SubNode n x y xe ye)
    then have kind g2 n = SubNode x y
      by (metis kind-unchanged)
    then have  $x \in \text{eval-usages } g1 \ n \wedge y \in \text{eval-usages } g1 \ n$ 
      by (metis SubNode.hyps(1,2,3) IRNodes.inputs-of-SubNode encode-in-ids in-mono
inputs.simps
        inputs-are-usages list.set-intros(1) set-subset-Cons)
    then show ?case
      by (metis  $\langle$ kind g2 n = SubNode x y $\rangle$  child-member child-unchanged encode-in-ids
ids-some SubNode
        member-rec(1) rep.SubNode inputs-of-SubNode)
    next
      case (AndNode n x y xe ye)
      then have kind g2 n = AndNode x y
        by (metis kind-unchanged)
      then have  $x \in \text{eval-usages } g1 \ n \wedge y \in \text{eval-usages } g1 \ n$ 
        by (metis AndNode.hyps(1,2,3) IRNodes.inputs-of-AndNode encode-in-ids in-mono
inputs.simps
          inputs-are-usages list.set-intros(1) set-subset-Cons)
      then show ?case
        by (metis AndNode(1,4,5,6,7) inputs-of-AndNode  $\langle$ kind g2 n = AndNode x y $\rangle$ 
child-unchanged
          inputs.simps list.set-intros(1) rep.AndNode set-subset-Cons subset-iff un-
changed.elims(2))
      next
        case (OrNode n x y xe ye)
        then have kind g2 n = OrNode x y
          by (metis kind-unchanged)
        then have  $x \in \text{eval-usages } g1 \ n \wedge y \in \text{eval-usages } g1 \ n$ 
          by (metis OrNode.hyps(1,2,3) IRNodes.inputs-of-OrNode encode-in-ids in-mono
inputs.simps
            inputs-are-usages list.set-intros(1) set-subset-Cons)
        then show ?case
          by (metis inputs-of-OrNode  $\langle$ kind g2 n = OrNode x y $\rangle$  child-unchanged en-
code-in-ids rep.OrNode
            child-member ids-some member-rec(1) OrNode)
        next
          case (XorNode n x y xe ye)
          then have kind g2 n = XorNode x y
            by (metis kind-unchanged)
          then have  $x \in \text{eval-usages } g1 \ n \wedge y \in \text{eval-usages } g1 \ n$ 

```

```

    by (metis XorNode.hyps(1,2,3) IRNodes.inputs-of-XorNode encode-in-ids in-mono
inputs.simps
      inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
  by (metis inputs-of-XorNode ⟨kind g2 n = XorNode x y⟩ child-member child-unchanged
rep.XorNode
      encode-in-ids ids-some member-rec(1) XorNode)
next
case (ShortCircuitOrNode n x y xe ye)
then have kind g2 n = ShortCircuitOrNode x y
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis ShortCircuitOrNode.hyps(1,2,3) IRNodes.inputs-of-ShortCircuitOrNode
inputs-are-usages
      in-mono inputs.simps list.set-intros(1) set-subset-Cons encode-in-ids)
then show ?case
  by (metis ShortCircuitOrNode inputs-of-ShortCircuitOrNode ⟨kind g2 n = Short-
CircuitOrNode x y⟩
      child-member child-unchanged encode-in-ids ids-some member-rec(1) rep.ShortCircuitOrNode)
next
case (LeftShiftNode n x y xe ye)
then have kind g2 n = LeftShiftNode x y
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis LeftShiftNode.hyps(1,2,3) IRNodes.inputs-of-LeftShiftNode encode-in-ids
inputs.simps
      inputs-are-usages list.set-intros(1) set-subset-Cons in-mono)
then show ?case
  by (metis LeftShiftNode inputs-of-LeftShiftNode ⟨kind g2 n = LeftShiftNode x
y⟩ child-unchanged
      encode-in-ids ids-some member-rec(1) rep.LeftShiftNode child-member)
next
case (RightShiftNode n x y xe ye)
then have kind g2 n = RightShiftNode x y
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis RightShiftNode.hyps(1,2,3) IRNodes.inputs-of-RightShiftNode en-
code-in-ids inputs.simps
      inputs-are-usages list.set-intros(1) set-subset-Cons in-mono)
then show ?case
  by (metis RightShiftNode inputs-of-RightShiftNode ⟨kind g2 n = RightShiftNode
x y⟩ child-member
      child-unchanged encode-in-ids ids-some member-rec(1) rep.RightShiftNode)
next
case (UnsignedRightShiftNode n x y xe ye)
then have kind g2 n = UnsignedRightShiftNode x y
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis UnsignedRightShiftNode.hyps(1,2,3) IRNodes.inputs-of-UnsignedRightShiftNode

```

```

in-mono
  encode-in-ids inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
  by (metis UnsignedRightShiftNode inputs-of-UnsignedRightShiftNode child-member
child-unchanged
  ⟨kind g2 n = UnsignedRightShiftNode x y⟩ encode-in-ids ids-some rep.UnsignedRightShiftNode
  member-rec(1))
next
case (IntegerBelowNode n x y xe ye)
then have kind g2 n = IntegerBelowNode x y
  by (metis kind-unchanged)
then have  $x \in \text{eval-usages } g1 \ n \wedge y \in \text{eval-usages } g1 \ n$ 
  by (metis IntegerBelowNode.hyps(1,2,3) IRNodes.inputs-of-IntegerBelowNode
encode-in-ids in-mono
  inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
  by (metis inputs-of-IntegerBelowNode ⟨kind g2 n = IntegerBelowNode x y⟩
rep.IntegerBelowNode
  child-member child-unchanged encode-in-ids ids-some member-rec(1) Inte-
gerBelowNode)
next
case (IntegerEqualsNode n x y xe ye)
then have kind g2 n = IntegerEqualsNode x y
  by (metis kind-unchanged)
then have  $x \in \text{eval-usages } g1 \ n \wedge y \in \text{eval-usages } g1 \ n$ 
  by (metis IntegerEqualsNode.hyps(1,2,3) IRNodes.inputs-of-IntegerEqualsNode
inputs-are-usages
  in-mono inputs.simps encode-in-ids list.set-intros(1) set-subset-Cons)
  then show ?case
  by (metis inputs-of-IntegerEqualsNode ⟨kind g2 n = IntegerEqualsNode x y⟩
rep.IntegerEqualsNode
  child-member child-unchanged encode-in-ids ids-some member-rec(1) Inte-
gerEqualsNode)
next
case (IntegerLessThanNode n x y xe ye)
then have kind g2 n = IntegerLessThanNode x y
  by (metis kind-unchanged)
then have  $x \in \text{eval-usages } g1 \ n \wedge y \in \text{eval-usages } g1 \ n$ 
  by (metis IntegerLessThanNode.hyps(1,2,3) IRNodes.inputs-of-IntegerLessThanNode
encode-in-ids
  in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
  by (metis rep.IntegerLessThanNode inputs-of-IntegerLessThanNode child-unchanged
encode-in-ids
  ⟨kind g2 n = IntegerLessThanNode x y⟩ child-member member-rec(1) Inte-
gerLessThanNode
  ids-some)
next
case (IntegerTestNode n x y xe ye)

```

```

then have kind g2 n = IntegerTestNode x y
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis IntegerTestNode.hyps IRNodes.inputs-of-IntegerTestNode encode-in-ids
    in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
then show ?case
  by (metis rep.IntegerTestNode inputs-of-IntegerTestNode child-unchanged en-
    code-in-ids
    ⟨kind g2 n = IntegerTestNode x y⟩ child-member member-rec(1) IntegerTestN-
    ode ids-some)
next
  case (IntegerNormalizeCompareNode n x y xe ye)
  then have kind g2 n = IntegerNormalizeCompareNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis IRNodes.inputs-of-IntegerNormalizeCompareNode IntegerNormalize-
    CompareNode.hyps(1,2,3)
    encode-in-ids in-set-member inputs.simps inputs-are-usages member-rec(1))
  then show ?case
    by (metis IRNodes.inputs-of-IntegerNormalizeCompareNode IntegerNormalize-
    CompareNode.IH(1,2)
    IntegerNormalizeCompareNode.hyps(1,2,3) IntegerNormalizeCompareN-
    ode.premis(1) inputs.simps
    ⟨kind (g2::IRGraph) (n::nat) = IntegerNormalizeCompareNode (x::nat)
    (y::nat)⟩ local.wf
    encode-in-ids list.set-intros(1) rep.IntegerNormalizeCompareNode set-subset-Cons
    in-mono
    child-unchanged)
next
  case (IntegerMulHighNode n x y xe ye)
  then have kind g2 n = IntegerMulHighNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
    by (metis IRNodes.inputs-of-IntegerMulHighNode IntegerMulHighNode.hyps(1,2)
    encode-in-ids
    inputs-of-are-usages member-rec(1))
  then show ?case
    by (metis inputs-of-IntegerMulHighNode IntegerMulHighNode.IH(1,2) Inte-
    gerMulHighNode.hyps(1,2,3)
    IntegerMulHighNode.premis(1) child-unchanged encode-in-ids inputs.simps
    list.set-intros(1,2)
    ⟨kind (g2::IRGraph) (n::nat) = IntegerMulHighNode (x::nat) (y::nat)⟩
    rep.IntegerMulHighNode
    local.wf)
next
  case (NarrowNode n ib rb x xe)
  then have kind g2 n = NarrowNode ib rb x
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n

```

```

    by (metis NarrowNode.hyps(1,2) IRNodes.inputs-of-NarrowNode inputs-are-usages
        encode-in-ids
            list.set-intros(1) inputs.simps)
  then show ?case
    by (metis NarrowNode(1,3,4,5) inputs-of-NarrowNode ⟨kind g2 n = NarrowNode
        ode ib rb x⟩ inputs.elims
        child-unchanged list.set-intros(1) rep.NarrowNode unchanged.simps)
next
  case (SignExtendNode n ib rb x xe)
  then have kind g2 n = SignExtendNode ib rb x
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
    by (metis inputs-of-SignExtendNode SignExtendNode.hyps(1,2) inputs-are-usages
        encode-in-ids
            list.set-intros(1) inputs.simps)
  then show ?case
    by (metis SignExtendNode(1,3,4,5,6) inputs-of-SignExtendNode in-set-member
        list.set-intros(1)
            ⟨kind g2 n = SignExtendNode ib rb x⟩ child-member-in child-unchanged
            rep.SignExtendNode
                unchanged.elims(2))
next
  case (ZeroExtendNode n ib rb x xe)
  then have kind g2 n = ZeroExtendNode ib rb x
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
    by (metis ZeroExtendNode.hyps(1,2) IRNodes.inputs-of-ZeroExtendNode en-
        code-in-ids inputs.simps
            inputs-are-usages list.set-intros(1))
  then show ?case
    by (metis ZeroExtendNode(1,3,4,5,6) inputs-of-ZeroExtendNode child-unchanged
        unchanged.simps
            ⟨kind g2 n = ZeroExtendNode ib rb x⟩ child-member-in rep.ZeroExtendNode
            member-rec(1))
next
  case (LeafNode n s)
  then show ?case
    by (metis kind-unchanged rep.LeafNode stamp-unchanged)
next
  case (PiNode n n' gu)
  then have kind g2 n = PiNode n' gu
    by (metis kind-unchanged)
  then show ?case
    by (metis PiNode.IH ⟨kind (g2) (n) = PiNode (n') (gu)⟩ child-unchanged
        encode-in-ids rep.PiNode
            inputs.elims list.set-intros(1) PiNode.hyps PiNode.prem(1,2) IRNodes.inputs-of-PiNode)
next
  case (RefNode n n')
  then have kind g2 n = RefNode n'

```

```

    by (metis kind-unchanged)
  then have  $n' \in \text{eval-usages } g1 \ n$ 
  by (metis IRNodes.inputs-of-RefNode RefNode.hyps(1,2) inputs-are-usages list.set-intros(1)
      inputs.elims encode-in-ids)
  then show ?case
    by (metis IRNodes.inputs-of-RefNode RefNode.IH RefNode.hyps(1,2) RefN-
ode.premis(1) inputs.elims
        <kind  $g2 \ n = \text{RefNode } n'$ > child-unchanged encode-in-ids list.set-intros(1)
        rep.RefNode
            local.wf)
  next
  case (IsNullNode  $n \ v$ )
  then have  $\text{kind } g2 \ n = \text{IsNullNode } v$ 
  by (metis kind-unchanged)
  then show ?case
  by (metis IRNodes.inputs-of-IsNullNode IsNullNode.IH IsNullNode.hyps(1,2)
      IsNullNode.premis(1)
          <kind  $g2 \ n = \text{IsNullNode } v$ > child-unchanged encode-in-ids inputs.simps
          list.set-intros(1)
          local.wf rep.IsNullNode)
qed
qed

```

**theorem** *stay-same*:

```

  assumes  $nc: \text{unchanged } (\text{eval-usages } g1 \ nid) \ g1 \ g2$ 
  assumes  $g1: [g1, m, p] \vdash nid \mapsto v1$ 
  assumes  $wf: wf\text{-graph } g1$ 
  shows  $[g2, m, p] \vdash nid \mapsto v1$ 
proof –
  have  $nid: nid \in ids \ g1$ 
  using  $g1 \ \text{eval-in-ids}$  by simp
  then have  $nid \in \text{eval-usages } g1 \ nid$ 
  using eval-usages-self by simp
  then have  $\text{kind-same}: \text{kind } g1 \ nid = \text{kind } g2 \ nid$ 
  using  $nc \ \text{node-unchanged}$  by blast
  obtain  $e$  where  $e: (g1 \vdash nid \simeq e) \wedge ([m,p] \vdash e \mapsto v1)$ 
  using  $g1$  by (auto simp add: encodeeval.simps)
  then have  $val: [m,p] \vdash e \mapsto v1$ 
  by (simp add: g1 encodeeval.simps)
  then show ?thesis
  using  $e \ nc$  unfolding encodeeval.simps
proof (induct e v1 arbitrary: nid rule: evaltree.induct)
  case (ConstantExpr  $c$ )
  then show ?case
  by (meson local.wf stay-same-encoding)
  next
  case (ParameterExpr  $i \ s$ )
  have  $g2 \vdash nid \simeq \text{ParameterExpr } i \ s$ 

```



```

    by (meson local.wf stay-same-encoding ParameterExpr)
  then show ?case
    by (meson ParameterExpr.hyps evaltree.ParameterExpr)
next
case (ConditionalExpr ce cond branch te fe v)
then have  $g2 \vdash nid \simeq \text{ConditionalExpr } ce \text{ te fe}$ 
  using local.wf stay-same-encoding by presburger
then show ?case
  by (meson ConditionalExpr.prem1)
next
case (UnaryExpr xe v op)
then show ?case
  using local.wf stay-same-encoding by blast
next
case (BinaryExpr xe x ye y op)
then show ?case
  using local.wf stay-same-encoding by blast
next
case (LeafExpr val nid s)
then show ?case
  by (metis local.wf stay-same-encoding)
qed
qed

```

**lemma** *add-changed*:  
 assumes  $gup = \text{add-node } new \ k \ g$   
 shows  $\text{changeonly } \{new\} \ g \ gup$   
 by (simp add: *assms add-node.rep-eq kind.rep-eq stamp.rep-eq*)

**lemma** *disjoint-change*:  
 assumes  $\text{changeonly } change \ g \ gup$   
 assumes  $\text{nochange} = \text{ids } g - change$   
 shows  $\text{unchanged } \text{nochange } g \ gup$   
 using *assms* by *simp*

**lemma** *add-node-unchanged*:  
 assumes  $new \notin \text{ids } g$   
 assumes  $nid \in \text{ids } g$   
 assumes  $gup = \text{add-node } new \ k \ g$   
 assumes  $\text{wf-graph } g$   
 shows  $\text{unchanged } (\text{eval-usages } g \ nid) \ g \ gup$   
**proof** –  
 have  $new \notin (\text{eval-usages } g \ nid)$   
 using *assms* by *simp*  
 then have  $\text{changeonly } \{new\} \ g \ gup$   
 using *assms add-changed* by *simp*  
 then show ?thesis  
 using *assms* by *auto*  
**qed**

```

lemma eval-uses-imp:
  ((nid' ∈ ids g ∧ nid = nid')
   ∨ nid' ∈ inputs g nid
   ∨ (∃ nid'' . eval-uses g nid nid'' ∧ eval-uses g nid'' nid'))
  ↔ eval-uses g nid nid'
by (meson eval-uses.simps)

lemma wf-use-ids:
  assumes wf-graph g
  assumes nid ∈ ids g
  assumes eval-uses g nid nid'
  shows nid' ∈ ids g
  using assms(3) apply (induction rule: eval-uses.induct) using assms(1) inp-in-g-wf
by auto

lemma no-external-use:
  assumes wf-graph g
  assumes nid' ∉ ids g
  assumes nid ∈ ids g
  shows ¬(eval-uses g nid nid')
proof –
  have 0: nid ≠ nid'
    using assms by auto
  have inp: nid' ∉ inputs g nid
    using assms inp-in-g-wf by auto
  have rec-0: ∄ n . n ∈ ids g ∧ n = nid'
    using assms by simp
  have rec-inp: ∄ n . n ∈ ids g ∧ n ∈ inputs g nid'
    using assms(2) by (simp add: inp-in-g)
  have rec: ∄ nid'' . eval-uses g nid nid'' ∧ eval-uses g nid'' nid'
    using wf-use-ids assms by blast
  from inp 0 rec show ?thesis
    using eval-uses-imp by blast
qed

end

```

## 7.8 Tree to Graph Theorems

```

theory TreeToGraphThms
imports
  IRTreeEvalThms
  IRGraphFrames
  HOL-Eisbach.Eisbach
  HOL-Eisbach.Eisbach-Tools
begin

```

### 7.8.1 Extraction and Evaluation of Expression Trees is Deterministic.

First, we prove some extra rules that relate each type of IRNode to the corresponding IRExpr type that 'rep' will produce. These are very helpful for proving that 'rep' is deterministic.

**named-theorems** *rep*

**lemma** *rep-constant* [*rep*]:

$$\begin{aligned} g \vdash n \simeq e &\implies \\ \text{kind } g \ n = \text{ConstantNode } c &\implies \\ e = \text{ConstantExpr } c & \\ \text{by (induction rule: } \textit{rep.induct}; \textit{auto}) & \end{aligned}$$

**lemma** *rep-parameter* [*rep*]:

$$\begin{aligned} g \vdash n \simeq e &\implies \\ \text{kind } g \ n = \text{ParameterNode } i &\implies \\ (\exists s. e = \text{ParameterExpr } i \ s) & \\ \text{by (induction rule: } \textit{rep.induct}; \textit{auto}) & \end{aligned}$$

**lemma** *rep-conditional* [*rep*]:

$$\begin{aligned} g \vdash n \simeq e &\implies \\ \text{kind } g \ n = \text{ConditionalNode } c \ t \ f &\implies \\ (\exists ce \ te \ fe. e = \text{ConditionalExpr } ce \ te \ fe) & \\ \text{by (induction rule: } \textit{rep.induct}; \textit{auto}) & \end{aligned}$$

**lemma** *rep-abs* [*rep*]:

$$\begin{aligned} g \vdash n \simeq e &\implies \\ \text{kind } g \ n = \text{AbsNode } x &\implies \\ (\exists xe. e = \text{UnaryExpr } \text{UnaryAbs } xe) & \\ \text{by (induction rule: } \textit{rep.induct}; \textit{auto}) & \end{aligned}$$

**lemma** *rep-reverse-bytes* [*rep*]:

$$\begin{aligned} g \vdash n \simeq e &\implies \\ \text{kind } g \ n = \text{ReverseBytesNode } x &\implies \\ (\exists xe. e = \text{UnaryExpr } \text{UnaryReverseBytes } xe) & \\ \text{by (induction rule: } \textit{rep.induct}; \textit{auto}) & \end{aligned}$$

**lemma** *rep-bit-count* [*rep*]:

$$\begin{aligned} g \vdash n \simeq e &\implies \\ \text{kind } g \ n = \text{BitCountNode } x &\implies \\ (\exists xe. e = \text{UnaryExpr } \text{UnaryBitCount } xe) & \\ \text{by (induction rule: } \textit{rep.induct}; \textit{auto}) & \end{aligned}$$

**lemma** *rep-not* [*rep*]:

$$\begin{aligned} g \vdash n \simeq e &\implies \\ \text{kind } g \ n = \text{NotNode } x &\implies \\ (\exists xe. e = \text{UnaryExpr } \text{UnaryNot } xe) & \\ \text{by (induction rule: } \textit{rep.induct}; \textit{auto}) & \end{aligned}$$

**lemma** *rep-negate* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = NegateNode\ x \implies$   
 $(\exists\ xe.\ e = UnaryExpr\ UnaryNeg\ xe)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-logicnegation* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = LogicNegationNode\ x \implies$   
 $(\exists\ xe.\ e = UnaryExpr\ UnaryLogicNegation\ xe)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-add* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = AddNode\ x\ y \implies$   
 $(\exists\ xe\ ye.\ e = BinaryExpr\ BinAdd\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-sub* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = SubNode\ x\ y \implies$   
 $(\exists\ xe\ ye.\ e = BinaryExpr\ BinSub\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-mul* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = MulNode\ x\ y \implies$   
 $(\exists\ xe\ ye.\ e = BinaryExpr\ BinMul\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-div* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = SignedFloatingIntegerDivNode\ x\ y \implies$   
 $(\exists\ xe\ ye.\ e = BinaryExpr\ BinDiv\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-mod* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = SignedFloatingIntegerRemNode\ x\ y \implies$   
 $(\exists\ xe\ ye.\ e = BinaryExpr\ BinMod\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-and* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = AndNode\ x\ y \implies$   
 $(\exists\ xe\ ye.\ e = BinaryExpr\ BinAnd\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-or* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = OrNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinOr\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-xor* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = XorNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinXor\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-short-circuit-or* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = ShortCircuitOrNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinShortCircuitOr\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-left-shift* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = LeftShiftNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinLeftShift\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-right-shift* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = RightShiftNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinRightShift\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-unsigned-right-shift* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = UnsignedRightShiftNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinURightShift\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-integer-below* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = IntegerBelowNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinIntegerBelow\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-integer-equals* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = IntegerEqualsNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinIntegerEquals\ xe\ ye)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-integer-less-than* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = IntegerLessThanNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinIntegerLessThan\ xe\ ye)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-integer-mul-high* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = IntegerMulHighNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinIntegerMulHigh\ xe\ ye)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-integer-test* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = IntegerTestNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinIntegerTest\ xe\ ye)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-integer-normalize-compare* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = IntegerNormalizeCompareNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinIntegerNormalizeCompare\ xe\ ye)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-narrow* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = NarrowNode\ ib\ rb\ x \implies$   
 $(\exists\ x. e = UnaryExpr\ (UnaryNarrow\ ib\ rb)\ x)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-sign-extend* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = SignExtendNode\ ib\ rb\ x \implies$   
 $(\exists\ x. e = UnaryExpr\ (UnarySignExtend\ ib\ rb)\ x)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-zero-extend* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = ZeroExtendNode\ ib\ rb\ x \implies$   
 $(\exists\ x. e = UnaryExpr\ (UnaryZeroExtend\ ib\ rb)\ x)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-load-field* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $is-preevaluated\ (kind\ g\ n) \implies$   
 $(\exists\ s. e = LeafExpr\ n\ s)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-bytecode-exception* [*rep*]:  
 $g \vdash n \simeq e \implies$

$(kind\ g\ n) = BytecodeExceptionNode\ gu\ st\ n' \implies$   
 $(\exists\ s.\ e = LeafExpr\ n\ s)$   
**by** (induction rule: rep.induct; auto)

**lemma** rep-new-array [rep]:  
 $g \vdash n \simeq e \implies$   
 $(kind\ g\ n) = NewArrayNode\ len\ st\ n' \implies$   
 $(\exists\ s.\ e = LeafExpr\ n\ s)$   
**by** (induction rule: rep.induct; auto)

**lemma** rep-array-length [rep]:  
 $g \vdash n \simeq e \implies$   
 $(kind\ g\ n) = ArrayLengthNode\ x\ n' \implies$   
 $(\exists\ s.\ e = LeafExpr\ n\ s)$   
**by** (induction rule: rep.induct; auto)

**lemma** rep-load-index [rep]:  
 $g \vdash n \simeq e \implies$   
 $(kind\ g\ n) = LoadIndexedNode\ index\ guard\ x\ n' \implies$   
 $(\exists\ s.\ e = LeafExpr\ n\ s)$   
**by** (induction rule: rep.induct; auto)

**lemma** rep-store-index [rep]:  
 $g \vdash n \simeq e \implies$   
 $(kind\ g\ n) = StoreIndexedNode\ check\ val\ st\ index\ guard\ x\ n' \implies$   
 $(\exists\ s.\ e = LeafExpr\ n\ s)$   
**by** (induction rule: rep.induct; auto)

**lemma** rep-ref [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = RefNode\ n' \implies$   
 $g \vdash n' \simeq e$   
**by** (induction rule: rep.induct; auto)

**lemma** rep-pi [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = PiNode\ n'\ gu \implies$   
 $g \vdash n' \simeq e$   
**by** (induction rule: rep.induct; auto)

**lemma** rep-is-null [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = IsNullNode\ x \implies$   
 $(\exists\ xe.\ e = (UnaryExpr\ UnaryIsNull\ xe))$   
**by** (induction rule: rep.induct; auto)

**method** solve-det uses node =  
 $(match\ node\ in\ kind\ -\ - = node\ -\ for\ node \implies$   
 $\langle match\ rep\ in\ r:\ - \implies - = node\ - \implies - \rangle$

```

  <match IRNode.inject in i: (node - = node -) = - =>
    <match RepE in e: - => (∧x. - = node x => -) => - =>
      <match IRNode.distinct in d: node - ≠ RefNode - =>
        <match IRNode.distinct in f: node - ≠ PiNode - =>
          <metis i e r d f>>>>> |
match node in kind - - = node - - for node =>
  <match rep in r: - => - = node - - => - =>
    <match IRNode.inject in i: (node - - = node - -) = - =>
      <match RepE in e: - => (∧x y. - = node x y => -) => - =>
        <match IRNode.distinct in d: node - - ≠ RefNode - =>
          <match IRNode.distinct in f: node - - ≠ PiNode - - =>
            <metis i e r d f>>>>> |
match node in kind - - = node - - - for node =>
  <match rep in r: - => - = node - - - => - =>
    <match IRNode.inject in i: (node - - - = node - - -) = - =>
      <match RepE in e: - => (∧x y z. - = node x y z => -) => - =>
        <match IRNode.distinct in d: node - - - ≠ RefNode - =>
          <match IRNode.distinct in f: node - - - ≠ PiNode - - - =>
            <metis i e r d f>>>>> |
match node in kind - - = node - - - - for node =>
  <match rep in r: - => - = node - - - - => - =>
    <match IRNode.inject in i: (node - - - - = node - - - -) = - =>
      <match RepE in e: - => (∧x. - = node - - x => -) => - =>
        <match IRNode.distinct in d: node - - - - ≠ RefNode - =>
          <match IRNode.distinct in f: node - - - - ≠ PiNode - - - - =>
            <metis i e r d f>>>>>

```

Now we can prove that 'rep' and 'eval', and their list versions, are deterministic.

**lemma** *repDet*:

**shows**  $(g \vdash n \simeq e_1) \implies (g \vdash n \simeq e_2) \implies e_1 = e_2$

**proof** (*induction arbitrary: e<sub>2</sub> rule: rep.induct*)

**case** (*ConstantNode n c*)

**then show** *?case*

**using** *rep-constant by simp*

**next**

**case** (*ParameterNode n i s*)

**then show** *?case*

**by** (*metis IRNode.distinct(3655) IRNode.distinct(3697) ParameterNodeE rep-parameter*)

**next**

**case** (*ConditionalNode n c t f ce te fe*)

**then show** *?case*

**by** (*metis ConditionalNodeE IRNode.distinct(925) IRNode.distinct(967) IRNode.sel(90) IRNode.sel(93) IRNode.sel(94) rep-conditional*)

**next**

**case** (*AbsNode n x xe*)

**then show** *?case*

**by** (*solve-det node: AbsNode*)

**next**



```

    case (ReverseBytesNode n x xe)
  then show ?case
    by (solve-det node: ReverseBytesNode)
next
  case (BitCountNode n x xe)
  then show ?case
    by (solve-det node: BitCountNode)
next
  case (NotNode n x xe)
  then show ?case
    by (solve-det node: NotNode)
next
  case (NegateNode n x xe)
  then show ?case
    by (solve-det node: NegateNode)
next
  case (LogicNegationNode n x xe)
  then show ?case
    by (solve-det node: LogicNegationNode)
next
  case (AddNode n x y xe ye)
  then show ?case
    by (solve-det node: AddNode)
next
  case (MulNode n x y xe ye)
  then show ?case
    by (solve-det node: MulNode)
next
  case (DivNode n x y xe ye)
  then show ?case
    by (solve-det node: DivNode)
next
  case (ModNode n x y xe ye)
  then show ?case
    by (solve-det node: ModNode)
next
  case (SubNode n x y xe ye)
  then show ?case
    by (solve-det node: SubNode)
next
  case (AndNode n x y xe ye)
  then show ?case
    by (solve-det node: AndNode)
next
  case (OrNode n x y xe ye)
  then show ?case
    by (solve-det node: OrNode)
next
  case (XorNode n x y xe ye)

```

```

    then show ?case
      by (solve-det node: XorNode)
next
  case (ShortCircuitOrNode n x y xe ye)
  then show ?case
    by (solve-det node: ShortCircuitOrNode)
next
  case (LeftShiftNode n x y xe ye)
  then show ?case
    by (solve-det node: LeftShiftNode)
next
  case (RightShiftNode n x y xe ye)
  then show ?case
    by (solve-det node: RightShiftNode)
next
  case (UnsignedRightShiftNode n x y xe ye)
  then show ?case
    by (solve-det node: UnsignedRightShiftNode)
next
  case (IntegerBelowNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerBelowNode)
next
  case (IntegerEqualsNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerEqualsNode)
next
  case (IntegerLessThanNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerLessThanNode)
next
  case (IntegerTestNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerTestNode)
next
  case (IntegerNormalizeCompareNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerNormalizeCompareNode)
next
  case (IntegerMulHighNode n x xe)
  then show ?case
    by (solve-det node: IntegerMulHighNode)
next
  case (NarrowNode n x xe)
  then show ?case
    using NarrowNodeE rep-narrow
    by (metis IRNode.distinct(3361) IRNode.distinct(3403) IRNode.inject(36))
next
  case (SignExtendNode n x xe)

```

```

then show ?case
  using SignExtendNodeE rep-sign-extend
  by (metis IRNode.distinct(3707) IRNode.distinct(3919) IRNode.inject(48))
next
  case (ZeroExtendNode n x xe)
  then show ?case
    using ZeroExtendNodeE rep-zero-extend
    by (metis IRNode.distinct(3735) IRNode.distinct(4157) IRNode.inject(62))
next
  case (LeafNode n s)
  then show ?case
    using rep-load-field LeafNodeE
    by (metis is-preevaluated.simps(48) is-preevaluated.simps(65))
next
  case (RefNode n')
  then show ?case
    using rep-ref by blast
next
  case (PiNode n v)
  then show ?case
    using rep-pi by blast
next
  case (IsNullNode n v)
  then show ?case
    using IsNullNodeE rep-is-null
    by (metis IRNode.distinct(2557) IRNode.distinct(2599) IRNode.inject(24))
qed

```

```

lemma repAllDet:
   $g \vdash xs [\simeq] e1 \implies$ 
   $g \vdash xs [\simeq] e2 \implies$ 
   $e1 = e2$ 
proof (induction arbitrary: e2 rule: replist.induct)
  case RepNil
  then show ?case
    using replist.cases by auto
next
  case (RepCons x xe xs xse)
  then show ?case
    by (metis list.distinct(1) list.sel(1,3) repDet replist.cases)
qed

```

```

lemma encodeEvalDet:
   $[g,m,p] \vdash e \mapsto v1 \implies$ 
   $[g,m,p] \vdash e \mapsto v2 \implies$ 
   $v1 = v2$ 
  by (metis encodeeval.simps evalDet repDet)

```

```

lemma graphDet: ( $[g,m,p] \vdash n \mapsto v1$ )  $\wedge$  ( $[g,m,p] \vdash n \mapsto v2$ )  $\implies v1 = v2$ 

```

by (auto simp add: encodeEvalDet)

**lemma** *encodeEvalAllDet*:

$[g, m, p] \vdash nids [\mapsto] vs \implies [g, m, p] \vdash nids [\mapsto] vs' \implies vs = vs'$   
using *repAllDet evalAllDet*  
by (metis *encodeEvalAll.simps*)

## 7.8.2 Monotonicity of Graph Refinement

Lift refinement monotonicity to graph level. Hopefully these shouldn't really be required.

**lemma** *mono-abs*:

assumes  $kind\ g1\ n = AbsNode\ x \wedge kind\ g2\ n = AbsNode\ x$   
assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
assumes  $xe1 \geq xe2$   
assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
shows  $e1 \geq e2$   
by (metis *AbsNode assms mono-unary repDet*)

**lemma** *mono-not*:

assumes  $kind\ g1\ n = NotNode\ x \wedge kind\ g2\ n = NotNode\ x$   
assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
assumes  $xe1 \geq xe2$   
assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
shows  $e1 \geq e2$   
by (metis *NotNode assms mono-unary repDet*)

**lemma** *mono-negate*:

assumes  $kind\ g1\ n = NegateNode\ x \wedge kind\ g2\ n = NegateNode\ x$   
assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
assumes  $xe1 \geq xe2$   
assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
shows  $e1 \geq e2$   
by (metis *NegateNode assms mono-unary repDet*)

**lemma** *mono-logic-negation*:

assumes  $kind\ g1\ n = LogicNegationNode\ x \wedge kind\ g2\ n = LogicNegationNode\ x$   
assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
assumes  $xe1 \geq xe2$   
assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
shows  $e1 \geq e2$   
by (metis *LogicNegationNode assms mono-unary repDet*)

**lemma** *mono-narrow*:

assumes  $kind\ g1\ n = NarrowNode\ ib\ rb\ x \wedge kind\ g2\ n = NarrowNode\ ib\ rb\ x$   
assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
assumes  $xe1 \geq xe2$   
assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
shows  $e1 \geq e2$

by (*metis NarrowNode assms mono-unary repDet*)

**lemma** *mono-sign-extend*:

assumes  $\text{kind } g1 \ n = \text{SignExtendNode } ib \ rb \ x \wedge \text{kind } g2 \ n = \text{SignExtendNode } ib \ rb \ x$

assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$

assumes  $xe1 \geq xe2$

assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$

shows  $e1 \geq e2$

by (*metis SignExtendNode assms mono-unary repDet*)

**lemma** *mono-zero-extend*:

assumes  $\text{kind } g1 \ n = \text{ZeroExtendNode } ib \ rb \ x \wedge \text{kind } g2 \ n = \text{ZeroExtendNode } ib \ rb \ x$

assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$

assumes  $xe1 \geq xe2$

assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$

shows  $e1 \geq e2$

by (*metis ZeroExtendNode assms mono-unary repDet*)

**lemma** *mono-conditional-graph*:

assumes  $\text{kind } g1 \ n = \text{ConditionalNode } c \ t \ f \wedge \text{kind } g2 \ n = \text{ConditionalNode } c \ t \ f$

assumes  $(g1 \vdash c \simeq ce1) \wedge (g2 \vdash c \simeq ce2)$

assumes  $(g1 \vdash t \simeq te1) \wedge (g2 \vdash t \simeq te2)$

assumes  $(g1 \vdash f \simeq fe1) \wedge (g2 \vdash f \simeq fe2)$

assumes  $ce1 \geq ce2 \wedge te1 \geq te2 \wedge fe1 \geq fe2$

assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$

shows  $e1 \geq e2$

by (*smt (verit, ccfv-SIG) ConditionalNode assms mono-conditional repDet le-expr-def*)

**lemma** *mono-add*:

assumes  $\text{kind } g1 \ n = \text{AddNode } x \ y \wedge \text{kind } g2 \ n = \text{AddNode } x \ y$

assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$

assumes  $(g1 \vdash y \simeq ye1) \wedge (g2 \vdash y \simeq ye2)$

assumes  $xe1 \geq xe2 \wedge ye1 \geq ye2$

assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$

shows  $e1 \geq e2$

by (*metis (no-types, lifting) AddNode mono-binary assms repDet*)

**lemma** *mono-mul*:

assumes  $\text{kind } g1 \ n = \text{MulNode } x \ y \wedge \text{kind } g2 \ n = \text{MulNode } x \ y$

assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$

assumes  $(g1 \vdash y \simeq ye1) \wedge (g2 \vdash y \simeq ye2)$

assumes  $xe1 \geq xe2 \wedge ye1 \geq ye2$

assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$

shows  $e1 \geq e2$

by (*metis (no-types, lifting) MulNode assms mono-binary repDet*)

**lemma** *mono-div*:

**assumes**  $kind\ g1\ n = SignedFloatingIntegerDivNode\ x\ y \wedge kind\ g2\ n = SignedFloatingIntegerDivNode\ x\ y$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $(g1 \vdash y \simeq ye1) \wedge (g2 \vdash y \simeq ye2)$   
**assumes**  $xe1 \geq xe2 \wedge ye1 \geq ye2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
**by** (*metis (no-types, lifting) DivNode assms mono-binary repDet*)

**lemma** *mono-mod*:

**assumes**  $kind\ g1\ n = SignedFloatingIntegerRemNode\ x\ y \wedge kind\ g2\ n = SignedFloatingIntegerRemNode\ x\ y$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $(g1 \vdash y \simeq ye1) \wedge (g2 \vdash y \simeq ye2)$   
**assumes**  $xe1 \geq xe2 \wedge ye1 \geq ye2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
**by** (*metis (no-types, lifting) ModNode assms mono-binary repDet*)

**lemma** *term-graph-evaluation*:

$(g \vdash n \sqsubseteq e) \implies (\forall\ m\ p\ v . ([m,p] \vdash e \mapsto v) \longrightarrow ([g,m,p] \vdash n \mapsto v))$   
**using** *graph-represents-expression-def encodeeval.simps* **by** (*auto; meson*)

**lemma** *encodes-contains*:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n \neq NoNode$   
**apply** (*induction rule: rep.induct*)  
**apply** (*match IRNode.distinct in e: ?n  $\neq$  NoNode  $\implies$   $\langle$ presburger add: e $\rangle$* )  
**by** *fastforce+*

**lemma** *no-encoding*:

**assumes**  $n \notin ids\ g$   
**shows**  $\neg(g \vdash n \simeq e)$   
**using** *assms* **apply** *simp* **apply** (*rule notI*) **by** (*induction e; simp add: encodes-contains*)

**lemma** *not-excluded-keep-type*:

**assumes**  $n \in ids\ g1$   
**assumes**  $n \notin excluded$   
**assumes**  $(excluded \sqsubseteq as-set\ g1) \subseteq as-set\ g2$   
**shows**  $kind\ g1\ n = kind\ g2\ n \wedge stamp\ g1\ n = stamp\ g2\ n$   
**using** *assms* **by** (*auto simp add: domain-subtraction-def as-set-def*)

**method** *metis-node-eq-unary* **for**  $node :: 'a \Rightarrow IRNode =$

$(match\ IRNode.inject\ in\ i: (node\ - = node\ -) = - \implies$   
 $\langle metis\ i \rangle)$

**method** *metis-node-eq-binary* **for**  $node :: 'a \Rightarrow 'a \Rightarrow IRNode =$

$(match\ IRNode.inject\ in\ i: (node\ - - = node\ - -) = - \implies$

```

    ⟨metis i⟩
method metis-node-eq-ternary for node :: 'a ⇒ 'a ⇒ 'a ⇒ IRNode =
  (match IRNode.inject in i: (node - - - = node - - -) = - ⇒
    ⟨metis i⟩

```

### 7.8.3 Lift Data-flow Tree Refinement to Graph Refinement

```

theorem graph-semantic-preservation:
  assumes a: e1' ≥ e2'
  assumes b: ({n'} ⊆ as-set g1) ⊆ as-set g2
  assumes c: g1 ⊢ n' ≃ e1'
  assumes d: g2 ⊢ n' ≃ e2'
  shows graph-refinement g1 g2
  unfolding graph-refinement-def apply rule
  apply (metis b d ids-some no-encoding not-excluded-keep-type singleton-iff sub-
setI)
  apply (rule allI) apply (rule impI) apply (rule allI) apply (rule impI)
  unfolding graph-represents-expression-def
  proof -
  fix n e1
  assume e: n ∈ ids g1
  assume f: (g1 ⊢ n ≃ e1)
  show ∃ e2. (g2 ⊢ n ≃ e2) ∧ e1 ≥ e2
  proof (cases n = n')
  case True
  have g: e1 = e1'
  using f by (simp add: repDet True c)
  have h: (g2 ⊢ n ≃ e2') ∧ e1' ≥ e2'
  using a by (simp add: d True)
  then show ?thesis
  by (auto simp add: g)
  next
  case False
  have n ∉ {n'}
  by (simp add: False)
  then have i: kind g1 n = kind g2 n ∧ stamp g1 n = stamp g2 n
  using not-excluded-keep-type b e by presburger
  show ?thesis
  using f i
  proof (induction e1)
  case (ConstantNode n c)
  then show ?case
  by (metis eq-refl rep.ConstantNode)
  next
  case (ParameterNode n i s)
  then show ?case
  by (metis eq-refl rep.ParameterNode)
  next
  case (ConditionalNode n c t f ce1 te1 fe1)

```

```

have k:  $g1 \vdash n \simeq \text{ConditionalExpr } ce1 \ te1 \ fe1$ 
using ConditionalNode by (simp add: ConditionalNode.hyps(2) rep.ConditionalNode
f)
obtain cn tn fn where  $l: \text{kind } g1 \ n = \text{ConditionalNode } cn \ tn \ fn$ 
  by (auto simp add: ConditionalNode.hyps(1))
then have mc:  $g1 \vdash cn \simeq ce1$ 
  using ConditionalNode.hyps(1,2) by simp
from l have mt:  $g1 \vdash tn \simeq te1$ 
  using ConditionalNode.hyps(1,3) by simp
from l have mf:  $g1 \vdash fn \simeq fe1$ 
  using ConditionalNode.hyps(1,4) by simp
then show ?case
proof –
  have  $g1 \vdash cn \simeq ce1$ 
    by (simp add: mc)
  have  $g1 \vdash tn \simeq te1$ 
    by (simp add: mt)
  have  $g1 \vdash fn \simeq fe1$ 
    by (simp add: mf)
  have cer:  $\exists ce2. (g2 \vdash cn \simeq ce2) \wedge ce1 \geq ce2$ 
    using ConditionalNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-ternary ConditionalNode)
  have ter:  $\exists te2. (g2 \vdash tn \simeq te2) \wedge te1 \geq te2$ 
    using ConditionalNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-ternary ConditionalNode)
  have  $\exists fe2. (g2 \vdash fn \simeq fe2) \wedge fe1 \geq fe2$ 
    using ConditionalNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-ternary ConditionalNode)
  then have  $\exists ce2 \ te2 \ fe2. (g2 \vdash n \simeq \text{ConditionalExpr } ce2 \ te2 \ fe2) \wedge$ 
     $\text{ConditionalExpr } ce1 \ te1 \ fe1 \geq \text{ConditionalExpr } ce2 \ te2 \ fe2$ 
    apply meson
  by (smt (verit, best) mono-conditional ConditionalNode.premis l rep.ConditionalNode
cer ter)
  then show ?thesis
    by meson
qed
next
case (AbsNode n x xe1)
have k:  $g1 \vdash n \simeq \text{UnaryExpr } \text{UnaryAbs } xe1$ 
  using AbsNode by (simp add: AbsNode.hyps(2) rep.AbsNode f)
obtain xn where  $l: \text{kind } g1 \ n = \text{AbsNode } xn$ 
  by (auto simp add: AbsNode.hyps(1))
then have m:  $g1 \vdash xn \simeq xe1$ 
  using AbsNode.hyps(1,2) by simp
then show ?case
proof (cases xn = n')

```



```

case True
then have  $n: xe1 = e1'$ 
  using  $m$  by (simp add: repDet c)
then have  $ev: g2 \vdash n \simeq \text{UnaryExpr UnaryAbs } e2'$ 
  using  $l d$  by (simp add: rep.AbsNode True AbsNode.premis)
then have  $r: \text{UnaryExpr UnaryAbs } e1' \geq \text{UnaryExpr UnaryAbs } e2'$ 
  by (meson a mono-unary)
then show ?thesis
  by (metis n ev)
next
case False
have  $g1 \vdash xn \simeq xe1$ 
  by (simp add: m)
have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
  using AbsNode False b encodes-contains l not-excluded-keep-type not-in-g
singleton-iff
  by (metis-node-eq-unary AbsNode)
then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr UnaryAbs } xe2) \wedge$ 
   $\text{UnaryExpr UnaryAbs } xe1 \geq \text{UnaryExpr UnaryAbs } xe2$ 
  by (metis AbsNode.premis l mono-unary rep.AbsNode)
then show ?thesis
  by meson
qed
next
case (ReverseBytesNode n x xe1)
have  $k: g1 \vdash n \simeq \text{UnaryExpr UnaryReverseBytes } xe1$ 
  by (simp add: ReverseBytesNode.hyps(1,2) rep.ReverseBytesNode)
obtain  $xn$  where  $l: \text{kind } g1 n = \text{ReverseBytesNode } xn$ 
  by (simp add: ReverseBytesNode.hyps(1))
then have  $m: g1 \vdash xn \simeq xe1$ 
  by (metis IRNode.inject(45) ReverseBytesNode.hyps(1,2))
then show ?case
proof (cases xn = n')
  case True
    then have  $n: xe1 = e1'$ 
      using  $m$  by (simp add: repDet c)
    then have  $ev: g2 \vdash n \simeq \text{UnaryExpr UnaryReverseBytes } e2'$ 
      using ReverseBytesNode.premis True d l rep.ReverseBytesNode by presburger
    then have  $r: \text{UnaryExpr UnaryReverseBytes } e1' \geq \text{UnaryExpr UnaryRe-}$ 
verseBytes } e2'
      by (meson a mono-unary)
    then show ?thesis
      by (metis n ev)
  next
  case False
    have  $g1 \vdash xn \simeq xe1$ 
      by (simp add: m)
    have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      by (metis False IRNode.inject(45) ReverseBytesNode.IH ReverseBytesNode.hyps(1,2))

```

```

b l
  encodes-contains ids-some not-excluded-keep-type singleton-iff)
  then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr UnaryReverseBytes } xe2) \wedge$ 
     $\text{UnaryExpr UnaryReverseBytes } xe1 \geq \text{UnaryExpr UnaryReverseBytes } xe2$ 
    by (metis ReverseBytesNode.premis l mono-unary rep.ReverseBytesNode)
  then show ?thesis
    by meson
qed
next
case (BitCountNode n x xe1)
have k:  $g1 \vdash n \simeq \text{UnaryExpr UnaryBitCount } xe1$ 
  by (simp add: BitCountNode.hyps(1,2) rep.BitCountNode)
obtain xn where l: kind g1 n = BitCountNode xn
  by (simp add: BitCountNode.hyps(1))
then have m:  $g1 \vdash xn \simeq xe1$ 
  by (metis BitCountNode.hyps(1,2) IRNode.inject(6))
then show ?case
proof (cases xn = n')
case True
  then have n:  $xe1 = e1'$ 
    using m by (simp add: repDet c)
  then have ev:  $g2 \vdash n \simeq \text{UnaryExpr UnaryBitCount } e2'$ 
    using BitCountNode.premis True d l rep.BitCountNode by presburger
  then have r:  $\text{UnaryExpr UnaryBitCount } e1' \geq \text{UnaryExpr UnaryBitCount}$ 
     $e2'$ 
    by (meson a mono-unary)
  then show ?thesis
    by (metis n ev)
case False
  then have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: m)
  then have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    by (metis BitCountNode.IH BitCountNode.hyps(1) False IRNode.inject(6))
  then have m: emptyE insertE l m
    by (metis no-encoding not-excluded-keep-type)
  then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr UnaryBitCount } xe2) \wedge$ 
     $\text{UnaryExpr UnaryBitCount } xe1 \geq \text{UnaryExpr UnaryBitCount } xe2$ 
    by (metis BitCountNode.premis l mono-unary rep.BitCountNode)
  then show ?thesis
    by meson
qed
next
case (NotNode n x xe1)
have k:  $g1 \vdash n \simeq \text{UnaryExpr UnaryNot } xe1$ 
  using NotNode by (simp add: NotNode.hyps(2) rep.NotNode f)
obtain xn where l: kind g1 n = NotNode xn
  by (auto simp add: NotNode.hyps(1))
then have m:  $g1 \vdash xn \simeq xe1$ 

```

```

    using NotNode.hyps(1,2) by simp
  then show ?case
proof (cases xn = n')
  case True
  then have n: xe1 = e1'
    using m by (simp add: repDet c)
  then have ev: g2 ⊢ n ≃ UnaryExpr UnaryNot e2'
    using l by (simp add: rep.NotNode d True NotNode.premis)
  then have r: UnaryExpr UnaryNot e1' ≥ UnaryExpr UnaryNot e2'
    by (meson a mono-unary)
  then show ?thesis
    by (metis n ev)
next
  case False
  have g1 ⊢ xn ≃ xe1
    by (simp add: m)
  have ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
    using NotNode False b l not-excluded-keep-type singletonD no-encoding
    by (metis node-eq-unary NotNode)
  then have ∃ xe2. (g2 ⊢ n ≃ UnaryExpr UnaryNot xe2) ∧
    UnaryExpr UnaryNot xe1 ≥ UnaryExpr UnaryNot xe2
    by (metis NotNode.premis l mono-unary rep.NotNode)
  then show ?thesis
    by meson
qed
next
  case (NegateNode n x xe1)
  have k: g1 ⊢ n ≃ UnaryExpr UnaryNeg xe1
    using NegateNode by (simp add: NegateNode.hyps(2) rep.NegateNode f)
  obtain xn where l: kind g1 n = NegateNode xn
    by (auto simp add: NegateNode.hyps(1))
  then have m: g1 ⊢ xn ≃ xe1
    using NegateNode.hyps(1,2) by simp
  then show ?case
proof (cases xn = n')
  case True
  then have n: xe1 = e1'
    using m by (simp add: c repDet)
  then have ev: g2 ⊢ n ≃ UnaryExpr UnaryNeg e2'
    using l by (simp add: rep.NegateNode True NegateNode.premis d)
  then have r: UnaryExpr UnaryNeg e1' ≥ UnaryExpr UnaryNeg e2'
    by (meson a mono-unary)
  then show ?thesis
    by (metis n ev)
next
  case False
  have g1 ⊢ xn ≃ xe1
    by (simp add: m)
  have ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2

```

```

    using NegateNode False b l not-excluded-keep-type singletonD no-encoding
    by (metis-node-eq-unary NegateNode)
  then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr UnaryNeg } xe2) \wedge$ 
     $\text{UnaryExpr UnaryNeg } xe1 \geq \text{UnaryExpr UnaryNeg } xe2$ 
    by (metis NegateNode.premis l mono-unary rep.NegateNode)
  then show ?thesis
    by meson
qed
next
case (LogicNegationNode n x xe1)
have k:  $g1 \vdash n \simeq \text{UnaryExpr UnaryLogicNegation } xe1$ 
using LogicNegationNode by (simp add: LogicNegationNode.hyps(2) rep.LogicNegationNode)
obtain xn where l: kind g1 n = LogicNegationNode xn
  by (simp add: LogicNegationNode.hyps(1))
then have m:  $g1 \vdash xn \simeq xe1$ 
  using LogicNegationNode.hyps(1,2) by simp
then show ?case
proof (cases xn = n')
  case True
  then have n:  $xe1 = e1'$ 
    using m by (simp add: c repDet)
  then have ev:  $g2 \vdash n \simeq \text{UnaryExpr UnaryLogicNegation } e2'$ 
  using l by (simp add: rep.LogicNegationNode True LogicNegationNode.premis
d
    LogicNegationNode.hyps(1))
  then have r:  $\text{UnaryExpr UnaryLogicNegation } e1' \geq \text{UnaryExpr UnaryLogicNegation } e2'$ 
  by (meson a mono-unary)
  then show ?thesis
  by (metis n ev)
next
case False
have g1  $\vdash xn \simeq xe1$ 
  by (simp add: m)
have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
  using LogicNegationNode False b l not-excluded-keep-type singletonD
no-encoding
  by (metis-node-eq-unary LogicNegationNode)
  then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr UnaryLogicNegation } xe2) \wedge$ 
 $\text{UnaryExpr UnaryLogicNegation } xe1 \geq \text{UnaryExpr UnaryLogicNegation } xe2$ 
  by (metis LogicNegationNode.premis l mono-unary rep.LogicNegationNode)
  then show ?thesis
  by meson
qed
next
case (AddNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq \text{BinaryExpr BinAdd } xe1 ye1$ 
  using AddNode by (simp add: AddNode.hyps(2) rep.AddNode f)
obtain xn yn where l: kind g1 n = AddNode xn yn

```

```

    by (simp add: AddNode.hyps(1))
  then have  $mx: g1 \vdash xn \simeq xe1$ 
    using AddNode.hyps(1,2) by simp
  from  $l$  have  $my: g1 \vdash yn \simeq ye1$ 
    using AddNode.hyps(1,3) by simp
  then show ?case
  proof -
    have  $g1 \vdash xn \simeq xe1$ 
      by (simp add:  $mx$ )
    have  $g1 \vdash yn \simeq ye1$ 
      by (simp add:  $my$ )
    have  $xer: \exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      using AddNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
      by (metis-node-eq-binary AddNode)
    have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
      using AddNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
      by (metis-node-eq-binary AddNode)
    then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinAdd xe2 ye2) \wedge$ 
       $BinaryExpr BinAdd xe1 ye1 \geq BinaryExpr BinAdd xe2 ye2$ 
      by (metis AddNode.premis l mono-binary rep.AddNode xer)
    then show ?thesis
      by meson
  qed
next
case (MulNode n x y xe1 ye1)
have  $k: g1 \vdash n \simeq BinaryExpr BinMul xe1 ye1$ 
  using MulNode by (simp add: MulNode.hyps(2) rep.MulNode f)
obtain  $xn yn$  where  $l: kind\ g1\ n = MulNode\ xn\ yn$ 
  by (simp add: MulNode.hyps(1))
then have  $mx: g1 \vdash xn \simeq xe1$ 
  using MulNode.hyps(1,2) by simp
from  $l$  have  $my: g1 \vdash yn \simeq ye1$ 
  using MulNode.hyps(1,3) by simp
then show ?case
  proof -
    have  $g1 \vdash xn \simeq xe1$ 
      by (simp add:  $mx$ )
    have  $g1 \vdash yn \simeq ye1$ 
      by (simp add:  $my$ )
    have  $xer: \exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      using MulNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
      by (metis-node-eq-binary MulNode)
    have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
      using MulNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
      by (metis-node-eq-binary MulNode)

```

```

    then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinMul xe2 ye2) \wedge$ 
       $BinaryExpr BinMul xe1 ye1 \geq BinaryExpr BinMul xe2 ye2$ 
      by (metis MulNode.prem1 mono-binary rep.MulNode xer)
    then show ?thesis
      by meson
  qed
next
case (DivNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq BinaryExpr BinDiv xe1 ye1$ 
  using DivNode by (simp add: DivNode.hyps(2) rep.DivNode f)
obtain xn yn where l:  $kind\ g1\ n = SignedFloatingIntegerDivNode\ xn\ yn$ 
  by (simp add: DivNode.hyps(1))
then have mx:  $g1 \vdash xn \simeq xe1$ 
  using DivNode.hyps(1,2) by simp
from l have my:  $g1 \vdash yn \simeq ye1$ 
  using DivNode.hyps(1,3) by simp
then show ?case
proof -
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
  have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
  have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using DivNode a b c d l no-encoding not-excluded-keep-type repDet
  singletonD
    by (metis-node-eq-binary SignedFloatingIntegerDivNode)
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
  using DivNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
    by (metis-node-eq-binary SignedFloatingIntegerDivNode)
  then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinDiv xe2 ye2) \wedge$ 
     $BinaryExpr BinDiv xe1 ye1 \geq BinaryExpr BinDiv xe2 ye2$ 
    by (metis DivNode.prem1 mono-binary rep.DivNode xer)
  then show ?thesis
    by meson
  qed
next
case (ModNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq BinaryExpr BinMod xe1 ye1$ 
  using ModNode by (simp add: ModNode.hyps(2) rep.ModNode f)
obtain xn yn where l:  $kind\ g1\ n = SignedFloatingIntegerRemNode\ xn\ yn$ 
  by (simp add: ModNode.hyps(1))
then have mx:  $g1 \vdash xn \simeq xe1$ 
  using ModNode.hyps(1,2) by simp
from l have my:  $g1 \vdash yn \simeq ye1$ 
  using ModNode.hyps(1,3) by simp
then show ?case
proof -
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)

```

```

    have g1 ⊢ yn ≃ ye1
      by (simp add: my)
    have xer: ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
      using ModNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
      by (metis-node-eq-binary SignedFloatingIntegerRemNode)
    have ∃ ye2. (g2 ⊢ yn ≃ ye2) ∧ ye1 ≥ ye2
      using ModNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
      by (metis-node-eq-binary SignedFloatingIntegerRemNode)
    then have ∃ xe2 ye2. (g2 ⊢ n ≃ BinaryExpr BinMod xe2 ye2) ∧
      BinaryExpr BinMod xe1 ye1 ≥ BinaryExpr BinMod xe2 ye2
      by (metis ModNode.premis l mono-binary rep.ModNode xer)
    then show ?thesis
      by meson
  qed
next
case (SubNode n x y xe1 ye1)
have k: g1 ⊢ n ≃ BinaryExpr BinSub xe1 ye1
  using SubNode by (simp add: SubNode.hyps(2) rep.SubNode f)
obtain xn yn where l: kind g1 n = SubNode xn yn
  by (simp add: SubNode.hyps(1))
then have mx: g1 ⊢ xn ≃ xe1
  using SubNode.hyps(1,2) by simp
from l have my: g1 ⊢ yn ≃ ye1
  using SubNode.hyps(1,3) by simp
then show ?case
proof -
  have g1 ⊢ xn ≃ xe1
    by (simp add: mx)
  have g1 ⊢ yn ≃ ye1
    by (simp add: my)
  have xer: ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
  using SubNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
    by (metis-node-eq-binary SubNode)
  have ∃ ye2. (g2 ⊢ yn ≃ ye2) ∧ ye1 ≥ ye2
  using SubNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
    by (metis-node-eq-binary SubNode)
  then have ∃ xe2 ye2. (g2 ⊢ n ≃ BinaryExpr BinSub xe2 ye2) ∧
    BinaryExpr BinSub xe1 ye1 ≥ BinaryExpr BinSub xe2 ye2
    by (metis SubNode.premis l mono-binary rep.SubNode xer)
  then show ?thesis
    by meson
  qed
next
case (AndNode n x y xe1 ye1)
have k: g1 ⊢ n ≃ BinaryExpr BinAnd xe1 ye1
  using AndNode by (simp add: AndNode.hyps(2) rep.AndNode f)
obtain xn yn where l: kind g1 n = AndNode xn yn

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    using AndNode.hyps(1) by simp
  then have mx: g1 ⊢ xn ≃ xe1
    using AndNode.hyps(1,2) by simp
  from l have my: g1 ⊢ yn ≃ ye1
    using AndNode.hyps(1,3) by simp
  then show ?case
  proof -
    have g1 ⊢ xn ≃ xe1
      by (simp add: mx)
    have g1 ⊢ yn ≃ ye1
      by (simp add: my)
    have xer: ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
      using AndNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
      by (metis-node-eq-binary AndNode)
    have ∃ ye2. (g2 ⊢ yn ≃ ye2) ∧ ye1 ≥ ye2
      using AndNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
      by (metis-node-eq-binary AndNode)
    then have ∃ xe2 ye2. (g2 ⊢ n ≃ BinaryExpr BinAnd xe2 ye2) ∧
      BinaryExpr BinAnd xe1 ye1 ≥ BinaryExpr BinAnd xe2 ye2
      by (metis AndNode.prem1 l mono-binary rep.AndNode xer)
    then show ?thesis
      by meson
  qed
next
case (OrNode n x y xe1 ye1)
have k: g1 ⊢ n ≃ BinaryExpr BinOr xe1 ye1
  using OrNode by (simp add: OrNode.hyps(2) rep.OrNode f)
obtain xn yn where l: kind g1 n = OrNode xn yn
  using OrNode.hyps(1) by simp
then have mx: g1 ⊢ xn ≃ xe1
  using OrNode.hyps(1,2) by simp
from l have my: g1 ⊢ yn ≃ ye1
  using OrNode.hyps(1,3) by simp
then show ?case
  proof -
    have g1 ⊢ xn ≃ xe1
      by (simp add: mx)
    have g1 ⊢ yn ≃ ye1
      by (simp add: my)
    have xer: ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
      using OrNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
      by (metis-node-eq-binary OrNode)
    have ∃ ye2. (g2 ⊢ yn ≃ ye2) ∧ ye1 ≥ ye2
      using OrNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
      by (metis-node-eq-binary OrNode)
    then have ∃ xe2 ye2. (g2 ⊢ n ≃ BinaryExpr BinOr xe2 ye2) ∧
      BinaryExpr BinOr xe1 ye1 ≥ BinaryExpr BinOr xe2 ye2

```



```

    by (metis OrNode.premis l mono-binary rep.OrNode xer)
  then show ?thesis
    by meson
qed
next
case (XorNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq \text{BinaryExpr BinXor } xe1 \ ye1$ 
  using XorNode by (simp add: XorNode.hyps(2) rep.XorNode f)
obtain xn yn where l:  $\text{kind } g1 \ n = \text{XorNode } xn \ yn$ 
  using XorNode.hyps(1) by simp
then have mx:  $g1 \vdash xn \simeq xe1$ 
  using XorNode.hyps(1,2) by simp
from l have my:  $g1 \vdash yn \simeq ye1$ 
  using XorNode.hyps(1,3) by simp
then show ?case
proof -
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
  have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
  have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using XorNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary XorNode)
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
    using XorNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary XorNode)
  then have  $\exists xe2 \ ye2. (g2 \vdash n \simeq \text{BinaryExpr BinXor } xe2 \ ye2) \wedge$ 
     $\text{BinaryExpr BinXor } xe1 \ ye1 \geq \text{BinaryExpr BinXor } xe2 \ ye2$ 
    by (metis XorNode.premis l mono-binary rep.XorNode xer)
  then show ?thesis
    by meson
qed
next
case (ShortCircuitOrNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq \text{BinaryExpr BinShortCircuitOr } xe1 \ ye1$ 
  using ShortCircuitOrNode by (simp add: ShortCircuitOrNode.hyps(2) rep.ShortCircuitOrNode
f)
obtain xn yn where l:  $\text{kind } g1 \ n = \text{ShortCircuitOrNode } xn \ yn$ 
  using ShortCircuitOrNode.hyps(1) by simp
then have mx:  $g1 \vdash xn \simeq xe1$ 
  using ShortCircuitOrNode.hyps(1,2) by simp
from l have my:  $g1 \vdash yn \simeq ye1$ 
  using ShortCircuitOrNode.hyps(1,3) by simp
then show ?case
proof -
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)

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```

    have g1 ⊢ yn ≈ ye1
      by (simp add: my)
    have xer: ∃ xe2. (g2 ⊢ xn ≈ xe2) ∧ xe1 ≥ xe2
      using ShortCircuitOrNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
      by (metis-node-eq-binary ShortCircuitOrNode)
    have ∃ ye2. (g2 ⊢ yn ≈ ye2) ∧ ye1 ≥ ye2
      using ShortCircuitOrNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
      by (metis-node-eq-binary ShortCircuitOrNode)
    then have ∃ xe2 ye2. (g2 ⊢ n ≈ BinaryExpr BinShortCircuitOr xe2 ye2)
    ^
    BinaryExpr BinShortCircuitOr xe1 ye1 ≥ BinaryExpr BinShortCircuitOr xe2 ye2
      by (metis ShortCircuitOrNode.premis l mono-binary rep.ShortCircuitOrNode
xer)
    then show ?thesis
      by meson
  qed
next
case (LeftShiftNode n x y xe1 ye1)
have k: g1 ⊢ n ≈ BinaryExpr BinLeftShift xe1 ye1
  using LeftShiftNode by (simp add: LeftShiftNode.hyps(2) rep.LeftShiftNode
f)
obtain xn yn where l: kind g1 n = LeftShiftNode xn yn
  using LeftShiftNode.hyps(1) by simp
then have mx: g1 ⊢ xn ≈ xe1
  using LeftShiftNode.hyps(1,2) by simp
from l have my: g1 ⊢ yn ≈ ye1
  using LeftShiftNode.hyps(1,3) by simp
then show ?case
proof -
  have g1 ⊢ xn ≈ xe1
    by (simp add: mx)
  have g1 ⊢ yn ≈ ye1
    by (simp add: my)
  have xer: ∃ xe2. (g2 ⊢ xn ≈ xe2) ∧ xe1 ≥ xe2
    using LeftShiftNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary LeftShiftNode)
  have ∃ ye2. (g2 ⊢ yn ≈ ye2) ∧ ye1 ≥ ye2
    using LeftShiftNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary LeftShiftNode)
  then have ∃ xe2 ye2. (g2 ⊢ n ≈ BinaryExpr BinLeftShift xe2 ye2) ∧
BinaryExpr BinLeftShift xe1 ye1 ≥ BinaryExpr BinLeftShift xe2 ye2
    by (metis LeftShiftNode.premis l mono-binary rep.LeftShiftNode xer)
  then show ?thesis
    by meson
qed

```

```

next
  case (RightShiftNode n x y xe1 ye1)
  have k: g1 ⊢ n ≃ BinaryExpr BinRightShift xe1 ye1
  using RightShiftNode by (simp add: RightShiftNode.hyps(2) rep.RightShiftNode)
  obtain xn yn where l: kind g1 n = RightShiftNode xn yn
    using RightShiftNode.hyps(1) by simp
  then have mx: g1 ⊢ xn ≃ xe1
    using RightShiftNode.hyps(1,2) by simp
  from l have my: g1 ⊢ yn ≃ ye1
    using RightShiftNode.hyps(1,3) by simp
  then show ?case
  proof -
    have g1 ⊢ xn ≃ xe1
      by (simp add: mx)
    have g1 ⊢ yn ≃ ye1
      by (simp add: my)
    have xer: ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
      using RightShiftNode a b c d l no-encoding not-excluded-keep-type repDet
  singletonD
    by (metis-node-eq-binary RightShiftNode)
    have ∃ ye2. (g2 ⊢ yn ≃ ye2) ∧ ye1 ≥ ye2
      using RightShiftNode a b c d l no-encoding not-excluded-keep-type repDet
  singletonD
    by (metis-node-eq-binary RightShiftNode)
    then have ∃ xe2 ye2. (g2 ⊢ n ≃ BinaryExpr BinRightShift xe2 ye2) ∧
  BinaryExpr BinRightShift xe1 ye1 ≥ BinaryExpr BinRightShift xe2 ye2
      by (metis RightShiftNode.premis l mono-binary rep.RightShiftNode xer)
    then show ?thesis
      by meson
  qed
next
  case (UnsignedRightShiftNode n x y xe1 ye1)
  have k: g1 ⊢ n ≃ BinaryExpr BinURightShift xe1 ye1
  using UnsignedRightShiftNode by (simp add: UnsignedRightShiftNode.hyps(2)
  rep.UnsignedRightShiftNode)
  obtain xn yn where l: kind g1 n = UnsignedRightShiftNode xn yn
    using UnsignedRightShiftNode.hyps(1) by simp
  then have mx: g1 ⊢ xn ≃ xe1
    using UnsignedRightShiftNode.hyps(1,2) by simp
  from l have my: g1 ⊢ yn ≃ ye1
    using UnsignedRightShiftNode.hyps(1,3) by simp
  then show ?case
  proof -
    have g1 ⊢ xn ≃ xe1
      by (simp add: mx)
    have g1 ⊢ yn ≃ ye1
      by (simp add: my)
    have xer: ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2

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      using UnsignedRightShiftNode a b c d no-encoding not-excluded-keep-type
repDet singletonD
      l
      by (metis-node-eq-binary UnsignedRightShiftNode)
      have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
      using UnsignedRightShiftNode a b c d no-encoding not-excluded-keep-type
repDet singletonD
      l
      by (metis-node-eq-binary UnsignedRightShiftNode)
      then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinURightShift xe2 ye2) \wedge$ 
BinaryExpr BinURightShift xe1 ye1  $\geq BinaryExpr BinURightShift xe2 ye2$ 
      by (metis UnsignedRightShiftNode.premis l mono-binary rep.UnsignedRightShiftNode
xer)
      then show ?thesis
      by meson
    qed
  next
  case (IntegerBelowNode n x y xe1 ye1)
  have k:  $g1 \vdash n \simeq BinaryExpr BinIntegerBelow xe1 ye1$ 
  using IntegerBelowNode by (simp add: IntegerBelowNode.hyps(2) rep.IntegerBelowNode)
  obtain xn yn where l: kind g1 n = IntegerBelowNode xn yn
  using IntegerBelowNode.hyps(1) by simp
  then have mx:  $g1 \vdash xn \simeq xe1$ 
  using IntegerBelowNode.hyps(1,2) by simp
  from l have my:  $g1 \vdash yn \simeq ye1$ 
  using IntegerBelowNode.hyps(1,3) by simp
  then show ?case
  proof -
    have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
    have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
    have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using IntegerBelowNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary IntegerBelowNode)
    have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
    using IntegerBelowNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary IntegerBelowNode)
    then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinIntegerBelow xe2 ye2) \wedge$ 
BinaryExpr BinIntegerBelow xe1 ye1  $\geq BinaryExpr BinIntegerBelow xe2 ye2$ 
    by (metis IntegerBelowNode.premis l mono-binary rep.IntegerBelowNode
xer)
    then show ?thesis
    by meson
  qed
next
case (IntegerEqualsNode n x y xe1 ye1)

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have  $k$ :  $g1 \vdash n \simeq \text{BinaryExpr BinIntegerEquals } xe1 \ ye1$ 
using IntegerEqualsNode by (simp add: IntegerEqualsNode.hyps(2) rep.IntegerEqualsNode)
obtain  $xn \ yn$  where  $l$ :  $\text{kind } g1 \ n = \text{IntegerEqualsNode } xn \ yn$ 
  using IntegerEqualsNode.hyps(1) by simp
then have  $mx$ :  $g1 \vdash xn \simeq xe1$ 
  using IntegerEqualsNode.hyps(1,2) by simp
from  $l$  have  $my$ :  $g1 \vdash yn \simeq ye1$ 
  using IntegerEqualsNode.hyps(1,3) by simp
then show ?case
proof –
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
  have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
  have  $xer$ :  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using IntegerEqualsNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
    by (metis-node-eq-binary IntegerEqualsNode)
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
    using IntegerEqualsNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
    by (metis-node-eq-binary IntegerEqualsNode)
  then have  $\exists xe2 \ ye2. (g2 \vdash n \simeq \text{BinaryExpr BinIntegerEquals } xe2 \ ye2) \wedge$ 
BinaryExpr BinIntegerEquals } xe1 \ ye1 \geq \text{BinaryExpr BinIntegerEquals } xe2 \ ye2
    by (metis IntegerEqualsNode.premis l mono-binary rep.IntegerEqualsNode
xer)
  then show ?thesis
    by meson
qed
next
case (IntegerLessThanNode n x y xe1 ye1)
have  $k$ :  $g1 \vdash n \simeq \text{BinaryExpr BinIntegerLessThan } xe1 \ ye1$ 
  using IntegerLessThanNode by (simp add: IntegerLessThanNode.hyps(2)
rep.IntegerLessThanNode)
obtain  $xn \ yn$  where  $l$ :  $\text{kind } g1 \ n = \text{IntegerLessThanNode } xn \ yn$ 
  using IntegerLessThanNode.hyps(1) by simp
then have  $mx$ :  $g1 \vdash xn \simeq xe1$ 
  using IntegerLessThanNode.hyps(1,2) by simp
from  $l$  have  $my$ :  $g1 \vdash yn \simeq ye1$ 
  using IntegerLessThanNode.hyps(1,3) by simp
then show ?case
proof –
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
  have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
  have  $xer$ :  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using IntegerLessThanNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD

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      by (metis-node-eq-binary IntegerLessThanNode)
      have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
      using IntegerLessThanNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
      by (metis-node-eq-binary IntegerLessThanNode)
      then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinIntegerLessThan xe2 ye2)$ 
 $\wedge$ 
BinaryExpr BinIntegerLessThan xe1 ye1  $\geq$  BinaryExpr BinIntegerLessThan xe2
ye2
      by (metis IntegerLessThanNode.premis l mono-binary rep.IntegerLessThanNode
xer)
      then show ?thesis
      by meson
    qed
  next
  case (IntegerTestNode n x y xe1 ye1)
  have k:  $g1 \vdash n \simeq BinaryExpr BinIntegerTest xe1 ye1$ 
  using IntegerTestNode by (meson rep.IntegerTestNode)
  obtain xn yn where l: kind g1 n = IntegerTestNode xn yn
  by (simp add: IntegerTestNode.hyps(1))
  then have mx:  $g1 \vdash xn \simeq xe1$ 
  using IRNode.inject(21) IntegerTestNode.hyps(1,2) by presburger
  from l have my:  $g1 \vdash yn \simeq ye1$ 
  by (metis IRNode.inject(21) IntegerTestNode.hyps(1,3))
  then show ?case
  proof -
    have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
    have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
    have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using IntegerTestNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis IRNode.inject(21))
    have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
    using IntegerLessThanNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
    by (metis IRNode.inject(21) IntegerTestNode.IH(2) IntegerTestNode.hyps(1)
my)
    then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinIntegerTest xe2 ye2) \wedge$ 
BinaryExpr BinIntegerTest xe1 ye1  $\geq$  BinaryExpr BinIntegerTest xe2 ye2
    by (metis IntegerTestNode.premis l mono-binary xer rep.IntegerTestNode)
    then show ?thesis
    by meson
  qed
next
case (IntegerNormalizeCompareNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq BinaryExpr BinIntegerNormalizeCompare xe1 ye1$ 
by (simp add: IntegerNormalizeCompareNode.hyps(1,2,3) rep.IntegerNormalizeCompareNode)

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obtain  $xn\ yn$  where  $l: \text{kind } g1\ n = \text{IntegerNormalizeCompareNode } xn\ yn$ 
  by (simp add: IntegerNormalizeCompareNode.hyps(1))
then have  $mx: g1 \vdash xn \simeq xe1$ 
  using IRNode.inject(20) IntegerNormalizeCompareNode.hyps(1,2) by pres-
burger
  from  $l$  have  $my: g1 \vdash yn \simeq ye1$ 
  using IRNode.inject(20) IntegerNormalizeCompareNode.hyps(1,3) by pres-
burger
  then show ?case
  proof –
    have  $g1 \vdash xn \simeq xe1$ 
      by (simp add: mx)
    have  $g1 \vdash yn \simeq ye1$ 
      by (simp add: my)
    have  $xer: \exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      by (metis IRNode.inject(20) IntegerNormalizeCompareNode.IH(1) l mx
no-encoding a b c d
      IntegerNormalizeCompareNode.hyps(1) emptyE insertE not-excluded-keep-type
repDet)
    have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
      by (metis IRNode.inject(20) IntegerNormalizeCompareNode.IH(2) my
no-encoding a b c d l
      IntegerNormalizeCompareNode.hyps(1) emptyE insertE not-excluded-keep-type
repDet)
    then have  $\exists xe2\ ye2. (g2 \vdash n \simeq \text{BinaryExpr BinIntegerNormalizeCompare}$ 
 $xe2\ ye2) \wedge$ 
       $\text{BinaryExpr BinIntegerNormalizeCompare } xe1\ ye1 \geq \text{BinaryExpr BinIntegerNormalizeCompare } xe2\ ye2$ 
      by (metis IntegerNormalizeCompareNode.prem1 mono-binary rep.IntegerNormalizeCompareNode
xer)
    then show ?thesis
      by meson
  qed
next
  case (IntegerMulHighNode n x y xe1 ye1)
  have  $k: g1 \vdash n \simeq \text{BinaryExpr BinIntegerMulHigh } xe1\ ye1$ 
    by (simp add: IntegerMulHighNode.hyps(1,2,3) rep.IntegerMulHighNode)
  obtain  $xn\ yn$  where  $l: \text{kind } g1\ n = \text{IntegerMulHighNode } xn\ yn$ 
    by (simp add: IntegerMulHighNode.hyps(1))
  then have  $mx: g1 \vdash xn \simeq xe1$ 
    using IRNode.inject(19) IntegerMulHighNode.hyps(1,2) by presburger
  from  $l$  have  $my: g1 \vdash yn \simeq ye1$ 
    using IRNode.inject(19) IntegerMulHighNode.hyps(1,3) by presburger
  then show ?case
  proof –
    have  $g1 \vdash xn \simeq xe1$ 
      by (simp add: mx)
    have  $g1 \vdash yn \simeq ye1$ 
      by (simp add: my)

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      have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      by (metis IRNode.inject(19) IntegerMulHighNode.IH(1) IntegerMulHigh-
Node.hyps(1) a b c d
        emptyE insertE l mx no-encoding not-excluded-keep-type repDet)
      have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
      by (metis IRNode.inject(19) IntegerMulHighNode.IH(2) IntegerMulHigh-
Node.hyps(1) a b c d
        emptyE insertE l my no-encoding not-excluded-keep-type repDet)
      then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinIntegerMulHigh xe2 ye2)$ 
 $\wedge$ 
      BinaryExpr BinIntegerMulHigh xe1 ye1  $\geq$  BinaryExpr BinIntegerMulHigh xe2 ye2
      by (metis IntegerMulHighNode.prem1 l mono-binary rep.IntegerMulHighNode
xer)
      then show ?thesis
      by meson
    qed
  next
  case (NarrowNode n inputBits resultBits x xe1)
  have k:  $g1 \vdash n \simeq UnaryExpr (UnaryNarrow inputBits resultBits) xe1$ 
  using NarrowNode by (simp add: NarrowNode.hyps(2) rep.NarrowNode)
  obtain xn where l: kind g1 n = NarrowNode inputBits resultBits xn
  using NarrowNode.hyps(1) by simp
  then have m:  $g1 \vdash xn \simeq xe1$ 
  using NarrowNode.hyps(1,2) by simp
  then show ?case
  proof (cases xn = n')
    case True
    then have n:  $xe1 = e1'$ 
    using m by (simp add: repDet c)
    then have ev:  $g2 \vdash n \simeq UnaryExpr (UnaryNarrow inputBits resultBits)$ 
 $e2'$ 
    using l by (simp add: rep.NarrowNode d True NarrowNode.prem1)
    then have r:  $UnaryExpr (UnaryNarrow inputBits resultBits) e1' \geq$ 
       $UnaryExpr (UnaryNarrow inputBits resultBits) e2'$ 
    by (meson a mono-unary)
    then show ?thesis
    by (metis n ev)
  next
  case False
  have  $g1 \vdash xn \simeq xe1$ 
  by (simp add: m)
  have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
  using NarrowNode False b encodes-contains l not-excluded-keep-type not-in-g
singleton-iff
  by (metis-node-eq-ternary NarrowNode)
  then have  $\exists xe2. (g2 \vdash n \simeq UnaryExpr (UnaryNarrow inputBits resultBits)$ 
 $xe2) \wedge$ 
       $UnaryExpr (UnaryNarrow inputBits resultBits) xe1 \geq$ 
       $UnaryExpr (UnaryNarrow inputBits resultBits) xe2$ 

```



```

    by (metis NarrowNode.premis l mono-unary rep.NarrowNode)
  then show ?thesis
    by meson
qed
next
case (SignExtendNode n inputBits resultBits x xe1)
have k: g1 ⊢ n ≃ UnaryExpr (UnarySignExtend inputBits resultBits) xe1
using SignExtendNode by (simp add: SignExtendNode.hyps(2) rep.SignExtendNode)
obtain xn where l: kind g1 n = SignExtendNode inputBits resultBits xn
  using SignExtendNode.hyps(1) by simp
then have m: g1 ⊢ xn ≃ xe1
  using SignExtendNode.hyps(1,2) by simp
then show ?case
proof (cases xn = n')
case True
  then have n: xe1 = e1'
    using m by (simp add: repDet c)
  then have ev: g2 ⊢ n ≃ UnaryExpr (UnarySignExtend inputBits resultBits)
e2'
    using l by (simp add: True d rep.SignExtendNode SignExtendNode.premis)
  then have r: UnaryExpr (UnarySignExtend inputBits resultBits) e1' ≥
    UnaryExpr (UnarySignExtend inputBits resultBits) e2'
    by (meson a mono-unary)
  then show ?thesis
    by (metis n ev)
next
case False
have g1 ⊢ xn ≃ xe1
  by (simp add: m)
have ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
  using SignExtendNode False b encodes-contains l not-excluded-keep-type
not-in-g
  singleton-iff
  by (metis node-eq-ternary SignExtendNode)
  then have ∃ xe2. (g2 ⊢ n ≃ UnaryExpr (UnarySignExtend inputBits
resultBits) xe2) ∧
    UnaryExpr (UnarySignExtend inputBits resultBits)
xe1 ≥
    UnaryExpr (UnarySignExtend inputBits resultBits) xe2
  by (metis SignExtendNode.premis l mono-unary rep.SignExtendNode)
  then show ?thesis
    by meson
qed
next
case (ZeroExtendNode n inputBits resultBits x xe1)
have k: g1 ⊢ n ≃ UnaryExpr (UnaryZeroExtend inputBits resultBits) xe1
using ZeroExtendNode by (simp add: ZeroExtendNode.hyps(2) rep.ZeroExtendNode)
obtain xn where l: kind g1 n = ZeroExtendNode inputBits resultBits xn
  using ZeroExtendNode.hyps(1) by simp

```

```

then have  $m: g1 \vdash xn \simeq xe1$ 
  using ZeroExtendNode.hyps(1,2) by simp
then show ?case
proof (cases xn = n')
  case True
    then have  $n: xe1 = e1'$ 
      using  $m$  by (simp add: repDet c)
    then have  $ev: g2 \vdash n \simeq \text{UnaryExpr } (\text{UnaryZeroExtend } \text{inputBits } \text{resultBits})$ 
e2'
      using  $l$  by (simp add: ZeroExtendNode.premis True d rep.ZeroExtendNode)
    then have  $r: \text{UnaryExpr } (\text{UnaryZeroExtend } \text{inputBits } \text{resultBits}) e1' \geq$ 
       $\text{UnaryExpr } (\text{UnaryZeroExtend } \text{inputBits } \text{resultBits}) e2'$ 
      by (meson a mono-unary)
    then show ?thesis
      by (metis n ev)
  next
  case False
    have  $g1 \vdash xn \simeq xe1$ 
      by (simp add: m)
    have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      using ZeroExtendNode b encodes-contains l not-excluded-keep-type not-in-g
singleton-iff
      False
      by (metis-node-eq-ternary ZeroExtendNode)
    then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr } (\text{UnaryZeroExtend } \text{inputBits}$ 
resultBits)  $xe2) \wedge$ 
       $\text{UnaryExpr } (\text{UnaryZeroExtend } \text{inputBits } \text{resultBits})$ 
xe1  $\geq$ 
       $\text{UnaryExpr } (\text{UnaryZeroExtend } \text{inputBits } \text{resultBits}) xe2$ 
      by (metis ZeroExtendNode.premis l mono-unary rep.ZeroExtendNode)
    then show ?thesis
      by meson
  qed
next
  case (LeafNode n s)
    then show ?case
      by (metis eq-refl rep.LeafNode)
  next
  case (PiNode n' gu)
    then show ?case
      by (metis encodes-contains not-excluded-keep-type not-in-g rep.PiNode repDet
singleton-iff
      a b c d)
  next
  case (RefNode n')
    then show ?case
      by (metis a b c d no-encoding not-excluded-keep-type rep.RefNode repDet
singletonD)
  next

```

```

      case (IsNullNode n)
      then show ?case
      by (metis insertE mono-unary no-encoding not-excluded-keep-type rep.IsNullNode
repDet emptyE
      a b c d)
    qed
  qed
qed

```

```

lemma graph-semantic-preservation-subscript:
  assumes a:  $e_1' \geq e_2'$ 
  assumes b:  $(\{n\} \triangleleft \text{as-set } g_1) \subseteq \text{as-set } g_2$ 
  assumes c:  $g_1 \vdash n \simeq e_1'$ 
  assumes d:  $g_2 \vdash n \simeq e_2'$ 
  shows graph-refinement  $g_1 g_2$ 
  using assms by (simp add: graph-semantic-preservation)

```

```

lemma tree-to-graph-rewriting:
   $e_1 \geq e_2$ 
   $\wedge (g_1 \vdash n \simeq e_1) \wedge \text{maximal-sharing } g_1$ 
   $\wedge (\{n\} \triangleleft \text{as-set } g_1) \subseteq \text{as-set } g_2$ 
   $\wedge (g_2 \vdash n \simeq e_2) \wedge \text{maximal-sharing } g_2$ 
   $\implies \text{graph-refinement } g_1 g_2$ 
  by (auto simp add: graph-semantic-preservation)

```

```

declare [[simp-trace]]
lemma equal-refines:
  fixes e1 e2 :: IRExp
  assumes e1 = e2
  shows e1  $\geq$  e2
  using assms by simp
declare [[simp-trace=false]]

```

```

lemma eval-contains-id[simp]:  $g_1 \vdash n \simeq e \implies n \in \text{ids } g_1$ 
  using no-encoding by auto

```

```

lemma subset-kind[simp]:  $\text{as-set } g_1 \subseteq \text{as-set } g_2 \implies g_1 \vdash n \simeq e \implies \text{kind } g_1 n =$ 
   $\text{kind } g_2 n$ 
  using eval-contains-id as-set-def by blast

```

```

lemma subset-stamp[simp]:  $\text{as-set } g_1 \subseteq \text{as-set } g_2 \implies g_1 \vdash n \simeq e \implies \text{stamp } g_1$ 
   $n = \text{stamp } g_2 n$ 
  using eval-contains-id as-set-def by blast

```

```

method solve-subset-eval uses as-set eval =
  (metis eval as-set subset-kind subset-stamp |
  metis eval as-set subset-kind)

```

```

lemma subset-implies-evals:
  assumes as-set g1  $\subseteq$  as-set g2
  assumes (g1  $\vdash$  n  $\simeq$  e)
  shows (g2  $\vdash$  n  $\simeq$  e)
  using assms(2)
  apply (induction e)
    apply (solve-subset-eval as-set: assms(1) eval: ConstantNode)
    apply (solve-subset-eval as-set: assms(1) eval: ParameterNode)
    apply (solve-subset-eval as-set: assms(1) eval: ConditionalNode)
    apply (solve-subset-eval as-set: assms(1) eval: AbsNode)
    apply (solve-subset-eval as-set: assms(1) eval: ReverseBytesNode)
    apply (solve-subset-eval as-set: assms(1) eval: BitCountNode)
    apply (solve-subset-eval as-set: assms(1) eval: NotNode)
    apply (solve-subset-eval as-set: assms(1) eval: NegateNode)
    apply (solve-subset-eval as-set: assms(1) eval: LogicNegationNode)
    apply (solve-subset-eval as-set: assms(1) eval: AddNode)
    apply (solve-subset-eval as-set: assms(1) eval: MulNode)
    apply (solve-subset-eval as-set: assms(1) eval: DivNode)
    apply (solve-subset-eval as-set: assms(1) eval: ModNode)
    apply (solve-subset-eval as-set: assms(1) eval: SubNode)
    apply (solve-subset-eval as-set: assms(1) eval: AndNode)
    apply (solve-subset-eval as-set: assms(1) eval: OrNode)
    apply (solve-subset-eval as-set: assms(1) eval: XorNode)
    apply (solve-subset-eval as-set: assms(1) eval: ShortCircuitOrNode)
    apply (solve-subset-eval as-set: assms(1) eval: LeftShiftNode)
    apply (solve-subset-eval as-set: assms(1) eval: RightShiftNode)
    apply (solve-subset-eval as-set: assms(1) eval: UnsignedRightShiftNode)
    apply (solve-subset-eval as-set: assms(1) eval: IntegerBelowNode)
    apply (solve-subset-eval as-set: assms(1) eval: IntegerEqualsNode)
    apply (solve-subset-eval as-set: assms(1) eval: IntegerLessThanNode)
    apply (solve-subset-eval as-set: assms(1) eval: IntegerTestNode)
    apply (solve-subset-eval as-set: assms(1) eval: IntegerNormalizeCompareNode)
    apply (solve-subset-eval as-set: assms(1) eval: IntegerMulHighNode)
    apply (solve-subset-eval as-set: assms(1) eval: NarrowNode)
    apply (solve-subset-eval as-set: assms(1) eval: SignExtendNode)
    apply (solve-subset-eval as-set: assms(1) eval: ZeroExtendNode)
    apply (solve-subset-eval as-set: assms(1) eval: LeafNode)
    apply (solve-subset-eval as-set: assms(1) eval: PiNode)
    apply (solve-subset-eval as-set: assms(1) eval: RefNode)
  by (solve-subset-eval as-set: assms(1) eval: IsNullNode)

```

```

lemma subset-refines:
  assumes as-set g1  $\subseteq$  as-set g2
  shows graph-refinement g1 g2
proof –
  have ids g1  $\subseteq$  ids g2
    using assms as-set-def by blast
  then show ?thesis

```

```

unfolding graph-refinement-def
apply rule apply (rule allI) apply (rule impI) apply (rule allI) apply (rule
impI)
unfolding graph-represents-expression-def
proof –
  fix n e1
  assume 1:n ∈ ids g1
  assume 2:g1 ⊢ n ≈ e1
  show ∃ e2. (g2 ⊢ n ≈ e2) ∧ e1 ≥ e2
    by (meson equal-refines subset-implies-evals assms 1 2)
  qed
qed

```

```

lemma graph-construction:
  e1 ≥ e2
  ∧ as-set g1 ⊆ as-set g2
  ∧ (g2 ⊢ n ≈ e2)
  ⇒ (g2 ⊢ n ≲ e1) ∧ graph-refinement g1 g2
by (meson encodeeval.simps graph-represents-expression-def le-expr-def subset-refines)

```

#### 7.8.4 Term Graph Reconstruction

```

lemma find-exists-kind:
  assumes find-node-and-stamp g (node, s) = Some nid
  shows kind g nid = node
  by (metis (mono-tags, lifting) find-Some-iff find-node-and-stamp.simps assms)

```

```

lemma find-exists-stamp:
  assumes find-node-and-stamp g (node, s) = Some nid
  shows stamp g nid = s
  by (metis (mono-tags, lifting) find-Some-iff find-node-and-stamp.simps assms)

```

```

lemma find-new-kind:
  assumes g' = add-node nid (node, s) g
  assumes node ≠ NoNode
  shows kind g' nid = node
  by (simp add: add-node-lookup assms)

```

```

lemma find-new-stamp:
  assumes g' = add-node nid (node, s) g
  assumes node ≠ NoNode
  shows stamp g' nid = s
  by (simp add: assms add-node-lookup)

```

```

lemma sorted-bottom:
  assumes finite xs
  assumes x ∈ xs
  shows x ≤ last(sorted-list-of-set(xs::nat set))
  proof –

```

**obtain** *largest* **where** *largest*:  $largest = last (sorted-list-of-set(xs))$   
**by** *simp*  
**obtain** *sortedList* **where** *sortedList*:  $sortedList = sorted-list-of-set(xs)$   
**by** *simp*  
**have** *step*:  $\forall i. 0 < i \wedge i < (length (sortedList)) \longrightarrow sortedList!(i-1) \leq sortedList!(i)$   
**unfolding** *sortedList* **apply** *auto*  
**by** (*metis diff-le-self sorted-list-of-set.length-sorted-key-list-of-set sorted-nth-mono sorted-list-of-set(2)*)  
**have** *finalElement*:  $last (sorted-list-of-set(xs)) = sorted-list-of-set(xs)!(length (sorted-list-of-set(xs)) - 1)$   
**using** *assms last-conv-nth sorted-list-of-set.sorted-key-list-of-set-eq-Nil-iff* **by** *blast*  
**have** *contains0*:  $(x \in xs) = (x \in set (sorted-list-of-set(xs)))$   
**using** *assms(1)* **by** *auto*  
**have** *lastLargest*:  $((x \in xs) \longrightarrow (largest \geq x))$   
**using** *step unfolding largest finalElement* **apply** *auto*  
**by** (*metis (no-types, lifting) One-nat-def Suc-pred assms(1) card-Diff1-less in-set-conv-nth sorted-list-of-set.length-sorted-key-list-of-set card-Diff-singleton-if-less-Suc-eq-le sorted-list-of-set.sorted-sorted-key-list-of-set length-pos-if-in-set sorted-nth-mono contains0*)  
**then show** *?thesis*  
**by** (*simp add: assms largest*)  
**qed**

**lemma** *fresh*:  $finite\ xs \implies last(sorted-list-of-set(xs::nat\ set)) + 1 \notin xs$   
**using** *sorted-bottom not-le* **by** *auto*

**lemma** *fresh-ids*:  
**assumes**  $n = get-fresh-id\ g$   
**shows**  $n \notin ids\ g$   
**proof**  $-$   
**have** *finite (ids g)*  
**by** (*simp add: Rep-IRGraph*)  
**then show** *?thesis*  
**using** *assms fresh unfolding get-fresh-id.simps* **by** *blast*  
**qed**

**lemma** *graph-unchanged-rep-unchanged*:  
**assumes**  $\forall n \in ids\ g. kind\ g\ n = kind\ g'\ n$   
**assumes**  $\forall n \in ids\ g. stamp\ g\ n = stamp\ g'\ n$   
**shows**  $(g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)$   
**apply** (*rule impI*) **subgoal** *premises e* **using** *e assms*  
**apply** (*induction n e*)  
**apply** (*metis no-encoding rep.ConstantNode*)  
**apply** (*metis no-encoding rep.ParameterNode*)  
**apply** (*metis no-encoding rep.ConditionalNode*)

```

    apply (metis no-encoding rep.AbsNode)
    apply (metis no-encoding rep.ReverseBytesNode)
    apply (metis no-encoding rep.BitCountNode)
    apply (metis no-encoding rep.NotNode)
    apply (metis no-encoding rep.NegateNode)
    apply (metis no-encoding rep.LogicNegationNode)
    apply (metis no-encoding rep.AddNode)
    apply (metis no-encoding rep.MulNode)
    apply (metis no-encoding rep.DivNode)
    apply (metis no-encoding rep.ModNode)
    apply (metis no-encoding rep.SubNode)
    apply (metis no-encoding rep.AndNode)
    apply (metis no-encoding rep.OrNode)
    apply (metis no-encoding rep.XorNode)
    apply (metis no-encoding rep.ShortCircuitOrNode)
    apply (metis no-encoding rep.LeftShiftNode)
    apply (metis no-encoding rep.RightShiftNode)
    apply (metis no-encoding rep.UnsignedRightShiftNode)
    apply (metis no-encoding rep.IntegerBelowNode)
    apply (metis no-encoding rep.IntegerEqualsNode)
    apply (metis no-encoding rep.IntegerLessThanNode)
    apply (metis no-encoding rep.IntegerTestNode)
    apply (metis no-encoding rep.IntegerNormalizeCompareNode)
    apply (metis no-encoding rep.IntegerMulHighNode)
    apply (metis no-encoding rep.NarrowNode)
    apply (metis no-encoding rep.SignExtendNode)
    apply (metis no-encoding rep.ZeroExtendNode)
    apply (metis no-encoding rep.LeafNode)
    apply (metis no-encoding rep.PiNode)
    apply (metis no-encoding rep.RefNode)
  by (metis no-encoding rep.IsNullNode)
done

```

**lemma** *fresh-node-subset*:

```

  assumes  $n \notin \text{ids } g$ 
  assumes  $g' = \text{add-node } n (k, s) g$ 
  shows  $\text{as-set } g \subseteq \text{as-set } g'$ 
  by (smt (z3) Collect-mono-iff Diff-idemp Diff-insert-absorb add-changed as-set-def
    unchanged.simps
    disjoint-change assms)

```

**lemma** *unique-subset*:

```

  assumes unique  $g \text{ node } (g', n)$ 
  shows  $\text{as-set } g \subseteq \text{as-set } g'$ 
  using assms fresh-ids fresh-node-subset
  by (metis Pair-inject old.prod.exhaust subsetI unique.cases)

```

**lemma** *unrep-subset*:

```

  assumes  $(g \oplus e \rightsquigarrow (g', n))$ 

```

```

  shows  $as\text{-}set\ g \subseteq as\text{-}set\ g'$ 
  using assms
proof (induction  $g\ e\ (g',\ n)$  arbitrary: g' n)
  case (UnrepConstantNode  $g\ c\ n\ g'$ )
  then show ?case using unique-subset by simp
next
  case (UnrepParameterNode  $g\ i\ s\ n$ )
  then show ?case using unique-subset by simp
next
  case (UnrepConditionalNode  $g\ ce\ g^2\ c\ te\ g^3\ t\ fe\ g^4\ f\ s'\ n$ )
  then show ?case using unique-subset by blast
next
  case (UnrepUnaryNode  $g\ xe\ g^2\ x\ s'\ op\ n$ )
  then show ?case using unique-subset by blast
next
  case (UnrepBinaryNode  $g\ xe\ g^2\ x\ ye\ g^3\ y\ s'\ op\ n$ )
  then show ?case using unique-subset by blast
next
  case (AllLeafNodes  $g\ n\ s$ )
  then show ?case
    by auto
qed

```

**lemma** *fresh-node-preserves-other-nodes*:

```

  assumes  $n' = get\text{-}fresh\text{-}id\ g$ 
  assumes  $g' = add\text{-}node\ n'\ (k,\ s)\ g$ 
  shows  $\forall n \in ids\ g. (g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)$ 
  using assms apply auto
  by (metis fresh-node-subset subset-implies-evals fresh-ids assms)

```

**lemma** *found-node-preserves-other-nodes*:

```

  assumes  $find\text{-}node\text{-}and\text{-}stamp\ g\ (k,\ s) = Some\ n$ 
  shows  $\forall n \in ids\ g. (g \vdash n \simeq e) \longleftrightarrow (g' \vdash n \simeq e)$ 
  by (auto simp add: assms)

```

**lemma** *unrep-ids-subset[simp]*:

```

  assumes  $g \oplus e \rightsquigarrow (g',\ n)$ 
  shows  $ids\ g \subseteq ids\ g'$ 
  by (meson graph-refinement-def subset-refines unrep-subset assms)

```

**lemma** *unrep-unchanged*:

```

  assumes  $g \oplus e \rightsquigarrow (g',\ n)$ 
  shows  $\forall n \in ids\ g. \forall e. (g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)$ 
  by (meson subset-implies-evals unrep-subset assms)

```

**lemma** *unique-kind*:

```

  assumes unique  $g\ (node,\ s)\ (g',\ nid)$ 
  assumes  $node \neq NoNode$ 
  shows  $kind\ g'\ nid = node \wedge stamp\ g'\ nid = s$ 

```



**using** *assms find-exists-kind add-node-lookup*  
**by** (*smt (verit, del-insts) Pair-inject find-exists-stamp unique.cases*)

**lemma** *unique-eval*:

**assumes** *unique g (n, s) (g', nid)*  
**shows**  $g \vdash \text{nid}' \simeq e \implies g' \vdash \text{nid}' \simeq e$   
**using** *assms subset-implies-evals unique-subset* **by** *blast*

**lemma** *unrep-eval*:

**assumes** *unrep g e (g', nid)*  
**shows**  $g \vdash \text{nid}' \simeq e' \implies g' \vdash \text{nid}' \simeq e'$   
**using** *assms subset-implies-evals no-encoding unrep-unchanged* **by** *blast*

**lemma** *unary-node-nonode*:

*unary-node op x  $\neq$  NoNode*  
**by** (*cases op; auto*)

**lemma** *bin-node-nonode*:

*bin-node op x y  $\neq$  NoNode*  
**by** (*cases op; auto*)

**theorem** *term-graph-reconstruction*:

$g \oplus e \rightsquigarrow (g', n) \implies (g' \vdash n \simeq e) \wedge \text{as-set } g \subseteq \text{as-set } g'$

**subgoal premises** *e* **apply** (*rule conjI*) **defer**

**using** *e unrep-subset* **apply** *blast* **using** *e*

**proof** (*induction g e (g', n) arbitrary: g' n*)

**case** (*UnrepConstantNode g c g<sub>1</sub> n*)

**then show** *?case*

**using** *ConstantNode unique-kind* **by** *blast*

**next**

**case** (*UnrepParameterNode g i s g<sub>1</sub> n*)

**then show** *?case*

**using** *ParameterNode unique-kind*

**by** (*metis IRNode.distinct(3695)*)

**next**

**case** (*UnrepConditionalNode g ce g<sub>1</sub> c te g<sub>2</sub> t fe g<sub>3</sub> f s' g<sub>4</sub> n*)

**then show** *?case*

**using** *unique-kind unique-eval unrep-eval*

**by** (*meson ConditionalNode IRNode.distinct(965)*)

**next**

**case** (*UnrepUnaryNode g xe g<sub>1</sub> x s' op g<sub>2</sub> n*)

**then have** *k: kind g<sub>2</sub> n = unary-node op x*

**using** *unique-kind unary-node-nonode* **by** *simp*

**then have**  $g_2 \vdash x \simeq xe$

**using** *UnrepUnaryNode unique-eval* **by** *blast*

**then show** *?case*

**using** *k* **apply** (*cases op*)

**using** *unary-node.simps(1,2,3,4,5,6,7,8,9,10)*

```

      AbsNode NegateNode NotNode LogicNegationNode NarrowNode SignEx-
tendNode ZeroExtendNode
      IsNullNode ReverseBytesNode BitCountNode
    by presburger+
  next
  case (UnrepBinaryNode g xe g1 x ye g2 y s' op g3 n)
  then have k: kind g3 n = bin-node op x y
    using unique-kind bin-node-nonnode by simp
  have x: g3 ⊢ x ≈ xe
    using UnrepBinaryNode unique-eval unrep-eval by blast
  have y: g3 ⊢ y ≈ ye
    using UnrepBinaryNode unique-eval unrep-eval by blast
  then show ?case
    using x k apply (cases op)
    using bin-node.simps(1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18)
      AddNode MulNode DivNode ModNode SubNode AndNode OrNode Short-
CircuitOrNode LeftShiftNode RightShiftNode
      UnsignedRightShiftNode IntegerEqualsNode IntegerLessThanNode Inte-
gerBelowNode XorNode
      IntegerTestNode IntegerNormalizeCompareNode IntegerMulHighNode
    by metis+
  next
  case (AllLeafNodes g n s)
  then show ?case
    by (simp add: rep.LeafNode)
qed
done

```

**lemma** *ref-refinement*:  
 assumes  $g \vdash n \approx e_1$   
 assumes  $\text{kind } g \ n' = \text{RefNode } n$   
 shows  $g \vdash n' \trianglelefteq e_1$   
 by (meson equal-refines graph-represents-expression-def RefNode assms)

**lemma** *unrep-refines*:  
 assumes  $g \oplus e \rightsquigarrow (g', n)$   
 shows *graph-refinement*  $g \ g'$   
 using *assms* by (simp add: unrep-subset subset-refines)

**lemma** *add-new-node-refines*:  
 assumes  $n \notin \text{ids } g$   
 assumes  $g' = \text{add-node } n \ (k, s) \ g$   
 shows *graph-refinement*  $g \ g'$   
 using *assms* by (simp add: fresh-node-subset subset-refines)

**lemma** *add-node-as-set*:  
 assumes  $g' = \text{add-node } n \ (k, s) \ g$   
 shows  $\{n\} \trianglelefteq \text{as-set } g \subseteq \text{as-set } g'$   
 unfolding *assms*

**by** (*smt* (*verit*, *ccfv-SIG*) *case-prodE changeonly.simps mem-Collect-eq prod.sel(1)*  
*subsetI assms*

*add-changed as-set-def domain-subtraction-def*)

**theorem** *refined-insert*:

**assumes**  $e_1 \geq e_2$

**assumes**  $g_1 \oplus e_2 \rightsquigarrow (g_2, n')$

**shows**  $(g_2 \vdash n' \leq e_1) \wedge \text{graph-refinement } g_1 \ g_2$

**using** *assms graph-construction term-graph-reconstruction* **by** *blast*

**lemma** *ids-finite*: *finite* (*ids* *g*)

**by** *simp*

**lemma** *unwrap-sorted*: *set* (*sorted-list-of-set* (*ids* *g*)) = *ids* *g*

**using** *ids-finite* **by** *simp*

**lemma** *find-none*:

**assumes** *find-node-and-stamp* *g* (*k*, *s*) = *None*

**shows**  $\forall n \in \text{ids } g. \text{kind } g \ n \neq k \vee \text{stamp } g \ n \neq s$

**proof** –

**have**  $(\nexists n. n \in \text{ids } g \wedge (\text{kind } g \ n = k \wedge \text{stamp } g \ n = s))$

**by** (*metis* (*mono-tags*) *unwrap-sorted find-None-iff find-node-and-stamp.simps*

*assms*)

**then show** *?thesis*

**by** *auto*

**qed**

**method** *ref-represents* **uses** *node* =

(*metis* *IRNode.distinct(2755)* *RefNode dual-order.refl find-new-kind fresh-node-subset*  
*node subset-implies-evals*)

### 7.8.5 Data-flow Tree to Subgraph Preserves Maximal Sharing

**lemma** *same-kind-stamp-encodes-equal*:

**assumes**  $\text{kind } g \ n = \text{kind } g \ n'$

**assumes**  $\text{stamp } g \ n = \text{stamp } g \ n'$

**assumes**  $\neg(\text{is-preevaluated } (\text{kind } g \ n))$

**shows**  $\forall e. (g \vdash n \simeq e) \longrightarrow (g \vdash n' \simeq e)$

```

apply (rule allI)
subgoal for e
  apply (rule impI)
  subgoal premises eval using eval assms
  apply (induction e)
  using ConstantNode apply presburger
  using ParameterNode apply presburger
    apply (metis ConditionalNode)
    apply (metis AbsNode)
    apply (metis ReverseBytesNode)
    apply (metis BitCountNode)
    apply (metis NotNode)
    apply (metis NegateNode)
    apply (metis LogicNegationNode)
    apply (metis AddNode)
    apply (metis MulNode)
    apply (metis DivNode)
    apply (metis ModNode)
    apply (metis SubNode)
    apply (metis AndNode)
    apply (metis OrNode)
    apply (metis XorNode)
    apply (metis ShortCircuitOrNode)
    apply (metis LeftShiftNode)
    apply (metis RightShiftNode)
    apply (metis UnsignedRightShiftNode)
    apply (metis IntegerBelowNode)
    apply (metis IntegerEqualsNode)
    apply (metis IntegerLessThanNode)
    apply (metis IntegerTestNode)
    apply (metis IntegerNormalizeCompareNode)
    apply (metis IntegerMulHighNode)
    apply (metis NarrowNode)
    apply (metis SignExtendNode)
    apply (metis ZeroExtendNode)
  defer
    apply (metis PiNode)
    apply (metis RefNode)
  apply (metis IsNullNode)
by blast
  done
done

```

**lemma** *new-node-not-present*:

```

assumes find-node-and-stamp g (node, s) = None
assumes n = get-fresh-id g
assumes g' = add-node n (node, s) g
shows  $\forall n' \in \text{true-ids } g. (\forall e. ((g \vdash n \simeq e) \wedge (g \vdash n' \simeq e)) \longrightarrow n = n')$ 
using assms encode-in-ids fresh-ids by blast

```

**lemma** *true-ids-def*:

$true-ids\ g = \{n \in ids\ g. \neg(is-RefNode\ (kind\ g\ n)) \wedge ((kind\ g\ n) \neq NoNode)\}$   
**using** *true-ids-def* **by** (*auto simp add: is-RefNode-def*)

**lemma** *add-node-some-node-def*:

**assumes**  $k \neq NoNode$   
**assumes**  $g' = add-node\ nid\ (k, s)\ g$   
**shows**  $g' = Abs-IRGraph\ ((Rep-IRGraph\ g)(nid \mapsto (k, s)))$   
**by** (*metis Rep-IRGraph-inverse add-node.rep-eq fst-conv assms*)

**lemma** *ids-add-update-v1*:

**assumes**  $g' = add-node\ nid\ (k, s)\ g$   
**assumes**  $k \neq NoNode$   
**shows**  $dom\ (Rep-IRGraph\ g') = dom\ (Rep-IRGraph\ g) \cup \{nid\}$   
**by** (*simp add: add-node.rep-eq assms*)

**lemma** *ids-add-update-v2*:

**assumes**  $g' = add-node\ nid\ (k, s)\ g$   
**assumes**  $k \neq NoNode$   
**shows**  $nid \in ids\ g'$   
**by** (*simp add: find-new-kind assms*)

**lemma** *add-node-ids-subset*:

**assumes**  $n \in ids\ g$   
**assumes**  $g' = add-node\ n\ node\ g$   
**shows**  $ids\ g' = ids\ g \cup \{n\}$   
**using** *assms replace-node.rep-eq* **by** (*auto simp add: replace-node-def ids.rep-eq add-node-def*)

**lemma** *convert-maximal*:

**assumes**  $\forall n\ n'. n \in true-ids\ g \wedge n' \in true-ids\ g \longrightarrow$   
 $(\forall e\ e'. (g \vdash n \simeq e) \wedge (g \vdash n' \simeq e') \longrightarrow e \neq e')$   
**shows** *maximal-sharing*  $g$   
**using** *assms* **by** (*auto simp add: maximal-sharing*)

**lemma** *add-node-set-eq*:

**assumes**  $k \neq NoNode$   
**assumes**  $n \notin ids\ g$   
**shows**  $as-set\ (add-node\ n\ (k, s)\ g) = as-set\ g \cup \{(n, (k, s))\}$   
**using** *assms* **unfolding** *as-set-def* **by** (*transfer; auto*)

**lemma** *add-node-as-set-eq*:

**assumes**  $g' = add-node\ n\ (k, s)\ g$   
**assumes**  $n \notin ids\ g$   
**shows**  $\{n\} \trianglelefteq as-set\ g' = as-set\ g$   
**unfolding** *domain-subtraction-def*  
**by** (*smt (z3) assms add-node-set-eq Collect-cong Rep-IRGraph-inverse UnCI add-node.rep-eq le-boolE*)

*as-set-def case-prodE2 case-prodI2 le-boolI' mem-Collect-eq prod.sel(1) singletonD singletonI*  
*UnE)*

**lemma** *true-ids*:

*true-ids g = ids g - {n ∈ ids g. is-RefNode (kind g n)}*  
**unfolding** *true-ids-def* **by** *fastforce*

**lemma** *as-set-ids*:

**assumes** *as-set g = as-set g'*  
**shows** *ids g = ids g'*  
**by** (*metis antisym equalityD1 graph-refinement-def subset-refines assms*)

**lemma** *ids-add-update*:

**assumes** *k ≠ NoNode*  
**assumes** *n ∉ ids g*  
**assumes** *g' = add-node n (k, s) g*  
**shows** *ids g' = ids g ∪ {n}*  
**by** (*smt (z3) Diff-idemp Diff-insert-absorb Un-commute add-node.rep-eq insert-is-Un insert-Collect*  
*add-node-def ids.rep-eq ids-add-update-v1 insertE assms replace-node-unchanged*  
*Collect-cong*  
*map-upd-Some-unfold mem-Collect-eq replace-node-def ids-add-update-v2*)

**lemma** *true-ids-add-update*:

**assumes** *k ≠ NoNode*  
**assumes** *n ∉ ids g*  
**assumes** *g' = add-node n (k, s) g*  
**assumes**  $\neg(\text{is-RefNode } k)$   
**shows** *true-ids g' = true-ids g ∪ {n}*  
**by** (*smt (z3) Collect-cong Diff-iff Diff-insert-absorb Un-commute add-node-def*  
*find-new-kind assms*  
*insert-Diff-if insert-is-Un mem-Collect-eq replace-node-def replace-node-unchanged*  
*true-ids*  
*ids-add-update*)

**lemma** *new-def*:

**assumes** *(new  $\triangleleft$  as-set g') = as-set g*  
**shows** *n ∈ ids g  $\longrightarrow$  n ∉ new*  
**using** *assms* **apply** **auto** **unfolding** *as-set-def*  
**by** (*smt (z3) as-set-def case-prodD domain-subtraction-def mem-Collect-eq assms*  
*ids-some*)

**lemma** *add-preserves-rep*:

**assumes** *unchanged: (new  $\triangleleft$  as-set g') = as-set g*  
**assumes** *closed: wf-closed g*  
**assumes** *existed: n ∈ ids g*  
**assumes** *g'  $\vdash$  n  $\simeq$  e*  
**shows** *g  $\vdash$  n  $\simeq$  e*

```

proof (cases n ∈ new)
  case True
  have n ∉ ids g
    using unchanged True as-set-def unfolding domain-subtraction-def by blast
  then show ?thesis
    using existed by simp
next
  case False
  have kind-eq: ∀ n' . n' ∉ new → kind g n' = kind g' n'
    — can be more general than stamp_eq because NoNode default is equal
  apply (rule allI; rule impI)
  by (smt (z3) case-prodE domain-subtraction-def ids-some mem-Collect-eq sub-
setI unchanged
    not-excluded-keep-type)
  from False have stamp-eq: ∀ n' ∈ ids g' . n' ∉ new → stamp g n' = stamp g'
n'
  by (metis equalityE not-excluded-keep-type unchanged)
show ?thesis
  using assms(4) kind-eq stamp-eq False
proof (induction n e rule: rep.induct)
  case (ConstantNode n c)
  then show ?case
    by (simp add: rep.ConstantNode)
next
  case (ParameterNode n i s)
  then show ?case
    by (metis no-encoding rep.ParameterNode)
next
  case (ConditionalNode n c t f ce te fe)
  have kind: kind g n = ConditionalNode c t f
    by (simp add: kind-eq ConditionalNode.premis(3) ConditionalNode.hyps(1))
  then have isin: n ∈ ids g
    by simp
  have inputs: {c, t, f} = inputs g n
    by (simp add: kind)
  have c ∈ ids g ∧ t ∈ ids g ∧ f ∈ ids g
    using closed wf-closed-def isin inputs by blast
  then have c ∉ new ∧ t ∉ new ∧ f ∉ new
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: rep.ConditionalNode ConditionalNode)
next
  case (AbsNode n x xe)
  then have kind: kind g n = AbsNode x
    by simp
  then have isin: n ∈ ids g
    by simp
  have inputs: {x} = inputs g n
    by (simp add: kind)

```

```

have  $x \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: AbsNode rep.AbsNode)
next
case (ReverseBytesNode n x xe)
then have  $\text{kind } g \ n = \text{ReverseBytesNode } x$ 
  by simp
then have  $\text{isin: } n \in \text{ids } g$ 
  by simp
have  $\text{inputs: } \{x\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  using ReverseBytesNode.IH kind kind-eq rep.ReverseBytesNode stamp-eq by
blast
next
case (BitCountNode n x xe)
then have  $\text{kind } g \ n = \text{BitCountNode } x$ 
  by simp
then have  $\text{isin: } n \in \text{ids } g$ 
  by simp
have  $\text{inputs: } \{x\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  using BitCountNode.IH kind kind-eq rep.BitCountNode stamp-eq by blast
next
case (NotNode n x xe)
then have  $\text{kind } g \ n = \text{NotNode } x$ 
  by simp
then have  $\text{isin: } n \in \text{ids } g$ 
  by simp
have  $\text{inputs: } \{x\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: NotNode rep.NotNode)

```



```

next
  case (NegateNode n x xe)
  then have kind: kind g n = NegateNode x
    by simp
  then have isin: n ∈ ids g
    by simp
  have inputs: {x} = inputs g n
    by (simp add: kind)
  have x ∈ ids g
    using closed wf-closed-def isin inputs by blast
  then have x ∉ new
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: NegateNode rep.NegateNode)
next
  case (LogicNegationNode n x xe)
  then have kind: kind g n = LogicNegationNode x
    by simp
  then have isin: n ∈ ids g
    by simp
  have inputs: {x} = inputs g n
    by (simp add: kind)
  have x ∈ ids g
    using closed wf-closed-def isin inputs by blast
  then have x ∉ new
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: LogicNegationNode rep.LogicNegationNode)
next
  case (AddNode n x y xe ye)
  then have kind: kind g n = AddNode x y
    by simp
  then have isin: n ∈ ids g
    by simp
  have inputs: {x, y} = inputs g n
    by (simp add: kind)
  have x ∈ ids g ∧ y ∈ ids g
    using closed wf-closed-def isin inputs by blast
  then have x ∉ new ∧ y ∉ new
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: AddNode rep.AddNode)
next
  case (MulNode n x y xe ye)
  then have kind: kind g n = MulNode x y
    by simp
  then have isin: n ∈ ids g
    by simp
  have inputs: {x, y} = inputs g n

```

```

    by (simp add: kind)
  have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
    using closed wf-closed-def isin inputs by blast
  then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: MulNode rep.MulNode)
next
case (DivNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{SignedFloatingIntegerDivNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: DivNode rep.DivNode)
next
case (ModNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{SignedFloatingIntegerRemNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: ModNode rep.ModNode)
next
case (SubNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{SubNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: SubNode rep.SubNode)

```

```

next
  case (AndNode n x y xe ye)
  then have kind: kind g n = AndNode x y
    by simp
  then have isin: n ∈ ids g
    by simp
  have inputs: {x, y} = inputs g n
    by (simp add: kind)
  have x ∈ ids g ∧ y ∈ ids g
    using closed wf-closed-def isin inputs by blast
  then have x ∉ new ∧ y ∉ new
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: AndNode rep.AndNode)
next
  case (OrNode n x y xe ye)
  then have kind: kind g n = OrNode x y
    by simp
  then have isin: n ∈ ids g
    by simp
  have inputs: {x, y} = inputs g n
    by (simp add: kind)
  have x ∈ ids g ∧ y ∈ ids g
    using closed wf-closed-def isin inputs by blast
  then have x ∉ new ∧ y ∉ new
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: OrNode rep.OrNode)
next
  case (XorNode n x y xe ye)
  then have kind: kind g n = XorNode x y
    by simp
  then have isin: n ∈ ids g
    by simp
  have inputs: {x, y} = inputs g n
    by (simp add: kind)
  have x ∈ ids g ∧ y ∈ ids g
    using closed wf-closed-def isin inputs by blast
  then have x ∉ new ∧ y ∉ new
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: XorNode rep.XorNode)
next
  case (ShortCircuitOrNode n x y xe ye)
  then have kind: kind g n = ShortCircuitOrNode x y
    by simp
  then have isin: n ∈ ids g
    by simp
  have inputs: {x, y} = inputs g n

```

```

    by (simp add: kind)
  have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
    using closed wf-closed-def isin inputs by blast
  then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: ShortCircuitOrNode rep.ShortCircuitOrNode)
next
case (LeftShiftNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{LeftShiftNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: LeftShiftNode rep.LeftShiftNode)
next
case (RightShiftNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{RightShiftNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: RightShiftNode rep.RightShiftNode)
next
case (UnsignedRightShiftNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{UnsignedRightShiftNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: UnsignedRightShiftNode rep.UnsignedRightShiftNode)

```

```

next
  case (IntegerBelowNode n x y xe ye)
  then have kind: kind g n = IntegerBelowNode x y
    by simp
  then have isin: n ∈ ids g
    by simp
  have inputs: {x, y} = inputs g n
    by (simp add: kind)
  have x ∈ ids g ∧ y ∈ ids g
    using closed wf-closed-def isin inputs by blast
  then have x ∉ new ∧ y ∉ new
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: IntegerBelowNode rep.IntegerBelowNode)
next
  case (IntegerEqualsNode n x y xe ye)
  then have kind: kind g n = IntegerEqualsNode x y
    by simp
  then have isin: n ∈ ids g
    by simp
  have inputs: {x, y} = inputs g n
    by (simp add: kind)
  have x ∈ ids g ∧ y ∈ ids g
    using closed wf-closed-def isin inputs by blast
  then have x ∉ new ∧ y ∉ new
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: IntegerEqualsNode rep.IntegerEqualsNode)
next
  case (IntegerLessThanNode n x y xe ye)
  then have kind: kind g n = IntegerLessThanNode x y
    by simp
  then have isin: n ∈ ids g
    by simp
  have inputs: {x, y} = inputs g n
    by (simp add: kind)
  have x ∈ ids g ∧ y ∈ ids g
    using closed wf-closed-def isin inputs by blast
  then have x ∉ new ∧ y ∉ new
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: IntegerLessThanNode rep.IntegerLessThanNode)
next
  case (IntegerTestNode n x y xe ye)
  then have kind: kind g n = IntegerTestNode x y
    by simp
  then have isin: n ∈ ids g
    by simp
  have inputs: {x, y} = inputs g n

```

```

    by (simp add: kind)
  have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
    using closed wf-closed-def isin inputs by blast
  then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: IntegerTestNode rep.IntegerTestNode)
next
case (IntegerNormalizeCompareNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{IntegerNormalizeCompareNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  using IntegerNormalizeCompareNode.IH(1,2) kind kind-eq rep.IntegerNormalizeCompareNode
    stamp-eq by blast
next
case (IntegerMulHighNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{IntegerMulHighNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  using IntegerMulHighNode.IH(1,2) kind kind-eq rep.IntegerMulHighNode
    stamp-eq by blast
next
case (NarrowNode n inputBits resultBits x xe)
then have kind:  $\text{kind } g \ n = \text{NarrowNode } \text{inputBits } \text{resultBits } x$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new}$ 
  using unchanged by (simp add: new-def)

```

```

    then show ?case
      by (simp add: NarrowNode rep.NarrowNode)
  next
    case (SignExtendNode n inputBits resultBits x xe)
    then have kind: kind g n = SignExtendNode inputBits resultBits x
      by simp
    then have isin:  $n \in ids\ g$ 
      by simp
    have inputs:  $\{x\} = inputs\ g\ n$ 
      by (simp add: kind)
    have  $x \in ids\ g$ 
      using closed wf-closed-def isin inputs by blast
    then have  $x \notin new$ 
      using unchanged by (simp add: new-def)
    then show ?case
      by (simp add: SignExtendNode rep.SignExtendNode)
  next
    case (ZeroExtendNode n inputBits resultBits x xe)
    then have kind: kind g n = ZeroExtendNode inputBits resultBits x
      by simp
    then have isin:  $n \in ids\ g$ 
      by simp
    have inputs:  $\{x\} = inputs\ g\ n$ 
      by (simp add: kind)
    have  $x \in ids\ g$ 
      using closed wf-closed-def isin inputs by blast
    then have  $x \notin new$ 
      using unchanged by (simp add: new-def)
    then show ?case
      by (simp add: ZeroExtendNode rep.ZeroExtendNode)
  next
    case (LeafNode n s)
    then show ?case
      by (metis no-encoding rep.LeafNode)
  next
    case (PiNode n n' gu e)
    then have kind: kind g n = PiNode n' gu
      by simp
    then have isin:  $n \in ids\ g$ 
      by simp
    have inputs:  $set\ (n' \# (opt-to-list\ gu)) = inputs\ g\ n$ 
      by (simp add: kind)
    have  $n' \in ids\ g$ 
      by (metis in-mono list.set-intros(1) inputs isin wf-closed-def closed)
    then show ?case
      using PiNode.IH kind kind-eq new-def rep.PiNode stamp-eq unchanged by
blast
  next
    case (RefNode n n' e)

```

```

then have kind: kind g n = RefNode n'
  by simp
then have isin: n ∈ ids g
  by simp
have inputs: {n'} = inputs g n
  by (simp add: kind)
have n' ∈ ids g
  using closed wf-closed-def isin inputs by blast
then have n' ∉ new
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: RefNode rep.RefNode)
next
case (IsNullNode n v)
then have kind: kind g n = IsNullNode v
  by simp
then have isin: n ∈ ids g
  by simp
have inputs: {v} = inputs g n
  by (simp add: kind)
have v ∈ ids g
  using closed wf-closed-def isin inputs by blast
then have v ∉ new
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: rep.IsNullNode stamp-eq kind-eq kind IsNullNode.IH)
qed
qed

```

**lemma not-in-no-rep:**  
 $n \notin \text{ids } g \implies \forall e. \neg(g \vdash n \simeq e)$   
 using eval-contains-id by auto

**lemma unary-inputs:**  
 assumes kind g n = unary-node op x  
 shows inputs g n = {x}  
 by (cases op; auto simp add: assms)

**lemma unary-succ:**  
 assumes kind g n = unary-node op x  
 shows succ g n = {}  
 by (cases op; auto simp add: assms)

**lemma binary-inputs:**  
 assumes kind g n = bin-node op x y  
 shows inputs g n = {x, y}  
 by (cases op; auto simp add: assms)



**lemma** *binary-succ*:

**assumes**  $kind\ g\ n = bin\_node\ op\ x\ y$   
**shows**  $succ\ g\ n = \{\}$   
**by** (*cases op; auto simp add: assms*)

**lemma** *unrep-contains*:

**assumes**  $g \oplus e \rightsquigarrow (g', n)$   
**shows**  $n \in ids\ g'$   
**using** *assms not-in-no-rep term-graph-reconstruction by blast*

**lemma** *unrep-preserves-contains*:

**assumes**  $n \in ids\ g$   
**assumes**  $g \oplus e \rightsquigarrow (g', n')$   
**shows**  $n \in ids\ g'$   
**by** (*meson subsetD unrep-ids-subset assms*)

**lemma** *unique-preserves-closure*:

**assumes** *wf-closed g*  
**assumes** *unique g (node, s) (g', n)*  
**assumes**  $set\ (inputs\ of\ node) \subseteq ids\ g \wedge$   
 $set\ (successors\ of\ node) \subseteq ids\ g \wedge$   
 $node \neq NoNode$   
**shows** *wf-closed g'*  
**using** *assms*  
**by** (*smt (verit, del-insts) Pair-inject UnE add-changed fresh-ids graph-refinement-def*  
*ids-add-update inputs.simps other-node-unchanged singletonD subset-refines sub-*  
*set-trans succ.simps unique.cases unique-kind unique-subset wf-closed-def*)

**lemma** *unrep-preserves-closure*:

**assumes** *wf-closed g*  
**assumes**  $g \oplus e \rightsquigarrow (g', n)$   
**shows** *wf-closed g'*  
**using** *assms(2,1) wf-closed-def*  
**proof** (*induction g e (g', n) arbitrary: g' n*)  
**next**  
**case** (*UnrepConstantNode g c g' n*)  
**then show** *?case using unique-preserves-closure*  
**by** (*metis IRNode.distinct(1077) IRNodes.inputs-of-ConstantNode IRNodes.successors-of-ConstantNode*  
*empty-subsetI list.set(1)*)  
**next**  
**case** (*UnrepParameterNode g i s n*)  
**then show** *?case using unique-preserves-closure*  
**by** (*metis IRNode.distinct(3695) IRNodes.inputs-of-ParameterNode IRN-*  
*odes.successors-of-ParameterNode empty-subsetI list.set(1)*)  
**next**  
**case** (*UnrepConditionalNode g ce g<sub>1</sub> c te g<sub>2</sub> t fe g<sub>3</sub> f s' g<sub>4</sub> n*)  
**then have** *c: wf-closed g<sub>3</sub>*

```

    by fastforce
  have k: kind g4 n = ConditionalNode c t f
    using UnrepConditionalNode IRNode.distinct(965) unique-kind by presburger
  have {c, t, f} ⊆ ids g4 using unrep-contains
    by (metis UnrepConditionalNode.hyps(1) UnrepConditionalNode.hyps(3) Un-
    repConditionalNode.hyps(5) UnrepConditionalNode.hyps(8) empty-subsetI graph-refinement-def
    insert-subsetI subset-iff subset-refines unique-subset unrep-ids-subset)
  also have inputs g4 n = {c, t, f} ∧ succ g4 n = {}
    using k by simp
  moreover have inputs g4 n ⊆ ids g4 ∧ succ g4 n ⊆ ids g4 ∧ kind g4 n ≠
  NoNode
    using k
    by (metis IRNode.distinct(965) calculation empty-subsetI)
  ultimately show ?case using c unique-preserves-closure UnrepConditionalN-
  ode
    by (metis empty-subsetI inputs.simps insert-subsetI k succ.simps unrep-contains
    unrep-preserves-contains)
  next
  case (UnrepUnaryNode g xe g1 x s' op g2 n)
  then have c: wf-closed g1
    by fastforce
  have k: kind g2 n = unary-node op x
    using UnrepUnaryNode unique-kind unary-node-nonode by blast
  have {x} ⊆ ids g2 using unrep-contains
    by (metis UnrepUnaryNode.hyps(1) UnrepUnaryNode.hyps(4) encodes-contains
    ids-some singletonD subsetI term-graph-reconstruction unique-eval)
  also have inputs g2 n = {x} ∧ succ g2 n = {}
    using k
    by (meson unary-inputs unary-succ)
  moreover have inputs g2 n ⊆ ids g2 ∧ succ g2 n ⊆ ids g2 ∧ kind g2 n ≠
  NoNode
    using k
    by (metis calculation(1) calculation(2) empty-subsetI unary-node-nonode)
  ultimately show ?case using c unique-preserves-closure UnrepUnaryNode
  by (metis empty-subsetI inputs.simps insert-subsetI k succ.simps unrep-contains)
  next
  case (UnrepBinaryNode g xe g1 x ye g2 y s' op g3 n)
  then have c: wf-closed g2
    by fastforce
  have k: kind g3 n = bin-node op x y
    using UnrepBinaryNode unique-kind bin-node-nonode by blast
  have {x, y} ⊆ ids g3 using unrep-contains
    by (metis UnrepBinaryNode.hyps(1) UnrepBinaryNode.hyps(3) UnrepBina-
    ryNode.hyps(6) empty-subsetI graph-refinement-def insert-absorb insert-subset sub-
    set-refines unique-subset unrep-refines)
  also have inputs g3 n = {x, y} ∧ succ g3 n = {}
    using k
    by (meson binary-inputs binary-succ)
  moreover have inputs g3 n ⊆ ids g3 ∧ succ g3 n ⊆ ids g3 ∧ kind g3 n ≠

```

```

NoNode
  using k
  by (metis calculation(1) calculation(2) empty-subsetI bin-node-nonode)
  ultimately show ?case using c unique-preserves-closure UnrepBinaryNode
  by (metis empty-subsetI inputs.simps insert-subsetI k succ.simps unrep-contains
unrep-preserves-contains)
  next
  case (AllLeafNodes g n s)
  then show ?case
  by simp
qed

```

**inductive-cases** *ConstUnrepE*:  $g \oplus (\text{ConstantExpr } x) \rightsquigarrow (g', n)$

**definition** *constant-value* **where**

*constant-value* = (*IntVal* 32 0)

**definition** *bad-graph* **where**

```

bad-graph = irgraph [
  (0, AbsNode 1, constantAsStamp constant-value),
  (1, RefNode 2, constantAsStamp constant-value),
  (2, ConstantNode constant-value, constantAsStamp constant-value)
]

```

end

## 8 Control-flow Semantics

**theory** *IRStepObj*

**imports**

*TreeToGraph*

*Graph.Class*

**begin**

### 8.1 Object Heap

The heap model we introduce maps field references to object instances to runtime values. We use the  $H[f][p]$  heap representation. See *\cite{heap-reps-2011}*.

We also introduce the *DynamicHeap* type which allocates new object references sequentially storing the next free object reference as 'Free'.

*heapdef*

```
type-synonym ('a, 'b) Heap = 'a ⇒ 'b ⇒ Value
type-synonym Free = nat
type-synonym ('a, 'b) DynamicHeap = ('a, 'b) Heap × Free

fun h-load-field :: 'a ⇒ 'b ⇒ ('a, 'b) DynamicHeap ⇒ Value where
  h-load-field f r (h, n) = h f r

fun h-store-field :: 'a ⇒ 'b ⇒ Value ⇒ ('a, 'b) DynamicHeap ⇒ ('a, 'b)
  DynamicHeap where
  h-store-field f r v (h, n) = (h(f := ((h f)(r := v))), n)

fun h-new-inst :: (string, objref) DynamicHeap ⇒ string ⇒ (string, objref)
  DynamicHeap × Value where
  h-new-inst (h, n) className = (h-store-field "class" (Some n) (ObjStr
  className) (h,n+1), (ObjRef (Some n)))

type-synonym FieldRefHeap = (string, objref) DynamicHeap
```

```
definition new-heap :: ('a, 'b) DynamicHeap where
  new-heap = ((λf. λp. UndefVal), 0)
```

## 8.2 Intraprocedural Semantics

```
fun find-index :: 'a ⇒ 'a list ⇒ nat where
  find-index - [] = 0 |
  find-index v (x # xs) = (if (x=v) then 0 else find-index v xs + 1)
```

```
inductive indexof :: 'a list ⇒ nat ⇒ 'a ⇒ bool where
  find-index x xs = i ⇒ indexof xs i x
```

```
lemma indexof-det:
  indexof xs i x ⇒ indexof xs i' x ⇒ i = i'
apply (induction rule: indexof.induct)
by (simp add: indexof.simps)
```

```
code-pred (modes: i ⇒ o ⇒ i ⇒ bool) indexof .
```

```
notation (latex output)
  indexof (-!- = -)
```

```
fun phi-list :: IRGraph ⇒ ID ⇒ ID list where
  phi-list g n =
    (filter (λx.(is-PhiNode (kind g x)))
    (sorted-list-of-set (usages g n)))
```

```

fun set-phis :: ID list ⇒ Value list ⇒ MapState ⇒ MapState where
  set-phis [] [] m = m |
  set-phis (n # ns) (v # vs) m = (set-phis ns vs (m(n := v))) |
  set-phis [] (v # vs) m = m |
  set-phis (x # ns) [] m = m

```

**definition**

```

fun-add :: ('a ⇒ 'b) ⇒ ('a → 'b) ⇒ ('a ⇒ 'b) (infixl ++f 100) where
f1 ++f f2 = (λx. case f2 x of None ⇒ f1 x | Some y ⇒ y)

```

**definition** upds :: ('a ⇒ 'b) ⇒ 'a list ⇒ 'b list ⇒ ('a ⇒ 'b) (-/'(- [→] -/' 900)  
**where**

```

upds m ns vs = m ++f (map-of (rev (zip ns vs)))

```

**lemma** fun-add-empty:

```

xs ++f (map-of []) = xs
unfolding fun-add-def by simp

```

**lemma** upds-inc:

```

m(a#as [→] b#bs) = (m(a:=b))(as[→]bs)
unfolding upds-def fun-add-def apply simp sorry

```

**lemma** upds-compose:

```

a ++f map-of (rev (zip (n # ns) (v # vs))) = a(n := v) ++f map-of (rev (zip
ns vs))
using upds-inc
by (metis upds-def)

```

**lemma** set-phis ns vs = (λm. upds m ns vs)

**proof** (induction rule: set-phis.induct)

**case** (1 m)

```

then show ?case unfolding set-phis.simps upds-def
by (metis Nil-eq-zip-iff Nil-is-rev-conv fun-add-empty)

```

**next**

**case** (2 n xs v vs m)

```

then show ?case unfolding set-phis.simps upds-def
by (metis upds-compose)

```

**next**

**case** (3 v vs m)

```

then show ?case
by (metis fun-add-empty rev.simps(1) upds-def set-phis.simps(3) zip-Nil)

```

**next**

**case** (4 x xs m)

```

then show ?case
by (metis Nil-eq-zip-iff fun-add-empty rev.simps(1) upds-def set-phis.simps(4))

```

**qed**

**fun** is-PhiKind :: IRGraph ⇒ ID ⇒ bool **where**

$is\text{-}PhiKind\ g\ nid = is\text{-}PhiNode\ (kind\ g\ nid)$

**definition**  $filter\text{-}phis :: IRGraph \Rightarrow ID \Rightarrow ID\ list\ \mathbf{where}$

$filter\text{-}phis\ g\ merge = (filter\ (is\text{-}PhiKind\ g)\ (sorted\text{-}list\text{-}of\text{-}set\ (usages\ g\ merge)))$

**definition**  $phi\text{-}inputs :: IRGraph \Rightarrow ID\ list \Rightarrow nat \Rightarrow ID\ list\ \mathbf{where}$

$phi\text{-}inputs\ g\ phis\ i = (map\ (\lambda n. (inputs\text{-}of\ (kind\ g\ n))!(i + 1))\ phis)$

Intraprocedural semantics are given as a small-step semantics.

Within the context of a graph, the configuration triple, (ID, MethodState, Heap), is related to the subsequent configuration.

**inductive**  $step :: IRGraph \Rightarrow Params \Rightarrow (ID \times MapState \times FieldRefHeap) \Rightarrow (ID \times MapState \times FieldRefHeap) \Rightarrow bool$

$(-, - \vdash - \rightarrow -\ 55)$  **for**  $g\ p\ \mathbf{where}$

*SequentialNode:*

$\llbracket is\text{-}sequential\text{-}node\ (kind\ g\ nid);$   
 $nid' = (successors\text{-}of\ (kind\ g\ nid))!0$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid$

*FixedGuardNode:*

$\llbracket (kind\ g\ nid) = (FixedGuardNode\ cond\ before\ next);$   
 $[g, m, p] \vdash cond \mapsto val;$   
 $\neg(val\text{-}to\text{-}bool\ val)$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (next, m, h) \mid$

*BytecodeExceptionNode:*

$\llbracket (kind\ g\ nid) = (BytecodeExceptionNode\ args\ st\ nid');$   
 $exceptionType = stp\text{-}type\ (stamp\ g\ nid);$   
 $(h', ref) = h\text{-}new\text{-}inst\ h\ exceptionType;$   
 $m' = m(nid := ref)$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid$

*IfNode:*

$\llbracket kind\ g\ nid = (IfNode\ cond\ tb\ fb);$   
 $[g, m, p] \vdash cond \mapsto val;$   
 $nid' = (if\ val\text{-}to\text{-}bool\ val\ then\ tb\ else\ fb)$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid$

*EndNodes:*

$\llbracket is\text{-}AbstractEndNode\ (kind\ g\ nid);$   
 $merge = any\text{-}usage\ g\ nid;$   
 $is\text{-}AbstractMergeNode\ (kind\ g\ merge);$   
 $indexof\ (inputs\text{-}of\ (kind\ g\ merge))\ i\ nid;$   
 $phis = filter\text{-}phis\ g\ merge;$

$inps = phi\text{-inputs } g \text{ phis } i;$   
 $[g, m, p] \vdash inps \mapsto vs;$

$m' = (m(\text{phis}[\rightarrow]vs))$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (merge, m', h) \mid$

*NewArrayNode:*

$\llbracket kind \ g \ nid = (NewArrayNode \ len \ st \ nid') \rrbracket;$   
 $[g, m, p] \vdash len \mapsto length';$

$arrayType = stp\text{-type } (stamp \ g \ nid);$   
 $(h', ref) = h\text{-new-inst } h \ arrayType;$   
 $ref = ObjRef \ refNo;$   
 $h'' = h\text{-store-field } '''' \ refNo \ (intval\text{-new-array } length' \ arrayType) \ h';$

$m' = m(nid := ref)$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h'') \mid$

*ArrayLengthNode:*

$\llbracket kind \ g \ nid = (ArrayLengthNode \ x \ nid') \rrbracket;$   
 $[g, m, p] \vdash x \mapsto ObjRef \ ref;$

$h\text{-load-field } '''' \ ref \ h = arrayVal;$   
 $length' = array\text{-length } (arrayVal);$

$m' = m(nid := length')$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \mid$

*LoadIndexedNode:*

$\llbracket kind \ g \ nid = (LoadIndexedNode \ index \ guard \ array \ nid') \rrbracket;$   
 $[g, m, p] \vdash index \mapsto indexVal;$   
 $[g, m, p] \vdash array \mapsto ObjRef \ ref;$

$h\text{-load-field } '''' \ ref \ h = arrayVal;$   
 $loaded = intval\text{-load-index } arrayVal \ indexVal;$

$m' = m(nid := loaded)$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \mid$

*StoreIndexedNode:*

$\llbracket kind \ g \ nid = (StoreIndexedNode \ check \ val \ st \ index \ guard \ array \ nid') \rrbracket;$   
 $[g, m, p] \vdash index \mapsto indexVal;$   
 $[g, m, p] \vdash array \mapsto ObjRef \ ref;$   
 $[g, m, p] \vdash val \mapsto value;$

$h\text{-load-field } '''' \ ref \ h = arrayVal;$   
 $updated = intval\text{-store-index } arrayVal \ indexVal \ value;$   
 $h' = h\text{-store-field } '''' \ ref \ updated \ h;$   
 $m' = m(nid := updated)$

$$\Longrightarrow g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid$$

*NewInstanceNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (NewInstanceNode\ nid\ cname\ obj\ nid'); \\ & \quad (h',\ ref) = h\text{-new-inst}\ h\ cname; \\ & \quad m' = m(nid := ref) \rrbracket \\ \Longrightarrow & g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid \end{aligned}$$

*LoadFieldNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (LoadFieldNode\ nid\ f\ (Some\ obj)\ nid'); \\ & \quad [g, m, p] \vdash obj \mapsto ObjRef\ ref; \\ & \quad m' = m(nid := h\text{-load-field}\ f\ ref\ h) \rrbracket \\ \Longrightarrow & g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid \end{aligned}$$

*SignedDivNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (SignedDivNode\ nid\ x\ y\ zero\ sb\ next); \\ & \quad [g, m, p] \vdash x \mapsto v1; \\ & \quad [g, m, p] \vdash y \mapsto v2; \\ & \quad m' = m(nid := intval\text{-div}\ v1\ v2) \rrbracket \\ \Longrightarrow & g, p \vdash (nid, m, h) \rightarrow (next, m', h') \mid \end{aligned}$$

*SignedRemNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (SignedRemNode\ nid\ x\ y\ zero\ sb\ next); \\ & \quad [g, m, p] \vdash x \mapsto v1; \\ & \quad [g, m, p] \vdash y \mapsto v2; \\ & \quad m' = m(nid := intval\text{-mod}\ v1\ v2) \rrbracket \\ \Longrightarrow & g, p \vdash (nid, m, h) \rightarrow (next, m', h') \mid \end{aligned}$$

*StaticLoadFieldNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (LoadFieldNode\ nid\ f\ None\ nid'); \\ & \quad m' = m(nid := h\text{-load-field}\ f\ None\ h) \rrbracket \\ \Longrightarrow & g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid \end{aligned}$$

*StoreFieldNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (StoreFieldNode\ nid\ f\ newval - (Some\ obj)\ nid'); \\ & \quad [g, m, p] \vdash newval \mapsto val; \\ & \quad [g, m, p] \vdash obj \mapsto ObjRef\ ref; \\ & \quad h' = h\text{-store-field}\ f\ ref\ val\ h; \\ & \quad m' = m(nid := val) \rrbracket \\ \Longrightarrow & g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid \end{aligned}$$

*StaticStoreFieldNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (StoreFieldNode\ nid\ f\ newval - None\ nid'); \\ & \quad [g, m, p] \vdash newval \mapsto val; \\ & \quad h' = h\text{-store-field}\ f\ None\ val\ h; \\ & \quad m' = m(nid := val) \rrbracket \\ \Longrightarrow & g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \end{aligned}$$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i * i * i \Rightarrow o * o * o \Rightarrow bool$ ) *step* .



### 8.3 Interprocedural Semantics

**type-synonym** *Signature* = *string*

**type-synonym** *Program* = *Signature*  $\rightarrow$  *IRGraph*

**type-synonym** *System* = *Program*  $\times$  *Classes*

**function** *dynamic-lookup* :: *System*  $\Rightarrow$  *string*  $\Rightarrow$  *string*  $\Rightarrow$  *string list*  $\Rightarrow$  *IRGraph option* **where**

```

dynamic-lookup (P,cl) cn mn path = (
  if (cn = "None"  $\vee$  cn  $\notin$  set (Class.mapJVMFunc class-name cl)  $\vee$  path = [])
  then (P mn)
  else (

    let method-index = (find-index (get-simple-signature mn) (CLsimple-signatures cn cl)) in
      let parent = hd path in

        if (method-index = length (CLsimple-signatures cn cl))
        then (dynamic-lookup (P, cl) parent mn (tl path))
        else (P (nth (map method-unique-name (CLget-Methods cn cl)) method-index))
      )
  )

```

**by** *auto*

**termination** *dynamic-lookup* **apply** (*relation measure* ( $\lambda(S, cn, mn, path). (length\ path)$ )) **by** *auto*

**inductive** *step-top* :: *System*  $\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*  $\times$  *FieldRefHeap*  $\Rightarrow$

(*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*  $\times$

*FieldRefHeap*  $\Rightarrow$  *bool*

( $- \vdash - \longrightarrow -$  55)

**for** *S* **where**

*Lift*:

```

[[g, p  $\vdash$  (nid, m, h)  $\rightarrow$  (nid', m', h')]]
 $\implies$  (S)  $\vdash$  ((g,nid,m,p)#stk, h)  $\longrightarrow$  ((g,nid',m',p)#stk, h') |

```

*InvokeNodeStepStatic*:

```

[[is-Invoke (kind g nid);
  callTarget = ir-callTarget (kind g nid);
  kind g callTarget = (MethodCallTargetNode targetMethod actuals invoke-kind);
   $\neg$ (hasReceiver invoke-kind);
  Some targetGraph = (dynamic-lookup S "None" targetMethod []);
  [g, m, p]  $\vdash$  actuals [ $\mapsto$ ] p]]
 $\implies$  (S)  $\vdash$  ((g,nid,m,p)#stk, h)  $\longrightarrow$  ((targetGraph,0,new-map-state,p')#(g,nid,m,p)#stk,
h) |

```

*InvokeNodeStep*:

$\llbracket is-Invoke \ (kind \ g \ nid);$   
 $\quad callTarget = ir-callTarget \ (kind \ g \ nid);$   
 $kind \ g \ callTarget = (MethodCallTargetNode \ targetMethod \ arguments \ invoke-kind);$   
 $hasReceiver \ invoke-kind;$   
 $\llbracket [g, m, p] \vdash arguments \ [\mapsto] \ p';$   
 $ObjRef \ self = hd \ p';$   
 $ObjStr \ cname = (h-load-field \ "class" \ self \ h);$   
 $S = (P, cl);$   
 $\quad Some \ targetGraph = dynamic-lookup \ S \ cname \ targetMethod \ (class-parents$   
 $(CLget-JVMClass \ cname \ cl)) \rrbracket$   
 $\implies (S) \vdash ((g, nid, m, p) \# stk, h) \longrightarrow ((targetGraph, 0, new-map-state, p') \# (g, nid, m, p) \# stk,$   
 $h) \mid$

*ReturnNode:*

$\llbracket kind \ g \ nid = (ReturnNode \ (Some \ expr) \ -);$   
 $\quad [g, m, p] \vdash expr \ \mapsto \ v;$   
 $\quad m'_c = m_c(nid_c := v);$   
 $\quad nid'_c = (successors-of \ (kind \ g_c \ nid_c))!0 \rrbracket$   
 $\implies (S) \vdash ((g, nid, m, p) \# (g_c, nid_c, m_c, p_c) \# stk, h) \longrightarrow ((g_c, nid'_c, m'_c, p_c) \# stk, h)$   
 $\mid$

*ReturnNodeVoid:*

$\llbracket kind \ g \ nid = (ReturnNode \ None \ -);$   
 $\quad nid'_c = (successors-of \ (kind \ g_c \ nid_c))!0 \rrbracket$   
 $\implies (S) \vdash ((g, nid, m, p) \# (g_c, nid_c, m_c, p_c) \# stk, h) \longrightarrow ((g_c, nid'_c, m_c, p_c) \# stk, h)$   
 $\mid$

*UnwindNode:*

$\llbracket kind \ g \ nid = (UnwindNode \ exception);$   
 $\quad [g, m, p] \vdash exception \ \mapsto \ e;$   
 $\quad kind \ g_c \ nid_c = (InvokeWithExceptionNode \ - \ - \ - \ - \ - \ exEdge);$   
 $\quad m'_c = m_c(nid_c := e) \rrbracket$   
 $\implies (S) \vdash ((g, nid, m, p) \# (g_c, nid_c, m_c, p_c) \# stk, h) \longrightarrow ((g_c, exEdge, m'_c, p_c) \# stk, h)$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) *step-top* .

## 8.4 Big-step Execution

**type-synonym** *Trace* = (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*

**fun** *has-return* :: *MapState*  $\Rightarrow$  *bool* **where**  
*has-return* *m* = (*m*  $\neq$  *UndefVal*)

**inductive** *exec* :: *System*  
 $\Rightarrow (IRGraph \times ID \times MapState \times Params) list \times FieldRefHeap$   
 $\Rightarrow Trace$   
 $\Rightarrow (IRGraph \times ID \times MapState \times Params) list \times FieldRefHeap$   
 $\Rightarrow Trace$   
 $\Rightarrow bool$   
 $(- \vdash - \mid - \longrightarrow * - \mid -)$   
**for** *P* **where**  
 $\llbracket P \vdash (((g, nid, m, p) \# xs), h) \longrightarrow (((g', nid', m', p') \# ys), h');$   
 $\neg(has\text{-}return\ m');$   
 $l' = (l \ @ \ [(g, nid, m, p)]);$   
 $exec\ P\ (((g', nid', m', p') \# ys), h')\ l'\ next\text{-}state\ l'' \rrbracket$   
 $\implies exec\ P\ (((g, nid, m, p) \# xs), h)\ l\ next\text{-}state\ l''$   
 $\mid$   
 $\llbracket P \vdash (((g, nid, m, p) \# xs), h) \longrightarrow (((g', nid', m', p') \# ys), h');$   
 $has\text{-}return\ m';$   
 $l' = (l \ @ \ [(g, nid, m, p)]);$   
 $\implies exec\ P\ (((g, nid, m, p) \# xs), h)\ l\ (((g', nid', m', p') \# ys), h')\ l'$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow bool$  as *Exec*) *exec* .

**inductive** *exec-debug* :: *System*  
 $\Rightarrow (IRGraph \times ID \times MapState \times Params) list \times FieldRefHeap$   
 $\Rightarrow nat$   
 $\Rightarrow (IRGraph \times ID \times MapState \times Params) list \times FieldRefHeap$   
 $\Rightarrow bool$   
 $(\vdash \longrightarrow * - \mid -)$   
**where**  
 $\llbracket n > 0;$   
 $p \vdash s \longrightarrow s';$   
 $exec\text{-}debug\ p\ s'\ (n - 1)\ s'' \rrbracket$   
 $\implies exec\text{-}debug\ p\ s\ n\ s'' \mid$   
 $\llbracket n = 0 \rrbracket$   
 $\implies exec\text{-}debug\ p\ s\ n\ s$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) *exec-debug* .

### 8.4.1 Heap Testing

**definition** *p3* :: *Params* **where**

*p3* = [*IntVal* 32 3]

**fun** *graphToSystem* :: *IRGraph*  $\Rightarrow$  *System* **where**  
*graphToSystem* *graph* =  $((\lambda x. \text{Some } graph), JVMClasses \ [])$

**values**  $\{(prod.fst(prod.snd (prod.snd (hd (prod.fst res)))) 0$   
 $| res. (graphToSystem eg2-sq) \vdash [(eg2-sq, 0, new-map-state, p3), (eg2-sq, 0, new-map-state, p3)],$   
 $new-heap) \rightarrow^{*2*} res\}$

**definition** *field-sq* :: *string* **where**  
*field-sq* = "sq"

**definition** *eg3-sq* :: *IRGraph* **where**  
*eg3-sq* = *irgraph* [  
 (0, *StartNode* None 4, *VoidStamp*),  
 (1, *ParameterNode* 0, *default-stamp*),  
 (3, *MulNode* 1 1, *default-stamp*),  
 (4, *StoreFieldNode* 4 *field-sq* 3 None None 5, *VoidStamp*),  
 (5, *ReturnNode* (Some 3) None, *default-stamp*)  
 ]

**values**  $\{h-load-field field-sq None (prod.snd res)$   
 $| res. (graphToSystem eg3-sq) \vdash [(eg3-sq, 0, new-map-state, p3), (eg3-sq, 0,$   
 $new-map-state, p3)], new-heap) \rightarrow^{*3*} res\}$

**definition** *eg4-sq* :: *IRGraph* **where**  
*eg4-sq* = *irgraph* [  
 (0, *StartNode* None 4, *VoidStamp*),  
 (1, *ParameterNode* 0, *default-stamp*),  
 (3, *MulNode* 1 1, *default-stamp*),  
 (4, *NewInstanceNode* 4 "obj-class" None 5, *ObjectStamp* "obj-class" True True  
*False*),  
 (5, *StoreFieldNode* 5 *field-sq* 3 None (Some 4) 6, *VoidStamp*),  
 (6, *ReturnNode* (Some 3) None, *default-stamp*)  
 ]

**values**  $\{h-load-field field-sq (Some 0) (prod.snd res)$   
 $| res. (graphToSystem (eg4-sq)) \vdash [(eg4-sq, 0, new-map-state, p3), (eg4-sq,$   
 $0, new-map-state, p3)], new-heap) \rightarrow^{*3*} res\}$

**end**

## 8.5 Control-flow Semantics Theorems

**theory** *IRStepThms*

**imports**

*IRStepObj*

*TreeToGraphThms*

**begin**

We prove that within the same graph, a configuration triple will always transition to the same subsequent configuration. Therefore, our step semantics

is deterministic.

### 8.5.1 Control-flow Step is Deterministic

```

theorem stepDet':
  (g, p ⊢ state → next) ⇒
  (g, p ⊢ state → next') ⇒ next = next'
proof (induction arbitrary: next' rule: step.induct)
  case (SequentialNode nid nid' m h)
  have notend: ¬(is-AbstractEndNode (kind g nid))
  by (metis SequentialNode.hyps(1) is-AbstractEndNode.simps is-EndNode.elims(2)
is-LoopEndNode-def is-sequential-node.simps(18) is-sequential-node.simps(36))
  from SequentialNode show ?case apply (elim StepE) using is-sequential-node.simps
    apply blast
    apply force apply force apply force
    using notend
    apply (metis (no-types, lifting) Pair-inject is-AbstractEndNode.simps)
    by force+
next
  case (FixedGuardNode nid cond before next m val nid' h)
  then show ?case apply (elim StepE)
    by force+
next
  case (BytecodeExceptionNode nid args st nid' exceptionType h' ref h m' m)
  then show ?case apply (elim StepE)
    by force+
next
  case (IfNode nid cond tb fb m val nid' h)
  then show ?case apply (elim StepE)
    apply force+
    — IfNode rule uses expression evaluation
    using graphDet apply fastforce
    by force+
next
  case (EndNodes nid merge iphis inps m vs m' h)
  have notseq: ¬(is-sequential-node (kind g nid))
  using EndNodes
  by (metis is-AbstractEndNode.simps is-EndNode.elims(2) is-LoopEndNode-def
is-sequential-node.simps(18) is-sequential-node.simps(36))
  from EndNodes show ?case apply (elim StepE)
  using notseq apply force
    apply force apply force apply force
  using indexof-det
  unfolding is-AbstractEndNode.simps
is-AbstractMergeNode.simps any-usage.simps usages.simps inputs.simps ids-def
  apply (smt (verit, del-insts) Collect-cong encodeEvalAllDet ids-def)

```

```

ids-some old.prod.inject)
  by force+
next
case (NewArrayNode nid len st nid' m length' arrayType h' ref h refNo h'' m')
then show ?case apply (elim StepE) apply force+
— NewArrayNode rule uses expression evaluation
using graphDet apply fastforce
by force+
next
case (ArrayLengthNode nid x nid' m ref h arrayVal length' m')
then show ?case apply (elim StepE) apply force+
— ArrayLengthNode rule uses expression evaluation
using graphDet apply fastforce
by force+
next
case (LoadIndexedNode nid index guard array nid' m indexVal ref h arrayVal
loaded m')
then show ?case apply (elim StepE) apply force+
— LoadIndexedNode rule uses expression evaluation
using graphDet
apply (metis IRNode.inject(28) Pair-inject Value.inject(2))
by force+
next
case (StoreIndexedNode nid check val st index guard array nid' m indexVal ref
value h arrayVal updated h' m')
then show ?case apply (elim StepE) apply force+
— StoreIndexedNode rule uses expression evaluation
using graphDet
apply (metis IRNode.inject(55) Pair-inject Value.inject(2))
by force+
next
case (NewInstanceNode nid cname obj nid' h' ref h m' m)
then show ?case apply (elim StepE) by force+
next
case (LoadFieldNode nid f obj nid' m ref h v m')
then show ?case apply (elim StepE) apply force+
— LoadFieldNode rule uses expression evaluation
using graphDet apply fastforce
by force+
next
case (SignedDivNode nid x y zero sb nxt m v1 v2 v m' h)
then show ?case apply (elim StepE) apply force+
— SignedDivNode rule uses expression evaluation
using graphDet
apply (metis IRNode.inject(49) Pair-inject)
by force+
next
case (SignedRemNode nid x y zero sb nxt m v1 v2 v m' h)
then show ?case apply (elim StepE) apply force+

```

— SignedRemNode rule uses expression evaluation  
**using** *graphDet*  
**apply** (*metis IRNode.inject(52) Pair-inject*)  
**by** *force+*  
**next**  
**case** (*StaticLoadFieldNode nid f nid' h v m' m*)  
**then show** *?case* **apply** (*elim StepE*) **by** *force+*  
**next**  
**case** (*StoreFieldNode nid f newval uu obj nid' m val ref h' h m'*)  
**then show** *?case* **apply** (*elim StepE*) **apply** *force+*  
 — StoreFieldNode rule uses expression evaluation  
**using** *graphDet*  
**apply** (*metis IRNode.inject(54) Pair-inject Value.inject(2) option.inject*)  
**by** *force+*  
**next**  
**case** (*StaticStoreFieldNode nid f newval uv nid' m val h' h m'*)  
**then show** *?case* **apply** (*elim StepE*) **apply** *force+*  
 — StaticStoreFieldNode rule uses expression evaluation  
**using** *graphDet* **by** *fastforce*  
**qed**

**theorem** *stepDet*:

$(g, p \vdash (nid, m, h) \rightarrow next) \implies$   
 $(\forall next'. ((g, p \vdash (nid, m, h) \rightarrow next') \longrightarrow next = next'))$   
**using** *stepDet'* **by** *simp*

**lemma** *stepRefNode*:

$\llbracket kind\ g\ nid = RefNode\ nid \rrbracket \implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h)$   
**by** (*metis IRNodes.successors-of-RefNode is-sequential-node.simps(7) nth-Cons-0 SequentialNode*)

**lemma** *IfNodeStepCases*:

**assumes** *kind g nid = IfNode cond tb fb*  
**assumes**  $g \vdash cond \simeq condE$   
**assumes**  $[m, p] \vdash condE \mapsto v$   
**assumes**  $g, p \vdash (nid, m, h) \rightarrow (nid', m, h)$   
**shows**  $nid' \in \{tb, fb\}$   
**by** (*metis insert-iff old.prod.inject step.IfNode stepDet assms encodeeval.simps*)

**lemma** *IfNodeSeq*:

**shows**  $kind\ g\ nid = IfNode\ cond\ tb\ fb \longrightarrow \neg(is-sequential-node\ (kind\ g\ nid))$   
**using** *is-sequential-node.simps(18,19)* **by** *simp*

**lemma** *IfNodeCond*:

**assumes** *kind g nid = IfNode cond tb fb*  
**assumes**  $g, p \vdash (nid, m, h) \rightarrow (nid', m, h)$   
**shows**  $\exists condE\ v. ((g \vdash cond \simeq condE) \wedge ([m, p] \vdash condE \mapsto v))$   
**using** *assms(2,1) encodeeval.simps* **by** (*induct (nid, m, h) (nid', m, h) rule: step.induct; auto*)

```

lemma step-in-ids:
  assumes  $g, p \vdash (nid, m, h) \rightarrow (nid', m', h')$ 
  shows  $nid \in ids\ g$ 
  using assms apply (induct ( $nid, m, h$ ) ( $nid', m', h'$ ) rule: step.induct) apply
fastforce
    prefer 4 prefer 14 defer defer
  using IRNode.distinct(1607) ids-some apply presburger
  using IRNode.distinct(851) ids-some apply presburger

  using IRNode.distinct(1805) ids-some apply presburger
    apply (metis IRNode.distinct(3507) not-in-g)
  apply (metis IRNode.distinct(497) not-in-g)
  apply (metis IRNode.distinct(2897) not-in-g)

  apply (metis IRNode.distinct(4085) not-in-g)
  using IRNode.distinct(3557) ids-some apply presburger
  apply (metis IRNode.distinct(2825) not-in-g)
  apply (metis IRNode.distinct(3947) not-in-g)
    apply (metis IRNode.distinct(4025) not-in-g)
  using IRNode.distinct(2825) ids-some apply presburger
  apply (metis IRNode.distinct(4067) not-in-g)
  apply (metis IRNode.distinct(4067) not-in-g)
  using IRNode.disc(1952) is-EndNode.simps(62) is-AbstractEndNode.simps not-in-g
  by (metis IRNode.disc(2014) is-EndNode.simps(64))

end

```

## 8.6 Evaluation Stamp Theorems

```

theory StampEvalThms
  imports Graph.ValueThms
    Semantics.IRTreeEvalThms
begin

lemma
  assumes  $take\text{-}bit\ b\ v = v$ 
  shows  $signed\text{-}take\text{-}bit\ b\ v = v$ 
  by (metis(full-types) eq-imp-le signed-take-bit-take-bit assms)

lemma unwrap-signed-take-bit:
  fixes  $v :: int64$ 
  assumes  $0 < b \wedge b \leq 64$ 
  assumes  $signed\text{-}take\text{-}bit\ (b - 1)\ v = v$ 
  shows  $signed\text{-}take\text{-}bit\ 63\ (Word.rep\ (signed\text{-}take\text{-}bit\ (b - Suc\ 0)\ v)) = sint\ v$ 
  using assms by (simp add: signed-def)

lemma unrestricted-new-int-always-valid [simp]:
  assumes  $0 < b \wedge b \leq 64$ 

```



**shows** *valid-value* (*new-int* *b v*) (*unrestricted-stamp* (*IntegerStamp* *b lo hi*))  
**by** (*simp*; *metis One-nat-def* *assms int-power-div-base int-signed-value.simps int-signed-value-range*  
*linorder-not-le not-exp-less-eq-0-int zero-less-numeral*)

**lemma** *unary-undef*: *val = UndefVal*  $\implies$  *unary-eval op val = UndefVal*  
**by** (*cases op*; *auto*)

**lemma** *unary-obj*:  
*val = ObjRef x*  $\implies$  (*if* (*op = UnaryIsNull*) *then*  
*unary-eval op val*  $\neq$  *UndefVal* *else*  
*unary-eval op val = UndefVal*)  
**by** (*cases op*; *auto*)

**lemma** *unrestricted-stamp-valid*:  
**assumes** *s = unrestricted-stamp* (*IntegerStamp* *b lo hi*)  
**assumes**  $0 < b \wedge b \leq 64$   
**shows** *valid-stamp s*  
**using** *assms* **apply** *auto* **by** (*simp add: pos-imp-zdiv-pos-iff self-le-power*)

**lemma** *unrestricted-stamp-valid-value* [*simp*]:  
**assumes**  $1: \text{result} = \text{IntVal } b \text{ ival}$   
**assumes** *take-bit* *b ival = ival*  
**assumes**  $0 < b \wedge b \leq 64$   
**shows** *valid-value result* (*unrestricted-stamp* (*IntegerStamp* *b lo hi*))  
**proof** –  
**have** *valid-stamp* (*unrestricted-stamp* (*IntegerStamp* *b lo hi*))  
**using** *assms* *unrestricted-stamp-valid* **by** *blast*  
**then show** *?thesis*  
**unfolding** *unrestricted-stamp.simps* **using** *assms int-signed-value-bounds valid-value.simps*  
**by** *presburger*  
**qed**

### 8.6.1 Support Lemmas for Integer Stamps and Associated IntVal values

Valid int implies some useful facts.

**lemma** *valid-int-gives*:  
**assumes** *valid-value* (*IntVal* *b val*) *stamp*  
**obtains** *lo hi* **where** *stamp = IntegerStamp* *b lo hi*  $\wedge$   
*valid-stamp* (*IntegerStamp* *b lo hi*)  $\wedge$   
*take-bit* *b val = val*  $\wedge$   
 $lo \leq \text{int-signed-value } b \text{ val} \wedge \text{int-signed-value } b \text{ val} \leq hi$   
**using** *assms* **apply** (*cases stamp*; *auto*) **by** (*metis that*)

And the corresponding lemma where we know the stamp rather than the value.

**lemma** *valid-int-stamp-gives*:  
**assumes** *valid-value* *val* (*IntegerStamp* *b lo hi*)

**obtains** *ival* **where**  $val = \text{IntVal } b \text{ ival} \wedge$   
 $\text{valid-stamp } (\text{IntegerStamp } b \text{ lo hi}) \wedge$   
 $\text{take-bit } b \text{ ival} = \text{ival} \wedge$   
 $lo \leq \text{int-signed-value } b \text{ ival} \wedge \text{int-signed-value } b \text{ ival} \leq hi$   
**by** (*metis* *assms* *valid-int* *valid-value.simps(1)*)

A valid int must have the expected number of bits.

**lemma** *valid-int-same-bits*:  
**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)  
**shows**  $b = \text{bits}$   
**by** (*meson* *assms* *valid-value.simps(1)*)

A valid value means a valid stamp.

**lemma** *valid-int-valid-stamp*:  
**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)  
**shows** *valid-stamp* (*IntegerStamp* *bits lo hi*)  
**by** (*metis* *assms* *valid-value.simps(1)*)

A valid int means a valid non-empty stamp.

**lemma** *valid-int-not-empty*:  
**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)  
**shows**  $lo \leq hi$   
**by** (*metis* *assms* *order.trans* *valid-value.simps(1)*)

A valid int fits into the given number of bits (and other bits are zero).

**lemma** *valid-int-fits*:  
**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)  
**shows**  $\text{take-bit } \text{bits } val = val$   
**by** (*metis* *assms* *valid-value.simps(1)*)

**lemma** *valid-int-is-zero-masked*:  
**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)  
**shows**  $\text{and } val \text{ (not (mask bits))} = 0$   
**by** (*metis* (*no-types*, *lifting*) *assms* *bit.conj-cancel-right* *take-bit-eq-mask* *valid-int-fits*

*word-bw-assocs(1)* *word-log-esimps(1)*)

Unsigned ints have bounds 0 up to  $2^{\text{bits}}$ .

**lemma** *valid-int-unsigned-bounds*:  
**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)  
**shows**  $\text{uint } val < 2^{\text{bits}}$   
**by** (*metis* *assms(1)* *mask-eq-iff* *take-bit-eq-mask* *valid-value.simps(1)*)

Signed ints have the usual two-complement bounds.

**lemma** *valid-int-signed-upper-bound*:  
**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)  
**shows**  $\text{int-signed-value } \text{bits } val < 2^{\text{bits} - 1}$

by (metis (mono-tags, opaque-lifting) diff-le-mono int-signed-value.simps less-imp-diff-less  
 linorder-not-le one-le-numeral order-less-le-trans signed-take-bit-int-less-exp-word  
 sint-lt  
 power-increasing)

**lemma** *valid-int-signed-lower-bound*:

**assumes** *valid-value* (IntVal b val) (IntegerStamp bits lo hi)  
**shows**  $-(2^{bits-1}) \leq \text{int-signed-value bits val}$   
**using** *assms One-nat-def ValueThms.int-signed-value-range* **by** *auto*

and *bit\_bounds* versions of the above bounds.

**lemma** *valid-int-signed-upper-bit-bound*:

**assumes** *valid-value* (IntVal b val) (IntegerStamp bits lo hi)  
**shows**  $\text{int-signed-value bits val} \leq \text{snd (bit-bounds bits)}$

**proof** –

**have**  $b = \text{bits}$   
**using** *assms valid-int-same-bits* **by** *blast*  
**then show** *?thesis*  
**using** *assms* **by** *auto*

**qed**

**lemma** *valid-int-signed-lower-bit-bound*:

**assumes** *valid-value* (IntVal b val) (IntegerStamp bits lo hi)  
**shows**  $\text{fst (bit-bounds bits)} \leq \text{int-signed-value bits val}$

**proof** –

**have**  $b = \text{bits}$   
**using** *assms valid-int-same-bits* **by** *blast*  
**then show** *?thesis*  
**using** *assms* **by** *auto*

**qed**

Valid values satisfy their stamp bounds.

**lemma** *valid-int-signed-range*:

**assumes** *valid-value* (IntVal b val) (IntegerStamp bits lo hi)  
**shows**  $lo \leq \text{int-signed-value bits val} \wedge \text{int-signed-value bits val} \leq hi$   
**by** (metis *assms valid-value.simps(1)*)

## 8.6.2 Validity of all Unary Operators

We split the validity proof for unary operators into two lemmas, one for normal unary operators whose output bits equals their input bits, and the other case for the widen and narrow operators.

**lemma** *eval-normal-unary-implies-valid-value*:

**assumes**  $[m,p] \vdash \text{expr} \mapsto \text{val}$   
**assumes**  $\text{result} = \text{unary-eval op val}$   
**assumes**  $op: op \in \text{normal-unary}$   
**assumes** *notbool*:  $op \notin \text{boolean-unary}$   
**assumes** *notfixed32*:  $op \notin \text{unary-fixed-32-ops}$

```

assumes result  $\neq$  UndefVal
assumes valid-value val (stamp-expr expr)
shows valid-value result (stamp-expr (UnaryExpr op expr))
proof –
obtain b1 v1 where v1: val = IntVal b1 v1
  using assms by (meson is-IntVal-def unary-eval-int unary-normal-bitsize)
then obtain b2 v2 where v2: result = IntVal b2 v2
  by (metis Value.collapse(1) assms(2,6) unary-eval-int)
then have result = unary-eval op (IntVal b1 v1)
  using assms(2) v1 by blast
then obtain vtmp where vtmp: result = new-int b2 vtmp
  using assms(3) by (auto simp add: v2)
obtain b' lo' hi' where stamp-expr expr = IntegerStamp b' lo' hi'
  by (metis assms(7) v1 valid-int-gives)
then have stamp-unary op (stamp-expr expr) =
  unrestricted-stamp
  (IntegerStamp (if op  $\in$  normal-unary then b' else ir-resultBits op) lo' hi')
  using op by force
then obtain lo2 hi2 where s: (stamp-expr (UnaryExpr op expr)) =
  unrestricted-stamp (IntegerStamp b2 lo2 hi2)
  unfolding stamp-expr.simps
  by (metis (full-types) assms(2,7) unary-normal-bitsize v2 valid-int-same-bits)
op
   $\langle$ stamp-expr expr = IntegerStamp b' lo' hi' $\rangle$ 
then have bitRange: 0 < b1  $\wedge$  b1  $\leq$  64
  using assms(1) eval-bits-1-64 v1 by blast
then have fst (bit-bounds b2)  $\leq$  int-signed-value b2 v2  $\wedge$ 
  int-signed-value b2 v2  $\leq$  snd (bit-bounds b2)
  using assms(2) int-signed-value-bounds unary-eval-bitsize v1 v2 by blast
then show ?thesis
  apply auto
  by (metis stamp-expr.simps(1) unrestricted-new-int-always-valid bitRange assms(2))
s v1 vtmp v2
  unary-eval-bitsize)
qed

```

```

lemma narrow-widen-output-bits:
assumes unary-eval op val  $\neq$  UndefVal
assumes op  $\notin$  normal-unary
assumes op  $\notin$  boolean-unary
assumes op  $\notin$  unary-fixed-32-ops
shows  $0 < (ir-resultBits op) \wedge (ir-resultBits op) \leq 64$ 
proof –
consider ib ob where op = UnaryNarrow ib ob
  | ib ob where op = UnarySignExtend ib ob
  | ib ob where op = UnaryZeroExtend ib ob
  using IRUnaryOp.exhaust-sel assms(2,3,4) by blast
then show ?thesis
proof (cases)

```

```

    case 1
  then show ?thesis
    using assms intval-narrow-ok by force
next
  case 2
  then show ?thesis
    using assms intval-sign-extend-ok by force
next
  case 3
  then show ?thesis
    using assms intval-zero-extend-ok by force
qed
qed

```

lemma *eval-widen-narrow-unary-implies-valid-value*:

```

assumes [m,p] ⊢ expr ↦ val
assumes result = unary-eval op val
assumes op: op ∉ normal-unary
and notbool: op ∉ boolean-unary
and notfixed: op ∉ unary-fixed-32-ops
assumes result ≠ UndefVal
assumes valid-value val (stamp-expr expr)
shows valid-value result (stamp-expr (UnaryExpr op expr))
proof -
  obtain b1 v1 where v1: val = IntVal b1 v1
  by (metis Value.exhaust-disc insertCI is-ArrayVal-def is-IntVal-def is-ObjRef-def
is-ObjStr-def
    unary-obj valid-value.simps(3,11,12) assms(2,4,6,7))
  then have result = unary-eval op (IntVal b1 v1)
    using assms(2) by blast
  then obtain v2 where v2: result = new-int (ir-resultBits op) v2
    using assms unary-eval-new-int by presburger
  then obtain v3 where v3: result = IntVal (ir-resultBits op) v3
    using assms by (cases op; simp; (meson new-int.simps)+)
  then obtain b lo2 hi2 where eval: stamp-expr expr = IntegerStamp b lo2 hi2
    by (metis assms(7) v1 valid-int-gives)
  then have s: (stamp-expr (UnaryExpr op expr)) =
    unrestricted-stamp (IntegerStamp (ir-resultBits op) lo2 hi2)
    using op notbool notfixed by (cases op; auto)
  then have outBits: 0 < (ir-resultBits op) ∧ (ir-resultBits op) ≤ 64
    using assms narrow-widen-output-bits by blast
  then have fst (bit-bounds (ir-resultBits op)) ≤ int-signed-value (ir-resultBits op)
v3 ∧
    int-signed-value (ir-resultBits op) v3 ≤ snd (bit-bounds (ir-resultBits
op))
    using ValueThms.int-signed-value-bounds outBits by blast
  then show ?thesis
    using v2 s by (simp add: v3 outBits)
qed

```

**lemma** *eval-boolean-unary-implies-valid-value*:  
**assumes**  $[m,p] \vdash \text{expr} \mapsto \text{val}$   
**assumes**  $\text{result} = \text{unary-eval } \text{op } \text{val}$   
**assumes**  $\text{op}: \text{op} \in \text{boolean-unary}$   
**assumes**  $\text{notnorm}: \text{op} \notin \text{normal-unary}$   
**assumes**  $\text{result} \neq \text{UndefVal}$   
**assumes**  $\text{valid-value } \text{val} \text{ (stamp-expr expr)}$   
**shows**  $\text{valid-value } \text{result} \text{ (stamp-expr (UnaryExpr op expr))}$   
**proof** –  
**obtain**  $b1$  **where**  $v1: \text{val} = \text{ObjRef } (b1)$   
**by**  $(\text{metis } \text{singletonD } \text{unary-eval.simps}(8) \text{ intval-is-null.elims } \text{assms}(2,3,5))$   
**then have**  $\text{eval}: \text{result} = \text{unary-eval } \text{op} \text{ (ObjRef } (b1))$   
**using**  $\text{assms}(2)$  **by** *blast*  
**then obtain**  $v2$  **where**  $v2: \text{result} = \text{IntVal } 32 \ v2$   
**by**  $(\text{metis } \text{op } \text{singleton-iff } \text{unary-eval.simps}(8) \text{ intval-is-null.simps}(1) \text{ bool-to-val.simps}(1,2))$   
**have**  $v\text{Bounds}: \text{result} \in \{\text{bool-to-val True}, \text{bool-to-val False}\}$   
**by**  $(\text{metis } \text{insertI1 } \text{insertI2 } \text{intval-is-null.simps}(1) \text{ op } \text{singleton-iff } \text{unary-eval.simps}(8) \text{ eval})$   
**then have**  $\text{boolstamp}: (\text{stamp-expr } (\text{UnaryExpr } \text{op } \text{expr})) = (\text{IntegerStamp } 32 \ 0 \ 1)$   
**using**  $\text{op}$  **by**  $(\text{cases } \text{op}; \text{auto})$   
**then show** *?thesis*  
**using**  $v\text{Bounds}$  **by**  $(\text{cases } \text{result}; \text{auto})$   
**qed**

**lemma** *eval-fixed-unary-32-implies-valid-value*:  
**assumes**  $[m,p] \vdash \text{expr} \mapsto \text{val}$   
**assumes**  $\text{result} = \text{unary-eval } \text{op } \text{val}$   
**assumes**  $\text{op}: \text{op} \in \text{unary-fixed-32-ops}$   
**assumes**  $\text{notnorm}: \text{op} \notin \text{normal-unary}$   
**assumes**  $\text{notbool}: \text{op} \notin \text{boolean-unary}$   
**assumes**  $\text{result} \neq \text{UndefVal}$   
**assumes**  $\text{valid-value } \text{val} \text{ (stamp-expr expr)}$   
**shows**  $\text{valid-value } \text{result} \text{ (stamp-expr (UnaryExpr op expr))}$   
**proof** –  
**obtain**  $b1 \ v1$  **where**  $v1: \text{val} = \text{IntVal } b1 \ v1$   
**by**  $(\text{metis } \text{Value.exhaust-sel } \text{insert-iff } \text{intval-bit-count.simps}(3,4,5) \text{ unary-eval.simps}(10) \text{ valid-value.simps}(3) \text{ assms}(2,3,5,6,7))$   
**then obtain**  $v2$  **where**  $v2: \text{result} = \text{new-int } 32 \ v2$   
**using**  $\text{assms}$   $\text{unary-eval-new-int}$  **by** *presburger*  
**then obtain**  $v3$  **where**  $v3: \text{result} = \text{IntVal } 32 \ v3$   
**using**  $\text{assms}$  **by**  $(\text{cases } \text{op}; \text{simp}; (\text{meson } \text{new-int.simps})+)$   
**then obtain**  $b \ \text{lo2} \ \text{hi2}$  **where**  $\text{eval}: \text{stamp-expr } \text{expr} = \text{IntegerStamp } b \ \text{lo2} \ \text{hi2}$   
**by**  $(\text{metis } \text{assms}(7) \ v1 \ \text{valid-int-gives})$   
**then have**  $s: (\text{stamp-expr } (\text{UnaryExpr } \text{op } \text{expr})) = \text{unrestricted-stamp } (\text{IntegerStamp } 32 \ \text{lo2} \ \text{hi2})$   
**using**  $\text{op}$   $\text{notbool}$  **by**  $(\text{cases } \text{op}; \text{auto})$   
**then have**  $\text{fst } (\text{bit-bounds } 32) \leq \text{int-signed-value } 32 \ v3 \wedge$

```

      int-signed-value 32 v3 ≤ snd (bit-bounds 32)
    by (metis ValueThms.int-signed-value-bounds leI not-numeral-le-zero semir-
ing-norm(68,71)
      numeral-le-iff)
    then show ?thesis
      using s v2 v3 by force
qed

```

**lemma** *eval-unary-implies-valid-value*:

```

assumes [m,p] ⊢ expr ↦ val
assumes result = unary-eval op val
assumes result ≠ UndefVal
assumes valid-value val (stamp-expr expr)
shows valid-value result (stamp-expr (UnaryExpr op expr))
proof (cases op ∈ normal-unary)
  case True
  then show ?thesis
    using assms eval-normal-unary-implies-valid-value by blast
next
  case False
  then show ?thesis
proof (cases op ∈ boolean-unary)
  case True
  then show ?thesis
    using assms eval-boolean-unary-implies-valid-value by blast
next
  case False
  then show ?thesis
proof (cases op ∈ unary-fixed-32-ops)
  case True
  then show ?thesis
    using assms eval-fixed-unary-32-implies-valid-value by auto
next
  case False
  then show ?thesis
    using assms
    by (meson eval-boolean-unary-implies-valid-value eval-normal-unary-implies-valid-value
      eval-widen-narrow-unary-implies-valid-value unary-ops-distinct(2))
qed
qed
qed

```

### 8.6.3 Support Lemmas for Binary Operators

**lemma** *binary-undef*:  $v1 = \text{UndefVal} \vee v2 = \text{UndefVal} \implies \text{bin-eval } op \ v1 \ v2 = \text{UndefVal}$   
 by (cases op; auto)

**lemma** *binary-obj*:  $v1 = \text{ObjRef } x \vee v2 = \text{ObjRef } y \implies \text{bin-eval } op \ v1 \ v2 =$

*UndefVal*  
**by** (*cases op; auto*)

Some lemmas about the three different output sizes for binary operators.

**lemma** *bin-eval-bits-binary-shift-ops*:  
**assumes** *result = bin-eval op (IntVal b1 v1) (IntVal b2 v2)*  
**assumes** *result ≠ UndefVal*  
**assumes** *op ∈ binary-shift-ops*  
**shows**  $\exists v. \text{result} = \text{new-int } b1 \ v$   
**using** *assms* **by** (*cases op; simp; smt (verit, best) new-int.simps*)<sup>+</sup>

**lemma** *bin-eval-bits-fixed-32-ops*:  
**assumes** *result = bin-eval op (IntVal b1 v1) (IntVal b2 v2)*  
**assumes** *result ≠ UndefVal*  
**assumes** *op ∈ binary-fixed-32-ops*  
**shows**  $\exists v. \text{result} = \text{new-int } 32 \ v$   
**apply** (*cases op; simp*)  
**using** *assms* **by** (*metis new-int.simps bin-eval-new-int*)<sup>+</sup>

**lemma** *bin-eval-bits-normal-ops*:  
**assumes** *result = bin-eval op (IntVal b1 v1) (IntVal b2 v2)*  
**assumes** *result ≠ UndefVal*  
**assumes** *op ∉ binary-shift-ops*  
**assumes** *op ∉ binary-fixed-32-ops*  
**shows**  $\exists v. \text{result} = \text{new-int } b1 \ v$   
**using** *assms* **apply** (*cases op; simp*)  
**apply** *metis*<sup>+</sup>  
**apply** (*metis new-int-bin.simps*)<sup>+</sup>  
**by** (*metis take-bit-xor take-bit-and take-bit-or*)<sup>+</sup>

**lemma** *bin-eval-input-bits-equal*:  
**assumes** *result = bin-eval op (IntVal b1 v1) (IntVal b2 v2)*  
**assumes** *result ≠ UndefVal*  
**assumes** *op ∉ binary-shift-ops*  
**shows** *b1 = b2*  
**using** *assms* **apply** (*cases op; simp*) **by** (*meson new-int-bin.simps*)<sup>+</sup>

**lemma** *bin-eval-implies-valid-value*:  
**assumes**  $[m,p] \vdash \text{expr1} \mapsto \text{val1}$   
**assumes**  $[m,p] \vdash \text{expr2} \mapsto \text{val2}$   
**assumes** *result = bin-eval op val1 val2*  
**assumes** *result ≠ UndefVal*  
**assumes** *valid-value val1 (stamp-expr expr1)*  
**assumes** *valid-value val2 (stamp-expr expr2)*  
**shows** *valid-value result (stamp-expr (BinaryExpr op expr1 expr2))*  
**proof** –  
**obtain** *b1 v1* **where** *v1: val1 = IntVal b1 v1*  
**by** (*metis Value.collapse(1) assms(3,4) bin-eval-inputs-are-ints bin-eval-int*)  
**obtain** *b2 v2* **where** *v2: val2 = IntVal b2 v2*



```

    by (metis Value.collapse(1) assms(3,4) bin-eval-inputs-are-ints bin-eval-int)
  then obtain lo1 hi1 where s1: stamp-expr expr1 = IntegerStamp b1 lo1 hi1
    by (metis assms(5) v1 valid-int-gives)
  then obtain lo2 hi2 where s2: stamp-expr expr2 = IntegerStamp b2 lo2 hi2
    by (metis assms(6) v2 valid-int-gives)
  then have r: result = bin-eval op (IntVal b1 v1) (IntVal b2 v2)
    using assms(3) v1 v2 by presburger
  then obtain bres vtmp where vtmp: result = new-int bres vtmp
    using assms by (meson bin-eval-new-int)
  then obtain vres where vres: result = IntVal bres vres
    by force

then have sres: stamp-expr (BinaryExpr op expr1 expr2) =
  unrestricted-stamp (IntegerStamp bres lo1 hi1)
  ∧ 0 < bres ∧ bres ≤ 64
proof (cases op ∈ binary-shift-ops)
  case True
  then show ?thesis
    unfolding stamp-expr.simps
  by (metis Value.inject(1) eval-bits-1-64 new-int.simps r assms(1,4) stamp-binary.simps(1)
    bin-eval-bits-binary-shift-ops s2 s1 v1 vres)
next
  case False
  then have op ∉ binary-shift-ops
    by blast
  then have beq: b1 = b2
    using v1 v2 assms bin-eval-input-bits-equal by blast
  then show ?thesis
  proof (cases op ∈ binary-fixed-32-ops)
    case True
    then show ?thesis
      unfolding stamp-expr.simps
      by (metis False Value.inject(1) beq bin-eval-new-int le-add-same-cancel1
        new-int.simps s2 s1
        numeral-Bit0 vres zero-le-numeral zero-less-numeral assms(3,4) stamp-binary.simps(1))
  next
    case False
    then show ?thesis
      unfolding s1 s2 stamp-binary.simps stamp-expr.simps
      by (metis beq bin-eval-new-int eval-bits-1-64 intval-bits.simps assms(1,3,4)
        vres v1
        unrestricted-new-int-always-valid unrestricted-stamp.simps(2) valid-int-same-bits)
  qed
qed
then show ?thesis
  using unrestricted-new-int-always-valid vres vtmp by presburger
qed

```

## 8.6.4 Validity of Stamp Meet and Join Operators

**lemma** *stamp-meet-integer-is-valid-stamp*:  
**assumes** *valid-stamp stamp1*  
**assumes** *valid-stamp stamp2*  
**assumes** *is-IntegerStamp stamp1*  
**assumes** *is-IntegerStamp stamp2*  
**shows** *valid-stamp (meet stamp1 stamp2)*  
**using** *assms apply (cases stamp1; cases stamp2; auto)*  
**using** *meet.simps(2) valid-stamp.simps(1,8) is-IntegerStamp-def assms* **by** *linarith+*

**lemma** *stamp-meet-is-valid-stamp*:  
**assumes** *1: valid-stamp stamp1*  
**assumes** *2: valid-stamp stamp2*  
**shows** *valid-stamp (meet stamp1 stamp2)*  
**by** *(cases stamp1; cases stamp2; insert stamp-meet-integer-is-valid-stamp[OF 1 2]; auto)*

**lemma** *stamp-meet-commutes: meet stamp1 stamp2 = meet stamp2 stamp1*  
**by** *(cases stamp1; cases stamp2; auto)*

**lemma** *stamp-meet-is-valid-value1*:  
**assumes** *valid-value val stamp1*  
**assumes** *valid-stamp stamp2*  
**assumes** *stamp1 = IntegerStamp b1 lo1 hi1*  
**assumes** *stamp2 = IntegerStamp b2 lo2 hi2*  
**assumes** *meet stamp1 stamp2 ≠ IllegalStamp*  
**shows** *valid-value val (meet stamp1 stamp2)*

**proof** –

**have** *m: meet stamp1 stamp2 = IntegerStamp b1 (min lo1 lo2) (max hi1 hi2)*  
**by** *(metis assms(3,4,5) meet.simps(2))*  
**obtain** *ival where val: val = IntVal b1 ival*  
**using** *assms valid-int* **by** *blast*  
**then have** *v: valid-stamp (IntegerStamp b1 lo1 hi1) ∧ take-bit b1 ival = ival ∧ lo1 ≤ int-signed-value b1 ival ∧ int-signed-value b1 ival ≤ hi1*  
**by** *(metis assms(1,3) valid-value.simps(1))*  
**then have** *mm: min lo1 lo2 ≤ int-signed-value b1 ival ∧ int-signed-value b1 ival ≤ max hi1 hi2*  
**by** *linarith*  
**then have** *valid-stamp (IntegerStamp b1 (min lo1 lo2) (max hi1 hi2))*  
**by** *(metis meet.simps(2) stamp-meet-is-valid-stamp v assms(2,3,4,5))*  
**then show** *?thesis*  
**using** *mm v valid-value.simps val m* **by** *presburger*

**qed**

and the symmetric lemma follows by the commutativity of meet.

**lemma** *stamp-meet-is-valid-value*:  
**assumes** *valid-value val stamp2*

```

assumes valid-stamp stamp1
assumes stamp1 = IntegerStamp b1 lo1 hi1
assumes stamp2 = IntegerStamp b2 lo2 hi2
assumes meet stamp1 stamp2 ≠ IllegalStamp
shows valid-value val (meet stamp1 stamp2)
by (metis stamp-meet-is-valid-value1 stamp-meet-commutes assms)

```

### 8.6.5 Validity of conditional expressions

**lemma** *conditional-eval-implies-valid-value:*

```

assumes  $[m,p] \vdash \text{cond} \mapsto \text{condu}$ 
assumes  $\text{expr} = (\text{if } \text{val-to-bool } \text{condu} \text{ then } \text{expr1} \text{ else } \text{expr2})$ 
assumes  $[m,p] \vdash \text{expr} \mapsto \text{val}$ 
assumes  $\text{val} \neq \text{UndefVal}$ 
assumes valid-value condu (stamp-expr cond)
assumes valid-value val (stamp-expr expr)
assumes compatible (stamp-expr expr1) (stamp-expr expr2)
assumes valid-value val (stamp-expr (ConditionalExpr cond expr1 expr2))
proof –
  have def: meet (stamp-expr expr1) (stamp-expr expr2) ≠ IllegalStamp
    using assms apply auto
  by (smt (verit, ccfv-threshold) Stamp.distinct(13,25) compatible.elims(2) meet.simps(1,2))
  then have valid-stamp (meet (stamp-expr expr1) (stamp-expr expr2))
    using assms apply auto
  by (metis compatible-refl compatible.elims(2) stamp-meet-is-valid-stamp valid-stamp.simps(2)
    assms(7))
  then show ?thesis
    using assms apply auto
    by (smt (verit, ccfv-SIG) Stamp.distinct(1) assms(6,7) compatible.elims(2)
    compatible.simps(1)
    def compatible-refl stamp-meet-commutes stamp-meet-is-valid-value1 valid-value.simps(13))
qed

```

### 8.6.6 Validity of Whole Expression Tree Evaluation

TODO: find a way to encode that conditional expressions must have compatible (and valid) stamps? One approach would be for all the stamp\_expr operators to require that all input stamps are valid.

**definition** *wf-stamp* :: *IRExpr*  $\Rightarrow$  *bool* **where**

```

wf-stamp e = ( $\forall m p v. ([m, p] \vdash e \mapsto v) \longrightarrow \text{valid-value } v \text{ (stamp-expr } e)$ )

```

**lemma** *stamp-under-defn:*

```

assumes stamp-under (stamp-expr x) (stamp-expr y)
assumes wf-stamp x ∧ wf-stamp y
assumes ( $[m, p] \vdash x \mapsto xv$ )  $\wedge$  ( $[m, p] \vdash y \mapsto yv$ )
shows val-to-bool (bin-eval BinIntegerLessThan xv yv)  $\vee$ 
  (bin-eval BinIntegerLessThan xv yv = UndefVal)
proof –
  have yval: valid-value yv (stamp-expr y)

```

```

    using assms wf-stamp-def by blast
  obtain b lx hi where xstamp: stamp-expr x = IntegerStamp b lx hi
    by (metis stamp-under.elims(2) assms(1))
  then obtain b' lo hy where ystamp: stamp-expr y = IntegerStamp b' lo hy
    by (meson stamp-under.elims(2) assms(1))
  obtain xvv where xvv: xv = IntVal b xvv
    by (metis assms(2,3) valid-int wf-stamp-def xstamp)
  then have xval: valid-value (IntVal b xvv) (stamp-expr x)
    using assms(2,3) wf-stamp-def by blast
  obtain yvv where yvv: yv = IntVal b' yvv
    by (metis valid-int ystamp yval)
  then have xval: valid-value (IntVal b' yvv) (stamp-expr y)
    using yval by blast
  have xunder: int-signed-value b xvv ≤ hi
    by (metis assms(2,3) wf-stamp-def xstamp valid-value.simps(1) xvv)
  have yunder: lo ≤ int-signed-value b' yvv
    by (metis ystamp valid-value.simps(1) yval yvv)
  have unwrap: ∀ cond. bool-to-val-bin b b cond = bool-to-val cond
    by simp
  from xunder yunder have int-signed-value b xvv < int-signed-value b' yvv
    using assms(1) xstamp ystamp by force
  then have (intval-less-than xv yv) = IntVal 32 1 ∨ (intval-less-than xv yv) =
UndefVal
    by (simp add: yvv xvv)
  then show ?thesis
    by force
qed

```

**lemma** *stamp-under-defn-inverse:*

```

  assumes stamp-under (stamp-expr y) (stamp-expr x)
  assumes wf-stamp x ∧ wf-stamp y
  assumes ([m, p] ⊢ x ↦ xv) ∧ ([m, p] ⊢ y ↦ yv)
  shows ¬(val-to-bool (bin-eval BinIntegerLessThan xv yv)) ∨ (bin-eval BinIntegerLessThan xv yv) = UndefVal

```

**proof** –

```

  have yval: valid-value yv (stamp-expr y)
    using assms wf-stamp-def by blast
  obtain b lo hx where xstamp: stamp-expr x = IntegerStamp b lo hx
    by (metis stamp-under.elims(2) assms(1))
  then obtain b' ly hi where ystamp: stamp-expr y = IntegerStamp b' ly hi
    by (meson stamp-under.elims(2) assms(1))
  obtain xvv where xvv: xv = IntVal b xvv
    by (metis assms(2,3) valid-int wf-stamp-def xstamp)
  then have xval: valid-value (IntVal b xvv) (stamp-expr x)
    using assms(2,3) wf-stamp-def by blast
  obtain yvv where yvv: yv = IntVal b' yvv
    by (metis valid-int ystamp yval)
  then have xval: valid-value (IntVal b' yvv) (stamp-expr y)
    using yval by simp

```

```

have yunder: int-signed-value b' yvv ≤ hi
  by (metis ystamp valid-value.simps(1) yval yvv)
have xover: lo ≤ int-signed-value b xv
  by (metis assms(2,3) wf-stamp-def xstamp valid-value.simps(1) xv)
have unwrap: ∀ cond. bool-to-val-bin b b cond = bool-to-val cond
  by simp
from xover yunder have int-signed-value b' yvv < int-signed-value b xv
  using assms(1) xstamp ystamp by force
then have (intval-less-than xv yv) = IntVal 32 0 ∨ (intval-less-than xv yv) =
UndefVal
  by (auto simp add: yvv xv)
then show ?thesis
  by force
qed

end

```

## 9 Optimization DSL

### 9.1 Markup

```

theory Markup
  imports Semantics.IRTreeEval Snippets.Snipping
begin

```

```

datatype 'a Rewrite =
  Transform 'a 'a (- ↦ - 10) |
  Conditional 'a 'a bool (- ↦ - when - 11) |
  Sequential 'a Rewrite 'a Rewrite |
  Transitive 'a Rewrite

```

```

datatype 'a ExtraNotation =
  ConditionalNotation 'a 'a 'a (- ? - : - 50) |
  EqualsNotation 'a 'a (- eq -) |
  ConstantNotation 'a (const - 120) |
  TrueNotation (true) |
  FalseNotation (false) |
  ExclusiveOr 'a 'a (- ⊕ -) |
  LogicNegationNotation 'a (!-) |
  ShortCircuitOr 'a 'a (- || -) |
  Remainder 'a 'a (- % -)

```

```

definition word :: ('a::len) word ⇒ 'a word where
  word x = x

```

```

ML-val @{term <x % x>}
ML-file <markup.ML>

```

### 9.1.1 Expression Markup

```

ML <
structure IRExpTranslator : DSL-TRANSLATION =
struct
fun markup DSL-Tokens.Add = @{term BinaryExpr} $ @{term BinAdd}
| markup DSL-Tokens.Sub = @{term BinaryExpr} $ @{term BinSub}
| markup DSL-Tokens.Mul = @{term BinaryExpr} $ @{term BinMul}
| markup DSL-Tokens.Div = @{term BinaryExpr} $ @{term BinDiv}
| markup DSL-Tokens.Rem = @{term BinaryExpr} $ @{term BinMod}
| markup DSL-Tokens.And = @{term BinaryExpr} $ @{term BinAnd}
| markup DSL-Tokens.Or = @{term BinaryExpr} $ @{term BinOr}
| markup DSL-Tokens.Xor = @{term BinaryExpr} $ @{term BinXor}
| markup DSL-Tokens.ShortCircuitOr = @{term BinaryExpr} $ @{term Bin-
ShortCircuitOr}
| markup DSL-Tokens.Abs = @{term UnaryExpr} $ @{term UnaryAbs}
| markup DSL-Tokens.Less = @{term BinaryExpr} $ @{term BinIntegerLessThan}
| markup DSL-Tokens.Equals = @{term BinaryExpr} $ @{term BinIntegerEquals}
| markup DSL-Tokens.Not = @{term UnaryExpr} $ @{term UnaryNot}
| markup DSL-Tokens.Negate = @{term UnaryExpr} $ @{term UnaryNeg}
| markup DSL-Tokens.LogicNegate = @{term UnaryExpr} $ @{term UnaryLog-
icNegation}
| markup DSL-Tokens.LeftShift = @{term BinaryExpr} $ @{term BinLeftShift}
| markup DSL-Tokens.RightShift = @{term BinaryExpr} $ @{term BinRight-
Shift}
| markup DSL-Tokens.UnsignedRightShift = @{term BinaryExpr} $ @{term Bin-
URightShift}
| markup DSL-Tokens.Conditional = @{term ConditionalExpr}
| markup DSL-Tokens.Constant = @{term ConstantExpr}
| markup DSL-Tokens.TrueConstant = @{term ConstantExpr (IntVal 32 1)}
| markup DSL-Tokens.FalseConstant = @{term ConstantExpr (IntVal 32 0)}
end
structure IRExpMarkup = DSL-Markup(IRExpTranslator);
>

```

*ir expression translation*

```

syntax -expandExpr :: term ⇒ term (exp[-])
parse-translation < [( @{syntax-const -expandExpr} , IRExp-
Markup.markup-expr []) >

```

*ir expression example*

```

value exp[(e1 < e2) ? e1 : e2]

ConditionalExpr (BinaryExpr BinIntegerLessThan (e1::IRExp)
(e2::IRExp)) e1 e2

```

### 9.1.2 Value Markup

```

ML <
structure IntValTranslator : DSL-TRANSLATION =
struct
fun markup DSL-Tokens.Add = @{term intval-add}
| markup DSL-Tokens.Sub = @{term intval-sub}
| markup DSL-Tokens.Mul = @{term intval-mul}
| markup DSL-Tokens.Div = @{term intval-div}
| markup DSL-Tokens.Rem = @{term intval-mod}
| markup DSL-Tokens.And = @{term intval-and}
| markup DSL-Tokens.Or = @{term intval-or}
| markup DSL-Tokens.ShortCircuitOr = @{term intval-short-circuit-or}
| markup DSL-Tokens.Xor = @{term intval-xor}
| markup DSL-Tokens.Abs = @{term intval-abs}
| markup DSL-Tokens.Less = @{term intval-less-than}
| markup DSL-Tokens.Equals = @{term intval-equals}
| markup DSL-Tokens.Not = @{term intval-not}
| markup DSL-Tokens.Negate = @{term intval-negate}
| markup DSL-Tokens.LogicNegate = @{term intval-logic-negation}
| markup DSL-Tokens.LeftShift = @{term intval-left-shift}
| markup DSL-Tokens.RightShift = @{term intval-right-shift}
| markup DSL-Tokens.UnsignedRightShift = @{term intval-uright-shift}
| markup DSL-Tokens.Conditional = @{term intval-conditional}
| markup DSL-Tokens.Constant = @{term IntVal 32}
| markup DSL-Tokens.TrueConstant = @{term IntVal 32 1}
| markup DSL-Tokens.FalseConstant = @{term IntVal 32 0}
end
structure IntValMarkup = DSL-Markup(IntValTranslator);
>

```

*value expression translation*

**syntax**  $-expandIntVal :: term \Rightarrow term (val[-])$

**parse-translation** < [( @{syntax-const -expandIntVal} , IntVal-Markup.markup-expr []) ] >

*value expression example*

**value**  $val[(e_1 < e_2) ? e_1 : e_2]$

*intval-conditional* (*intval-less-than* ( $e_1::Value$ ) ( $e_2::Value$ ))  $e_1 e_2$

### 9.1.3 Word Markup

```

ML <
structure WordTranslator : DSL-TRANSLATION =
struct
fun markup DSL-Tokens.Add = @{term plus}

```

```

| markup DSL-Tokens.Sub = @{term minus}
| markup DSL-Tokens.Mul = @{term times}
| markup DSL-Tokens.Div = @{term signed-divide}
| markup DSL-Tokens.Rem = @{term signed-modulo}
| markup DSL-Tokens.And = @{term Bit-Operations.semiring-bit-operations-class.and}
| markup DSL-Tokens.Or = @{term or}
| markup DSL-Tokens.Xor = @{term xor}
| markup DSL-Tokens.Abs = @{term abs}
| markup DSL-Tokens.Less = @{term less}
| markup DSL-Tokens.Equals = @{term HOL.eq}
| markup DSL-Tokens.Not = @{term not}
| markup DSL-Tokens.Negate = @{term uminus}
| markup DSL-Tokens.LogicNegate = @{term logic-negate}
| markup DSL-Tokens.LeftShift = @{term shiftl}
| markup DSL-Tokens.RightShift = @{term signed-shiftr}
| markup DSL-Tokens.UnsignedRightShift = @{term shiftr}
| markup DSL-Tokens.Constant = @{term word}
| markup DSL-Tokens.TrueConstant = @{term 1}
| markup DSL-Tokens.FalseConstant = @{term 0}
end
structure WordMarkup = DSL-Markup(WordTranslator);
>

```

*word expression translation*

```

syntax -expandWord :: term ⇒ term (bin[-])
parse-translation < [( @{syntax-const -expandWord} , Word-
Markup.markup-expr []) ] >

```

*word expression example*

```

value bin[x & y | z]

intval-conditional (intval-less-than (e1::Value) (e2::Value)) e1 e2

```

```

value bin[-x]
value val[-x]
value exp[-x]

```

```

value bin[!x]
value val[!x]
value exp[!x]

```

```

value bin[¬x]
value val[¬x]
value exp[¬x]

```

```

value bin[~x]
value val[~x]

```



```
value exp[ $\sim x$ ]
```

```
value  $\sim x$ 
```

```
end
```

## 9.2 Optimization Phases

```
theory Phase  
  imports Main  
begin
```

```
ML-file map.ML
```

```
ML-file phase.ML
```

```
end
```

## 9.3 Canonicalization DSL

```
theory Canonicalization  
  imports  
    Markup  
    Phase  
    HOL-Eisbach.Eisbach  
  keywords  
    phase :: thy-decl and  
    terminating :: quasi-command and  
    print-phases :: diag and  
    export-phases :: thy-decl and  
    optimization :: thy-goal-defn  
begin
```

```
print-methods
```

```
ML <  
datatype 'a Rewrite =  
  Transform of 'a * 'a |  
  Conditional of 'a * 'a * term |  
  Sequential of 'a Rewrite * 'a Rewrite |  
  Transitive of 'a Rewrite
```

```
type rewrite = {  
  name: binding,  
  rewrite: term Rewrite,  
  proofs: thm list,  
  code: thm list,  
  source: term  
}
```

```
structure RewriteRule : Rule =
```

```

struct
type T = rewrite;

(*
fun pretty-rewrite ctxt (Transform (from, to)) =
  Pretty.block [
    Syntax.pretty-term ctxt from,
    Pretty.str ↦ ,
    Syntax.pretty-term ctxt to
  ]
| pretty-rewrite ctxt (Conditional (from, to, cond)) =
  Pretty.block [
    Syntax.pretty-term ctxt from,
    Pretty.str ↦ ,
    Syntax.pretty-term ctxt to,
    Pretty.str when ,
    Syntax.pretty-term ctxt cond
  ]
| pretty-rewrite - - = Pretty.str not implemented*)

fun pretty-thm ctxt thm =
  (Proof-Context.pretty-fact ctxt (, [thm]))

fun pretty ctxt obligations t =
  let
    val is-skipped = Thm-Deps.has-skip-proof (#proofs t);

    val warning = (if is-skipped
      then [Pretty.str (proof skipped), Pretty.brk 0]
      else []);

    val obligations = (if obligations
      then [Pretty.big-list
        obligations:
          (map (pretty-thm ctxt) (#proofs t),
           Pretty.brk 0]
      else []);

    fun pretty-bind binding =
      Pretty.markup
        (Position.markup (Binding.pos-of binding) Markup.position)
        [Pretty.str (Binding.name-of binding)];

  in
    Pretty.block ([
      pretty-bind (#name t), Pretty.str : ,
      Syntax.pretty-term ctxt (#source t), Pretty.fbrk
    ] @ obligations @ warning)
  end

```

```

end

structure RewritePhase = DSL-Phase(RewriteRule);

val - =
  Outer-Syntax.command command-keyword <phase> enter an optimization phase
  (Parse.binding --| Parse.*** terminating -- Parse.const --| Parse.begin
   >> (Toplevel.begin-main-target true o RewritePhase.setup));

fun print-phases print-obligations ctxt =
  let
    val thy = Proof-Context.theory-of ctxt;
    fun print phase = RewritePhase.pretty print-obligations phase ctxt
  in
    map print (RewritePhase.phases thy)
  end

fun print-optimizations print-obligations thy =
  print-phases print-obligations thy |> Pretty.writeln-chunks

val - =
  Outer-Syntax.command command-keyword <print-phases>
  print debug information for optimizations
  (Parse.opt-bang >>
   (fn b => Toplevel.keep ((print-optimizations b) o Toplevel.context-of)));

fun export-phases thy name =
  let
    val state = Toplevel.make-state (SOME thy);
    val ctxt = Toplevel.context-of state;
    val content = Pretty.string-of (Pretty.chunks (print-phases false ctxt));
    val cleaned = YXML.content-of content;

    val filename = Path.explode (name^.rules);
    val directory = Path.explode optimizations;
    val path = Path.binding (
      Path.append directory filename,
      Position.none);
    val thy' = thy |> Generated-Files.add-files (path, (Bytes.string content));

    val - = Export.export thy' path [YXML.parse cleaned];

    val - = writeln (Export.message thy' (Path.basic optimizations));
  in
    thy'
  end

val - =

```

```

Outer-Syntax.command command-keyword <export-phases>
  export information about encoded optimizations
  (Parse.path >>
    (fn name => Toplevel.theory (fn state => export-phases state name)))
>

```

ML-file *rewrites.ML*

### 9.3.1 Semantic Preservation Obligation

```

fun rewrite-preservation :: IRExp Rewrite => bool where
  rewrite-preservation (Transform x y) = (y <= x) |
  rewrite-preservation (Conditional x y cond) = (cond -> (y <= x)) |
  rewrite-preservation (Sequential x y) = (rewrite-preservation x & rewrite-preservation
y) |
  rewrite-preservation (Transitive x) = rewrite-preservation x

```

### 9.3.2 Termination Obligation

```

fun rewrite-termination :: IRExp Rewrite => (IRExp => nat) => bool where
  rewrite-termination (Transform x y) trm = (trm x > trm y) |
  rewrite-termination (Conditional x y cond) trm = (cond -> (trm x > trm y)) |
  rewrite-termination (Sequential x y) trm = (rewrite-termination x trm & rewrite-termination
y trm) |
  rewrite-termination (Transitive x) trm = rewrite-termination x trm

```

```

fun intval :: Value Rewrite => bool where
  intval (Transform x y) = (x <#> UndefVal & y <#> UndefVal -> x = y) |
  intval (Conditional x y cond) = (cond -> (x = y)) |
  intval (Sequential x y) = (intval x & intval y) |
  intval (Transitive x) = intval x

```

### 9.3.3 Standard Termination Measure

```

fun size :: IRExp => nat where
  unary-size:
  size (UnaryExpr op x) = (size x) + 2 |

  bin-const-size:
  size (BinaryExpr op x (ConstantExpr cy)) = (size x) + 2 |
  bin-size:
  size (BinaryExpr op x y) = (size x) + (size y) + 2 |
  cond-size:
  size (ConditionalExpr c t f) = (size c) + (size t) + (size f) + 2 |
  const-size:
  size (ConstantExpr c) = 1 |
  param-size:
  size (ParameterExpr ind s) = 2 |
  leaf-size:
  size (LeafExpr nid s) = 2 |

```

```

size (ConstantVar c) = 2 |
size (VariableExpr x s) = 2

```

### 9.3.4 Automated Tactics

**named-theorems** *size-simps size simplification rules*

```

method unfold-optimization =
  (unfold rewrite-preservation.simps, unfold rewrite-termination.simps,
   unfold intval.simps,
   rule conjE, simp, simp del: le-expr-def, force?)
| (unfold rewrite-preservation.simps, unfold rewrite-termination.simps,
   rule conjE, simp, simp del: le-expr-def, force?)

```

```

method unfold-size =
  (((unfold size.simps, simp add: size-simps del: le-expr-def)?
   ; (simp add: size-simps del: le-expr-def)?
   ; (auto simp: size-simps)?
   ; (unfold size.simps)?[1])

```

**print-methods**

```

ML <
  structure System : RewriteSystem =
  struct
  val preservation = @{const rewrite-preservation};
  val termination = @{const rewrite-termination};
  val intval = @{const intval};
  end

  structure DSL = DSL-Rewrites(System);

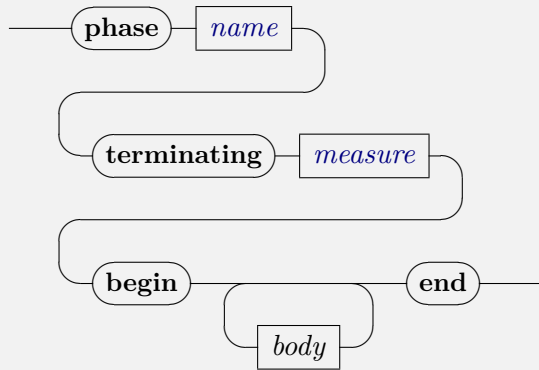
  val - =
    Outer-Syntax.local-theory-to-proof command-keyword <optimization>
      define an optimization and open proof obligation
      (Parse-Spec.thm-name : -- Parse.term
       >> DSL.rewrite-cmd);
  >

```

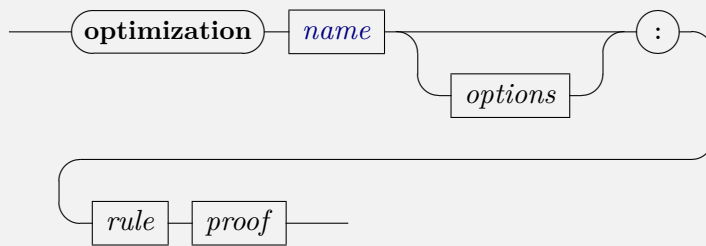
**ML-file** *~/src/Doc/antiquote-setup.ML*

PhaseRail

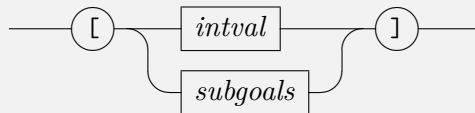
*phase*



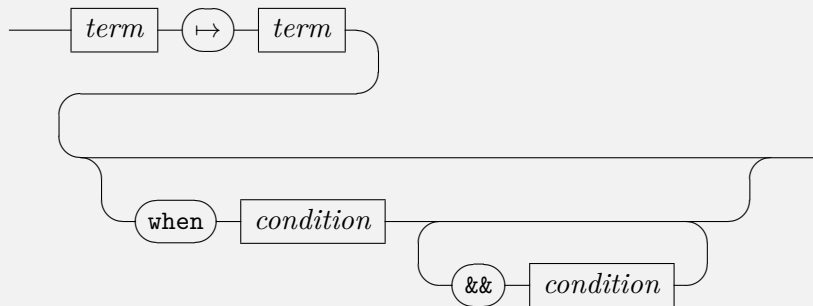
*optimization*



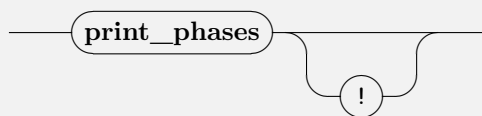
*options*



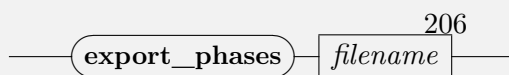
*rule*



*print-phases*



*export-phases*



*gencode*



**phase** *name* **terminating** *measure* opens a new optimization phase

**print-syntax**

**end**

## 10 Canonicalization Optimizations

**theory** *Common*

**imports**

*OptimizationDSL.Canonicalization*

*Semantics.IRTreeEvalThms*

**begin**

**lemma** *size-pos*[*size-simps*]:  $0 < \text{size } y$

**apply** (*induction*  $y$ ; *auto*?)

**subgoal for** *op*

**apply** (*cases* *op*)

**by** (*smt* ( $z3$ ) *gr0I one-neq-zero pos2 size.elims trans-less-add2*)

**done**

**lemma** *size-non-add*[*size-simps*]:  $\text{size } (\text{BinaryExpr } op \ a \ b) = \text{size } a + \text{size } b + 2$

$\longleftrightarrow \neg(\text{is-ConstantExpr } b)$

**by** (*induction*  $b$ ; *induction* *op*; *auto simp: is-ConstantExpr-def*)

**lemma** *size-non-const*[*size-simps*]:

$\neg \text{is-ConstantExpr } y \implies 1 < \text{size } y$

**using** *size-pos* **apply** (*induction*  $y$ ; *auto*)

**by** (*metis* *Suc-lessI add-is-1 is-ConstantExpr-def le-less linorder-not-le n-not-Suc-n numeral-2-eq-2 pos2 size.simps(2) size-non-add*)

**lemma** *size-binary-const*[*size-simps*]:

$\text{size } (\text{BinaryExpr } op \ a \ b) = \text{size } a + 2 \longleftrightarrow (\text{is-ConstantExpr } b)$

**by** (*induction*  $b$ ; *auto simp: is-ConstantExpr-def size-pos*)

**lemma** *size-flip-binary*[*size-simps*]:

$\neg(\text{is-ConstantExpr } y) \longrightarrow \text{size } (\text{BinaryExpr } op \ (\text{ConstantExpr } x) \ y) > \text{size } (\text{BinaryExpr } op \ y \ (\text{ConstantExpr } x))$

**by** (*metis* *add-Suc not-less-eq order-less-asym plus-1-eq-Suc size.simps(2,11) size-non-add*)

**lemma** *size-binary-lhs-a*[*size-simps*]:

$\text{size } (\text{BinaryExpr } op \ (\text{BinaryExpr } op' \ a \ b) \ c) > \text{size } a$

**by** (*metis* *add-lessD1 less-add-same-cancel1 pos2 size-binary-const size-non-add*)

**lemma** *size-binary-lhs-b*[*size-simps*]:

$\text{size } (\text{BinaryExpr } op \ (\text{BinaryExpr } op' \ a \ b) \ c) > \text{size } b$

**by** (*metis* *IRExpr.disc(42) One-nat-def add.left-commute add.right-neutral is-ConstantExpr-def less-add-Suc2 numeral-2-eq-2 plus-1-eq-Suc size.simps(11) size-binary-const size-non-add size-non-const trans-less-add1*)

```

lemma size-binary-lhs-c[size-simps]:
  size (BinaryExpr op (BinaryExpr op' a b) c) > size c
  by (metis IRExpr.disc(42) add.left-commute add.right-neutral is-ConstantExpr-def
less-Suc-eq numeral-2-eq-2 plus-1-eq-Suc size.simps(11) size-non-add size-non-const
trans-less-add2)

lemma size-binary-rhs-a[size-simps]:
  size (BinaryExpr op c (BinaryExpr op' a b)) > size a
  apply auto
  by (metis trans-less-add2 less-Suc-eq less-add-same-cancel1 linorder-neqE-nat
not-add-less1 pos2
order-less-trans size-binary-const size-non-add)

lemma size-binary-rhs-b[size-simps]:
  size (BinaryExpr op c (BinaryExpr op' a b)) > size b
  by (metis add.left-commute add.right-neutral is-ConstantExpr-def lessI numeral-2-eq-2
plus-1-eq-Suc size.simps(4,11) size-non-add trans-less-add2)

lemma size-binary-rhs-c[size-simps]:
  size (BinaryExpr op c (BinaryExpr op' a b)) > size c
  by simp

lemma size-binary-lhs[size-simps]:
  size (BinaryExpr op x y) > size x
  by (metis One-nat-def Suc-eq-plus1 add-Suc-right less-add-Suc1 numeral-2-eq-2
size-binary-const size-non-add)

lemma size-binary-rhs[size-simps]:
  size (BinaryExpr op x y) > size y
  by (metis IRExpr.disc(42) add-strict-increasing is-ConstantExpr-def linorder-not-le
not-add-less1 size.simps(11) size-non-add size-non-const size-pos)

lemmas arith[size-simps] = Suc-leI add-strict-increasing order-less-trans trans-less-add2

definition well-formed-equal :: Value ⇒ Value ⇒ bool
  (infix ≈ 50) where
    well-formed-equal v1 v2 = (v1 ≠ UndefVal ⟶ v1 = v2)

lemma well-formed-equal-defn [simp]:
  well-formed-equal v1 v2 = (v1 ≠ UndefVal ⟶ v1 = v2)
  unfolding well-formed-equal-def by simp

end

```

## 10.1 AddNode Phase

```

theory AddPhase
  imports
    Common

```



**begin**

**phase** *AddNode*  
**terminating** *size*  
**begin**

**lemma** *binadd-commute*:  
**assumes** *bin-eval BinAdd x y ≠ UndefVal*  
**shows** *bin-eval BinAdd x y = bin-eval BinAdd y x*  
**by** (*simp add: intval-add-sym*)

**optimization** *AddShiftConstantRight*:  $((\text{const } v) + y) \mapsto y + (\text{const } v)$  when  $\neg(\text{is-ConstantExpr } y)$   
**apply** (*metis add-2-eq-Suc' less-Suc-eq plus-1-eq-Suc size.simps(11) size-non-add*)  
**using** *le-expr-def binadd-commute* **by** *blast*

**optimization** *AddShiftConstantRight2*:  $((\text{const } v) + y) \mapsto y + (\text{const } v)$  when  $\neg(\text{is-ConstantExpr } y)$   
**using** *AddShiftConstantRight* **by** *auto*

**lemma** *is-neutral-0 [simp]*:  
**assumes**  $\text{val}[(\text{IntVal } b \ x) + (\text{IntVal } b \ 0)] \neq \text{UndefVal}$   
**shows**  $\text{val}[(\text{IntVal } b \ x) + (\text{IntVal } b \ 0)] = (\text{new-int } b \ x)$   
**by** *simp*

**lemma** *AddNeutral-Exp*:  
**shows**  $\text{exp}[e + (\text{const } (\text{IntVal } 32 \ 0)))] \geq \text{exp}[e]$   
**apply** *auto*  
**subgoal** **premises** *p* **for** *m p x*  
**proof** –  
**obtain** *ev* **where**  $ev: [m,p] \vdash e \mapsto ev$   
**using** *p* **by** *auto*  
**then obtain** *b evx* **where**  $evx: ev = \text{IntVal } b \ evx$   
**by** (*metis evalDet evaltree-not-undef intval-add.simps(3,4,5) intval-logic-negation.cases p(1,2)*)  
**then have** *additionNotUndef*:  $\text{val}[ev + (\text{IntVal } 32 \ 0)] \neq \text{UndefVal}$   
**using** *p evalDet ev* **by** *blast*  
**then have** *sameWidth*:  $b = 32$   
**by** (*metis evx additionNotUndef intval-add.simps(1)*)  
**then have** *unfolded*:  $\text{val}[ev + (\text{IntVal } 32 \ 0)] = \text{IntVal } 32 \ (\text{take-bit } 32 \ (evx+0))$   
**by** (*simp add: evx*)  
**then have** *eqE*:  $\text{IntVal } 32 \ (\text{take-bit } 32 \ (evx+0)) = \text{IntVal } 32 \ (\text{take-bit } 32 \ (evx))$   
**by** *auto*  
**then show** *?thesis*  
**by** (*metis ev evalDet eval-unused-bits-zero evx p(1) sameWidth unfolded*)

qed  
done

**optimization** *AddNeutral*:  $(e + (\text{const } (\text{IntVal } 32\ 0))) \mapsto e$   
using *AddNeutral-Exp* by *presburger*

**ML-val**  $\langle @\{term \langle x = y \rangle\} \rangle$

**lemma** *NeutralLeftSubVal*:  
assumes  $e1 = \text{new-int } b \text{ ival}$   
shows  $\text{val}[(e1 - e2) + e2] \approx e1$   
using *assms* by (*cases e1*; *cases e2*; *auto*)

**lemma** *RedundantSubAdd-Exp*:  
shows  $\text{exp}[(a - b) + b] \geq a$   
apply *auto*  
subgoal premises  $p$  for  $m\ p\ y\ xa\ ya$   
proof -  
  **obtain**  $bv$  **where**  $bv: [m,p] \vdash b \mapsto bv$   
  using  $p(1)$  by *auto*  
  **obtain**  $av$  **where**  $av: [m,p] \vdash a \mapsto av$   
  using  $p(3)$  by *auto*  
  **then have**  $\text{subNotUndef}: \text{val}[av - bv] \neq \text{UndefVal}$   
  by (*metis bv evalDet p(3,4,5)*)  
  **then obtain**  $bb\ bvv$  **where**  $bInt: bv = \text{IntVal } bb\ bvv$   
  by (*metis bv evaltree-not-undef intval-logic-negation.cases intval-sub.simps(7,8,9)*)  
  **then obtain**  $ba\ avv$  **where**  $aInt: av = \text{IntVal } ba\ avv$   
  by (*metis av evaltree-not-undef intval-logic-negation.cases intval-sub.simps(3,4,5)*)  
*subNotUndef*)  
  **then have**  $\text{widthSame}: bb=ba$   
  by (*metis av bInt bv evalDet intval-sub.simps(1) new-int-bin.simps p(3,4,5)*)  
  **then have**  $\text{valEval}: \text{val}[(av-bv)+bv] = \text{val}[av]$   
  using  $aInt\ av\ \text{eval-unused-bits-zero}\ \text{widthSame}\ bInt$  by *simp*  
  **then show** *?thesis*  
  by (*metis av bv evalDet p(1,3,4)*)  
qed  
done

**optimization** *RedundantSubAdd*:  $((e_1 - e_2) + e_2) \mapsto e_1$   
using *RedundantSubAdd-Exp* by *blast*

**lemma** *allE2*:  $(\forall x\ y. P\ x\ y) \implies (P\ a\ b \implies R) \implies R$   
by *simp*

**lemma** *just-goal2*:  
assumes  $(\forall a\ b. (\text{val}[(a - b) + b] \neq \text{UndefVal} \wedge a \neq \text{UndefVal} \longrightarrow \text{val}[(a - b) + b] = a))$   
shows  $(\text{exp}[(e_1 - e_2) + e_2] \geq e_1)$

**unfolding** *le-expr-def unfold-binary bin-eval.simps* **by** (*metis assms evalDet eval-tree-not-undef*)

**optimization** *RedundantSubAdd2*:  $e_2 + (e_1 - e_2) \mapsto e_1$   
**using** *size-binary-rhs-a* **apply** *simp* **apply** *auto*  
**by** (*smt (z3) NeutralLeftSubVal evalDet eval-unused-bits-zero intval-add-sym int-val-sub.elims new-int.simps well-formed-equal-defn*)

**lemma** *AddToSubHelperLowLevel*:  
**shows**  $val[-e + y] = val[y - e]$  (**is**  $?x = ?y$ )  
**by** (*induction y; induction e; auto*)

**print-phases**

**lemma** *val-redundant-add-sub*:  
**assumes**  $a = \text{new-int } bb \text{ ival}$   
**assumes**  $val[b + a] \neq \text{UndefVal}$   
**shows**  $val[(b + a) - b] = a$   
**using** *assms* **apply** (*cases a; cases b; auto*) **by** *presburger*

**lemma** *val-add-right-negate-to-sub*:  
**assumes**  $val[x + e] \neq \text{UndefVal}$   
**shows**  $val[x + (-e)] = val[x - e]$   
**by** (*cases x; cases e; auto simp: assms*)

**lemma** *exp-add-left-negate-to-sub*:  
 $exp[-e + y] \geq exp[y - e]$   
**by** (*cases e; cases y; auto simp: AddToSubHelperLowLevel*)

**lemma** *RedundantAddSub-Exp*:  
**shows**  $exp[(b + a) - b] \geq a$   
**apply** *auto*  
**subgoal** **premises**  $p$  **for**  $m \ p \ y \ xa \ ya$   
**proof**  $-$   
**obtain**  $bv$  **where**  $bv: [m,p] \vdash b \mapsto bv$   
**using**  $p(1)$  **by** *auto*  
**obtain**  $av$  **where**  $av: [m,p] \vdash a \mapsto av$   
**using**  $p(4)$  **by** *auto*

```

then have addNotUndef:  $\text{val}[av + bv] \neq \text{UndefVal}$ 
  by (metis bv evalDet intval-add-sym intval-sub.simps(2) p(2,3,4))
then obtain bb bvv where bInt:  $bv = \text{IntVal } bb \ bvv$ 
by (metis bv evalDet evaltree-not-undef intval-add.simps(3,5) intval-logic-negation.cases
  intval-sub.simps(8) p(1,2,3,5))
then obtain ba avv where aInt:  $av = \text{IntVal } ba \ avv$ 
  by (metis addNotUndef intval-add.simps(2,3,4,5) intval-logic-negation.cases)
then have widthSame:  $bb=ba$ 
  by (metis addNotUndef bInt intval-add.simps(1))
then have valEval:  $\text{val}[(bv+av)-bv] = \text{val}[av]$ 
  using aInt av eval-unused-bits-zero widthSame bInt by simp
then show ?thesis
  by (metis av bv evalDet p(1,3,4))
qed
done

```

Optimisations

```

optimization RedundantAddSub:  $(b + a) - b \mapsto a$ 
  using RedundantAddSub-Exp by blast

```

```

optimization AddRightNegateToSub:  $x + -e \mapsto x - e$ 
  apply (metis Nat.add-0-right add-2-eq-Suc' add-less-mono1 add-mono-thms-linordered-field(2)

```

```

  less-SucI not-less-less-Suc-eq size-binary-const size-non-add size-pos)
  using AddToSubHelperLowLevel intval-add-sym by auto

```

```

optimization AddLeftNegateToSub:  $-e + y \mapsto y - e$ 
  apply (smt (verit, best) One-nat-def add commute add-Suc-right is-ConstantExpr-def
less-add-Suc2
  numeral-2-eq-2 plus-1-eq-Suc size.simps(1) size.simps(11) size-binary-const
size-non-add)
  using exp-add-left-negate-to-sub by simp

```

**end**

**end**

## 10.2 AndNode Phase

```

theory AndPhase
  imports
    Common
    Proofs.StampEvalThms
begin

context stamp-mask

```

**begin**

**lemma** *AndCommute-Val*:

**assumes**  $val[x \ \& \ y] \neq \text{UndefVal}$

**shows**  $val[x \ \& \ y] = val[y \ \& \ x]$

**using** *assms* **apply** (*cases x*; *cases y*; *auto*) **by** (*simp add: and.commute*)

**lemma** *AndCommute-Exp*:

**shows**  $exp[x \ \& \ y] \geq exp[y \ \& \ x]$

**using** *AndCommute-Val* *unfold-binary* **by** *auto*

**lemma** *AndRightFallthrough*:  $((\text{and} \ (\text{not} \ (\downarrow x)) \ (\uparrow y)) = 0) \longrightarrow exp[x \ \& \ y] \geq exp[y]$

**apply** *simp* **apply** (*rule impI*; (*rule allI*)<sup>+</sup>; *rule impI*)

**subgoal** **premises** *p* **for** *m p v*

**proof** –

**obtain** *xv* **where**  $xv: [m, p] \vdash x \mapsto xv$

**using** *p(2)* **by** *blast*

**obtain** *yv* **where**  $yv: [m, p] \vdash y \mapsto yv$

**using** *p(2)* **by** *blast*

**obtain** *xb xv* **where**  $xv = \text{IntVal } xb \ xv$

**by** (*metis bin-eval-inputs-are-ints bin-eval-int evalDet is-IntVal-def p(2) unfold-binary xv*)

**obtain** *yb yv* **where**  $yv = \text{IntVal } yb \ yv$

**by** (*metis bin-eval-inputs-are-ints bin-eval-int evalDet is-IntVal-def p(2) unfold-binary yv*)

**have** *equalAnd*:  $v = val[xv \ \& \ yv]$

**by** (*metis BinaryExprE bin-eval.simps(6) evalDet p(2) xv yv*)

**then** **have** *andUnfold*:  $val[xv \ \& \ yv] = (\text{if } xb=yb \ \text{then } \text{new-int } xb \ (\text{and } xv \ yv) \ \text{else } \text{UndefVal})$

**by** (*simp add: xv yv*)

**have**  $v = yv$

**apply** (*cases v*; *cases yv*; *auto*)

**using** *p(2)* **apply** *auto[1]* **using** *yv* **apply** *simp-all*

**by** (*metis Value.distinct(1,3,5,7,9,11,13) Value.inject(1) andUnfold equalAnd new-int.simps*)

*xv xv yv eval-unused-bits-zero new-int.simps not-down-up-mask-and-zero-implies-zero equalAnd p(1))<sup>+</sup>*

**then** **show** *?thesis*

**by** (*simp add: yv*)

**qed**

**done**

**lemma** *AndLeftFallthrough*:  $((\text{and} \ (\text{not} \ (\downarrow y)) \ (\uparrow x)) = 0) \longrightarrow exp[x \ \& \ y] \geq exp[x]$

**using** *AndRightFallthrough* *AndCommute-Exp* **by** *simp*

**end**

**phase** *AndNode*  
**terminating** *size*  
**begin**

**lemma** *bin-and-nots*:  
 $(\sim x \ \& \ \sim y) = (\sim(x \ | \ y))$   
**by** *simp*

**lemma** *bin-and-neutral*:  
 $(x \ \& \ \sim \text{False}) = x$   
**by** *simp*

**lemma** *val-and-equal*:  
**assumes**  $x = \text{new-int } b \ v$   
**and**  $\text{val}[x \ \& \ x] \neq \text{UndefVal}$   
**shows**  $\text{val}[x \ \& \ x] = x$   
**by** (*auto simp: assms*)

**lemma** *val-and-nots*:  
 $\text{val}[\sim x \ \& \ \sim y] = \text{val}[\sim(x \ | \ y)]$   
**by** (*cases x; cases y; auto simp: take-bit-not-take-bit*)

**lemma** *val-and-neutral*:  
**assumes**  $x = \text{new-int } b \ v$   
**and**  $\text{val}[x \ \& \ \sim(\text{new-int } b' \ 0)] \neq \text{UndefVal}$   
**shows**  $\text{val}[x \ \& \ \sim(\text{new-int } b' \ 0)] = x$   
**using** *assms* **apply** (*simp add: take-bit-eq-mask*) **by** *presburger*

**lemma** *val-and-zero*:  
**assumes**  $x = \text{new-int } b \ v$   
**shows**  $\text{val}[x \ \& \ (\text{IntVal } b \ 0)] = \text{IntVal } b \ 0$   
**by** (*auto simp: assms*)

**lemma** *exp-and-equal*:  
 $\text{exp}[x \ \& \ x] \geq \text{exp}[x]$   
**apply** *auto*  
**subgoal** **premises**  $p$  **for**  $m \ p \ xv \ yv$   
**proof** –  
**obtain**  $xv$  **where**  $xv: [m,p] \vdash x \mapsto xv$   
**using**  $p(1)$  **by** *auto*  
**obtain**  $yv$  **where**  $yv: [m,p] \vdash x \mapsto yv$   
**using**  $p(1)$  **by** *auto*

```

then have evalSame:  $xv = yv$ 
using evalDet  $xv$  by auto
then have notUndef:  $xv \neq \text{UndefVal} \wedge yv \neq \text{UndefVal}$ 
using evaltree-not-undef  $xv$  by blast
then have andNotUndef:  $\text{val}[xv \ \& \ yv] \neq \text{UndefVal}$ 
by (metis evalDet evalSame p(1,2,3)  $xv$ )
obtain  $xb \ xvv$  where  $xvv: xv = \text{IntVal } xb \ xvv$ 
by (metis Value.exhaust-sel andNotUndef evalSame intval-and.simps(3,4,9)
notUndef)
obtain  $yb \ yvv$  where  $yvv: yv = \text{IntVal } yb \ yvv$ 
using evalSame  $xvv$  by auto
then have widthSame:  $xb=yb$ 
using evalSame  $xvv$  by auto
then have valSame:  $yvv=xvv$ 
using evalSame  $xvv \ yvv$  by blast
then have evalSame0:  $\text{val}[xv \ \& \ yv] = \text{new-int } xb \ (xvv)$ 
using evalSame  $xvv$  by auto
then show ?thesis
by (metis eval-unused-bits-zero new-int.simps evalDet p(1,2) valSame width-
Same  $xv \ xvv \ yvv$ )
qed
done

```

```

lemma exp-and-nots:
   $\text{exp}[\sim x \ \& \ \sim y] \geq \text{exp}[\sim(x \ | \ y)]$ 
using val-and-nots by force

```

```

lemma exp-sign-extend:
assumes  $e = (1 \lll \text{In}) - 1$ 
shows  $\text{BinaryExpr } \text{BinAnd} (\text{UnaryExpr } (\text{UnarySignExtend } \text{In } \text{Out}) \ x)$ 
   $(\text{ConstantExpr } (\text{new-int } b \ e))$ 
   $\geq (\text{UnaryExpr } (\text{UnaryZeroExtend } \text{In } \text{Out}) \ x)$ 

```

```

apply auto
subgoal premises  $p$  for  $m \ p \ va$ 
proof -
obtain  $va$  where  $va: [m,p] \vdash x \mapsto va$ 
using p(2) by auto
then have notUndef:  $va \neq \text{UndefVal}$ 
by (simp add: evaltree-not-undef)
then have 1:  $\text{intval-and} (\text{intval-sign-extend } \text{In } \text{Out} \ va) (\text{IntVal } b \ (\text{take-bit } b \ e)) \neq \text{UndefVal}$ 
using evalDet p(1) p(2)  $va$  by blast
then have 2:  $\text{intval-sign-extend } \text{In } \text{Out} \ va \neq \text{UndefVal}$ 
by auto
then have 21:  $(0::\text{nat}) < b$ 
using eval-bits-1-64 p(4) by blast
then have 3:  $b \sqsubseteq (64::\text{nat})$ 
using eval-bits-1-64 p(4) by blast
then have 4:  $-( (2::\text{int}) \wedge b \ \text{div} \ (2::\text{int})) \sqsubseteq \text{sint} (\text{signed-take-bit } (b - \text{Suc } 1))$ 

```

```

(0::nat)) (take-bit b e))
  by (simp add: 21 int-power-div-base signed-take-bit-int-greater-eq-minus-exp-word)
  then have 5: sint (signed-take-bit (b - Suc (0::nat)) (take-bit b e)) < (2::int)
^ b div (2::int)
  by (simp add: 21 3 Suc-le-lessD int-power-div-base signed-take-bit-int-less-exp-word)
  then have 6: [m,p] ⊢ UnaryExpr (UnaryZeroExtend In Out)
    x ↦ intval-and (intval-sign-extend In Out va) (IntVal b (take-bit b e))
  apply (cases va; simp)
  apply (simp add: notUndef) defer
  using 2 apply fastforce+
  sorry
  then show ?thesis
  by (metis evalDet p(2) va)
qed
done

```

**lemma** *exp-and-neutral*:

```

assumes wf-stamp x
assumes stamp-expr x = IntegerStamp b lo hi
shows exp[(x & ~ (const (IntVal b 0)))] ≥ x
using assms apply auto
subgoal premises p for m p xa
proof-
  obtain xv where xv: [m,p] ⊢ x ↦ xv
  using p(3) by auto
  obtain xb xv where xv: xv = IntVal xb xv
  by (metis assms valid-int wf-stamp-def xv)
  then have widthSame: xb=b
  by (metis p(1,2) valid-int-same-bits wf-stamp-def xv)
  then show ?thesis
  by (metis evalDet eval-unused-bits-zero intval-and.simps(1) new-int.elims
new-int-bin.elims
p(3) take-bit-eq-mask xv xv)
qed
done

```

**lemma** *val-and-commute*[simp]:

```

val[x & y] = val[y & x]
by (cases x; cases y; auto simp: word-bw-comms(1))

```

Optimisations

**optimization** *AndEqual*:  $x \& x \mapsto x$   
using *exp-and-equal* by blast

**optimization** *AndShiftConstantRight*:  $((const\ x) \& y) \mapsto y \& (const\ x)$   
when  $\neg(is\ ConstantExpr\ y)$



**using** *size-flip-binary* **by** *auto*

**optimization** *AndNots*:  $(\sim x) \& (\sim y) \mapsto \sim(x \mid y)$

**by** (*metis add-2-eq-Suc' less-SucI less-add-Suc1 not-less-eq size-binary-const size-non-add exp-and-nots*)**+**

**optimization** *AndSignExtend*:  $BinaryExpr \ BinAnd \ (UnaryExpr \ (UnarySignExtend \ In \ Out) \ (x))$

$(const \ (new-int \ b \ e))$   
 $\mapsto \ (UnaryExpr \ (UnaryZeroExtend \ In \ Out) \ (x))$   
*when*  $(e = (1 \ll In) - 1)$

**using** *exp-sign-extend* **by** *simp*

**optimization** *AndNeutral*:  $(x \& \sim(const \ (IntVal \ b \ 0))) \mapsto x$

*when*  $(wf-stamp \ x \wedge stamp-expr \ x = IntegerStamp \ b \ lo \ hi)$

**using** *exp-and-neutral* **by** *fast*

**optimization** *AndRightFallThrough*:  $(x \& y) \mapsto y$

*when*  $((and \ (not \ (IRExpr-down \ x)) \ (IRExpr-up \ y)) = 0)$

**by** (*simp add: IRExpr-down-def IRExpr-up-def*)

**optimization** *AndLeftFallThrough*:  $(x \& y) \mapsto x$

*when*  $((and \ (not \ (IRExpr-down \ y)) \ (IRExpr-up \ x)) = 0)$

**by** (*simp add: IRExpr-down-def IRExpr-up-def*)

**end**

**end**

### 10.3 Experimental AndNode Phase

**theory** *NewAnd*

**imports**

*Common*

*Graph.JavaLong*

**begin**

**lemma** *intval-distribute-and-over-or*:

$val[z \& (x \mid y)] = val[(z \& x) \mid (z \& y)]$

**by** (*cases x; cases y; cases z; auto simp add: bit.conj-disj-distrib*)

**lemma** *exp-distribute-and-over-or*:

$exp[z \& (x \mid y)] \geq exp[(z \& x) \mid (z \& y)]$

**apply** *auto*

**by** (*metis bin-eval.simps(6,7) intval-or.simps(2,6) intval-distribute-and-over-or BinaryExpr*)

**lemma** *intval-and-commute*:

$val[x \& y] = val[y \& x]$   
**by** (*cases x; cases y; auto simp: and.commute*)

**lemma** *intval-or-commute*:  
 $val[x | y] = val[y | x]$   
**by** (*cases x; cases y; auto simp: or.commute*)

**lemma** *intval-xor-commute*:  
 $val[x \oplus y] = val[y \oplus x]$   
**by** (*cases x; cases y; auto simp: xor.commute*)

**lemma** *exp-and-commute*:  
 $exp[x \& z] \geq exp[z \& x]$   
**by** (*auto simp: intval-and-commute*)

**lemma** *exp-or-commute*:  
 $exp[x | y] \geq exp[y | x]$   
**by** (*auto simp: intval-or-commute*)

**lemma** *exp-xor-commute*:  
 $exp[x \oplus y] \geq exp[y \oplus x]$   
**by** (*auto simp: intval-xor-commute*)

**lemma** *intval-eliminate-y*:  
**assumes**  $val[y \& z] = IntVal\ b\ 0$   
**shows**  $val[(x | y) \& z] = val[x \& z]$   
**using** *assms* **by** (*cases x; cases y; cases z; auto simp add: bit.conj-disj-distrib2*)

**lemma** *intval-and-associative*:  
 $val[(x \& y) \& z] = val[x \& (y \& z)]$   
**by** (*cases x; cases y; cases z; auto simp: and.assoc*)

**lemma** *intval-or-associative*:  
 $val[(x | y) | z] = val[x | (y | z)]$   
**by** (*cases x; cases y; cases z; auto simp: or.assoc*)

**lemma** *intval-xor-associative*:  
 $val[(x \oplus y) \oplus z] = val[x \oplus (y \oplus z)]$   
**by** (*cases x; cases y; cases z; auto simp: xor.assoc*)

**lemma** *exp-and-associative*:  
 $exp[(x \& y) \& z] \geq exp[x \& (y \& z)]$   
**using** *intval-and-associative* **by** *fastforce*

**lemma** *exp-or-associative*:  
 $exp[(x | y) | z] \geq exp[x | (y | z)]$   
**using** *intval-or-associative* **by** *fastforce*

**lemma** *exp-xor-associative*:

$exp[(x \oplus y) \oplus z] \geq exp[x \oplus (y \oplus z)]$   
**using** *intval-xor-associative* **by** *fastforce*

**lemma** *intval-and-absorb-or*:  
**assumes**  $\exists b v . x = new-int\ b\ v$   
**assumes**  $val[x \& (x \mid y)] \neq UndefinedVal$   
**shows**  $val[x \& (x \mid y)] = val[x]$   
**using** *assms* **apply** (*cases x; cases y; auto*)  
**by** (*metis (full-types) intval-and.simps(6)*)

**lemma** *intval-or-absorb-and*:  
**assumes**  $\exists b v . x = new-int\ b\ v$   
**assumes**  $val[x \mid (x \& y)] \neq UndefinedVal$   
**shows**  $val[x \mid (x \& y)] = val[x]$   
**using** *assms* **apply** (*cases x; cases y; auto*)  
**by** (*metis (full-types) intval-or.simps(6)*)

**lemma** *exp-and-absorb-or*:  
 $exp[x \& (x \mid y)] \geq exp[x]$   
**apply** *auto*  
**subgoal** **premises** *p* **for** *m p xa xaa ya*  
**proof** –  
**obtain** *xv* **where** *xv*:  $[m,p] \vdash x \mapsto xv$   
**using** *p(1)* **by** *auto*  
**obtain** *yv* **where** *yv*:  $[m,p] \vdash y \mapsto yv$   
**using** *p(4)* **by** *auto*  
**then** **have** *lhsDefined*:  $val[xv \& (xv \mid yv)] \neq UndefinedVal$   
**by** (*metis evalDet p(1,2,3,4) xv*)  
**obtain** *xb xv* **where** *xv*:  $xv = IntVal\ xb\ xv$   
**by** (*metis Value.exhaust-sel intval-and.simps(2,3,4,5) lhsDefined*)  
**obtain** *yb yv* **where** *yv*:  $yv = IntVal\ yb\ yv$   
**by** (*metis Value.exhaust-sel intval-and.simps(6) intval-or.simps(6,7,8,9) lhsDefined*)  
**then** **have** *valEval*:  $val[xv \& (xv \mid yv)] = val[xv]$   
**by** (*metis eval-unused-bits-zero intval-and-absorb-or lhsDefined new-int.elims xv xv*)  
**then** **show** *?thesis*  
**by** (*metis evalDet p(1,3,4) xv yv*)  
**qed**  
**done**

**lemma** *exp-or-absorb-and*:  
 $exp[x \mid (x \& y)] \geq exp[x]$   
**apply** *auto*  
**subgoal** **premises** *p* **for** *m p xa xaa ya*  
**proof** –  
**obtain** *xv* **where** *xv*:  $[m,p] \vdash x \mapsto xv$   
**using** *p(1)* **by** *auto*  
**obtain** *yv* **where** *yv*:  $[m,p] \vdash y \mapsto yv$

```

    using p(4) by auto
  then have lhsDefined: val[xv | (xv & yv)] ≠ UndefVal
    by (metis evalDet p(1,2,3,4) xv)
  obtain xb xv where xv: xv = IntVal xb xv
    by (metis Value.exhaust-sel intval-and.simps(3,4,5) intval-or.simps(2,6) lhs-
Defined)
  obtain yb yv where yv: yv = IntVal yb yv
    by (metis Value.exhaust-sel intval-and.simps(6,7,8,9) intval-or.simps(6) lhs-
Defined)
  then have valEval: val[xv | (xv & yv)] = val[xv]
    by (metis eval-unused-bits-zero intval-or-absorb-and lhsDefined new-int.elims
xv xv)
  then show ?thesis
    by (metis evalDet p(1,3,4) xv yv)
qed
done

```

```

lemma
  assumes y = 0
  shows x + y = or x y
  by (simp add: assms)

```

```

lemma no-overlap-or:
  assumes and x y = 0
  shows x + y = or x y
  by (metis bit-and-iff bit-xor-iff disjunctive-add xor-self-eq assms)

```

```

context stamp-mask
begin

```

```

lemma intval-up-and-zero-implies-zero:
  assumes and (↑x) (↑y) = 0
  assumes [m, p] ⊢ x ↦ xv
  assumes [m, p] ⊢ y ↦ yv
  assumes val[xv & yv] ≠ UndefVal
  shows ∃ b . val[xv & yv] = new-int b 0
  using assms apply (cases xv; cases yv; auto)
  apply (metis eval-unused-bits-zero stamp-mask.up-mask-and-zero-implies-zero stamp-mask-axioms)
  by presburger

```

**lemma** *exp-eliminate-y*:  
*and*  $(\uparrow y) (\uparrow z) = 0 \longrightarrow \text{exp}[(x \mid y) \& z] \geq \text{exp}[x \& z]$   
**apply** *simp apply* (*rule impI*; *rule allI*; *rule allI*; *rule allI*)  
**subgoal premises** *p* **for** *m p v* **apply** (*rule impI*) **subgoal premises** *e*  
**proof** –  
**obtain** *xv* **where** *xv*:  $[m,p] \vdash x \mapsto xv$   
**using** *e* **by** *auto*  
**obtain** *yv* **where** *yv*:  $[m,p] \vdash y \mapsto yv$   
**using** *e* **by** *auto*  
**obtain** *zv* **where** *zv*:  $[m,p] \vdash z \mapsto zv$   
**using** *e* **by** *auto*  
**have** *lhs*:  $v = \text{val}[(xv \mid yv) \& zv]$   
**by** (*smt* (*verit*, *best*) *BinaryExprE bin-eval.simps(6,7) e evalDet xv yv zv*)  
**then have**  $v = \text{val}[(xv \& zv) \mid (yv \& zv)]$   
**by** (*simp add: intval-and-commute intval-distribute-and-over-or*)  
**also have**  $\exists b. \text{val}[yv \& zv] = \text{new-int } b \ 0$   
**by** (*metis calculation e intval-or.simps(6) p unfold-binary intval-up-and-zero-implies-zero*  
*yv*  
*zv*)  
**ultimately have** *rhs*:  $v = \text{val}[xv \& zv]$   
**by** (*auto simp: intval-eliminate-y lhs*)  
**from** *lhs rhs* **show** *?thesis*  
**by** (*metis BinaryExpr BinaryExprE bin-eval.simps(6) e xv zv*)  
**qed**  
**done**  
**done**

**lemma** *leadingZeroBounds*:  
**fixes** *x* :: *'a::len word*  
**assumes**  $n = \text{numberOfLeadingZeros } x$   
**shows**  $0 \leq n \wedge n \leq \text{Nat.size } x$   
**by** (*simp add: MaxOrNeg-def highestOneBit-def nat-le-iff numberOfLeadingZeros-def assms*)

**lemma** *above-nth-not-set*:  
**fixes** *x* :: *int64*  
**assumes**  $n = 64 - \text{numberOfLeadingZeros } x$   
**shows**  $j > n \longrightarrow \neg(\text{bit } x \ j)$   
**by** (*smt* (*verit*, *ccfv-SIG*) *highestOneBit-def int-nat-eq int-ops(6) less-imp-of-nat-less size64*  
*max-set-bit zerosAboveHighestOne assms numberOfLeadingZeros-def*)

**no-notation** *LogicNegationNotation* (!-)

**lemma** *zero-horner*:  
*horner-sum of-bool 2* (*map*  $(\lambda x. \text{False})$  *xs*) = 0  
**by** (*induction xs; auto*)

**lemma** *zero-map*:

**assumes**  $j \leq n$   
**assumes**  $\forall i. j \leq i \longrightarrow \neg(f\ i)$   
**shows**  $\text{map } f\ [0..<n] = \text{map } f\ [0..<j] @ \text{map } (\lambda x. \text{False})\ [j..<n]$   
**by** (*smt* (*verit*, *del-insts*) *add-diff-inverse-nat atLeastLessThan-iff bot-nat-0.extremum*  
*leD* *assms*  
*map-append map-eq-conv set-upt upt-add-eq-append*)

**lemma** *map-join-horner*:

**assumes**  $\text{map } f\ [0..<n] = \text{map } f\ [0..<j] @ \text{map } (\lambda x. \text{False})\ [j..<n]$   
**shows**  $\text{horner-sum of-bool } (2::'a::\text{len word})\ (\text{map } f\ [0..<n]) = \text{horner-sum of-bool } 2\ (\text{map } f\ [0..<j])$   
**proof** –  
**have**  $\text{horner-sum of-bool } (2::'a::\text{len word})\ (\text{map } f\ [0..<n]) = \text{horner-sum of-bool } 2\ (\text{map } f\ [0..<j]) + 2^{\text{length } [0..<j]} * \text{horner-sum of-bool } 2\ (\text{map } f\ [j..<n])$   
**using** *assms apply auto*  
**by** (*smt* (*verit*) *assms diff-le-self diff-zero le-add-same-cancel2 length-append length-map length-upt map-append upt-add-eq-append horner-sum-append*)  
**also have**  $\dots = \text{horner-sum of-bool } 2\ (\text{map } f\ [0..<j]) + 2^{\text{length } [0..<j]} * \text{horner-sum of-bool } 2\ (\text{map } (\lambda x. \text{False})\ [j..<n])$   
**by** (*metis calculation horner-sum-append length-map assms*)  
**also have**  $\dots = \text{horner-sum of-bool } 2\ (\text{map } f\ [0..<j])$   
**using** *zero-horner mult-not-zero by auto*  
**finally show** *?thesis*  
**by** *simp*  
**qed**

**lemma** *split-horner*:

**assumes**  $j \leq n$   
**assumes**  $\forall i. j \leq i \longrightarrow \neg(f\ i)$   
**shows**  $\text{horner-sum of-bool } (2::'a::\text{len word})\ (\text{map } f\ [0..<n]) = \text{horner-sum of-bool } 2\ (\text{map } f\ [0..<j])$   
**by** (*auto simp: assms zero-map map-join-horner*)

**lemma** *transfer-map*:

**assumes**  $\forall i. i < n \longrightarrow f\ i = f'\ i$   
**shows**  $(\text{map } f\ [0..<n]) = (\text{map } f'\ [0..<n])$   
**by** (*simp add: assms*)

**lemma** *transfer-horner*:

**assumes**  $\forall i. i < n \longrightarrow f\ i = f'\ i$   
**shows**  $\text{horner-sum of-bool } (2::'a::\text{len word})\ (\text{map } f\ [0..<n]) = \text{horner-sum of-bool } 2\ (\text{map } f'\ [0..<n])$   
**by** (*smt* (*verit*, *best*) *assms transfer-map*)

**lemma** *L1*:

**assumes**  $n = 64 - \text{numberOfLeadingZeros } (\uparrow z)$   
**assumes**  $[m, p] \vdash z \mapsto \text{IntVal } b\ zv$   
**shows**  $\text{and } v\ zv = \text{and } (v \bmod 2^n)\ zv$

```

proof –
  have  $nle: n \leq 64$ 
    using assms diff-le-self by blast
  also have  $and\ v\ zv = \text{horner-sum of-bool } 2\ (\text{map } (\text{bit } (\text{and } v\ zv))\ [0..<64])$ 
    by (metis size-word.rep-eq take-bit-length-eq horner-sum-bit-eq-take-bit size64)
  also have  $\dots = \text{horner-sum of-bool } 2\ (\text{map } (\lambda i. \text{bit } (\text{and } v\ zv)\ i)\ [0..<64])$ 
    by blast
  also have  $\dots = \text{horner-sum of-bool } 2\ (\text{map } (\lambda i. ((\text{bit } v\ i) \wedge (\text{bit } zv\ i)))\ [0..<64])$ 
    by (metis bit-and-iff)
  also have  $\dots = \text{horner-sum of-bool } 2\ (\text{map } (\lambda i. ((\text{bit } v\ i) \wedge (\text{bit } zv\ i)))\ [0..<n])$ 
    proof –
      have  $\forall i. i \geq n \longrightarrow \neg(\text{bit } zv\ i)$ 
        by (smt (verit, ccfv-SIG) One-nat-def diff-less int-ops(6) leadingZerosAddHighestOne assms
          linorder-not-le nat-int-comparison(2) not-numeral-le-zero size64 zero-less-Suc
            zerosAboveHighestOne not-may-implies-false)
      then have  $\forall i. i \geq n \longrightarrow \neg((\text{bit } v\ i) \wedge (\text{bit } zv\ i))$ 
        by auto
      then show ?thesis using nle split-horner
        by (metis (no-types, lifting))
    qed
  also have  $\dots = \text{horner-sum of-bool } 2\ (\text{map } (\lambda i. ((\text{bit } (v \text{ mod } 2^n)\ i) \wedge (\text{bit } zv\ i)))\ [0..<n])$ 
    proof –
      have  $\forall i. i < n \longrightarrow \text{bit } (v \text{ mod } 2^n)\ i = \text{bit } v\ i$ 
        by (metis bit-take-bit-iff take-bit-eq-mod)
      then have  $\forall i. i < n \longrightarrow ((\text{bit } v\ i) \wedge (\text{bit } zv\ i)) = ((\text{bit } (v \text{ mod } 2^n)\ i) \wedge (\text{bit } zv\ i))$ 
        by force
      then show ?thesis
        by (rule transfer-horner)
    qed
  also have  $\dots = \text{horner-sum of-bool } 2\ (\text{map } (\lambda i. ((\text{bit } (v \text{ mod } 2^n)\ i) \wedge (\text{bit } zv\ i)))\ [0..<64])$ 
    proof –
      have  $\forall i. i \geq n \longrightarrow \neg(\text{bit } zv\ i)$ 
        by (smt (verit, ccfv-SIG) One-nat-def diff-less int-ops(6) leadingZerosAddHighestOne assms
          linorder-not-le nat-int-comparison(2) not-numeral-le-zero size64 zero-less-Suc
            zerosAboveHighestOne not-may-implies-false)
      then show ?thesis
        by (metis (no-types, lifting) assms(1) diff-le-self split-horner)
    qed
  also have  $\dots = \text{horner-sum of-bool } 2\ (\text{map } (\text{bit } (\text{and } (v \text{ mod } 2^n)\ zv))\ [0..<64])$ 
    by (meson bit-and-iff)
  also have  $\dots = \text{and } (v \text{ mod } 2^n)\ zv$ 
    by (metis size-word.rep-eq take-bit-length-eq horner-sum-bit-eq-take-bit size64)

```

**finally show** *?thesis*

**using**  $\langle \text{and } (v::64 \text{ word}) (zv::64 \text{ word}) = \text{horner-sum of-bool } (2::64 \text{ word})$   
 $(\text{map } (\text{bit } (\text{and } v \text{ } zv)) [0::\text{nat}..<64::\text{nat}]) \rangle \langle \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map}$   
 $(\lambda i::\text{nat}. \text{bit } ((v::64 \text{ word}) \text{ mod } (2::64 \text{ word}) \wedge (n::\text{nat})) i \wedge \text{bit } (zv::64 \text{ word})$   
 $i) [0::\text{nat}..<64::\text{nat}]) = \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\text{bit } (\text{and } (v \text{ mod}$   
 $(2::64 \text{ word}) \wedge n) zv)) [0::\text{nat}..<64::\text{nat}]) \rangle \langle \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map}$   
 $(\lambda i::\text{nat}. \text{bit } ((v::64 \text{ word}) \text{ mod } (2::64 \text{ word}) \wedge (n::\text{nat})) i \wedge \text{bit } (zv::64 \text{ word}) i)$   
 $[0::\text{nat}..<n]) = \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat}. \text{bit } (v \text{ mod } (2::64$   
 $\text{word}) \wedge n) i \wedge \text{bit } zv i) [0::\text{nat}..<64::\text{nat}]) \rangle \langle \text{horner-sum of-bool } (2::64 \text{ word})$   
 $(\text{map } (\lambda i::\text{nat}. \text{bit } (v::64 \text{ word}) i \wedge \text{bit } (zv::64 \text{ word}) i) [0::\text{nat}..<64::\text{nat}]) =$   
 $\text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat}. \text{bit } v i \wedge \text{bit } zv i) [0::\text{nat}..<n::\text{nat}]) \rangle$   
 $\langle \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat}. \text{bit } (v::64 \text{ word}) i \wedge \text{bit } (zv::64$   
 $\text{word}) i) [0::\text{nat}..<n::\text{nat}]) = \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat}. \text{bit}$   
 $(v \text{ mod } (2::64 \text{ word}) \wedge n) i \wedge \text{bit } zv i) [0::\text{nat}..<n]) \rangle \langle \text{horner-sum of-bool } (2::64$   
 $\text{word}) (\text{map } (\text{bit } (\text{and } ((v::64 \text{ word}) \text{ mod } (2::64 \text{ word}) \wedge (n::\text{nat})) (zv::64 \text{ word})))$   
 $[0::\text{nat}..<64::\text{nat}]) = \text{and } (v \text{ mod } (2::64 \text{ word}) \wedge n) zv \rangle \langle \text{horner-sum of-bool } (2::64$   
 $\text{word}) (\text{map } (\text{bit } (\text{and } (v::64 \text{ word}) (zv::64 \text{ word}))) [0::\text{nat}..<64::\text{nat}]) = \text{horner-sum}$   
 $\text{of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat}. \text{bit } v i \wedge \text{bit } zv i) [0::\text{nat}..<64::\text{nat}]) \rangle$  **by pres-**  
**burger**  
**qed**

**lemma** *up-mask-upper-bound*:

**assumes**  $[m, p] \vdash x \mapsto \text{IntVal } b \text{ } xv$

**shows**  $xv \leq (\uparrow x)$

**by** (*metis* (*no-types*, *lifting*) *and.right-neutral bit.conj-cancel-left bit.conj-disj-distrib(1)*  
*bit.double-compl ucast-id up-spec word-and-le1 word-not-dist(2)* *assms*)

**lemma** *L2*:

**assumes**  $\text{numberOfLeadingZeros } (\uparrow z) + \text{numberOfTrailingZeros } (\uparrow y) \geq 64$

**assumes**  $n = 64 - \text{numberOfLeadingZeros } (\uparrow z)$

**assumes**  $[m, p] \vdash z \mapsto \text{IntVal } b \text{ } zv$

**assumes**  $[m, p] \vdash y \mapsto \text{IntVal } b \text{ } yv$

**shows**  $yv \text{ mod } 2^{\wedge n} = 0$

**proof** –

**have**  $yv \text{ mod } 2^{\wedge n} = \text{horner-sum of-bool } 2 (\text{map } (\text{bit } yv) [0..<n])$

**by** (*simp add: horner-sum-bit-eq-take-bit take-bit-eq-mod*)

**also have**  $\dots \leq \text{horner-sum of-bool } 2 (\text{map } (\text{bit } (\uparrow y)) [0..<n])$

**by** (*metis* (*no-types*, *opaque-lifting*) *and.right-neutral bit.conj-cancel-right word-not-dist(2)*  
*bit.conj-disj-distrib(1)* *bit.double-compl horner-sum-bit-eq-take-bit take-bit-and*

*ucast-id*

*up-spec word-and-le1 assms(4)*)

**also have**  $\text{horner-sum of-bool } 2 (\text{map } (\text{bit } (\uparrow y)) [0..<n]) = \text{horner-sum of-bool } 2$   
 $(\text{map } (\lambda x. \text{False}) [0..<n])$

**proof** –

**have**  $\forall i < n. \neg(\text{bit } (\uparrow y) i)$

**by** (*metis* *add.commute add-diff-inverse-nat add-lessD1 leD le-diff-conv zeros-*  
*BelowLowestOne*

*numberOfTrailingZeros-def assms(1,2)*)

**then show** *?thesis*



by (metis (full-types) transfer-map)  
 qed  
 also have horner-sum-of-bool-2 (map (λx. False) [0..<n]) = 0  
 by (auto simp: zero-horner)  
 finally show ?thesis  
 by auto  
 qed

**thm-oracles** L1 L2

**lemma** *unfold-binary-width-add:*

**shows** ( $[m,p] \vdash \text{BinaryExpr BinAdd } xe \ ye \mapsto \text{IntVal } b \ \text{val}$ ) = ( $\exists \ x \ y.$   
 $(([m,p] \vdash xe \mapsto \text{IntVal } b \ x) \wedge$   
 $([m,p] \vdash ye \mapsto \text{IntVal } b \ y) \wedge$   
 $(\text{IntVal } b \ \text{val} = \text{bin-eval BinAdd } (\text{IntVal } b \ x) (\text{IntVal } b \ y)) \wedge$   
 $(\text{IntVal } b \ \text{val} \neq \text{UndefVal})$   
 $)$ ) (is ?L = ?R)  
**using** *unfold-binary-width* **by** *simp*

**lemma** *unfold-binary-width-and:*

**shows** ( $[m,p] \vdash \text{BinaryExpr BinAnd } xe \ ye \mapsto \text{IntVal } b \ \text{val}$ ) = ( $\exists \ x \ y.$   
 $(([m,p] \vdash xe \mapsto \text{IntVal } b \ x) \wedge$   
 $([m,p] \vdash ye \mapsto \text{IntVal } b \ y) \wedge$   
 $(\text{IntVal } b \ \text{val} = \text{bin-eval BinAnd } (\text{IntVal } b \ x) (\text{IntVal } b \ y)) \wedge$   
 $(\text{IntVal } b \ \text{val} \neq \text{UndefVal})$   
 $)$ ) (is ?L = ?R)  
**using** *unfold-binary-width* **by** *simp*

**lemma** *mod-dist-over-add-right:*

**fixes**  $a \ b \ c :: \text{int64}$   
**fixes**  $n :: \text{nat}$   
**assumes**  $0 < n$   
**assumes**  $n < 64$   
**shows**  $(a + b \ \text{mod } 2^{\wedge}n) \ \text{mod } 2^{\wedge}n = (a + b) \ \text{mod } 2^{\wedge}n$   
**using** *mod-dist-over-add* **by** (*simp* *add: assms add.commute*)

**lemma** *numberOfLeadingZeros-range:*

$0 \leq \text{numberOfLeadingZeros } n \wedge \text{numberOfLeadingZeros } n \leq \text{Nat.size } n$   
**by** (*simp* *add: leadingZeroBounds*)

**lemma** *improved-opt:*

**assumes**  $\text{numberOfLeadingZeros } (\uparrow z) + \text{numberOfTrailingZeros } (\uparrow y) \geq 64$   
**shows**  $\text{exp}[(x + y) \ \& \ z] \geq \text{exp}[x \ \& \ z]$   
**apply** *simp* **apply** ((*rule allI*)<sub>+</sub>; *rule impI*)  
**subgoal** **premises** *eval* **for**  $m \ p \ v$

**proof** –

**obtain**  $n$  **where**  $n: n = 64 - \text{numberOfLeadingZeros } (\uparrow z)$

**by** *simp*

**obtain**  $b \ \text{val}$  **where**  $\text{val}: [m, p] \vdash \text{exp}[(x + y) \ \& \ z] \mapsto \text{IntVal } b \ \text{val}$

```

    by (metis BinaryExprE bin-eval-new-int eval new-int.simps)
  then obtain  $xv\ yv$  where  $addv: [m, p] \vdash \exp[x + y] \mapsto IntVal\ b\ (xv + yv)$ 
    apply (subst (asm) unfold-binary-width-and) by (metis add.right-neutral)
  then obtain  $yv$  where  $yv: [m, p] \vdash y \mapsto IntVal\ b\ yv$ 
    apply (subst (asm) unfold-binary-width-add) by blast
  from  $addv$  obtain  $xv$  where  $xv: [m, p] \vdash x \mapsto IntVal\ b\ xv$ 
    apply (subst (asm) unfold-binary-width-add) by blast
  from  $val$  obtain  $zv$  where  $zv: [m, p] \vdash z \mapsto IntVal\ b\ zv$ 
    apply (subst (asm) unfold-binary-width-and) by blast
  have  $addv: [m, p] \vdash \exp[x + y] \mapsto new-int\ b\ (xv + yv)$ 
    using  $xv\ yv\ evaltree.BinaryExpr$  by auto
  have  $lhs: [m, p] \vdash \exp[(x + y) \& z] \mapsto new-int\ b\ (and\ (xv + yv)\ zv)$ 
    using  $addv\ zv$  apply (rule evaltree.BinaryExpr) by simp+
  have  $rhs: [m, p] \vdash \exp[x \& z] \mapsto new-int\ b\ (and\ xv\ zv)$ 
    using  $xv\ zv\ evaltree.BinaryExpr$  by auto
  then show ?thesis
  proof (cases numberOfLeadingZeros ( $\uparrow z$ ) > 0)
    case True
      have  $n\text{-bounds}: 0 \leq n \wedge n < 64$ 
        by (simp add: True n)
      have  $and\ (xv + yv)\ zv = and\ ((xv + yv)\ mod\ 2^{\wedge}n)\ zv$ 
        using L1 n zv by blast
      also have ... =  $and\ ((xv + (yv\ mod\ 2^{\wedge}n))\ mod\ 2^{\wedge}n)\ zv$ 
        by (metis take-bit-0 take-bit-eq-mod zero-less-iff-neq-zero mod-dist-over-add-right
n-bounds)
      also have ... =  $and\ (((xv\ mod\ 2^{\wedge}n) + (yv\ mod\ 2^{\wedge}n))\ mod\ 2^{\wedge}n)\ zv$ 
        by (metis bits-mod-by-1 mod-dist-over-add n-bounds order-le-imp-less-or-eq
power-0)
      also have ... =  $and\ ((xv\ mod\ 2^{\wedge}n)\ mod\ 2^{\wedge}n)\ zv$ 
        using L2 n zv yv assms by auto
      also have ... =  $and\ (xv\ mod\ 2^{\wedge}n)\ zv$ 
        by (smt (verit, best) and.idem take-bit-eq-mask take-bit-eq-mod word-bw-assocs(1)
mod-mod-trivial)
      also have ... =  $and\ xv\ zv$ 
        by (metis L1 n zv)
      finally show ?thesis
        by (metis evalDet eval lhs rhs)
    next
      case False
      then have  $numberOfLeadingZeros\ (\uparrow z) = 0$ 
        by simp
      then have  $numberOfTrailingZeros\ (\uparrow y) \geq 64$ 
        using assms by fastforce
      then have  $yv = 0$ 
        by (metis (no-types, lifting) L1 L2 add-diff-cancel-left' and.comm-neutral
linorder-not-le
bit.conj-cancel-right bit.conj-disj-distrib(1) bit.double-compl less-imp-diff-less
yv

```

```

      word-not-dist(2))
    then show ?thesis
      by (metis add.right-neutral eval evalDet lhs rhs)
    qed
  qed
done

```

```

thm-oracles improved-opt

```

```

end

```

```

phase NewAnd
  terminating size
begin

```

```

optimization redundant-lhs-y-or:  $((x \mid y) \& z) \mapsto x \& z$ 
      when (((and (IRExpr-up y) (IRExpr-up z)) = 0))
  by (simp add: IRExpr-up-def)+

```

```

optimization redundant-lhs-x-or:  $((x \mid y) \& z) \mapsto y \& z$ 
      when (((and (IRExpr-up x) (IRExpr-up z)) = 0))
  by (simp add: IRExpr-up-def)+

```

```

optimization redundant-rhs-y-or:  $(z \& (x \mid y)) \mapsto z \& x$ 
      when (((and (IRExpr-up y) (IRExpr-up z)) = 0))
  by (simp add: IRExpr-up-def)+

```

```

optimization redundant-rhs-x-or:  $(z \& (x \mid y)) \mapsto z \& y$ 
      when (((and (IRExpr-up x) (IRExpr-up z)) = 0))
  by (simp add: IRExpr-up-def)+

```

```

end

```

```

end

```

## 10.4 ConditionalNode Phase

```

theory ConditionalPhase
  imports
    Common
    Proofs.StampEvalThms
begin

```

```

phase ConditionalNode
  terminating size
begin

lemma negates:  $\exists v b. e = \text{IntVal } b \ v \wedge b > 0 \implies \text{val-to-bool } (\text{val}[e]) \longleftrightarrow$ 
 $\neg(\text{val-to-bool } (\text{val}[\!e]))$ 
  by (metis (mono-tags, lifting) intval-logic-negation.simps(1) logic-negate-def new-int.simps

    of-bool-eq(2) one-neq-zero take-bit-of-0 take-bit-of-1 val-to-bool.simps(1))

lemma negation-condition-intval:
  assumes  $e = \text{IntVal } b \ ie$ 
  assumes  $0 < b$ 
  shows  $\text{val}[\!e] \ ? x : y = \text{val}[e \ ? y : x]$ 
  by (metis assms intval-conditional.simps negates)

lemma negation-preserve-eval:
  assumes  $[m, p] \vdash \text{exp}[\!e] \mapsto v$ 
  shows  $\exists v'. ([m, p] \vdash \text{exp}[e] \mapsto v') \wedge v = \text{val}[\!v']$ 
  using assms by auto

lemma negation-preserve-eval-intval:
  assumes  $[m, p] \vdash \text{exp}[\!e] \mapsto v$ 
  shows  $\exists v' b vv. ([m, p] \vdash \text{exp}[e] \mapsto v') \wedge v' = \text{IntVal } b \ vv \wedge b > 0$ 
  by (metis assms eval-bits-1-64 intval-logic-negation.elims negation-preserve-eval
unfold-unary)

optimization NegateConditionFlipBranches:  $(\!e) \ ? x : y \mapsto (e \ ? y : x)$ 
  apply simp apply (rule allI; rule allI; rule allI; rule impI)
  subgoal premises  $p$  for  $m \ p \ v$ 
  proof –
    obtain  $ev$  where  $ev: [m,p] \vdash e \mapsto ev$ 
    using  $p$  by blast
    obtain  $notEv$  where  $notEv: notEv = \text{intval-logic-negation } ev$ 
    by simp
    obtain  $lhs$  where  $lhs: [m,p] \vdash \text{ConditionalExpr } (\text{UnaryExpr } \text{UnaryLogicNegation } e) \ x \ y \mapsto lhs$ 
    using  $p$  by auto
    obtain  $xv$  where  $xv: [m,p] \vdash x \mapsto xv$ 
    using  $lhs$  by blast
    obtain  $yv$  where  $yv: [m,p] \vdash y \mapsto yv$ 
    using  $lhs$  by blast
    then show ?thesis
    by (smt (z3) le-expr-def ConditionalExpr ConditionalExprE Value.distinct(1)
evalDet negates p
    negation-preserve-eval negation-preserve-eval-intval)
  qed
done

```

**optimization** *DefaultTrueBranch*:  $(\text{true} \ ? \ x : y) \mapsto x$  .

**optimization** *DefaultFalseBranch*:  $(\text{false} \ ? \ x : y) \mapsto y$  .

**optimization** *ConditionalEqualBranches*:  $(e \ ? \ x : x) \mapsto x$  .

**optimization** *condition-bounds-x*:  $((u < v) \ ? \ x : y) \mapsto x$   
when  $(\text{stamp-under} \ (\text{stamp-expr} \ u) \ (\text{stamp-expr} \ v) \wedge \text{wf-stamp} \ u \wedge \text{wf-stamp} \ v)$   
using *stamp-under-defn* by *fastforce*

**optimization** *condition-bounds-y*:  $((u < v) \ ? \ x : y) \mapsto y$   
when  $(\text{stamp-under} \ (\text{stamp-expr} \ v) \ (\text{stamp-expr} \ u) \wedge \text{wf-stamp} \ u \wedge \text{wf-stamp} \ v)$   
using *stamp-under-defn-inverse* by *fastforce*

**lemma** *val-optimise-integer-test*:

**assumes**  $\exists v. x = \text{IntVal } 32 \ v$

**shows**  $\text{val}[(x \ \& \ (\text{IntVal } 32 \ 1)) \ \text{eq} \ (\text{IntVal } 32 \ 0)] \ ? \ (\text{IntVal } 32 \ 0) : (\text{IntVal } 32 \ 1)] =$

$\text{val}[x \ \& \ \text{IntVal } 32 \ 1]$

**using** *assms* **apply** *auto*

**apply**  $(\text{metis} \ (\text{full-types}) \ \text{bool-to-val.simps}(2) \ \text{val-to-bool.simps}(1))$

**by**  $(\text{metis} \ (\text{mono-tags}, \ \text{lifting}) \ \text{bool-to-val.simps}(1) \ \text{val-to-bool.simps}(1) \ \text{even-iff-mod-2-eq-zero} \ \text{odd-iff-mod-2-eq-one} \ \text{and-one-eq})$

**optimization** *ConditionalEliminateKnownLess*:  $((x < y) \ ? \ x : y) \mapsto x$   
when  $(\text{stamp-under} \ (\text{stamp-expr} \ x) \ (\text{stamp-expr} \ y) \wedge \text{wf-stamp} \ x \wedge \text{wf-stamp} \ y)$

using *stamp-under-defn* by *fastforce*

**lemma** *ExpIntBecomesIntVal*:

**assumes**  $\text{stamp-expr} \ x = \text{IntegerStamp} \ b \ xl \ xh$

**assumes**  $\text{wf-stamp} \ x$

**assumes**  $\text{valid-value} \ v \ (\text{IntegerStamp} \ b \ xl \ xh)$

**assumes**  $[m,p] \vdash x \mapsto v$

**shows**  $\exists xv. v = \text{IntVal} \ b \ xv$

**using** *assms* **by**  $(\text{simp} \ \text{add:} \ \text{IRTreeEvalThms.valid-value-elim}(3))$

**lemma** *intval-self-is-true*:

**assumes**  $yv \neq \text{UndefVal}$

**assumes**  $yv = \text{IntVal} \ b \ yvv$

**shows**  $\text{intval-equals} \ yv \ yv = \text{IntVal } 32 \ 1$

**using** *assms* **by**  $(\text{cases} \ yv; \ \text{auto})$

**lemma** *intval-commute*:

**assumes** *intval-equals* *yv xv*  $\neq$  *UndefVal*  
**assumes** *intval-equals* *xv yv*  $\neq$  *UndefVal*  
**shows** *intval-equals* *yv xv* = *intval-equals* *xv yv*  
**using** *assms* **apply** (*cases yv*; *cases xv*; *auto*) **by** (*smt* (*verit*, *best*))

**definition** *isBoolean* :: *IRExpr*  $\Rightarrow$  *bool* **where**

*isBoolean* *e* = ( $\forall$  *m p cond*. ( $([m,p] \vdash e \mapsto cond) \longrightarrow (cond \in \{IntVal\ 32\ 0, IntVal\ 32\ 1\})$ ))

**lemma** *preserveBoolean*:

**assumes** *isBoolean* *c*  
**shows** *isBoolean* *exp*[!*c*]  
**using** *assms* *isBoolean-def* **apply** *auto*  
**by** (*metis* (*no-types*, *lifting*) *IntVal0 IntVal1 intval-logic-negation.simps(1) logic-negate-def*)

**optimization** *ConditionalIntegerEquals-1*: *exp*[*BinaryExpr BinIntegerEquals* (*c* ?  
*x* : *y*) (*x*)]  $\mapsto$  *c*

*when stamp-expr* *x* = *IntegerStamp* *b xl xh*  $\wedge$   
*wf-stamp* *x*  $\wedge$   
*stamp-expr* *y* = *IntegerStamp* *b yl yh*  $\wedge$   
*wf-stamp* *y*  $\wedge$   
(*alwaysDistinct* (*stamp-expr* *x*) (*stamp-expr* *y*))  $\wedge$   
*isBoolean* *c*

**apply** (*metis* *Canonicalization.cond-size add-lessD1 size-binary-lhs*) **apply** *auto*  
**subgoal premises** *p* **for** *m p cExpr xv cond*

**proof** –

**obtain** *cond* **where** *cond*:  $[m,p] \vdash c \mapsto cond$   
**using** *p* **by** *blast*  
**have** *cRange*: *cond* = *IntVal* 32 0  $\vee$  *cond* = *IntVal* 32 1  
**using** *p* *cond* *isBoolean-def* **by** *blast*  
**then obtain** *yv* **where** *yVal*:  $[m,p] \vdash y \mapsto yv$   
**using** *p(15)* **by** *auto*  
**obtain** *xv* **where** *xv*: *xv* = *IntVal* *b xv*  
**by** (*metis* *p(1,2,7) valid-int wf-stamp-def*)  
**obtain** *yv* **where** *yv*: *yv* = *IntVal* *b yv*  
**by** (*metis* *ExpIntBecomesIntVal p(3,4) wf-stamp-def yVal*)  
**have** *yxDiff*: *xv*  $\neq$  *yv*  
**by** (*smt* (*verit*, *del-insts*) *yVal xv wf-stamp-def valid-int-signed-range p yv*)  
**have** *eqEvalFalse*: *intval-equals* *yv xv* = (*IntVal* 32 0)  
**unfolding** *xv yv* **apply** *auto* **by** (*metis* (*mono-tags*) *bool-to-val.simps(2)*)

*yxDiff*)

**then have** *valEvalSame*: *cond* = *intval-equals* *val*[*cond* ? *xv* : *yv*] *xv*  
**apply** (*cases* *cond* = *IntVal* 32 0; *simp*) **using** *cRange xv* **by** *auto*  
**then have** *condTrue*: *val-to-bool* *cond*  $\Longrightarrow$  *cExpr* = *xv*  
**by** (*metis* (*mono-tags*, *lifting*) *cond evalDet p(11) p(7) p(9)*)  
**then have** *condFalse*:  $\neg$ (*val-to-bool* *cond*)  $\Longrightarrow$  *cExpr* = *yv*  
**by** (*metis* (*full-types*) *cond evalDet p(11) p(9) yVal*)

```

then have  $[m,p] \vdash c \mapsto \text{intval-equals } cExpr \ xv$ 
  using cond condTrue valEvalSame by fastforce
then show ?thesis
  by blast
qed
done

```

```

lemma negation-preserve-eval0:
assumes  $[m, p] \vdash \text{exp}[e] \mapsto v$ 
assumes isBoolean e
shows  $\exists v'. ([m, p] \vdash \text{exp}[!e] \mapsto v')$ 
using assms
proof –
obtain  $b \ vv$  where  $vIntVal: v = IntVal \ b \ vv$ 
  using isBoolean-def assms by blast
then have negationDefined: intval-logic-negation v  $\neq$  UndefVal
  by simp
show ?thesis
  using assms(1) negationDefined by fastforce
qed

```

```

lemma negation-preserve-eval2:
assumes  $([m, p] \vdash \text{exp}[e] \mapsto v)$ 
assumes (isBoolean e)
shows  $\exists v'. ([m, p] \vdash \text{exp}[!e] \mapsto v') \wedge v = \text{val}[!v']$ 
using assms
proof –
obtain notEval where  $notEval: ([m, p] \vdash \text{exp}[!e] \mapsto notEval)$ 
  by (metis assms negation-preserve-eval0)
then have logicNegateEquiv: notEval = intval-logic-negation v
  using evalDet assms(1) unary-eval.simps(4) by blast
then have  $vRange: v = IntVal \ 32 \ 0 \ \vee \ v = IntVal \ 32 \ 1$ 
  using assms by (auto simp add: isBoolean-def)
have evaluateNot: v = intval-logic-negation notEval
  by (metis IntVal0 IntVal1 intval-logic-negation.simps(1) logicNegateEquiv logic-negate-def vRange)
then show ?thesis
  using notEval by auto
qed

```

```

optimization ConditionalIntegerEquals-2:  $\text{exp}[BinaryExpr \ BinIntegerEquals \ (c \ ?$ 
 $x : y) \ (y)] \mapsto (!c)$ 
  when  $\text{stamp-expr } x = IntegerStamp \ b \ xl \ xh \ \wedge$ 
   $\text{wf-stamp } x \ \wedge$ 
   $\text{stamp-expr } y = IntegerStamp \ b \ yl \ yh \ \wedge$ 
   $\text{wf-stamp } y \ \wedge$ 
   $(\text{alwaysDistinct } (\text{stamp-expr } x) \ (\text{stamp-expr } y)) \ \wedge$ 

```

```

                                isBoolean c
apply (smt (verit) not-add-less1 max-less-iff-conj max.absorb3 linorder-less-linear
add-2-eq-Suc'
      add-less-cancel-right size-binary-lhs add-lessD1 Canonicalization.cond-size)
apply auto
subgoal premises p for m p cExpr yv cond trE faE
proof -
  obtain cond where cond: [m,p] ⊢ c ↦ cond
  using p by blast
  then have condNotUndef: cond ≠ UndefVal
  by (simp add: evaltree-not-undef)
  then obtain notCond where notCond: [m,p] ⊢ exp![c] ↦ notCond
  by (meson p(6) negation-preserve-eval2 cond)
  have cRange: cond = IntVal 32 0 ∨ cond = IntVal 32 1
  using p cond by (simp add: isBoolean-def)
  then have cNotRange: notCond = IntVal 32 0 ∨ notCond = IntVal 32 1
  by (metis (no-types, lifting) IntVal0 IntVal1 cond evalDet intval-logic-negation.simps(1)
      logic-negate-def negation-preserve-eval notCond)
  then obtain xv where xv: [m,p] ⊢ x ↦ xv
  using p by auto
  then have trueCond: (notCond = IntVal 32 1) ⇒ [m,p] ⊢ (ConditionalExpr
c x y) ↦ yv
  by (smt (verit, best) cRange evalDet negates negation-preserve-eval notCond
p(7) cond
      zero-less-numeral val-to-bool.simps(1) evaltree-not-undef ConditionalExpr
      ConditionalExprE)
  obtain xvv where xvv: xv = IntVal b xvv
  by (metis p(1,2) valid-int wf-stamp-def xv)
  then have opposites: notCond = intval-logic-negation cond
  by (metis cond evalDet negation-preserve-eval notCond)
  then have negate: (intval-logic-negation cond = IntVal 32 0) ⇒ (cond =
IntVal 32 1)
  using cRange intval-logic-negation.simps negates by fastforce
  have falseCond: (notCond = IntVal 32 0) ⇒ [m,p] ⊢ (ConditionalExpr c x y)
↦ xv
  unfolding opposites using negate cond evalDet p(13,14,15,16) xv by auto
  obtain yvv where yvv: yv = IntVal b yvv
  by (metis p(3,4,7) wf-stamp-def ExpIntBecomesIntVal)
  have yxDiff: xv ≠ yv
  by (metis linorder-not-less max.absorb1 max.absorb4 max-less-iff-conj min-def
xv yvv
      wf-stamp-def valid-int-signed-range p(1,2,3,4,5,7))
  then have trueEvalCond: (cond = IntVal 32 0) ⇒
      [m,p] ⊢ exp[BinaryExpr BinIntegerEquals (c ? x : y) (y)]
      ↦ intval-equals yv yv
  by (smt (verit) cNotRange trueCond ConditionalExprE cond bin-eval.simps(13)
evalDet p
      falseCond unfold-binary val-to-bool.simps(1))
  then have falseEval: (notCond = IntVal 32 0) ⇒

```



```

      [m,p] ⊢ exp[BinaryExpr BinIntegerEquals (c ? x : y) (y)]
      ↦ intval-equals xv yv
    using p by (metis ConditionalExprE bin-eval.simps(13) evalDet falseCond
  unfold-binary)
    have eqEvalFalse: intval-equals yv xv = (IntVal 32 0)
    unfolding xv yv apply auto by (metis (mono-tags) bool-to-val.simps(2)
  yxDiff yvv xv)
    have trueEvalEquiv: [m,p] ⊢ exp[BinaryExpr BinIntegerEquals (c ? x : y) (y)]
  ↦ notCond
    apply (cases notCond) prefer 2
    apply (metis IntVal0 Value.distinct(1) eqEvalFalse evalDet evaltree-not-undef
  falseEval p(6)
      intval-commute intval-logic-negation.simps(1) intval-self-is-true logic-negate-def
      negation-preserve-eval2 notCond trueEvalCond yvv cNotRange cond)
    using notCond cNotRange by auto
  show ?thesis
    using ConditionalExprE
    by (metis cNotRange falseEval notCond trueEvalEquiv trueCond falseCond
  intval-self-is-true
      yvv p(9,11) evalDet)
  qed
done

```

```

optimization ConditionalExtractCondition: exp[(c ? true : false)] ↦ c
      when isBoolean c
  using isBoolean-def by fastforce

```

```

optimization ConditionalExtractCondition2: exp[(c ? false : true)] ↦ !c
      when isBoolean c

```

```

  apply auto
  subgoal premises p for m p cExpr cond
  proof-
    obtain cond where cond: [m,p] ⊢ c ↦ cond
    using p(2) by auto
    obtain notCond where notCond: [m,p] ⊢ exp[!c] ↦ notCond
    by (metis cond negation-preserve-eval2 p(1))
    then have cRange: cond = IntVal 32 0 ∨ cond = IntVal 32 1
    using isBoolean-def cond p(1) by auto
    then have cExprRange: cExpr = IntVal 32 0 ∨ cExpr = IntVal 32 1
    by (metis (full-types) ConstantExprE p(4))
    then have condTrue: cond = IntVal 32 1 ⇒ cExpr = IntVal 32 0
    using cond evalDet p(2) p(4) by fastforce
    then have condFalse: cond = IntVal 32 0 ⇒ cExpr = IntVal 32 1
    using p cond evalDet by fastforce
    then have opposite: cond = intval-logic-negation cExpr
    by (metis (full-types) IntVal0 IntVal1 cRange condTrue intval-logic-negation.simps(1)
      logic-negate-def)
    then have eq: notCond = cExpr
    by (metis (no-types, lifting) IntVal0 IntVal1 cExprRange cond evalDet nega-

```

```

tion-preserve-eval
  intval-logic-negation.simps(1) logic-negate-def notCond)
  then show ?thesis
    using notCond by auto
  qed
done

optimization ConditionalEqualIsRHS: ((x eq y) ? x : y)  $\mapsto$  y
  apply auto
  subgoal premises p for m p v true false xa ya
  proof-
    obtain xv where xv: [m,p]  $\vdash$  x  $\mapsto$  xv
      using p(8) by auto
    obtain yv where yv: [m,p]  $\vdash$  y  $\mapsto$  yv
      using p(9) by auto
    have notUndef: xv  $\neq$  UndefVal  $\wedge$  yv  $\neq$  UndefVal
      using evaltree-not-undef xv yv by blast
    have evalNotUndef: intval-equals xv yv  $\neq$  UndefVal
      by (metis evalDet p(1,8,9) xv yv)
    obtain xb xv where xv: xv = IntVal xb xv
      by (metis Value.exhaust evalNotUndef intval-equals.simps(3,4,5) notUndef)
    obtain yb yv where yv: yv = IntVal yb yv
      by (metis evalNotUndef intval-equals.simps(7,8,9) intval-logic-negation.cases
notUndef)
    obtain vv where evalLHS: [m,p]  $\vdash$  if val-to-bool (intval-equals xv yv) then x
else y  $\mapsto$  vv
      by (metis (full-types) p(4) yv)
    obtain equ where equ: equ = intval-equals xv yv
      by fastforce
    have trueEval: equ = IntVal 32 1  $\implies$  vv = xv
      using evalLHS by (simp add: evalDet xv equ)
    have falseEval: equ = IntVal 32 0  $\implies$  vv = yv
      using evalLHS by (simp add: evalDet yv equ)
    then have vv = v
      by (metis evalDet evalLHS p(2,8,9) xv yv)
    then show ?thesis
      by (metis (full-types) bool-to-val.simps(1,2) bool-to-val-bin.simps equ evalNo-
tUndef falseEval
intval-equals.simps(1) trueEval xv yv yv)
  qed
done

optimization normalizeX: ((x eq const (IntVal 32 0)) ?
  (const (IntVal 32 0)) : (const (IntVal 32 1)))  $\mapsto$  x
  when stamp-expr x = IntegerStamp 32 0 1  $\wedge$  wf-stamp x  $\wedge$ 
  isBoolean x

  apply auto
  subgoal premises p for m p v

```

**proof** –  
**obtain**  $xa$  **where**  $xa: [m,p] \vdash x \mapsto xa$   
**using**  $p$  **by** *blast*  
**have**  $eval: [m,p] \vdash$  *if val-to-bool (intval-equals xa (IntVal 32 0))*  
*then ConstantExpr (IntVal 32 0)*  
*else ConstantExpr (IntVal 32 1)  $\mapsto v$*   
**using**  $evalDet\ p(3,4,5,6,7)\ xa$  **by** *blast*  
**then have**  $xaRange: xa = IntVal\ 32\ 0 \vee xa = IntVal\ 32\ 1$   
**using** *isBoolean-def p(3) xa* **by** *blast*  
**then have**  $6: v = xa$   
**using**  $eval\ xaRange$  **by** *auto*  
**then show** *?thesis*  
**by** (*auto simp: xa*)  
**qed**  
**done**

**optimization** *normalizeX2*:  $((x\ eq\ (const\ (IntVal\ 32\ 1)))\ ?$   
 $(const\ (IntVal\ 32\ 1)) : (const\ (IntVal\ 32\ 0))) \mapsto x$   
*when*  $(x = ConstantExpr\ (IntVal\ 32\ 0) \mid$   
 $(x = ConstantExpr\ (IntVal\ 32\ 1)))$  .

**optimization** *flipX*:  $((x\ eq\ (const\ (IntVal\ 32\ 0)))\ ?$   
 $(const\ (IntVal\ 32\ 1)) : (const\ (IntVal\ 32\ 0))) \mapsto x \oplus (const$   
 $(IntVal\ 32\ 1))$   
*when*  $(x = ConstantExpr\ (IntVal\ 32\ 0) \mid$   
 $(x = ConstantExpr\ (IntVal\ 32\ 1)))$  .

**optimization** *flipX2*:  $((x\ eq\ (const\ (IntVal\ 32\ 1)))\ ?$   
 $(const\ (IntVal\ 32\ 0)) : (const\ (IntVal\ 32\ 1))) \mapsto x \oplus$   
 $(const\ (IntVal\ 32\ 1))$   
*when*  $(x = ConstantExpr\ (IntVal\ 32\ 0) \mid$   
 $(x = ConstantExpr\ (IntVal\ 32\ 1)))$  .

**lemma** *stamp-of-default*:  
**assumes** *stamp-expr x = default-stamp*  
**assumes** *wf-stamp x*  
**shows**  $([m, p] \vdash x \mapsto v) \longrightarrow (\exists vv. v = IntVal\ 32\ vv)$   
**by** (*metis assms default-stamp valid-value-elim3 wf-stamp-def*)

**optimization** *OptimiseIntegerTest*:  
 $((x \& (const\ (IntVal\ 32\ 1)))\ eq\ (const\ (IntVal\ 32\ 0)))\ ?$   
 $(const\ (IntVal\ 32\ 0)) : (const\ (IntVal\ 32\ 1)) \mapsto$   
 $x \& (const\ (IntVal\ 32\ 1))$   
*when*  $(stamp-expr\ x = default-stamp \wedge wf-stamp\ x)$   
**apply** (*simp; rule impI; rule allI*)+; *rule impI*  
**subgoal premises**  $eval$  **for**  $m\ p\ v$

```

proof –
  obtain xv where xv:  $[m, p] \vdash x \mapsto xv$ 
    using eval by fast
  then have x32:  $\exists v. xv = \text{IntVal } 32 \ v$ 
    using stamp-of-default eval by auto
  obtain lhs where lhs:  $[m, p] \vdash \text{exp}[(((x \ \& \ (\text{const } (\text{IntVal } 32 \ 1)))) \ \text{eq} \ (\text{const } (\text{IntVal } 32 \ 0)))] \ ?$ 
     $(\text{const } (\text{IntVal } 32 \ 0)) : (\text{const } (\text{IntVal } 32 \ 1))]] \mapsto lhs$ 
    using eval(2) by auto
  then have lhsV:  $lhs = \text{val}[((xv \ \& \ (\text{IntVal } 32 \ 1)) \ \text{eq} \ (\text{IntVal } 32 \ 0)) \ ?$ 
     $(\text{IntVal } 32 \ 0) : (\text{IntVal } 32 \ 1)]$ 
    using ConditionalExprE ConstantExprE bin-eval.simps(4,11) evalDet xv un-
fold-binary
    intval-conditional.simps
    by fastforce
  obtain rhs where rhs:  $[m, p] \vdash \text{exp}[x \ \& \ (\text{const } (\text{IntVal } 32 \ 1))] \mapsto rhs$ 
    using eval(2) by blast
  then have rhsV:  $rhs = \text{val}[xv \ \& \ \text{IntVal } 32 \ 1]$ 
    by (metis BinaryExprE ConstantExprE bin-eval.simps(6) evalDet xv)
  have  $lhs = rhs$ 
    using val-optimise-integer-test x32 lhsV rhsV by presburger
  then show ?thesis
    by (metis eval(2) evalDet lhs rhs)
qed
done

```

```

optimization opt-optimise-integer-test-2:
   $((x \ \& \ (\text{const } (\text{IntVal } 32 \ 1))) \ \text{eq} \ (\text{const } (\text{IntVal } 32 \ 0))) \ ?$ 
   $(\text{const } (\text{IntVal } 32 \ 0)) : (\text{const } (\text{IntVal } 32 \ 1)) \mapsto x$ 
  when  $(x = \text{ConstantExpr } (\text{IntVal } 32 \ 0) \mid (x = \text{ConstantExpr } (\text{IntVal } 32 \ 1)))$  .

```

**end**

**end**

## 10.5 MulNode Phase

```

theory MulPhase
  imports
    Common
    Proofs.StampEvalThms

```

**begin**

**fun** *mul-size* :: *IRExpr* ⇒ *nat* **where**

*mul-size* (*UnaryExpr op e*) = (*mul-size e*) + 2 |  
*mul-size* (*BinaryExpr BinMul x y*) = ((*mul-size x*) + (*mul-size y*) + 2) \* 2 |  
*mul-size* (*BinaryExpr op x y*) = (*mul-size x*) + (*mul-size y*) + 2 |  
*mul-size* (*ConditionalExpr cond t f*) = (*mul-size cond*) + (*mul-size t*) + (*mul-size f*) + 2 |  
*mul-size* (*ConstantExpr c*) = 1 |  
*mul-size* (*ParameterExpr ind s*) = 2 |  
*mul-size* (*LeafExpr nid s*) = 2 |  
*mul-size* (*ConstantVar c*) = 2 |  
*mul-size* (*VariableExpr x s*) = 2

**phase** *MulNode*

**terminating** *mul-size*

**begin**

**lemma** *bin-eliminate-redundant-negative*:

*uminus* (*x* :: '*a*::*len* *word*) \* *uminus* (*y* :: '*a*::*len* *word*) = *x* \* *y*  
**by** *simp*

**lemma** *bin-multiply-identity*:

(*x* :: '*a*::*len* *word*) \* 1 = *x*  
**by** *simp*

**lemma** *bin-multiply-eliminate*:

(*x* :: '*a*::*len* *word*) \* 0 = 0  
**by** *simp*

**lemma** *bin-multiply-negative*:

(*x* :: '*a*::*len* *word*) \* *uminus* 1 = *uminus x*  
**by** *simp*

**lemma** *bin-multiply-power-2*:

(*x* :: '*a*::*len* *word*) \* (2<sup>*j*</sup>) = *x* << *j*  
**by** *simp*

**lemma** *take-bit64*[*simp*]:

**fixes** *w* :: *int64*  
**shows** *take-bit 64 w* = *w*

**proof** –

**have** *Nat.size w* = 64  
**by** (*simp add: size64*)

**then show** ?*thesis*

**by** (*metis lt2p-lem mask-eq-iff take-bit-eq-mask verit-comp-simplify1* (2) *wsst-TYs*(3))

**qed**

**lemma** *mergeTakeBit*:  
**fixes**  $a :: \text{nat}$   
**fixes**  $b\ c :: 64\ \text{word}$   
**shows**  $\text{take-bit } a\ (\text{take-bit } a\ (b) * \text{take-bit } a\ (c)) =$   
 $\text{take-bit } a\ (b * c)$   
**by** (*smt (verit, ccfv-SIG) take-bit-mult take-bit-of-int unsigned-take-bit-eq word-mult-def*)

**lemma** *val-eliminate-redundant-negative*:  
**assumes**  $\text{val}[-x * -y] \neq \text{UndefVal}$   
**shows**  $\text{val}[-x * -y] = \text{val}[x * y]$   
**by** (*cases x; cases y; auto simp: mergeTakeBit*)

**lemma** *val-multiply-neutral*:  
**assumes**  $x = \text{new-int } b\ v$   
**shows**  $\text{val}[x * (\text{IntVal } b\ 1)] = x$   
**by** (*auto simp: assms*)

**lemma** *val-multiply-zero*:  
**assumes**  $x = \text{new-int } b\ v$   
**shows**  $\text{val}[x * (\text{IntVal } b\ 0)] = \text{IntVal } b\ 0$   
**by** (*simp add: assms*)

**lemma** *val-multiply-negative*:  
**assumes**  $x = \text{new-int } b\ v$   
**shows**  $\text{val}[x * -(\text{IntVal } b\ 1)] = \text{val}[-x]$   
**unfolding** *assms(1)* **apply** *auto*  
**by** (*metis bin-multiply-negative mergeTakeBit take-bit-minus-one-eq-mask*)

**lemma** *val-MulPower2*:  
**fixes**  $i :: 64\ \text{word}$   
**assumes**  $y = \text{IntVal } 64\ (2 \wedge \text{unat}(i))$   
**and**  $0 < i$   
**and**  $i < 64$   
**and**  $\text{val}[x * y] \neq \text{UndefVal}$   
**shows**  $\text{val}[x * y] = \text{val}[x \ll \text{IntVal } 64\ i]$   
**using** *assms* **apply** (*cases x; cases y; auto*)  
**subgoal** **premises**  $p$  **for**  $x2$   
**proof** –  
**have**  $63 :: \text{int}64 = \text{mask } 6$   
**by** *eval*  
**then** **have**  $(2 :: \text{int}) \wedge 6 = 64$   
**by** *eval*  
**then** **have**  $\text{uint } i < (2 :: \text{int}) \wedge 6$   
**by** (*metis linorder-not-less lt2p-lem of-int-numeral p(4) word-2p-lem word-of-int-2p*)

```

      wsst-TYs(3))
    then have and i (mask 6) = i
      using mask-eq-iff by blast
    then show x2 << unat i = x2 << unat (and i (63::64 word))
      by (auto simp: 63)
    qed
  by presburger

```

**lemma** *val-MulPower2Add1*:

```

  fixes i :: 64 word
  assumes y = IntVal 64 ((2 ^ unat(i)) + 1)
  and 0 < i
  and i < 64
  and val-to-bool(val[IntVal 64 0 < x])
  and val-to-bool(val[IntVal 64 0 < y])
  shows val[x * y] = val[(x << IntVal 64 i) + x]
  using assms apply (cases x; cases y; auto)
  subgoal premises p for x2
  proof -
    have 63: (63 :: int64) = mask 6
      by eval
    then have (2 :: int) ^ 6 = 64
      by eval
    then have and i (mask 6) = i
      by (simp add: less-mask-eq p(6))
    then have x2 * (2 ^ unat i + 1) = (x2 * (2 ^ unat i)) + x2
      by (simp add: distrib-left)
    then show x2 * (2 ^ unat i + 1) = x2 << unat (and i 63) + x2
      by (simp add: 63 ‹and i (mask 6) = i›)
    qed
  using val-to-bool.simps(2) by presburger

```

**lemma** *val-MulPower2Sub1*:

```

  fixes i :: 64 word
  assumes y = IntVal 64 ((2 ^ unat(i)) - 1)
  and 0 < i
  and i < 64
  and val-to-bool(val[IntVal 64 0 < x])
  and val-to-bool(val[IntVal 64 0 < y])
  shows val[x * y] = val[(x << IntVal 64 i) - x]
  using assms apply (cases x; cases y; auto)
  subgoal premises p for x2
  proof -
    have 63: (63 :: int64) = mask 6
      by eval
    then have (2 :: int) ^ 6 = 64
      by eval

```

```

then have  $\text{and } i \text{ (mask } 6) = i$ 
  by (simp add: less-mask-eq p(6))
then have  $x2 * (2^{\text{unat } i - 1}) = (x2 * (2^{\text{unat } i})) - x2$ 
  by (simp add: right-diff-distrib')
then show  $x2 * (2^{\text{unat } i - 1}) = x2 \ll \text{unat } (\text{and } i \text{ } 63) - x2$ 
  by (simp add: 63 (and i (mask 6) = i))
qed
using val-to-bool.simps(2) by presburger

```

**lemma** *val-distribute-multiplication:*

```

assumes  $x = \text{IntVal } b \text{ } xx \wedge q = \text{IntVal } b \text{ } qq \wedge a = \text{IntVal } b \text{ } aa$ 
assumes  $\text{val}[x * (q + a)] \neq \text{UndefVal}$ 
assumes  $\text{val}[(x * q) + (x * a)] \neq \text{UndefVal}$ 
shows  $\text{val}[x * (q + a)] = \text{val}[(x * q) + (x * a)]$ 
using assms apply (cases x; cases q; cases a; auto)
by (metis (no-types, opaque-lifting) distrib-left new-int.elims new-int-unused-bits-zero mergeTakeBit)

```

**lemma** *val-distribute-multiplication64:*

```

assumes  $x = \text{new-int } 64 \text{ } xx \wedge q = \text{new-int } 64 \text{ } qq \wedge a = \text{new-int } 64 \text{ } aa$ 
shows  $\text{val}[x * (q + a)] = \text{val}[(x * q) + (x * a)]$ 
using assms apply (cases x; cases q; cases a; auto)
using distrib-left by blast

```

**lemma** *val-MulPower2AddPower2:*

```

fixes  $i \ j :: 64 \text{ word}$ 
assumes  $y = \text{IntVal } 64 \text{ } ((2^{\text{unat}(i)}) + (2^{\text{unat}(j)}))$ 
and  $0 < i$ 
and  $0 < j$ 
and  $i < 64$ 
and  $j < 64$ 
and  $x = \text{new-int } 64 \text{ } xx$ 
shows  $\text{val}[x * y] = \text{val}[(x \ll \text{IntVal } 64 \text{ } i) + (x \ll \text{IntVal } 64 \text{ } j)]$ 
proof -
  have  $63 :: \text{int}64 = \text{mask } 6$ 
    by eval
  then have  $(2 :: \text{int})^6 = 64$ 
    by eval
  then have  $n: \text{IntVal } 64 \text{ } ((2^{\text{unat}(i)}) + (2^{\text{unat}(j)})) =$ 
     $\text{val}[(\text{IntVal } 64 \text{ } (2^{\text{unat}(i)})) + (\text{IntVal } 64 \text{ } (2^{\text{unat}(j)})]$ 
    by auto
  then have  $1: \text{val}[x * ((\text{IntVal } 64 \text{ } (2^{\text{unat}(i)})) + (\text{IntVal } 64 \text{ } (2^{\text{unat}(j)})))]$ 
  =
     $\text{val}[(x * \text{IntVal } 64 \text{ } (2^{\text{unat}(i)})) + (x * \text{IntVal } 64 \text{ } (2^{\text{unat}(j)})]$ 
    using assms val-distribute-multiplication64 by simp

```



```

then have 2: val[(x * IntVal 64 (2 ^ unat(i)))] = val[x << IntVal 64 i]
  by (metis (no-types, opaque-lifting) Value.distinct(1) intval-mul.simps(1)
new-int.simps
    new-int-bin.simps assms(2,4,6) val-MulPower2)
then show ?thesis
  by (metis (no-types, lifting) 1 Value.distinct(1) n intval-mul.simps(1) new-int-bin.elims
    new-int.simps val-MulPower2 assms(1,3,5,6))
qed

```

**thm-oracles** val-MulPower2AddPower2

**lemma** exp-multiply-zero-64:

```

shows exp[x * (const (IntVal b 0))] ≥ ConstantExpr (IntVal b 0)
apply auto
subgoal premises p for m p xa
proof -
  obtain xv where xv: [m,p] ⊢ x ↦ xv
    using p(1) by auto
  obtain xb xv' where xv': xv = IntVal xb xv'
  by (metis evalDet p(1,2) xv evaltree-not-undef intval-is-null.cases intval-mul.simps(3,4,5))
  then have evalNotUndef: val[xv * (IntVal b 0)] ≠ UndefVal
    using p evalDet xv by blast
  then have mulUnfold: val[xv * (IntVal b 0)] = IntVal xb (take-bit xb (xv'*0))
    by (metis new-int.simps xv' new-int-bin.simps intval-mul.simps(1))
  then have isZero: val[xv * (IntVal b 0)] = (new-int xb (0))
    by (simp add: mulUnfold)
  then have eq: (IntVal b 0) = (IntVal xb (0))
    by (metis Value.distinct(1) intval-mul.simps(1) mulUnfold new-int-bin.elims
xv')
  then show ?thesis
    using evalDet isZero p(1,3) xv by fastforce
qed
done

```

**lemma** exp-multiply-neutral:

```

exp[x * (const (IntVal b 1))] ≥ x
apply auto
subgoal premises p for m p xa
proof -
  obtain xv where xv: [m,p] ⊢ x ↦ xv
    using p(1) by auto
  obtain xb xv' where xv': xv = IntVal xb xv'
  by (smt (z3) evalDet intval-mul.elims p(1,2) xv)
  then have evalNotUndef: val[xv * (IntVal b 1)] ≠ UndefVal
    using p evalDet xv by blast
  then have mulUnfold: val[xv * (IntVal b 1)] = IntVal xb (take-bit xb (xv'*1))
    by (metis new-int.simps xv' new-int-bin.simps intval-mul.simps(1))
  then show ?thesis

```

```

    by (metis bin-multiply-identity evalDet eval-unused-bits-zero p(1) xv xv)
qed
done

thm-oracles exp-multiply-neutral

lemma exp-multiply-negative:
  exp[x * -(const (IntVal b 1))] ≥ exp[-x]
  apply auto
  subgoal premises p for m p xa
  proof -
    obtain xv where xv: [m,p] ⊢ x ↦ xv
    using p(1) by auto
    obtain xb xv where xv: xv = IntVal xb xv
    by (metis array-length.cases evalDet evaltree-not-undef intval-mul.simps(3,4,5)
  p(1,2) xv)
    then have rewrite: val[-(IntVal b 1)] = IntVal b (mask b)
    by simp
    then have evalNotUndef: val[xv * -(IntVal b 1)] ≠ UndefVal
    unfolding rewrite using evalDet p(1,2) xv by blast
    then have mulUnfold: val[xv * (IntVal b (mask b))] =
      (if xb=b then (IntVal xb (take-bit xb (xv*(mask xb)))) else
  UndefVal)
    by (metis new-int.simps xv new-int-bin.simps intval-mul.simps(1))
    then have sameWidth: xb=b
    by (metis evalNotUndef rewrite)
    then show ?thesis
    by (metis evalDet eval-unused-bits-zero new-int.elims p(1,2) rewrite unary-eval.simps(2)
  xv
      unfold-unary val-multiply-negative xv)
qed
done

lemma exp-MulPower2:
  fixes i :: 64 word
  assumes y = ConstantExpr (IntVal 64 (2 ^ unat(i)))
  and 0 < i
  and i < 64
  and exp[x > (const IntVal b 0)]
  and exp[y > (const IntVal b 0)]
  shows exp[x * y] ≥ exp[x << ConstantExpr (IntVal 64 i)]
  using ConstantExprE equiv-exprs-def unfold-binary assms by fastforce

lemma exp-MulPower2Add1:
  fixes i :: 64 word
  assumes y = ConstantExpr (IntVal 64 ((2 ^ unat(i)) + 1))
  and 0 < i
  and i < 64
  and exp[x > (const IntVal b 0)]

```

```

and    exp[y > (const IntVal b 0)]
shows  exp[x * y] ≥ exp[(x << ConstantExpr (IntVal 64 i)) + x]
using  ConstantExprE equiv-exprs-def unfold-binary assms by fastforce

```

**lemma** *exp-MulPower2Sub1*:

```

fixes  i :: 64 word
assumes y = ConstantExpr (IntVal 64 ((2 ^ unat(i)) - 1))
and    0 < i
and    i < 64
and    exp[x > (const IntVal b 0)]
and    exp[y > (const IntVal b 0)]
shows  exp[x * y] ≥ exp[(x << ConstantExpr (IntVal 64 i)) - x]
using  ConstantExprE equiv-exprs-def unfold-binary assms by fastforce

```

**lemma** *exp-MulPower2AddPower2*:

```

fixes  i j :: 64 word
assumes y = ConstantExpr (IntVal 64 ((2 ^ unat(i)) + (2 ^ unat(j))))
and    0 < i
and    0 < j
and    i < 64
and    j < 64
and    exp[x > (const IntVal b 0)]
and    exp[y > (const IntVal b 0)]
shows  exp[x * y] ≥ exp[(x << ConstantExpr (IntVal 64 i)) + (x << ConstantExpr (IntVal 64 j))]
using  ConstantExprE equiv-exprs-def unfold-binary assms by fastforce

```

**lemma** *greaterConstant*:

```

fixes  a b :: 64 word
assumes a > b
and    y = ConstantExpr (IntVal 32 a)
and    x = ConstantExpr (IntVal 32 b)
shows  exp[BinaryExpr BinIntegerLessThan y x] ≥ exp[const (new-int 32 0)]
using  assms
apply  simp unfolding equiv-exprs-def apply auto
sorry

```

**lemma** *exp-distribute-multiplication*:

```

assumes stamp-expr x = IntegerStamp b xl xh
assumes stamp-expr q = IntegerStamp b ql qh
assumes stamp-expr y = IntegerStamp b yl yh
assumes wf-stamp x
assumes wf-stamp q
assumes wf-stamp y
shows  exp[(x * q) + (x * y)] ≥ exp[x * (q + y)]
apply  auto
subgoal premises p for m p xa qa xb aa

```

```

proof –
  obtain xv where xv: [m,p] ⊢ x ↦ xv
    using p by simp
  obtain qv where qv: [m,p] ⊢ q ↦ qv
    using p by simp
  obtain yv where yv: [m,p] ⊢ y ↦ yv
    using p by simp
  then obtain xvv where xvv: xv = IntVal b xvv
    by (metis assms(1,4) valid-int wf-stamp-def xv)
  then obtain qvv where qvv: qv = IntVal b qvv
    by (metis qv valid-int assms(2,5) wf-stamp-def)
  then obtain yvv where yvv: yv = IntVal b yvv
    by (metis yv valid-int assms(3,6) wf-stamp-def)
  then have rhsDefined: val[xv * (qv + yv)] ≠ UndefVal
    by (simp add: xvv qvv)
  have val[xv * (qv + yv)] = val[(xv * qv) + (xv * yv)]
    using val-distribute-multiplication by (simp add: yvv qvv xvv)
  then show ?thesis
    by (metis bin-eval.simps(1,3) BinaryExpr p(1,2,3,5,6) qv xv evalDet yv qvv
      Value.distinct(1)
      yvv intval-add.simps(1))
  qed
done

```

Optimisations

```

optimization EliminateRedundantNegative:  $-x * -y \mapsto x * y$ 
  apply auto
  by (metis BinaryExpr val-eliminate-redundant-negative bin-eval.simps(3))

```

```

optimization MulNeutral:  $x * \text{ConstantExpr} (\text{IntVal } b \ 1) \mapsto x$ 
  using exp-multiply-neutral by blast

```

```

optimization MulEliminator:  $x * \text{ConstantExpr} (\text{IntVal } b \ 0) \mapsto \text{const} (\text{IntVal } b \ 0)$ 
  using exp-multiply-zero-64 by fast

```

```

optimization MulNegate:  $x * -(\text{const} (\text{IntVal } b \ 1)) \mapsto -x$ 
  using exp-multiply-negative by presburger

```

```

fun isNonZero :: Stamp ⇒ bool where
  isNonZero (IntegerStamp b lo hi) = (lo > 0) |
  isNonZero - = False

```

```

lemma isNonZero-defn:
  assumes isNonZero (stamp-expr x)
  assumes wf-stamp x
  shows ( $[m, p] \vdash x \mapsto v \longrightarrow (\exists vv \ b. (v = \text{IntVal } b \ vv \wedge \text{val-to-bool } \text{val}[(\text{IntVal } b \ 0) < v]))$ )
  apply (rule impI) subgoal premises eval

```

**proof** –  
**obtain**  $b\ lo\ hi$  **where**  $xstamp: stamp\text{-}expr\ x = IntegerStamp\ b\ lo\ hi$   
  **by** (*meson isNonZero.elims(2) assms*)  
**then obtain**  $vv$  **where**  $vdef: v = IntVal\ b\ vv$   
  **by** (*metis assms(2) eval valid-int wf-stamp-def*)  
**have**  $lo > 0$   
  **using** *assms(1) xstamp* **by** *force*  
**then have** *signed-above: int-signed-value b vv > 0*  
  **using** *assms eval vdef xstamp wf-stamp-def* **by** *fastforce*  
**have** *take-bit b vv = vv*  
  **using** *eval eval-unused-bits-zero vdef* **by** *auto*  
**then have**  $vv > 0$   
  **by** (*metis bit-take-bit-iff int-signed-value.simps signed-eq-0-iff take-bit-of-0 signed-above*  
  *verit-comp-simplify1(1) word-gt-0 signed-take-bit-eq-if-positive*)  
**then show** *?thesis*  
  **using** *vdef signed-above* **by** *simp*  
**qed**  
**done**

**lemma** *ExpIntBecomesIntValArbitrary*:  
  **assumes**  $stamp\text{-}expr\ x = IntegerStamp\ b\ xl\ xh$   
  **assumes** *wf-stamp x*  
  **assumes** *valid-value v (IntegerStamp b xl xh)*  
  **assumes**  $[m, p] \vdash x \mapsto v$   
  **shows**  $\exists xv. v = IntVal\ b\ xv$   
  **using** *assms* **by** (*simp add: IRTreeEvalThms.valid-value-elim(3)*)

**optimization** *MulPower2*:  $x * y \mapsto x \ll const\ (IntVal\ 64\ i)$   
  **when** ( $i > 0 \wedge stamp\text{-}expr\ x = IntegerStamp\ 64\ xl\ xh \wedge$   
*wf-stamp x*  $\wedge$

$$64 > i \wedge y = exp[const\ (IntVal\ 64\ (2 \wedge unat(i)))]$$

**apply** *simp apply (rule impI; (rule allI)+; rule impI)*  
  **subgoal premises** *eval* **for**  $m\ p\ v$

**proof** –  
**obtain**  $xv$  **where**  $xv: [m, p] \vdash x \mapsto xv$   
  **using** *eval(2)* **by** *blast*  
**then have** *notUndef: xv ≠ UndefVal*  
  **by** (*simp add: evaltree-not-undef*)  
**obtain**  $xb\ xv$  **where**  $xv = IntVal\ xb\ xv$   
  **by** (*metis wf-stamp-def eval(1) ExpIntBecomesIntValArbitrary xv*)  
**then have**  $w64: xb = 64$   
  **by** (*metis wf-stamp-def intval-bits.simps ExpIntBecomesIntValArbitrary xv eval(1)*)  
**obtain**  $yv$  **where**  $yv: [m, p] \vdash y \mapsto yv$   
  **using** *eval(1,2)* **by** *blast*  
**then have** *lhs: [m, p] ⊢ exp[x \* y] ↦ val[xv \* yv]*  
  **by** (*metis bin-eval.simps(3) eval(1,2) evalDet unfold-binary xv*)  
**have**  $[m, p] \vdash exp[const\ (IntVal\ 64\ i)] \mapsto val[(IntVal\ 64\ i)]$   
  **by** (*smt (verit, ccfv-SIG) ConstantExpr constantAsStamp.simps(1) eval-bits-1-64*)

```

take-bit64 xv xv
  validStampIntConst wf-value-def valid-value.simps(1) w64
  then have rhs: [m, p] ⊢ exp[x << const (IntVal 64 i)] ↔ val[xv << (IntVal 64
i)]
  by (metis Value.simps(5) bin-eval.simps(10) intval-left-shift.simps(1) new-int.simps
xv xv
      evaltree.BinaryExpr)
  have val[xv * yv] = val[xv << (IntVal 64 i)]
  by (metis ConstantExprE eval(1) evaltree-not-undef lhs yv val-MulPower2)
  then show ?thesis
  by (metis eval(1,2) evalDet lhs rhs)
qed
done

optimization MulPower2Add1: x * y ⟶ (x << const (IntVal 64 i)) + x
  when (i > 0 ∧ stamp-expr x = IntegerStamp 64 xl xh ∧
wf-stamp x ∧
      64 > i ∧
      y = ConstantExpr (IntVal 64 ((2 ^ unat(i)) + 1)) )
  apply simp apply (rule impI; (rule allI)+; rule impI)
  subgoal premises p for m p v
  proof -
  obtain xv where xv: [m, p] ⊢ x ↔ xv
  using p by fast
  then obtain xv where xv: xv = IntVal 64 xv
  using p by (metis valid-int wf-stamp-def)
  obtain yv where yv: [m, p] ⊢ y ↔ yv
  using p by blast
  have ygezero: y > ConstantExpr (IntVal 64 0)
  using greaterConstant p wf-value-def sorry
  then have 1: 0 < i ∧
      i < 64 ∧
      y = ConstantExpr (IntVal 64 ((2 ^ unat(i)) + 1))
  using p by blast
  then have lhs: [m, p] ⊢ exp[x * y] ↔ val[xv * yv]
  by (metis bin-eval.simps(3) evalDet p(2) xv yv unfold-binary)
  then have [m, p] ⊢ exp[const (IntVal 64 i)] ↔ val[(IntVal 64 i)]
  by (metis wf-value-def verit-comp-simplify1(2) zero-less-numeral ConstantExpr
take-bit64
      constantAsStamp.simps(1) validStampIntConst valid-value.simps(1))
  then have rhs2: [m, p] ⊢ exp[x << const (IntVal 64 i)] ↔ val[xv << (IntVal
64 i)]
  by (metis Value.simps(5) bin-eval.simps(10) intval-left-shift.simps(1) new-int.simps
xv xv
      evaltree.BinaryExpr)
  then have rhs: [m, p] ⊢ exp[(x << const (IntVal 64 i)) + x] ↔ val[(xv <<
(IntVal 64 i)) + xv]
  by (metis (no-types, lifting) intval-add.simps(1) bin-eval.simps(1) Value.simps(5)
xv xv

```

```

    evaltree.BinaryExpr intval-left-shift.simps(1) new-int.simps)
  then have simple: val[xv * (IntVal 64 (2 ^ unat(i)))] = val[xv << (IntVal 64
i)]
    using val-MulPower2 sorry
  then have val[xv * yv] = val[(xv << (IntVal 64 i)) + xv]
    using val-MulPower2Add1 sorry
  then show ?thesis
    by (metis 1 evalDet lhs p(2) rhs)
qed
done

optimization MulPower2Sub1: x * y  $\mapsto$  (x << const (IntVal 64 i)) - x
    when (i > 0  $\wedge$  stamp-expr x = IntegerStamp 64 xl xh  $\wedge$ 
wf-stamp x  $\wedge$ 
    64 > i  $\wedge$ 
    y = ConstantExpr (IntVal 64 ((2 ^ unat(i)) - 1)) )
  apply simp apply (rule impI; (rule allI)+; rule impI)
  subgoal premises p for m p v
  proof -
    obtain xv where xv: [m,p]  $\vdash$  x  $\mapsto$  xv
      using p by fast
    then obtain xvv where xvv: xv = IntVal 64 xvv
      using p by (metis valid-int wf-stamp-def)
    obtain yv where yv: [m,p]  $\vdash$  y  $\mapsto$  yv
      using p by blast
    have ygezero: y > ConstantExpr (IntVal 64 0) sorry
    then have 1: 0 < i  $\wedge$ 
      i < 64  $\wedge$ 
      y = ConstantExpr (IntVal 64 ((2 ^ unat(i)) - 1))
      using p by blast
    then have lhs: [m, p]  $\vdash$  exp[x * y]  $\mapsto$  val[xv * yv]
      by (metis bin-eval.simps(3) evalDet p(2) xv yv unfold-binary)
    then have [m, p]  $\vdash$  exp[const (IntVal 64 i)]  $\mapsto$  val[(IntVal 64 i)]
      by (metis wf-value-def verit-comp-simplify1(2) zero-less-numeral ConstantExpr
take-bit64
    constantAsStamp.simps(1) validStampIntConst valid-value.simps(1))
    then have rhs2: [m, p]  $\vdash$  exp[x << const (IntVal 64 i)]  $\mapsto$  val[xv << (IntVal
64 i)]
      by (metis Value.simps(5) bin-eval.simps(10) intval-left-shift.simps(1) new-int.simps
xv xvv
    evaltree.BinaryExpr)
    then have rhs: [m, p]  $\vdash$  exp[(x << const (IntVal 64 i)) - x]  $\mapsto$  val[(xv <<
(IntVal 64 i)) - xv]
      using 1 equiv-exprs-def ygezero yv by fastforce
    then have val[xv * yv] = val[(xv << (IntVal 64 i)) - xv]
      using 1 exp-MulPower2Sub1 ygezero sorry
    then show ?thesis
      by (metis evalDet lhs p(1) p(2) rhs)

```

qed  
done

end

end

## 10.6 NotNode Phase

**theory** *NotPhase*

**imports**

*Common*

**begin**

**phase** *NotNode*

**terminating** *size*

**begin**

**lemma** *bin-not-cancel*:

$bin[\neg(\neg(e))] = bin[e]$

**by** *auto*

**lemma** *val-not-cancel*:

**assumes**  $val[\sim(new-int\ b\ v)] \neq UndefinedVal$

**shows**  $val[\sim(\sim(new-int\ b\ v))] = (new-int\ b\ v)$

**by** (*simp add: take-bit-not-take-bit*)

**lemma** *exp-not-cancel*:

$exp[\sim(\sim a)] \geq exp[a]$

**apply** *auto*

**subgoal** **premises** *p* **for** *m p x*

**proof** –

**obtain** *av* **where**  $av: [m,p] \vdash a \mapsto av$

**using** *p(2)* **by** *auto*

**obtain** *bv avv* **where**  $avv: av = IntVal\ bv\ avv$

**by** (*metis Value.exhaust av evalDet evaltree-not-undef intval-not.simps(3,4,5)*)

*p(2,3)*)

**then** **have** *valEval*:  $val[\sim(\sim av)] = val[av]$

**by** (*metis av avv evalDet eval-unused-bits-zero new-int.elims p(2,3) val-not-cancel*)

**then** **show** *?thesis*

**by** (*metis av evalDet p(2)*)

qed

done

Optimisations

**optimization** *NotCancel*:  $exp[\sim(\sim a)] \mapsto a$



by (*metis exp-not-cancel*)

end

end

## 10.7 OrNode Phase

theory *OrPhase*

imports

*Common*

begin

context *stamp-mask*

begin

Taking advantage of the truth table of or operations.

#	x	y	$x y$
1	0	0	0
2	0	1	1
3	1	0	1
4	1	1	1

If row 2 never applies, that is,  $\text{canBeZero } x \ \& \ \text{canBeOne } y = 0$ , then  $(x|y) = x$ .

Likewise, if row 3 never applies,  $\text{canBeZero } y \ \& \ \text{canBeOne } x = 0$ , then  $(x|y) = y$ .

**lemma** *OrLeftFallthrough*:

assumes (*and* (*not* ( $\downarrow x$ )) ( $\uparrow y$ )) = 0

shows  $\text{exp}[x \ | \ y] \geq \text{exp}[x]$

using *assms*

apply *simp* apply ((*rule allI*)<sub>+</sub>; *rule impI*)

subgoal premises *eval* for *m p v*

proof –

obtain *b vv* where  $e: [m, p] \vdash \text{exp}[x \ | \ y] \mapsto \text{IntVal } b \ vv$

by (*metis BinaryExprE bin-eval-new-int new-int.simps eval*(2))

from *e* obtain *xv* where  $xv: [m, p] \vdash x \mapsto \text{IntVal } b \ xv$

apply (*subst* (*asm*) *unfold-binary-width*) by *force*+

from *e* obtain *yv* where  $yv: [m, p] \vdash y \mapsto \text{IntVal } b \ yv$

apply (*subst* (*asm*) *unfold-binary-width*) by *force*+

have *vdef*:  $v = \text{val}[(\text{IntVal } b \ xv) \ | \ (\text{IntVal } b \ yv)]$

by (*metis bin-eval.simps*(7) *eval*(2) *evalDet* *unfold-binary* *xv yv*)

have  $\forall i. (\text{bit } xv \ i) \ | \ (\text{bit } yv \ i) = (\text{bit } v \ i)$

by (*metis assms bit-and-iff not-down-up-mask-and-zero-implies-zero xv yv*)

then have  $\text{IntVal } b \ xv = \text{val}[(\text{IntVal } b \ xv) \ | \ (\text{IntVal } b \ yv)]$

by (*metis* (*no-types*, *lifting*) *and.idem* *assms bit.conj-disj-distrib* *eval-unused-bits-zero yv xv*)

```

    intval-or.simps(1) new-int.simps new-int-bin.simps not-down-up-mask-and-zero-implies-zero

    word-ao-absorbs(3))
  then show ?thesis
    using xv vdef by presburger
qed
done

lemma OrRightFallthrough:
  assumes (and (not (↓y)) (↑x)) = 0
  shows exp[x | y] ≥ exp[y]
  using assms
  apply simp apply ((rule allI)+; rule impI)
  subgoal premises eval for m p v
  proof -
    obtain b vv where e: [m, p] ⊢ exp[x | y] ↦ IntVal b vv
      by (metis BinaryExprE bin-eval-new-int new-int.simps eval(2))
    from e obtain xv where xv: [m, p] ⊢ x ↦ IntVal b xv
      apply (subst (asm) unfold-binary-width) by force+
    from e obtain yv where yv: [m, p] ⊢ y ↦ IntVal b yv
      apply (subst (asm) unfold-binary-width) by force+
    have vdef: v = val[(IntVal b xv) | (IntVal b yv)]
      by (metis bin-eval.simps(7) eval(2) evalDet unfold-binary xv yv)
    have ∀ i. (bit xv i) | (bit yv i) = (bit vv i)
      by (metis assms bit-and-iff not-down-up-mask-and-zero-implies-zero xv yv)
    then have IntVal b vv = val[(IntVal b xv) | (IntVal b yv)]
      by (metis (no-types, lifting) assms eval-unused-bits-zero intval-or.simps(1)
new-int.elims yv
      new-int-bin.elims stamp-mask.not-down-up-mask-and-zero-implies-zero
stamp-mask-axioms xv
      word-ao-absorbs(8))
    then show ?thesis
      using vdef yv by presburger
qed
done

end

phase OrNode
  terminating size
begin

lemma bin-or-equal:
  bin[x | x] = bin[x]
  by simp

lemma bin-shift-const-right-helper:
  x | y = y | x

```

by *simp*

**lemma** *bin-or-not-operands*:

$(\sim x \mid \sim y) = (\sim(x \& y))$

by *simp*

**lemma** *val-or-equal*:

**assumes**  $x = \text{new-int } b \ v$

**and**  $\text{val}[x \mid x] \neq \text{UndefVal}$

**shows**  $\text{val}[x \mid x] = \text{val}[x]$

by (*auto simp: assms*)

**lemma** *val-elim-redundant-false*:

**assumes**  $x = \text{new-int } b \ v$

**and**  $\text{val}[x \mid \text{false}] \neq \text{UndefVal}$

**shows**  $\text{val}[x \mid \text{false}] = \text{val}[x]$

using *assms* by (*cases x; auto; presburger*)

**lemma** *val-shift-const-right-helper*:

$\text{val}[x \mid y] = \text{val}[y \mid x]$

by (*cases x; cases y; auto simp: or commute*)

**lemma** *val-or-not-operands*:

$\text{val}[\sim x \mid \sim y] = \text{val}[\sim(x \& y)]$

by (*cases x; cases y; auto simp: take-bit-not-take-bit*)

**lemma** *exp-or-equal*:

$\text{exp}[x \mid x] \geq \text{exp}[x]$

apply *auto[1]*

**subgoal premises**  $p$  **for**  $m \ p \ x \ a \ y \ a$

**proof**–

**obtain**  $xv$  **where**  $xv: [m,p] \vdash x \mapsto xv$

using  $p(1)$  by *auto*

**obtain**  $xb \ xv$  **where**  $xv: xv = \text{IntVal } xb \ xv$

by (*metis evalDet evaltree-not-undef intval-is-null.cases intval-or.simps(3,4,5)*)

$p(1,3) \ xv$

**then have** *evalNotUndef*:  $\text{val}[xv \mid xv] \neq \text{UndefVal}$

using  $p$  *evalDet xv* by *blast*

**then have** *orUnfold*:  $\text{val}[xv \mid xv] = (\text{new-int } xb \ (\text{or } xv \ xv))$

by (*simp add: xv*)

**then have** *simplify*:  $\text{val}[xv \mid xv] = (\text{new-int } xb \ (xv))$

by (*simp add: orUnfold*)

**then have** *eq*:  $(xv) = (\text{new-int } xb \ (xv))$

using *eval-unused-bits-zero xv xv* by *auto*

**then show** *?thesis*

by (*metis evalDet p(1,2) simplify xv*)

**qed**

**done**

**lemma** *exp-elim-redundant-false*:

```
exp[x | false] ≥ exp[x]
apply auto[1]
subgoal premises p for m p xa
proof –
  obtain xv where xv: [m,p] ⊢ x ↦ xv
  using p(1) by auto
  obtain xb xv where xv: xv = IntVal xb xv
  by (metis evalDet evaltree-not-undef intval-is-null.cases intval-or.simps(3,4,5)
p(1,2) xv)
  then have evalNotUndef: val[xv | (IntVal 32 0)] ≠ UndefVal
  using p evalDet xv by blast
  then have widthSame: xb=32
  by (metis intval-or.simps(1) new-int-bin.simps xv)
  then have orUnfold: val[xv | (IntVal 32 0)] = (new-int xb (or xv 0))
  by (simp add: xv)
  then have simplify: val[xv | (IntVal 32 0)] = (new-int xb (xv))
  by (simp add: orUnfold)
  then have eq: (xv) = (new-int xb (xv))
  using eval-unused-bits-zero xv xv by auto
  then show ?thesis
  by (metis evalDet p(1) simplify xv)
qed
done
```

Optimisations

**optimization** *OrEqual*:  $x \mid x \mapsto x$   
**by** (meson exp-or-equal)

**optimization** *OrShiftConstantRight*:  $((\text{const } x) \mid y) \mapsto y \mid (\text{const } x)$  when  $\neg(\text{is-ConstantExpr } y)$   
**using** size-flip-binary **by** (auto simp: BinaryExpr unfold-const val-shift-const-right-helper)

**optimization** *EliminateRedundantFalse*:  $x \mid \text{false} \mapsto x$   
**by** (meson exp-elim-redundant-false)

**optimization** *OrNotOperands*:  $(\sim x \mid \sim y) \mapsto \sim(x \& y)$   
**apply** (metis add-2-eq-Suc' less-SucI not-add-less1 not-less-eq size-binary-const size-non-add)  
**using** BinaryExpr UnaryExpr bin-eval.simps(4) intval-not.simps(2) unary-eval.simps(3)

*val-or-not-operands* **by** fastforce

**optimization** *OrLeftFallthrough*:  
 $x \mid y \mapsto x$  when  $((\text{and } (\text{not } (\text{IExpr-down } x)) (\text{IExpr-up } y)) = 0)$   
**using** simple-mask.OrLeftFallthrough **by** blast

**optimization** *OrRightFallthrough*:  
 $x \mid y \mapsto y$  when  $((\text{and } (\text{not } (\text{IRExpr-down } y)) (\text{IRExpr-up } x)) = 0)$   
**using** *simple-mask.OrRightFallthrough* **by** *blast*

**end**

**end**

## 10.8 SubNode Phase

**theory** *SubPhase*  
**imports**  
  *Common*  
  *Proofs.StampEvalThms*  
**begin**

**phase** *SubNode*  
**terminating** *size*  
**begin**

**lemma** *bin-sub-after-right-add*:  
**shows**  $((x :: ('a::len) \text{ word}) + (y :: ('a::len) \text{ word})) - y = x$   
**by** *simp*

**lemma** *sub-self-is-zero*:  
**shows**  $(x :: ('a::len) \text{ word}) - x = 0$   
**by** *simp*

**lemma** *bin-sub-then-left-add*:  
**shows**  $(x :: ('a::len) \text{ word}) - (x + (y :: ('a::len) \text{ word})) = -y$   
**by** *simp*

**lemma** *bin-sub-then-left-sub*:  
**shows**  $(x :: ('a::len) \text{ word}) - (x - (y :: ('a::len) \text{ word})) = y$   
**by** *simp*

**lemma** *bin-subtract-zero*:  
**shows**  $(x :: ('a::len) \text{ word}) - (0 :: ('a::len) \text{ word}) = x$   
**by** *simp*

**lemma** *bin-sub-negative-value*:  
 $(x :: ('a::len) \text{ word}) - (-(y :: ('a::len) \text{ word})) = x + y$   
**by** *simp*

**lemma** *bin-sub-self-is-zero*:  
 $(x :: ('a::len) \text{ word}) - x = 0$   
**by** *simp*

**lemma** *bin-sub-negative-const*:  
 $(x :: 'a::len\ word) - (-(y :: 'a::len\ word)) = x + y$   
**by** *simp*

**lemma** *val-sub-after-right-add-2*:  
**assumes**  $x = new\_int\ b\ v$   
**assumes**  $val[(x + y) - y] \neq UndefinedVal$   
**shows**  $val[(x + y) - y] = x$   
**using** *assms apply (cases x; cases y; auto)*  
**by** (*metis (full-types) intval-sub.simps(2)*)

**lemma** *val-sub-after-left-sub*:  
**assumes**  $val[(x - y) - x] \neq UndefinedVal$   
**shows**  $val[(x - y) - x] = val[-y]$   
**using** *assms intval-sub.elims apply (cases x; cases y; auto)*  
**by** *fastforce*

**lemma** *val-sub-then-left-sub*:  
**assumes**  $y = new\_int\ b\ v$   
**assumes**  $val[x - (x - y)] \neq UndefinedVal$   
**shows**  $val[x - (x - y)] = y$   
**using** *assms apply (cases x; auto)*  
**by** (*metis (mono-tags) intval-sub.simps(6)*)

**lemma** *val-subtract-zero*:  
**assumes**  $x = new\_int\ b\ v$   
**assumes**  $val[x - (IntVal\ b\ 0)] \neq UndefinedVal$   
**shows**  $val[x - (IntVal\ b\ 0)] = x$   
**by** (*cases x; simp add: assms*)

**lemma** *val-zero-subtract-value*:  
**assumes**  $x = new\_int\ b\ v$   
**assumes**  $val[(IntVal\ b\ 0) - x] \neq UndefinedVal$   
**shows**  $val[(IntVal\ b\ 0) - x] = val[-x]$   
**by** (*cases x; simp add: assms*)

**lemma** *val-sub-then-left-add*:  
**assumes**  $val[x - (x + y)] \neq UndefinedVal$   
**shows**  $val[x - (x + y)] = val[-y]$   
**using** *assms apply (cases x; cases y; auto)*  
**by** (*metis (mono-tags, lifting) intval-sub.simps(6)*)

**lemma** *val-sub-negative-value*:  
**assumes**  $val[x - (-y)] \neq UndefinedVal$   
**shows**  $val[x - (-y)] = val[x + y]$   
**by** (*cases x; cases y; simp add: assms*)

```

lemma val-sub-self-is-zero:
  assumes  $x = \text{new-int } b \ v \wedge \text{val}[x - x] \neq \text{UndefVal}$ 
  shows  $\text{val}[x - x] = \text{new-int } b \ 0$ 
  by (cases x; simp add: assms)

lemma val-sub-negative-const:
  assumes  $y = \text{new-int } b \ v \wedge \text{val}[x - (-y)] \neq \text{UndefVal}$ 
  shows  $\text{val}[x - (-y)] = \text{val}[x + y]$ 
  by (cases x; simp add: assms)

lemma exp-sub-after-right-add:
  shows  $\text{exp}[(x + y) - y] \geq x$ 
  apply auto
  subgoal premises  $p$  for  $m \ p \ ya \ xa \ yaa$ 
  proof –
    obtain  $xv$  where  $xv: [m,p] \vdash x \mapsto xv$ 
    using  $p(3)$  by auto
    obtain  $yv$  where  $yv: [m,p] \vdash y \mapsto yv$ 
    using  $p(1)$  by auto
    obtain  $xb \ xv$  where  $xv: xv = \text{IntVal } xb \ xv$ 
    by (metis Value.exhaust evalDet evaltree-not-undef intval-add.simps(3,4,5))
  intval-sub.simps(2)
     $p(2,3) \ xv$ 
    obtain  $yv \ yv$  where  $yv: yv = \text{IntVal } yb \ yv$ 
    by (metis evalDet evaltree-not-undef intval-add.simps(7,8,9) intval-logic-negation.cases)
   $yv$ 
    intval-sub.simps(2) p(2,4)
    then have  $\text{lhsDefined}: \text{val}[(xv + yv) - yv] \neq \text{UndefVal}$ 
    using  $xv \ yv$  apply (cases xv; cases yv; auto)
    by (metis evalDet intval-add.simps(1) p(3,4,5) xv yv)
    then show ?thesis
    by (metis <math>\wedge \text{thesis}. (\wedge (xb) xv. (xv) = \text{IntVal } xb \ xv \implies \text{thesis}) \implies \text{thesis}>)
  evalDet xv yv
    eval-unused-bits-zero lhsDefined new-int.simps p(1,3,4) val-sub-after-right-add-2)
  qed
  done

lemma exp-sub-after-right-add2:
  shows  $\text{exp}[(x + y) - x] \geq y$ 
  using exp-sub-after-right-add apply auto
  by (metis bin-eval.simps(1,2) intval-add-sym unfold-binary)

lemma exp-sub-negative-value:
   $\text{exp}[x - (-y)] \geq \text{exp}[x + y]$ 
  apply auto
  subgoal premises  $p$  for  $m \ p \ xa \ ya$ 
  proof –
    obtain  $xv$  where  $xv: [m,p] \vdash x \mapsto xv$ 

```

```

    using p(1) by auto
  obtain yv where yv: [m,p] ⊢ y ↦ yv
    using p(3) by auto
  then have rhsEval: [m,p] ⊢ exp[x + y] ↦ val[xv + yv]
    by (metis bin-eval.simps(1) evalDet p(1,2,3) unfold-binary val-sub-negative-value
  xv)
  then show ?thesis
    by (metis evalDet p(1,2,3) val-sub-negative-value xv yv)
qed
done

```

**lemma** *exp-sub-then-left-sub*:

```

exp[x - (x - y)] ≥ y
using val-sub-then-left-sub apply auto
subgoal premises p for m p xa xaa ya
proof-
  obtain xa where xa: [m, p] ⊢ x ↦ xa
    using p(2) by blast
  obtain ya where ya: [m, p] ⊢ y ↦ ya
    using p(5) by auto
  obtain xaa where xaa: [m, p] ⊢ x ↦ xaa
    using p(2) by blast
  have 1: val[xa - (xaa - ya)] ≠ UndefVal
    by (metis evalDet p(2,3,4,5) xa xaa ya)
  then have val[xaa - ya] ≠ UndefVal
    by auto
  then have [m, p] ⊢ y ↦ val[xa - (xaa - ya)]
    by (metis 1 Value.exhaust eval-unused-bits-zero evaltree-not-undef xa xaa ya
  new-int.simps
    intval-sub.simps(6,7,8,9) evalDet val-sub-then-left-sub)
  then show ?thesis
    by (metis evalDet p(2,4,5) xa xaa ya)
qed
done

```

**thm-oracles** *exp-sub-then-left-sub*

**lemma** *SubtractZero-Exp*:

```

exp[(x - (const IntVal b 0))] ≥ x
apply auto
subgoal premises p for m p xa
proof-
  obtain xv where xv: [m,p] ⊢ x ↦ xv
    using p(1) by auto
  obtain xb xv where xv: xv = IntVal xb xv
    by (metis array-length.cases evalDet evaltree-not-undef intval-sub.simps(3,4,5)
  p(1,2) xv)
  then have widthSame: xb=b
    by (metis evalDet intval-sub.simps(1) new-int-bin.simps p(1) p(2) xv)

```



```

then have unfoldSub:  $\text{val}[xv - (\text{IntVal } b \ 0)] = (\text{new-int } xb \ (xv-0))$ 
  by (simp add: xv)
then have rhsSame:  $\text{val}[xv] = (\text{new-int } xb \ (xv))$ 
  using eval-unused-bits-zero xv xv by auto
then show ?thesis
  by (metis diff-zero evalDet p(1) unfoldSub xv)
qed
done

```

**lemma** *ZeroSubtractValue-Exp*:

```

assumes wf-stamp x
assumes stamp-expr x = IntegerStamp b lo hi
assumes  $\neg(\text{is-ConstantExpr } x)$ 
shows  $\text{exp}[(\text{const IntVal } b \ 0) - x] \geq \text{exp}[-x]$ 
using assms apply auto
subgoal premises p for m p xa
proof -
  obtain xv where xv:  $[m,p] \vdash x \mapsto xv$ 
  using p(4) by auto
  obtain xb xv where xv:  $xv = \text{IntVal } xb \ xv$ 
  by (metis constantAsStamp.cases evalDet evaltree-not-undef intval-sub.simps(7,8,9)
p(4,5) xv)
  then have unfoldSub:  $\text{val}[(\text{IntVal } b \ 0) - xv] = (\text{new-int } xb \ (0-xv))$ 
  by (metis intval-sub.simps(1) new-int-bin.simps p(1,2) valid-int-same-bits
wf-stamp-def xv)
  then show ?thesis
  by (metis UnaryExpr intval-negate.simps(1) p(4,5) unary-eval.simps(2)
verit-minus-simplify(3)
evalDet xv xv)
qed
done

```

Optimisations

```

optimization SubAfterAddRight:  $((x + y) - y) \mapsto x$ 
  using exp-sub-after-right-add by blast

```

```

optimization SubAfterAddLeft:  $((x + y) - x) \mapsto y$ 
  using exp-sub-after-right-add2 by blast

```

```

optimization SubAfterSubLeft:  $((x - y) - x) \mapsto -y$ 
  by (smt (verit) Suc-lessI add-2-eq-Suc' add-less-cancel-right less-trans-Suc not-add-lessI
evalDet
size-binary-const size-binary-lhs size-binary-rhs size-non-add BinaryExprE
bin-eval.simps(2)
le-expr-def unary-eval.simps(2) unfold-unary val-sub-after-left-sub)+

```

```

optimization SubThenAddLeft:  $(x - (x + y)) \mapsto -y$ 
  apply auto
  by (metis evalDet unary-eval.simps(2) unfold-unary val-sub-then-left-add)

```

**optimization** *SubThenAddRight*:  $(y - (x + y)) \mapsto -x$   
**apply** *auto*  
**by** (*metis evalDet intval-add-sym unary-eval.simps(2) unfold-unary val-sub-then-left-add*)

**optimization** *SubThenSubLeft*:  $(x - (x - y)) \mapsto y$   
**using** *size-simps exp-sub-then-left-sub* **by** *auto*

**optimization** *SubtractZero*:  $(x - (\text{const IntVal } b \ 0)) \mapsto x$   
**using** *SubtractZero-Exp* **by** *fast*

**thm-oracles** *SubtractZero*

**optimization** *SubNegativeValue*:  $(x - (-y)) \mapsto x + y$   
**apply** (*metis add-2-eq-Suc' less-SucI less-add-Suc1 not-less-eq size-binary-const size-non-add*)  
**using** *exp-sub-negative-value* **by** *blast*

**thm-oracles** *SubNegativeValue*

**lemma** *negate-idempotent*:  
**assumes**  $x = \text{IntVal } b \ v \wedge \text{take-bit } b \ v = v$   
**shows**  $x = \text{val}[-(-x)]$   
**by** (*auto simp: assms is-IntVal-def*)

**optimization** *ZeroSubtractValue*:  $((\text{const IntVal } b \ 0) - x) \mapsto (-x)$   
*when* (*wf-stamp*  $x \wedge \text{stamp-expr } x = \text{IntegerStamp } b \ \text{lo } \text{hi}$ )  
 $\text{hi} \wedge \neg(\text{is-ConstantExpr } x)$   
**using** *size-flip-binary ZeroSubtractValue-Exp* **by** *simp+*

**optimization** *SubSelfIsZero*:  $(x - x) \mapsto \text{const IntVal } b \ 0$  *when*  
 $(\text{wf-stamp } x \wedge \text{stamp-expr } x = \text{IntegerStamp } b \ \text{lo } \text{hi})$   
**using** *size-non-const* **apply** *auto*  
**by** (*smt (verit) wf-value-def ConstantExpr eval-bits-1-64 eval-unused-bits-zero new-int.simps take-bit-of-0 val-sub-self-is-zero validDefIntConst valid-int wf-stamp-def One-nat-def evalDet*)

**end**

**end**

## 10.9 XorNode Phase

**theory** *XorPhase*

**imports**

*Common*

*Proofs.StampEvalThms*

**begin**

**phase** *XorNode*

**terminating** *size*

**begin**

**lemma** *bin-xor-self-is-false*:

$bin[x \oplus x] = 0$

**by** *simp*

**lemma** *bin-xor-commute*:

$bin[x \oplus y] = bin[y \oplus x]$

**by** (*simp add: xor.commute*)

**lemma** *bin-eliminate-redundant-false*:

$bin[x \oplus 0] = bin[x]$

**by** *simp*

**lemma** *val-xor-self-is-false*:

**assumes**  $val[x \oplus x] \neq Undefined$

**shows**  $val\text{-to-bool}(val[x \oplus x]) = False$

**by** (*cases x; auto simp: assms*)

**lemma** *val-xor-self-is-false-2*:

**assumes**  $val[x \oplus x] \neq Undefined$

**and**  $x = IntVal\ 32\ v$

**shows**  $val[x \oplus x] = bool\text{-to-val}\ False$

**by** (*auto simp: assms*)

**lemma** *val-xor-self-is-false-3*:

**assumes**  $val[x \oplus x] \neq Undefined \wedge x = IntVal\ 64\ v$

**shows**  $val[x \oplus x] = IntVal\ 64\ 0$

**by** (*auto simp: assms*)

**lemma** *val-xor-commute*:

$val[x \oplus y] = val[y \oplus x]$

**by** (*cases x; cases y; auto simp: xor.commute*)

**lemma** *val-eliminate-redundant-false*:

**assumes**  $x = new\text{-int}\ b\ v$

**assumes**  $val[x \oplus (bool\text{-to-val}\ False)] \neq Undefined$

**shows**  $val[x \oplus (bool\text{-}to\text{-}val\ False)] = x$   
**using** *assms* **by** (*auto*; *meson*)

**lemma** *exp-xor-self-is-false*:

**assumes** *wf-stamp*  $x \wedge stamp\text{-}expr\ x = default\text{-}stamp$   
**shows**  $exp[x \oplus x] \geq exp[false]$   
**using** *assms* **apply** *auto*  
**subgoal** **premises**  $p$  **for**  $m\ p\ xa\ ya$   
**proof** –  
  **obtain**  $xv$  **where**  $xv: [m,p] \vdash x \mapsto xv$   
  **using**  $p(3)$  **by** *auto*  
  **obtain**  $xb\ xv$  **where**  $xv: xv = IntVal\ xb\ xv$   
  **by** (*metis* *Value.exhaust-sel* *assms* *evalDet* *evaltree-not-undef* *intval-xor.simps(5,7)*)  
 $p(3,4,5)$   $xv$   
   $valid\text{-}value.simps(11)$  *wf-stamp-def*)  
  **then** **have** *unfoldXor*:  $val[xv \oplus xv] = (new\text{-}int\ xb\ (xor\ xv\ xv))$   
  **by** *simp*  
  **then** **have** *isZero*:  $xor\ xv\ xv = 0$   
  **by** *simp*  
  **then** **have** *width*:  $xb = 32$   
  **by** (*metis* *valid-int-same-bits*  $xv\ xv\ p(1,2)$  *wf-stamp-def*)  
  **then** **have** *isFalse*:  $val[xv \oplus xv] = bool\text{-}to\text{-}val\ False$   
  **unfolding** *unfoldXor* *isZero* *width* **by** *fastforce*  
  **then** **show** *?thesis*  
  **by** (*metis* (*no-types*, *lifting*) *eval-bits-1-64*  $p(3,4)$  *width*  $xv\ xv$  *validDefIntConst* *IntVal0*  
   $Value.inject(1)$  *bool-to-val.simps(2)* *evalDet* *new-int.simps* *unfold-const*  
  *wf-value-def*)  
**qed**  
**done**

**lemma** *exp-eliminate-redundant-false*:

**shows**  $exp[x \oplus false] \geq exp[x]$   
**using** *val-eliminate-redundant-false* **apply** *auto*  
**subgoal** **premises**  $p$  **for**  $m\ p\ xa$   
**proof** –  
  **obtain**  $xa$  **where**  $xa: [m, p] \vdash x \mapsto xa$   
  **using**  $p(2)$  **by** *blast*  
  **then** **have**  $val[xa \oplus (IntVal\ 32\ 0)] \neq UndefinedVal$   
  **using** *evalDet*  $p(2,3)$  **by** *blast*  
  **then** **have**  $[m, p] \vdash x \mapsto val[xa \oplus (IntVal\ 32\ 0)]$   
  **using** *eval-unused-bits-zero*  $xa$  **by** (*cases*  $xa$ ; *auto*)  
  **then** **show** *?thesis*  
  **using** *evalDet*  $p(2)$   $xa$  **by** *blast*  
**qed**  
**done**

Optimisations

**optimization** *XorSelfIsFalse*:  $(x \oplus x) \mapsto \text{false}$  when  
 $(\text{wf-stamp } x \wedge \text{stamp-expr } x = \text{default-stamp})$   
**using** *size-non-const exp-xor-self-is-false* **by** *auto*

**optimization** *XorShiftConstantRight*:  $((\text{const } x) \oplus y) \mapsto y \oplus (\text{const } x)$  when  
 $\neg(\text{is-ConstantExpr } y)$   
**using** *size-flip-binary val-xor-commute* **by** *auto*

**optimization** *EliminateRedundantFalse*:  $(x \oplus \text{false}) \mapsto x$   
**using** *exp-eliminate-redundant-false* **by** *auto*

**end**

**end**

## 11 Verifying term graph optimizations using Isabelle/HOL

**theory** *TreeSnippets*

**imports**

*Canonicalizations.BinaryNode*  
*Canonicalizations.ConditionalPhase*  
*Canonicalizations.AddPhase*  
*Semantics.TreeToGraphThms*  
*Snippets.Snipping*  
*HOL-Library.OptionalSugar*

**begin**

— First, we disable undesirable markup.

**declare**  $[[\text{show-types=false, show-sorts=false}]]$

**no-notation** *ConditionalExpr*  $(- ? - : -)$

— We want to disable and reduce how aggressive automated tactics are as obligations are generated in the paper

**method** *unfold-size* =  $-$

**method** *unfold-optimization* =

$(\text{unfold rewrite-preservation.simps, unfold rewrite-termination.simps, rule conjE, simp, simp del: le-expr-def})$

### 11.1 Markup syntax for common operations

**notation**  $(\text{latex})$

*kind*  $(-\langle-\rangle)$

**notation** (*latex*)  
*stamp-expr* ( $\mathfrak{n}$  -)

**notation** (*latex*)  
*valid-value* ( $- \in -$ )

**notation** (*latex*)  
*val-to-bool* ( $-_{bool}$ )

**notation** (*latex*)  
*constantAsStamp* (*stamp-from-value* -)

**notation** (*latex*)  
*find-node-and-stamp* (*find-matching* -)

**notation** (*latex*)  
*add-node* (*insert* -)

**notation** (*latex*)  
*get-fresh-id* (*fresh-id* -)

**notation** (*latex*)  
*size* (*trm*(-))

## 11.2 Representing canonicalization optimizations

We wish to provide an example of the semantics layers at which optimizations can be expressed.

**lemma** *diff-self*:

**fixes**  $x :: int$

**shows**  $x - x = 0$

**by** *simp*

**lemma** *diff-diff-cancel*:

**fixes**  $x y :: int$

**shows**  $x - (x - y) = y$

**by** *simp*

**thm** *diff-self*

**thm** *diff-diff-cancel*

*algebraic-laws*

$$x - x = 0 \tag{1}$$

$$x - (x - y) = y \tag{2}$$

**lemma** *diff-self-value*:  $\forall x :: 'a :: \text{len word}. x - x = 0$   
**by** *simp*

**lemma** *diff-diff-cancel-value*:  
 $\forall x y :: 'a :: \text{len word}. x - (x - y) = y$   
**by** *simp*

*algebraic-laws-values*

$$\forall x :: 'a \text{ word}. x - x = (0 :: 'a \text{ word}) \quad (3)$$

$$\forall (x :: 'a \text{ word}) y :: 'a \text{ word}. x - (x - y) = y \quad (4)$$

**translations**

$n <= \text{CONST ConstantExpr (CONST IntVal } b \ n)$   
 $x - y <= \text{CONST BinaryExpr (CONST BinSub) } x \ y$

**notation** (*ExprRule* **output**)

*Refines* ( $- \mapsto -$ )

**lemma** *diff-self-expr*:

**assumes**  $\forall m \ p \ v. [m, p] \vdash \text{exp}[x - x] \mapsto \text{IntVal } b \ v$   
**shows**  $\text{exp}[x - x] \geq \text{exp}[\text{const (IntVal } b \ 0)]$   
**using** *assms* **apply** *simp*  
**by** (*metis*(*full-types*) *evalDet* *val-to-bool.simps*(1) *zero-neq-one*)

**method** *open-eval* = (*simp*; (*rule impI*)?; (*rule allI*)<sup>+</sup>; *rule impI*)

**lemma** *diff-diff-cancel-expr*:

**shows**  $\text{exp}[x - (x - y)] \geq \text{exp}[y]$   
**apply** *open-eval*  
**subgoal** **premises** *eval* **for**  $m \ p \ v$   
**proof** –  
**obtain**  $vx$  **where**  $vx: [m, p] \vdash x \mapsto vx$   
**using** *eval* **by** *blast*  
**obtain**  $vy$  **where**  $vy: [m, p] \vdash y \mapsto vy$   
**using** *eval* **by** *blast*  
**then** **have**  $e: [m, p] \vdash \text{exp}[x - (x - y)] \mapsto \text{val}[vx - (vx - vy)]$   
**using**  $vx \ vy \ \text{eval}$   
**by** (*smt* (*verit*, *ccfv-SIG*) *bin-eval.simps*(2) *evalDet* *unfold-binary*)  
**then** **have**  $\text{notUn}: \text{val}[vx - (vx - vy)] \neq \text{UndefVal}$   
**using** *evaltree-not-undef* **by** *auto*  
**then** **have**  $\text{val}[vx - (vx - vy)] = vy$   
**apply** (*cases*  $vx$ ; *cases*  $vy$ ; *auto* *simp*: *notUn*)  
**using** *eval-unused-bits-zero*  $vy$  **apply** *blast*  
**by** (*metis*(*full-types*) *intval-sub.simps*(6))  
**then** **show** *?thesis*  
**by** (*metis*  $e \ \text{eval} \ \text{evalDet} \ vy$ )  
**qed**  
**done**

**thm-oracles** *diff-diff-cancel-expr*

*algebraic-laws-expressions*

$$x - x \mapsto 0 \quad (5)$$

$$x - (x - y) \mapsto y \quad (6)$$

**no-translations**

$n \leq \text{CONST ConstantExpr (CONST IntVal b n)}$   
 $x - y \leq \text{CONST BinaryExpr (CONST BinSub) x y}$

**definition** *wf-stamp* :: *IRExpr*  $\Rightarrow$  *bool* **where**

*wf-stamp*  $e = (\forall m p v. ([m, p] \vdash e \mapsto v) \longrightarrow \text{valid-value } v \text{ (stamp-expr } e))$

**lemma** *wf-stamp-eval*:

**assumes** *wf-stamp*  $e$

**assumes** *stamp-expr*  $e = \text{IntegerStamp } b \text{ lo hi}$

**shows**  $\forall m p v. ([m, p] \vdash e \mapsto v) \longrightarrow (\exists vv. v = \text{IntVal } b \text{ vv})$

**using** *assms* **unfolding** *wf-stamp-def*

**using** *valid-int-same-bits* *valid-int*

**by** *metis*

**phase** *SnipPhase*

**terminating** *size*

**begin**

**lemma** *sub-same-val*:

**assumes**  $\text{val}[x - x] = \text{IntVal } b \text{ v}$

**shows**  $\text{val}[x - x] = \text{val}[\text{IntVal } b \text{ 0}]$

**using** *assms* **by** (*cases*  $x$ ; *auto*)

*sub-same-32*

**optimization** *SubIdentity*:

$x - x \mapsto \text{ConstantExpr (IntVal b 0)}$

*when*  $((\text{stamp-expr } \text{exp}[x - x] = \text{IntegerStamp } b \text{ lo hi}) \wedge \text{wf-stamp } \text{exp}[x - x])$

**using** *IRExpr.disc(42)* *size.simps(4)* *size-non-const*

**apply** *simp*

**apply** (*rule impI*) **apply** *simp*

**proof** –

**assume** *assms*: *stamp-binary* *BinSub* (*stamp-expr*  $x$ ) (*stamp-expr*  $x$ ) = *IntegerStamp*  $b \text{ lo hi} \wedge \text{wf-stamp } \text{exp}[x - x]$

**have**  $\forall m p v. ([m, p] \vdash \text{exp}[x - x] \mapsto v) \longrightarrow (\exists vv. v = \text{IntVal } b \text{ vv})$

**using** *assms* *wf-stamp-eval*

**by** (*metis* *stamp-expr.simps(2)*)

**then show**  $\forall m p v. ([m, p] \vdash \text{BinaryExpr } \text{BinSub } x \text{ } x \mapsto v) \longrightarrow ([m, p] \vdash \text{ConstantExpr (IntVal } b \text{ 0)} \mapsto v)$

**using** *wf-value-def*



```

  by (smt (verit, best) BinaryExprE TreeSnippets.wf-stamp-def assms bin-eval.simps(2)
    constantAsStamp.simps(1) evalDet stamp-expr.simps(2) sub-same-val unfold-const
    valid-stamp.simps(1) valid-value.simps(1))
qed
thm-oracles SubIdentity

```

```

RedundantSubtract

```

```

optimization RedundantSubtract:
   $x - (x - y) \mapsto y$ 

```

```

using size-simps apply simp
using diff-diff-cancel-expr by presburger
end

```

### 11.3 Representing terms

We wish to show a simple example of expressions represented as terms.

```

ast-example

```

```

BinaryExpr BinAdd
  (BinaryExpr BinMul x x)
  (BinaryExpr BinMul x x)

```

Then we need to show the datatypes that compose the example expression.

```

abstract-syntax-tree

```

```

datatype IRExpr =
  | UnaryExpr IRUnaryOp IRExpr
  | BinaryExpr IRBinaryOp IRExpr IRExpr
  | ConditionalExpr IRExpr IRExpr IRExpr
  | ParameterExpr nat Stamp
  | LeafExpr nat Stamp
  | ConstantExpr Value
  | ConstantVar String.literal
  | VariableExpr String.literal Stamp

```

*value*

```
datatype Value = UndefVal
| IntVal nat (64 word)
| ObjRef (nat option)
| ObjStr (char list)
| ArrayVal nat (Value list)
```

## 11.4 Term semantics

The core expression evaluation functions need to be introduced.

*eval*

```
unary-eval :: IRUnaryOp ⇒ Value ⇒ Value
bin-eval  :: IRBinaryOp ⇒ Value ⇒ Value ⇒ Value
```

We then provide the full semantics of IR expressions.

**no-translations**

$(prop) P \wedge Q \implies R \leq (prop) P \implies Q \implies R$

**translations**

$(prop) P \implies Q \implies R \leq (prop) P \wedge Q \implies R$

*tree-semantics*

$$\frac{[m,p] \vdash xe \mapsto x}{\text{result} = \text{unary-eval } op \ x \quad \text{result} \neq \text{UndefVal}}{[m,p] \vdash \text{UnaryExpr } op \ xe \mapsto \text{result}}$$
$$\frac{[m,p] \vdash xe \mapsto x \quad [m,p] \vdash ye \mapsto y \quad \text{result} = \text{bin-eval } op \ x \ y \quad \text{result} \neq \text{UndefVal}}{[m,p] \vdash \text{BinaryExpr } op \ xe \ ye \mapsto \text{result}}$$
$$\frac{\text{branch} = (\text{if } cond_{bool} \ \text{then } te \ \text{else } fe) \quad [m,p] \vdash \text{branch} \mapsto \text{result} \quad \text{result} \neq \text{UndefVal} \quad [m,p] \vdash te \mapsto true \quad true \neq \text{UndefVal} \quad [m,p] \vdash fe \mapsto false \quad false \neq \text{UndefVal}}{[m,p] \vdash \text{ConditionalExpr } ce \ te \ fe \mapsto \text{result}}$$
$$\frac{wf\text{-value } c}{[m,p] \vdash \text{ConstantExpr } c \mapsto c} \quad \frac{i < |p| \quad p[i] \in s}{[m,p] \vdash \text{ParameterExpr } i \ s \mapsto p[i]}$$
$$\frac{val = m \ n \quad val \in s}{[m,p] \vdash \text{LeafExpr } n \ s \mapsto val}$$

**no-translations**

$(prop) P \implies Q \implies R \leq (prop) P \wedge Q \implies R$   
**translations**  
 $(prop) P \wedge Q \implies R \leq (prop) P \implies Q \implies R$

And show that expression evaluation is deterministic.

*tree-evaluation-deterministic*

$$[m,p] \vdash e \mapsto v_1 \wedge [m,p] \vdash e \mapsto v_2 \implies v_1 = v_2$$

We then want to start demonstrating the obligations for optimizations. For this we define refinement over terms.

*expression-refinement*

$$e_1 \sqsupseteq e_2 = (\forall m p v. [m,p] \vdash e_1 \mapsto v \longrightarrow [m,p] \vdash e_2 \mapsto v)$$

To motivate this definition we show the obligations generated by optimization definitions.

**phase** *SnipPhase*  
**terminating** *size*  
**begin**

*InverseLeftSub*

**optimization** *InverseLeftSub*:  
 $(x - y) + y \mapsto x$

*InverseLeftSubObligation*

1.  $trm(x) < trm(BinaryExpr BinAdd (BinaryExpr BinSub x y) y)$
2.  $BinaryExpr BinAdd (BinaryExpr BinSub x y) y \sqsupseteq x$

**using** *RedundantSubAdd* **by** *auto*

*InverseRightSub*

**optimization** *InverseRightSub*:  $y + (x - y) \mapsto x$

*InverseRightSubObligation*

1.  $trm(x) < trm(BinaryExpr BinAdd y (BinaryExpr BinSub x y))$
2.  $BinaryExpr BinAdd y (BinaryExpr BinSub x y) \sqsupseteq x$

```

using RedundantSubAdd2(2) rewrite-termination.simps(1) apply blast
using RedundantSubAdd2(1) rewrite-preservation.simps(1) by blast
end

```

*expression-refinement-monotone*

$$x \sqsubseteq x' \implies \text{UnaryExpr op } x \sqsubseteq \text{UnaryExpr op } x'$$

$$x \sqsubseteq x' \wedge y \sqsubseteq y' \implies \text{BinaryExpr op } x \ y \sqsubseteq \text{BinaryExpr op } x' \ y'$$

$$c \sqsubseteq c' \wedge t \sqsubseteq t' \wedge f \sqsubseteq f' \implies \\ \text{ConditionalExpr } c \ t \ f \sqsubseteq \text{ConditionalExpr } c' \ t' \ f'$$

```

phase SnipPhase
terminating size
begin

```

*BinaryFoldConstant*

**optimization** *BinaryFoldConstant*:  $\text{BinaryExpr op } (\text{const } v1) (\text{const } v2) \mapsto \text{ConstantExpr } (\text{bin-eval op } v1 \ v2)$

*BinaryFoldConstantObligation*

1.  $\text{trm}(\text{ConstantExpr } (\text{bin-eval op } v1 \ v2)) < \text{trm}(\text{BinaryExpr op } (\text{ConstantExpr } v1) (\text{ConstantExpr } v2))$
2.  $\text{BinaryExpr op } (\text{ConstantExpr } v1) (\text{ConstantExpr } v2) \sqsubseteq \text{ConstantExpr } (\text{bin-eval op } v1 \ v2)$

```

using BinaryFoldConstant(1) by auto

```

*AddCommuteConstantRight*

**optimization** *AddCommuteConstantRight*:  
 $(\text{const } v) + y \mapsto y + (\text{const } v)$  when  $\neg(\text{is-ConstantExpr } y)$

*AddCommuteConstantRightObligation*

1.  $\neg \text{is-ConstantExpr } y \implies \text{trm}(\text{BinaryExpr BinAdd } y (\text{ConstantExpr } v)) < \text{trm}(\text{BinaryExpr BinAdd } (\text{ConstantExpr } v) \ y)$
2.  $\neg \text{is-ConstantExpr } y \implies \text{BinaryExpr BinAdd } (\text{ConstantExpr } v) \ y \sqsubseteq \text{BinaryExpr BinAdd } y (\text{ConstantExpr } v)$

```

using AddShiftConstantRight by auto

```

*AddNeutral*

**optimization** *AddNeutral*:  $x + (\text{const } (\text{IntVal } 32\ 0)) \mapsto x$

*AddNeutralObligation*

1.  $\text{trm}(x) < \text{trm}(\text{BinaryExpr BinAdd } x (\text{ConstantExpr } (\text{IntVal } 32\ 0)))$
2.  $\text{BinaryExpr BinAdd } x (\text{ConstantExpr } (\text{IntVal } 32\ 0)) \sqsupseteq x$

**apply** *auto*

**using** *AddNeutral(1)* *rewrite-preservation.simps(1)* **by** *force*

*AddToSub*

**optimization** *AddToSub*:  $-x + y \mapsto y - x$

*AddToSubObligation*

1.  $\text{trm}(\text{BinaryExpr BinSub } y\ x) < \text{trm}(\text{BinaryExpr BinAdd } (\text{UnaryExpr UnaryNeg } x)\ y)$
2.  $\text{BinaryExpr BinAdd } (\text{UnaryExpr UnaryNeg } x)\ y \sqsupseteq \text{BinaryExpr BinSub } y\ x$

**using** *AddLeftNegateToSub* **by** *auto*

**end**

**definition** *trm* **where**  $\text{trm} = \text{size}$

**lemma** *trm-defn[size-simps]*:

$\text{trm } x = \text{size } x$

**by** (*simp add: trm-def*)

*phase*

**phase** *AddCanonicalizations*

**terminating** *trm*

**begin...end**

**hide-const** (**open**) *Form.wf-stamp*

*phase-example*

**phase** *Conditional*

**terminating** *trm*

**begin**

*phase-example-1*

**optimization** *NegateCond*:  $((!c) ? t : f) \mapsto (c ? f : t)$

**apply** (*simp add: size-simps*)

**using** *ConditionalPhase.NegateConditionFlipBranches(1)* **by** *simp*

*phase-example-2*

**optimization** *TrueCond*:  $(true ? t : f) \mapsto t$

**by** (*auto simp: trm-def*)

*phase-example-3*

**optimization** *FalseCond*:  $(false ? t : f) \mapsto f$

**by** (*auto simp: trm-def*)

*phase-example-4*

**optimization** *BranchEqual*:  $(c ? x : x) \mapsto x$

**by** (*auto simp: trm-def*)

*phase-example-5*

**optimization** *LessCond*:  $((u < v) ? t : f) \mapsto t$   
when (*stamp-under* (*stamp-expr* *u*) (*stamp-expr* *v*)  
 $\wedge$  *wf-stamp* *u*  $\wedge$  *wf-stamp* *v*)

**apply** (*auto simp: trm-def*)

**using** *ConditionalPhase.condition-bounds-x(1)*

**by** (*metis*(*full-types*) *StampEvalThms.wf-stamp-def* *TreeSnippets.wf-stamp-def* *bin-eval.simps(14)*  
*stamp-under-defn*)

*phase-example-6*

**optimization** *condition-bounds-y*:  $((x < y) ? x : y) \mapsto y$   
when (*stamp-under* (*stamp-expr* *y*) (*stamp-expr* *x*)  $\wedge$  *wf-stamp*  
*x*  $\wedge$  *wf-stamp* *y*)

**apply** (*auto simp: trm-def*)

**using** *ConditionalPhase.condition-bounds-y(1)*

**by** (*metis*(*full-types*) *StampEvalThms.wf-stamp-def* *TreeSnippets.wf-stamp-def* *bin-eval.simps(14)*  
*stamp-under-defn-inverse*)

*phase-example-7*

**end**

**lemma** *simplified-binary*:  $\neg(\text{is-ConstantExpr } b) \implies \text{size } (\text{BinaryExpr op } a \ b) = \text{size } a + \text{size } b + 2$

**by** (*induction b; induction op; auto simp: is-ConstantExpr-def*)

**thm** *bin-size*

**thm** *bin-const-size*

**thm** *unary-size*

**thm** *size-non-add*

*termination*

$$\text{trm}(\text{UnaryExpr } op \ x) = \text{trm}(x) + 2$$

$$\text{trm}(\text{BinaryExpr } op \ x \ (\text{ConstantExpr } cy)) = \text{trm}(x) + 2$$

$$\text{trm}(\text{BinaryExpr } op \ a \ b) = \text{trm}(a) + \text{trm}(b) + 2$$

$$\text{trm}(\text{ConditionalExpr } c \ t \ f) = \text{trm}(c) + \text{trm}(t) + \text{trm}(f) + 2$$

$$\text{trm}(\text{ConstantExpr } c) = 1$$

$$\text{trm}(\text{ParameterExpr } ind \ s) = 2$$

$$\text{trm}(\text{LeafExpr } nid \ s) = 2$$

*graph-representation*

**typedef** IRGraph =

$\{g :: ID \rightarrow (IRNode \times Stamp) . \text{finite } (\text{dom } g)\}$

**no-translations**

$(prop) \ P \wedge Q \implies R \leq (prop) \ P \implies Q \implies R$

**translations**

$(prop) \ P \implies Q \implies R \leq (prop) \ P \wedge Q \implies R$

*graph2tree*

$$\begin{array}{c}
\frac{g\langle n \rangle = \text{ConstantNode } c \quad g\langle n \rangle = \text{ParameterNode } i \quad \text{stamp } g \ n = s}{g \vdash n \simeq \text{ConstantExpr } c \quad g \vdash n \simeq \text{ParameterExpr } i \ s} \\
\frac{g\langle n \rangle = \text{ConditionalNode } c \ t \ f \quad g \vdash c \simeq ce \quad g \vdash t \simeq te \quad g \vdash f \simeq fe}{g \vdash n \simeq \text{ConditionalExpr } ce \ te \ fe} \\
\frac{g\langle n \rangle = \text{AbsNode } x \quad g \vdash x \simeq xe \quad g\langle n \rangle = \text{SignExtendNode } \text{inputBits } \text{resultBits } x \quad g \vdash x \simeq e}{g \vdash n \simeq \text{UnaryExpr } \text{UnaryAbs } xe \quad g \vdash n \simeq \text{UnaryExpr } (\text{UnarySignExtend } \text{inputBits } \text{resultBits})} \\
\frac{g\langle n \rangle = \text{AddNode } x \ y \quad g \vdash x \simeq xe \quad g \vdash y \simeq ye}{g \vdash n \simeq \text{BinaryExpr } \text{BinAdd } xe \ ye} \\
\frac{\text{is-preevaluated } g\langle n \rangle \quad \text{stamp } g \ n = s \quad g\langle n \rangle = \text{RefNode } n' \quad g \vdash n' \simeq e}{g \vdash n \simeq \text{LeafExpr } n \ s \quad g \vdash n \simeq e}
\end{array}$$

*unique*

$$\frac{\text{find-matching } g \ \text{node} = \text{Some } n \quad \text{find-matching } g \ \text{node} = \text{None} \quad n = \text{fresh-id } g \quad g' = \text{insert } n \ \text{node } g}{\text{unique } g \ \text{node } (g, n) \quad \text{unique } g \ \text{node } (g', n)}$$

*tree2graph*

$$\begin{array}{c}
\frac{\text{unique } g \ (\text{ConstantNode } c, \ \text{stamp-from-value } c) \ (g_1, n)}{g \oplus \text{ConstantExpr } c \rightsquigarrow (g_1, n)} \\
\frac{\text{unique } g \ (\text{ParameterNode } i, \ s) \ (g_1, n) \quad g \oplus xe \rightsquigarrow (g_1, x) \quad s' = \text{stamp-unary } op \ (\text{stamp } g_1 \ x) \quad \text{unique } g_1 \ (\text{unary-node } op \ x, \ s') \ (g_2, n)}{g \oplus \text{ParameterExpr } i \ s \rightsquigarrow (g_1, n) \quad g \oplus \text{UnaryExpr } op \ xe \rightsquigarrow (g_2, n)} \\
\frac{g \oplus xe \rightsquigarrow (g_1, x) \quad g_1 \oplus ye \rightsquigarrow (g_2, y) \quad s' = \text{stamp-binary } op \ (\text{stamp } g_2 \ x) \ (\text{stamp } g_2 \ y) \quad \text{unique } g_2 \ (\text{bin-node } op \ x \ y, \ s') \ (g_3, n)}{g \oplus \text{BinaryExpr } op \ xe \ ye \rightsquigarrow (g_3, n)} \\
\frac{\text{stamp } g \ n = s \quad \text{is-preevaluated } g\langle n \rangle}{g \oplus \text{LeafExpr } n \ s \rightsquigarrow (g, n)}
\end{array}$$

**no-translations**

$$(\text{prop}) \ P \implies Q \implies R \leq (\text{prop}) \ P \wedge Q \implies R$$

**translations**

$$(\text{prop}) \ P \wedge Q \implies R \leq (\text{prop}) \ P \implies Q \implies R$$



*preeval*

*is-preevaluated* (*InvokeNode* *n uu uv uw ux uy*) = *True*  
*is-preevaluated* (*InvokeWithExceptionNode* *n uz va vb vc vd ve*) = *True*  
*is-preevaluated* (*NewInstanceNode* *n vf vg vh*) = *True*  
*is-preevaluated* (*LoadFieldNode* *n vi vj vk*) = *True*  
*is-preevaluated* (*SignedDivNode* *n vl vm vn vo vp*) = *True*  
*is-preevaluated* (*SignedRemNode* *n vq vr vs vt vu*) = *True*  
*is-preevaluated* (*ValuePhiNode* *n vv vw*) = *True*  
*is-preevaluated* (*BytecodeExceptionNode* *n vx vy*) = *True*  
*is-preevaluated* (*NewArrayNode* *n vz wa*) = *True*  
*is-preevaluated* (*ArrayLengthNode* *n wb*) = *True*  
*is-preevaluated* (*LoadIndexedNode* *n wc wd we*) = *True*  
*is-preevaluated* (*StoreIndexedNode* *n wf wg wh wi wj wk*) = *True*  
*is-preevaluated* (*AbsNode* *v*) = *False*  
*is-preevaluated* (*AddNode* *v va*) = *False*  
*is-preevaluated* (*AndNode* *v va*) = *False*  
*is-preevaluated* (*BeginNode* *v*) = *False*  
*is-preevaluated* (*BitCountNode* *v*) = *False*  
*is-preevaluated* (*ConditionalNode* *v va vb*) = *False*  
*is-preevaluated* (*ConstantNode* *v*) = *False*  
*is-preevaluated* (*ControlFlowAnchorNode* *v*) = *False*  
*is-preevaluated* (*DynamicNewArrayNode* *v va vb vc vd*) = *False*  
*is-preevaluated* *EndNode* = *False*  
*is-preevaluated* (*ExceptionObjectNode* *v va*) = *False*  
*is-preevaluated* (*FixedGuardNode* *v va vb*) = *False*  
*is-preevaluated* (*FrameState* *v va vb vc*) = *False*  
*is-preevaluated* (*IfNode* *v va vb*) = *False*  
*is-preevaluated* (*IntegerBelowNode* *v va*) = *False*  
*is-preevaluated* (*IntegerEqualsNode* *v va*) = *False*  
*is-preevaluated* (*IntegerLessThanNode* *v va*) = *False*  
*is-preevaluated* (*IntegerMulHighNode* *v va*) = *False*  
*is-preevaluated* (*IntegerNormalizeCompareNode* *v va*) = *False*  
*is-preevaluated* (*IntegerTestNode* *v va*) = *False*  
*is-preevaluated* (*IsNullNode* *v*) = *False*  
*is-preevaluated* (*KillingBeginNode* *v*) = *False*  
*is-preevaluated* (*LeftShiftNode* *v va*) = *False*  
*is-preevaluated* (*LogicNegationNode* *v*) = *False*  
*is-preevaluated* (*LoopBeginNode* *v va vb vc*) = *False*  
*is-preevaluated* (*LoopEndNode* *v*) = *False*  
*is-preevaluated* (*LoopExitNode* *v va vb*) = *False*  
*is-preevaluated* (*MergeNode* *v va vb*) = *False*  
*is-preevaluated* (*MethodCallTargetNode* *v va vb*) = *False*  
*is-preevaluated* (*MulNode* *v va*) = *False*  
*is-preevaluated* (*NarrowNode* *v va vb*) = *False*

*deterministic-representation*

$$g \vdash n \simeq e_1 \wedge g \vdash n \simeq e_2 \implies e_1 = e_2$$

**thm-oracles** *repDet*

*well-formed-term-graph*

$$\exists e. g \vdash n \simeq e \wedge (\exists v. [m,p] \vdash e \mapsto v)$$

*graph-semantics*

$$g \vdash n \simeq e \wedge [m,p] \vdash e \mapsto v \implies [g,m,p] \vdash n \mapsto v$$

*graph-semantics-deterministic*

$$[g,m,p] \vdash n \mapsto v_1 \wedge [g,m,p] \vdash n \mapsto v_2 \implies v_1 = v_2$$

**thm-oracles** *graphDet*

**notation** (*latex*)

*graph-refinement* (*term-graph-refinement -*)

*graph-refinement*

$$\begin{aligned} & \text{term-graph-refinement } g_1 \ g_2 = \\ & (\text{ids } g_1 \subseteq \text{ids } g_2 \wedge \\ & (\forall n. n \in \text{ids } g_1 \longrightarrow (\forall e. g_1 \vdash n \simeq e \longrightarrow g_2 \vdash n \sqsubseteq e))) \end{aligned}$$

**translations**

$n \leq \text{CONST}$  as-set  $n$

*graph-semantics-preservation*

$$\begin{aligned} & e_1' \sqsupseteq e_2' \wedge \\ & \{n\} \triangleleft g_1 \subseteq g_2 \wedge \\ & g_1 \vdash n \simeq e_1' \wedge g_2 \vdash n \simeq e_2' \implies \\ & \text{term-graph-refinement } g_1 \ g_2 \end{aligned}$$

**thm-oracles** *graph-semantics-preservation-subscript*

### *maximal-sharing*

*maximal-sharing*  $g =$   
 $(\forall n_1 n_2.$   
   $n_1 \in \text{true-ids } g \wedge n_2 \in \text{true-ids } g \longrightarrow$   
   $(\forall e. g \vdash n_1 \simeq e \wedge$   
     $g \vdash n_2 \simeq e \wedge \text{stamp } g \ n_1 = \text{stamp } g \ n_2 \longrightarrow$   
     $n_1 = n_2))$

### *tree-to-graph-rewriting*

$e_1 \sqsupseteq e_2 \wedge$   
 $g_1 \vdash n \simeq e_1 \wedge$   
*maximal-sharing*  $g_1 \wedge$   
 $\{n\} \triangleleft g_1 \subseteq g_2 \wedge$   
 $g_2 \vdash n \simeq e_2 \wedge$   
*maximal-sharing*  $g_2 \implies$   
*term-graph-refinement*  $g_1 \ g_2$

### **thm-oracles** *tree-to-graph-rewriting*

#### *term-graph-refines-term*

$(g \vdash n \trianglelefteq e) = (\exists e'. g \vdash n \simeq e' \wedge e \sqsupseteq e')$

#### *term-graph-evaluation*

$g \vdash n \trianglelefteq e \implies \forall m \ p \ v. [m,p] \vdash e \mapsto v \longrightarrow [g,m,p] \vdash n \mapsto v$

#### *graph-construction*

$e_1 \sqsupseteq e_2 \wedge g_1 \subseteq g_2 \wedge g_2 \vdash n \simeq e_2 \implies$   
 $g_2 \vdash n \trianglelefteq e_1 \wedge \text{term-graph-refinement } g_1 \ g_2$

### **thm-oracles** *graph-construction*

#### *term-graph-reconstruction*

$g \oplus e \rightsquigarrow (g', n) \implies g' \vdash n \simeq e \wedge g \subseteq g'$

*refined-insert*

$$e_1 \sqsupseteq e_2 \wedge g_1 \oplus e_2 \rightsquigarrow (g_2, n') \implies$$
$$g_2 \vdash n' \sqsubseteq e_1 \wedge \textit{term-graph-refinement } g_1 \ g_2$$

**end**